P-partitions revisited

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Triangle Lectures in Combinatorics

North Carolina State University April 9, 2011

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- 2 Complete interesction posets
- A product formula
- 4 Some context
- Bevisiting the ring of P-partitions

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2 Complete interesction posets

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- 2 Complete interesction posets
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- 6 Revisiting the ring of P-partitions

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- 3 A product formula
 - Some context



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2 Complete interesction posets







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Posets

A poset (partially ordered set) *P* on labels $\{1, 2, ..., n\}$ is naturally labelled if $i <_P j$ implies $i <_Z j$.

Example. For n = 5, our favorite poset *P* will be



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Linear extensions

A linear extension of *P* is a total order $w_1 <_w w_2 <_w \cdots <_w w_n$ that is stronger than *P*, that is, $i <_P j$ implies $i <_w j$.

The set of all linear extensions of P is denoted $\mathcal{L}(P)$.



Example. Our favorite P has

$$\mathcal{L}(P) = \begin{cases} 12345, & 13245, & 31245, \\ 12354, & 13254, & 31254, \\ 13524, & 31524, & 35124 \\ \end{cases}$$

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(q-)counting

In general, $|\mathcal{L}(P)|$ is hard to count, or *q*-count by various statistics, such as

 $\sum_{w\in\mathcal{L}(P)}q^{\mathrm{maj}(w)}$

where the major index

$$\operatorname{maj}(W) := \sum_{i: W_i > W_{i+1}} i.$$

Example.

$$maj(3 \cdot 1 \ 5 \cdot 2 \ 4) = 1 + 3 = 4$$

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 $= q^0 + q^1 + 2q^2 + q^3 + 2q^4 + q^5 + q^6$

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Unexpected factorization

$$\sum_{w \in \mathcal{L}(P)} q^{\max(w)} = q^0 + q^1 + 2q^2 + q^3 + 2q^4 + q^5 + q^6$$

$$= (1 + q + q^2)(1 + q^2 + q^4)$$

$$= [3]_q [3]_{q^2}$$

where $[m]_q := 1 + q + q^2 + \dots + q^{m-1}$

$$= [3]_q \frac{[6]_q}{[2]_q}$$

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Such factorizations will occur for a class of posets that we call complete intersection (or CI) posets, defined here

- first in terms of their connected order ideals,
- later characterized later in terms of their ring of *P*-partitions having a complete intersection presentation.



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Connected order ideals

An order ideal J in P is a down-set: $j \in J$ and $i <_P j$ implies $i \in J$.

An order ideal *J* is connected if its Hasse diagram is nonempty and connected as a graph.

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Example, with connected ideals darkly circled



Principal and nearly principal ideals

An obvious subclass of the connected order ideals are the principal ideals $P_{\leq x} = \{i \in P : i <_P x\}.$

An important disjoint subclass for us are the nearly principal ideals *J*, defined by

- J is connected, and
- $J = J_1 \cup J_2$

with J_1, J_2 connected ideals having $J_i \subsetneq J$, and

• this expression $J = J_1 \cup J_2$ is unique

Say that a poset *P* is a CI-poset if every connected order ideal of *P* is either principal or nearly principal

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Our favorite example is Cl



The three minimal non-CI examples

These P_1, P_2, P_3 are not CI, and are the minimal obstructions to being CI, as induced subposets.

$$P_{1} \quad \sqrt[1]{2} \stackrel{1}{\swarrow_{2}} \stackrel{1}{\swarrow_{3}} \stackrel{s}{=} \quad \sqrt[1]{2} \stackrel{1}{\swarrow_{3}} \quad \text{union} \quad \sqrt[3]{s}$$
$$= \quad \sqrt[1]{2} \quad \text{union} \quad \sqrt[2]{s} \stackrel{1}{\searrow_{3}} \stackrel{s}{\searrow_{3}}$$

$$P_2 \qquad \stackrel{1}{\searrow} \stackrel{3}{\swarrow} \stackrel{4}{=} \qquad \stackrel{1}{\searrow} \stackrel{3}{\searrow} \qquad \text{union} \qquad \stackrel{4}{\swarrow} \\
 \equiv \qquad \stackrel{3}{\swarrow} \stackrel{4}{\bigvee} \qquad \text{union} \qquad \stackrel{1}{\searrow} \\$$

$$\mathbf{P}_3$$
 $\mathbf{v}_2^{\dagger} = \mathbf{v}_2$ union \mathbf{v}_2^{\dagger}
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Factorization theorem

Theorem. (Féray-R.) Naturally labelled CI-posets P on $\{1, 2, ..., n\}$ have

$$\sum_{w \in \mathcal{L}(P)} q^{\max(w)} = [n]!_q \cdot \frac{\prod_{\{J_1, J_2\}} [|J_1| + |J_2|]_q}{\prod_J [|J|]_q}$$

where

•
$$[n]!_q := [n]_q [n-1]_q \cdots [3]_q [2]_q [1]_q,$$

the denominator runs over connected order ideals J, while

• the numerator runs over pairs $\{J_1, J_2\}$ of connected order ideals that intersect nontrivially, in the sense that

$$\varnothing \subsetneq J_1 \cap J_2 \subsetneq J_1, J_2.$$

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where

- $[n]!_q := [n]_q [n-1]_q \cdots [3]_q [2]_q [1]_q,$
- the denominator runs over connected order ideals J, while
- the numerator runs over pairs {J₁, J₂} of connected order ideals that intersect nontrivially, in the sense that

$$\varnothing \subsetneq J_1 \cap J_2 \subsetneq J_1, J_2.$$

Our favorite example...

... has these connected ideals

and only one (unordered) pair intersecting nontrivially, namely

$$\{J_1 = \{3,5\}$$
, $J_2 = \{1,2,3,4\}\}$
 $|J_1| + |J_2| = 2 + 4 = 6.$

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The theorem therefore asserts that

$$\sum_{w \in \mathcal{L}(P)} q^{\text{maj}(w)} = [5]!_q \cdot \frac{[6]_q}{[1]_q [1]_q [2]_q [2]_q [4]_q [5]_q}$$
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Special case: forest posets

A special case of the factorization theorem occurs when the poset CI-poset *P* has every connected ideal principal, so none are nearly principal.

Then *P* is a forest poset in the sense that every element is covered by at most one other element.



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Special case: The maj q-hook-formula for forests

Theorem. (Knuth 1973 for q = 1, Björner and Wachs 1989)

Naturally labelled forest posets *P* on $\{1, 2, ..., n\}$ have

$$\sum_{w \in \mathcal{L}(P)} q^{\operatorname{maj}(w)} = \frac{[n]!_q}{\prod_{i=1}^n [|P_{\leq i}|]_q}.$$

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A typical CI poset

Still, one might ask "How special are CI-posets?" Here's a typical-looking one:



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Characterizations of CI posets

Theorem. T.F.A.E. for a poset P:

- *P* is CI, that is, every connected order ideal is either principal or nearly principal.
- P avoids P_1, P_2, P_3 as induced subposets.
- *P* is the smallest class of posets containing the one-element poset and closed under 3 operations: *disjoint union, hanging,* and *twinning.*
- The *P*-partition affine semigroup ring has a complete intersection presentation ...

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P-partition review

It is time to revisit the rings behind Richard Stanley's (1971) concept of *P*-partitions for a naturally-labelled poset *P* on $\{1, 2, ..., n\}$.

These are functions $f : P \to \mathbf{N}$ which are (weakly) order-reversing: if $i <_P j$ then $f(i) \ge_{\mathbf{N}} f(j)$.



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An affine semigroup ring

These *P*-partitions are the lattice points in a convex polyhedral cone of dimension *n*:

- $f(i) \ge 0$ for i = 1, 2, ..., n, and
- $f(i) \ge f(j)$ for $i <_P j$.

Thus the sum $f_1 + f_2$ of two *P*-partitions f_1, f_2 is another; they are a (finitely generated, cancellative) semigroup under addition.

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Making *P*-partitions *f* correspond to monomials x^{f}

$$f = (5, 5, 2, 1, 0) \quad \leftrightarrow \quad x^f = x_1^5 x_2^5 x_3^2 x_4^1 x_5^0$$

they form a k-basis for an affine semigroup ring

$$R_P := k - \text{span of}\{x^f : f \in P - \text{partition}\}$$
$$\subseteq k[x_1, \dots, x_n].$$

This ring was studied a bit by Adriano Garsia around 1980.

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Why major index ?

Introduce a standard grading on R_P where deg $(x_i) = 1$.

Stanley's Basic lemma on *P*-partitions gives a unimodular triangulation of the polyhedral cone, with maximal cones indexed by $\mathcal{L}(P)$, and the following easy Hilbert series computation:

$$\text{Hilb}(R_P, q) = \sum_{f} q^{f(1) + \dots + f(n)} = \frac{\sum_{w \in \mathcal{L}(P)} q^{\text{maj}(w)}}{(1 - q)(1 - q^2) \cdots (1 - q^n)}.$$

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Thus if one can compute that Hilbert series differently, e.g. from structural knowledge or a resolution of the ring R_P ,

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Generators for R_P

It's easy to see that R_P is generated by the monomials $x_J := \prod_{i \in J} x_i$ as one runs through the order ideals J of P, e.g. $x^f = x_1^5 x_2^5 x_2^2 x_1^1 x_5^0$

 $= (x_1 x_2 x_3 x_4) (x_1 x_2 x_3) (x_1 x_2)^3$


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$$\begin{aligned} \mathbf{x}^{f} &= \mathbf{x}_{1}^{5} \mathbf{x}_{2}^{5} \mathbf{x}_{3}^{2} \mathbf{x}_{4}^{1} \mathbf{x}_{5}^{0} \\ &= (\mathbf{x}_{1} \mathbf{x}_{2} \mathbf{x}_{3} \mathbf{x}_{4}) (\mathbf{x}_{1} \mathbf{x}_{2} \mathbf{x}_{3}) (\mathbf{x}_{1} \mathbf{x}_{2})^{3} . \end{aligned}$$



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Why connected order ideals?

It's also easy to see that monomials x_J for disconnected ideals J give redundant generators, e.g.

 $x_1x_2x_3=x_1x_2\cdot x_3$

Proposition. (Boussicault-Féray-Lascoux-R.)

- Extreme rays of the *P*-partition cone are the connected ordered ideals *J* of *P*, and
- their {x_J} give the unique Hilbert basis (=minimum semigroup generating set) for the *P*-partitions, and the ring R_P.

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Minimal presentation for R_P

Introducing indeterminates U_J for the connected ideals J, one has a surjection $k[U_J] \rightarrow R_P$ sending $U_J \mapsto x_J$. Its kernel is often called the toric ideal I_P .

Theorem. (Féray-R.) The presentation $R_P \cong k[U_J]/I_P$, has the toric ideal I_P minimally generated by the binomials

$$U_{J_1}U_{J_2}-U_{J_1\cup J_2}\cdot\prod_i U_{J^{(i)}}$$

where

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The running example

Example. Our favorite example has

$$\begin{array}{rcl} \mathcal{R}_{\mathcal{P}} &= k[x_1, & x_3, & x_1x_2, & x_3x_5, & x_1x_2x_3x_4, & x_1x_2x_3x_4x_5] \\ &\cong k[U_1, & U_3, & U_{12}, & U_{35}, & U_{1234}, & U_{12345}] & / I_{\mathcal{P}} \end{array}$$

where I_P is the (principal) ideal generated by the element

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in degree 2 + 4 = 6Consequently,

$$\operatorname{Hilb}(R_P, q) = \frac{1 - q^6}{(1 - q)(1 - q)(1 - q^2)(1 - q^2)(1 - q^4)(1 - q^5)}$$

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Complete intersections

The same trick works just as well whenever $R_P \cong k[U_J]/I_P$ is a complete intersection presentation, that is,

- the Krull dimension *n* for *R_P*, and
- the Krull dimension *m* for *k*[*U*_J], equal to the number of connected order ideals, together with
- the number of relations *r*, equal to the number of pairs $\{J_1, J_2\}$ of connected ideals intersecting nontrivially, chieve equality in $r \ge m n$

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Theorem. (Féray-R.) A poset *P* is *CI* (connected order ideals either principal or nearly principal) if and only

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Remark 1.

These generators form a Gröbner basis for the toric ideal with respect to certain term orders.

- This corresponds to a new (non-unimodular) triangulation of the *P*-partition cone.
- It shows that a certain associated graded ring is Koszul.

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Two remarks

Remark 2.



Can one resolve R_P when P is a Ferrers diagram poset P,

and recover the usual (q-)hook-length formula for $\mathcal{L}(P)$, that is, the *q*-count by major index for standard Young tableaux of shape *P*?

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Thanks for listening!

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