

# Quotients of Coxeter complexes and P-Partitions

by

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B.A., Princeton University (1986)

Submitted to the Department of Mathematics  
in partial fulfillment of the requirements for the degree of

Doctor of Philosophy

at the

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

June 1990

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## Abstract

Let  $W$  be a finite reflection group acting on  $\mathbf{R}^n$ . As  $W$  preserves the unit sphere  $\mathbf{S}^{n-1}$ , for any subgroup  $G \subseteq W$ , there is a quotient  $\mathbf{S}^{n-1}/G$  of this sphere under the action of  $G$ . We study the combinatorial (and topological) structure of these quotients as certain kinds of cell complexes (*balanced simplicial posets*). In particular, we give sufficient conditions on  $G$  for the quotient to be *Cohen-Macaulay* or *Gorenstein* over a field  $k$ , and a simple characterizations of those  $G$  for which the quotient is a *pseudomanifold*, and when it is *orientable* as a pseudomanifold. We then look at quotients for particular classes of subgroups  $G$ , namely *reflection subgroups*, *alternating subgroups* of reflection subgroups, and their *diagonal embeddings* in the product groups  $W^r$ . For these groups, we show that the quotient is always *partitionable*, that in some cases it is *shellable*, and when shellable it is either a *sphere* or a *disk*. For all of these groups, the partitioning yields combinatorial interpretations for certain non-negative integers  $\beta_J$  associated to the quotient known as the *type-selected Möbius invariants*. Applications to calculating invariant polynomials of permutation groups and their *Hilbert series* (as developed by Garsia and Stanton [GS]) are discussed.

Our methods require an extension of some of the theory of *P-partitions*, and *multi-partite P-partitions* from the symmetric group  $S_n$  to other finite reflection groups. In particular, for the *hyperoctahedral group*  $B_n$ , we work out analogues to almost all of the standard *P-partition* results. This yields hyperoctahedral analogues for the connection between posets and distributive lattices. These methods also suggest a new approach and generalization to the Neggers-Stanley conjecture.

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## 0.1 Acknowledgments

I would like to thank my advisor Richard Stanley for numerous helpful conversations and suggestions, and Ira Gessel for suggesting some of the problems that originally got me started. I would also like to thank Anders Björner, Mark Haiman, and Gian-Carlo Rota for fruitful suggestions.

I am greatly indebted to Matthew Dyer for teaching me about reflection subgroups of Coxeter groups and for his patient technical help in that area. I also thank Ian Grojnowski for his help, and willingness to jabber with me about Coxeter groups.

Lastly, I thank my parents, Julius and Sophie Reiner for their love, support and encouragement.

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# Chapter 1

## Introduction

This thesis deals with two closely related problems. This first is to understand the quotients of finite Coxeter complexes by subgroups of their Coxeter group. The second is to generalize to other Coxeter groups the theory of  $P$ -partitions as it relates to the symmetric group. The idea of such a generalization is nearly implicit in the work of Björner and Wachs [BW1,BW2], Garsia and Stanton [GS], and is suggested explicitly by Gessel in [Ge1]. In chapters 4 and 5, we hope to show that solving the second problem helps us to solve some instances of the first, so let us try to motivate the first.

In [GS], Garsia and Stanton consider the following problem: Given a subgroup  $G$  of the symmetric group  $S_n$  acting on the ring  $\mathcal{R} = \mathbf{Q}[x_1, \dots, x_n]$  of polynomials in  $n$  variables with rational coefficients, can we explicitly describe  $\mathcal{R}^G$ , the subring of polynomials invariant under  $G$ ? Their method proceeds roughly as follows:

1. Replace  $\mathcal{R}$  by a related ring  $\mathcal{S}$  and show that an explicit description of  $\mathcal{S}^G$  leads to one for  $\mathcal{R}^G$ . The ring  $\mathcal{S}$  turns out to be the Stanley-Reisner ring of the *Coxeter complex* of the symmetric group  $S_n$  (with its usual set of Coxeter generators).
2. Get an explicit description of  $\mathcal{S}^G$  by decomposing (in a certain fashion) the *quotient of the Coxeter complex* of  $S_n$  under the action of  $G$ .

They also showed that for Coxeter groups  $W$  other than  $S_n$  of a certain type (Weyl groups), there exists a ring  $\mathcal{R}_W$  analogous to  $\mathcal{R}_{S_n} = \mathbf{Q}[x_1, \dots, x_n]$  in the following sense: Given  $G$  a subgroup of  $W$ , if one can decompose the quotient of the Coxeter complex under the action of  $G$ , one gets an explicit description of the subring  $\mathcal{R}_W^G$  of  $G$ -invariants. They then proceeded to find such a decomposition (and hence solve the original problem) for subgroups  $G$  of  $W$  of a certain type (*standard parabolic subgroups*).

Our aim has been to study these quotients of Coxeter complexes in themselves, with the hope of eventually enlarging the class of subgroups  $G$  admitting such a solution.

In Chapter 2, we begin by introducing the main characters of our story, the *Coxeter complex*  $\Sigma(W, S)$  and its *quotient*  $\Sigma(W, S)/G$  by any subgroup  $G$  of  $W$ . We explain how  $\Sigma(W, S)$  carries the natural structure of a *balanced simplicial complex*, and consequently that  $\Sigma(W, S)/G$  is naturally a *balanced simplicial poset* ([St3]). In order to state general

results about  $\Sigma(W, S)/G$ , we define the notions of when a simplicial poset is *Cohen-Macaulay* over a field  $k$ , *Gorenstein* over a field  $k$ , a *pseudomanifold* (with and without boundary), or an *orientable* pseudomanifold. The main results of Chapter 1 may then be summarized by saying that  $\Sigma(W, S)/G$  is:

1. Cohen-Macaulay over a field  $k$  if the characteristic of  $k$  does not divide  $\#G$
2. a pseudomanifold with boundary for all  $G$
3. a pseudomanifold (without boundary) if and only if  $G$  contains no reflections
4. an orientable pseudomanifold if and only if  $G$  contains no elements of odd length
5. Gorenstein over a field  $k$  if and only if either  $G = W$ , or is both Cohen-Macaulay over  $k$  and an orientable pseudomanifold.

Chapter 3 concerns the theory of  $P$ -partitions. The usual theory of  $P$ -partitions ([St4]) is an attempt to unify some of the many enumeration results for partitions, partitions into distinct parts, and compositions of a number. It starts with a partial order  $P$  on numbers  $\{1, 2, \dots, n\}$  (i.e. a *labelled poset*), and defines a  $P$ -partition to be a map

$$f : \{1, 2, \dots, n\} \rightarrow \mathbf{N}$$

satisfying  $f(i) \geq f(j)$  whenever  $i <_P j$ , and  $f(i) > f(j)$  whenever  $i <_P j$  and  $i > j$ . Thus a partition into  $n$  parts is a  $P$ -partition for the partial order

$$1 <_P 2 <_P \dots <_P n,$$

a partition into  $n$  distinct parts is a  $P$ -partition for

$$n <_P n - 1 <_P \dots <_P 1,$$

and a composition into  $n$  parts is a  $P$ -partition for the partial order  $P$  on  $\{1, 2, \dots, n\}$  in which no 2 elements are related. The main result in the usual theory is that the set of  $\mathcal{A}(P)$  of all  $P$ -partitions decomposes into the disjoint union of all sets  $\mathcal{A}(P_\sigma)$  where  $P_\sigma$  is the total order

$$\sigma_1 < \sigma_2 < \dots < \sigma_n$$

defined by some permutation  $\sigma$ , as  $\sigma$  ranges over the set  $\mathcal{L}(P)$  of all permutations which extend  $P$  to a total order. For example, if  $P$  is the partial order given by  $2 <_P 1$  and  $2 <_P 3$  on  $\{1, 2, 3\}$ , then

$$\mathcal{A}(P) = \mathcal{A}(2 < 1 < 3) \amalg \mathcal{A}(2 < 3 < 1)$$

where  $\amalg$  denotes disjoint union of sets. This means that

$$\{f \in \mathbf{R}^3 : f(2) > f(1), f(2) \geq f(3)\}$$

$$= \{f \in \mathbf{R}^3 : f(2) > f(1) \geq f(3)\} \amalg \{f \in \mathbf{R}^3 : f(2) \geq f(3) > f(1)\}.$$

In Chapter 3, we show how a partial order on the numbers  $\{1, 2, \dots, n\}$  is equivalent to the choice of a subset of the root system  $A_{n-1}$  lying in some pointed cone. We then use this to define the notion of a *parset* (analogous to posets) for other root systems, and proceed to extend some of the theory of  $P$ -partitions to this context. In Section 3.2, we show how the decomposition of the root system's ambient space given by the main  $P$ -partition result leads to the usual *shelling* of  $\Sigma(W, S)$  ([Bj4], [GS]). We also extend the theory of *multipartite*  $P$ -partitions ([GG],[Ge2]), and use this to give a shelling of  $\Sigma(W^r, rS)$  (where  $(W^r, rS)$  is the Coxeter system which is the direct product of  $r$  copies of  $(W, S)$ ). In Section 3.3, we present two immediate applications of the preceding theory:

1. We give a simple proof (suggested by Gessel) of the existence of *Solomon's descent algebra*  $\mathcal{S}$  ([So2]) for any finite Coxeter system, and some lesser known modules over  $\mathcal{S}$  recently found by Moszkowski ([Mo]).
2. We prove a generalization to all finite Coxeter groups of the following theorem of Kreweras and Moszkowski: Let  $\omega$  be a word on letters  $\{1, 2, \dots, n\}$  (with no repeated letters), and  $J \subseteq \{1, \dots, n-1\}$ . Then among all permutations of  $\{1, 2, \dots, n\}$  with *descent set*  $J$ , the number which contain  $\omega$  as a subword depends only on the descents of  $\omega$ .

In Chapter 4, we use this extended  $P$ -partition theory to examine quotients by some specific classes of subgroups  $G$ . Our general strategy:

1. Identify a fundamental domain, in terms of  $P$ -partitions, for the action of  $G$  on the ambient vector space of the root system.
2. Decompose this fundamental domain using  $P$ -partition theory.
3. Turn this decomposition into a *partitioning* or *shelling* of  $\Sigma(W, S)/G$ .

We apply this strategy to three classes of subgroups  $G$ :

1. Reflection subgroups  $W'$ , i.e. groups generated by the reflections they contain.
2. Alternating subgroups  $E'$  of reflection subgroups, i.e. the elements of even length in a reflection subgroup  $W'$ .
3. Diagonal embeddings  $\Delta^r(W')$  or  $\Delta^r(E')$  of the two classes above in the Coxeter system  $(W^r, rS)$ .

Our results may be summarized as follows:

1.  $\Sigma(W^r, rS)/\Delta^r(W')$  and  $\Sigma(W^r, rS)/\Delta^r(E')$  are partitionable for all  $r$ .
2.  $\Sigma(W^r, rS)/\Delta^r(W')$  is shellable for  $r = 1, 2$ , but may be non-shellable for  $r \geq 3$ .

3.  $\Sigma(W^r, rS)/\Delta^r(E')$  is shellable for  $r = 1$ , but may be non-shellable for  $r \geq 2$
4.  $\Sigma(W^2, 2S)/\Delta^2(W')$  and  $\Sigma(W, S)/E'$  are homeomorphic to spheres, and furthermore  $\Sigma(W, S)/W'$  is homeomorphic to a disk.

These partitionings yield combinatorial interpretations of the *type-selected Möbius invariants*  $\beta_J$ :

$$\begin{aligned} & \beta_{J_1, \dots, J_r}(\Sigma(W^r, rS)/\Delta^r(W')) \\ &= \#\{(w_1, \dots, w_r) : D(w_i) = J_i, I(w_r w_{r-1} \cdots w_1) \cap W' = \emptyset\} \\ & \beta_{J_1, \dots, J_r}(\Sigma(W^r, rS)/\Delta^r(E')) \\ &= \#\{(w_1, \dots, w_r) : D(w_i) = J_i, I(w_r w_{r-1} \cdots w_1) \cap W' = \emptyset \text{ or } T \cap W'\} \end{aligned}$$

where  $T$  is the set of all reflections of  $W$ , and  $I(w)$  is the set of (*left*) *inversions* of  $w$ . When  $\Sigma(W, S)/G$  is Gorenstein, the *fine Dehn-Somerville* equations assert that  $\beta_J = \beta_{S-J}$  for all  $J \subseteq S$ , and using our earlier criteria for Gorenstein-ness, we produce non-bijective equalities between some of the cardinalities of sets above. In Section 4.2, we apply our partitionings and shellings to the invariant theory problems mentioned at the beginning of this introduction.

In Chapter 5, we examine the quotients  $\Sigma(W, S)/\langle c \rangle$  where  $\langle c \rangle$  is the cyclic subgroup generated by a *Coxeter element*. Here, partitionings and shelling are harder to come by, and we concentrate rather on finding relations that hold among the  $\beta_J$ 's of  $\Sigma(W, S)/\langle c \rangle$ . Our main results:

1.  $\beta_J = \beta_{\phi(J)}$  for all  $J \subseteq S$  whenever  $\phi$  is a diagram automorphism of  $(W, S)$ .
2.  $\beta_J + \beta_{J+s} = \beta_{S-J} + \beta_{S-J-s}$  for all  $J \subseteq S - s$  whenever  $c$  satisfies a condition called *s-duality*. We show (by enumerating the exceptions) that  $c$  is *s-dual* for almost all finite Coxeter systems  $(W, S)$  and  $s \in S$ .

In Section 5.2, we look at a certain filtration of  $\Sigma(W, S)$  and  $\Sigma(W, S)/\langle c \rangle$  using the notion of *primitivity*. We then use a result of Gessel (and its analogues for some other Coxeter systems) to partition a large piece of this filtration, and deduce some non-bijective equalities similarly to those in Chapter 4.

In Chapter 6, we return to the topic of parsets  $P$  and  $P$ -partitions to examine more closely the case of  $(W, S) = B_n$ , the *hyperoctahedral group*. The usual theory ([St4]) allows one to derive expressions for generating functions that count  $P$ -partitions as sums over  $\mathcal{L}(P)$  of generating functions for  $P_\sigma$ -partitions. For example if  $P$  is a partial order on  $\{1, 2, \dots, n\}$ , and  $\Omega(P; m)$  denotes the number of  $P$ -partitions with largest part less than or equal to  $m - 1$  (the *order polynomial* of  $P$ ), then the above-mentioned decomposition

$$A(P) = \prod_{\sigma \in \mathcal{L}(P)} A(P_\sigma)$$

can be used to show that

$$\sum_{m \geq 0} \Omega(P; m) q^m = \frac{\sum_{\sigma \in \mathcal{L}(P)} q^{\#D(\sigma)+1}}{(1-q)^{n+1}},$$

where  $D(\sigma) = \{i : \sigma_i > \sigma_{i+1}\}$  is the *descent set* of  $\sigma$ . We find that this and almost all other such generating function results from the usual theory of  $P$ -partitions (i.e the case of  $(W, S) = A_{n-1}$ ) extend to the case of  $B_n$ -parsets.

We also introduce the lattice  $J(P)$  of *ideals* of a  $B_n$ -parset  $P$ , and pursue the analogy to the theory of posets and *distributive lattices*. Our main results:

1. A  $B_n$ -analogue of Birkhoff's theorem on distributive lattices, giving the relation between  $P$  and the lattice  $J(P)$ , and an intrinsic characterization of the lattices  $J(P)$ .
2. Calculations of some combinatorial invariants of  $J(P)$ , namely its *Möbius function* and a complete linear factorization of its *characteristic polynomial*.
3. An (*edgewise lexicographic*) *shelling* of a class of lattices ( $B_n$ -analogous to *upper-semimodular* lattices) that includes the lattices  $J(P)$ .

In Section 6.5, we introduce *partition rings* associated to  $B_n$ -parsets  $P$ , analogous to the partition ring associated to posets ([Ga]). We then give a quick summary of how our earlier results apply in finding decompositions of the invariant subring of these partition rings under the action of a group  $G$  of automorphisms of  $P$ .

Chapter 7 deals with the Neggers-Stanley Conjecture. If we let

$$E_P(q) = \sum_{\sigma \in \mathcal{L}(P)} q^{\#D(\sigma)+1}$$

be the numerator in our expression for  $\sum_{m \geq 0} \Omega(P; m) q^m$ , then the Neggers-Stanley Conjecture asserts that  $E_P(q)$  has only real zeroes for all labelled posets  $P$ . By extending the definitions of  $\Omega(P; m)$  and  $E_P(q)$  to all parsets  $P$ , we suggest some plausible generalizations of this conjecture for other Coxeter groups. Our hope is that this more general context may suggest new approaches to the problem. We also discuss some reductions and special cases for a generalized conjecture.

# Chapter 2

## Coxeter complexes and their quotients

### 2.1 Coxeter complexes

Let  $(W, S)$  be a *finite Coxeter system*, i.e.  $W$  is a finite group generated by Euclidean reflections acting on an  $\mathbf{R}$ -vector space  $V$  of dimension  $\#S$ , and  $S$  is its generating set of *simple reflections* (see [Bro] for an excellent introduction to Coxeter systems; the standard reference is [Bo]). We shall give two definitions of the *Coxeter complex*  $\Sigma(W, S)$ .

**Definition(informal):**  $\Sigma(W, S)$  is the simplicial complex describing the cell decomposition of the unit sphere in  $V$  “cut out” by the reflecting hyperplanes of reflections in  $W$ .

**Definition(formal):** Given  $J \subseteq S$ , let the *standard parabolic subgroup*  $W_J$  be the subgroup of  $W$  generated by  $J$ , i.e  $W = \langle J \rangle$ . Then  $\Sigma(W, S)$  is the simplicial complex whose faces are the cosets  $\{wW_J\}_{w \in W, J \subseteq S}$  of standard parabolic subgroups, with inclusion of faces corresponding to *reverse inclusion* of cosets (i.e the “face”  $w_1W_{J_1}$  is contained in the “face”  $w_2W_{J_2}$  when  $w_2W_{J_2} \subseteq w_1W_{J_1}$ ).

The (non-trivial) facts that both of these define simplicial complexes, and that they are equivalent may be found in [Bro, Chapters 1,3].

**Example:** Let  $W$  be the symmetric group  $S_n$  on  $n$  letters, and

$$S = \{(12), (23), \dots, (n-1 n)\}$$

the adjacent transpositions.  $W$  may be realized as the symmetry group of a regular  $(n-1)$ -simplex having vertices labelled  $\{1, 2, \dots, n\}$  and centered about the origin in  $\mathbf{R}^{n-1}$  (see Fig. 1 for a picture when  $n = 3$ ).

Note that in the figure,  $\Sigma(W, S)$  is isomorphic to the barycentric subdivision of the boundary complex of the simplex. It is well-known, and not hard to see that if  $(W, S)$  may

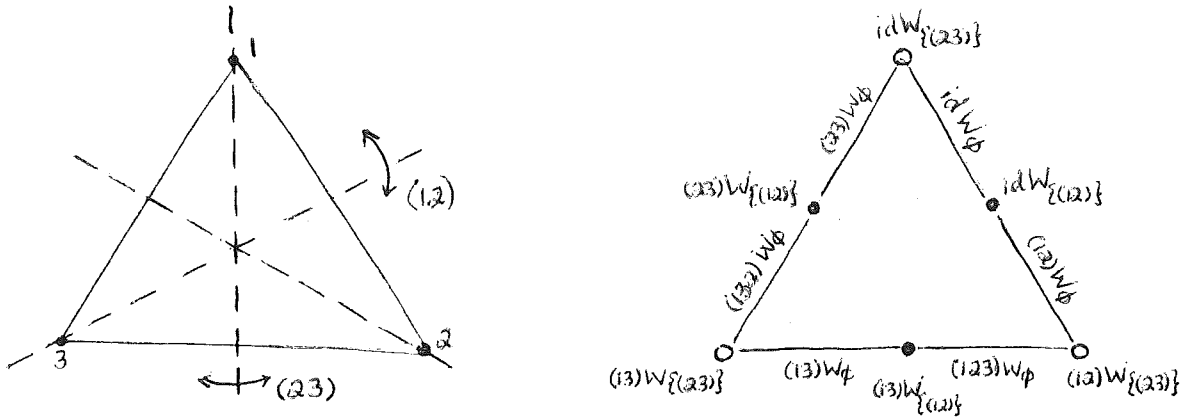


Figure 2-1:  $\Sigma(W, S)$  for  $(W, S) = (S_3, \{(12), (23)\})$

be realized as the symmetry group of a *regular polytope*  $\mathcal{P}$ , then  $\Sigma(W, S)$  is isomorphic to the *barycentric subdivision* of the boundary complex of  $\mathcal{P}$ . In fact, this is the case exactly when the *Coxeter diagram* of  $(W, S)$  (see Section 5.1) is linear.

**Example:** If  $(W, S)$  is a Coxeter system and  $r \in \mathbf{P}$ , then  $\Sigma(W^r, rS)$  is also a Coxeter system, where  $W^r = \underbrace{W \times \cdots \times W}_{r \text{ times}}$ , and  $rS$  is the disjoint union of  $r$  copies of  $S$  embedded in each coordinate of  $W^r$ . It is easy to check (see [Ti, Corollary 2.15]) that

$$\Sigma(W^r, rS) \cong \underbrace{\Sigma(W, S) * \cdots * \Sigma(W, S)}_{r \text{ times}},$$

where  $\cong$  denotes isomorphism and  $*$  denotes the *join* of simplicial complexes. For example, if  $(W, S) = (\mathbf{Z}_2, \{s\})$ , then  $\Sigma(W, S)$  is just the 0-sphere  $\mathbf{S}^0$ , and hence

$$\Sigma(W^r, rS) \cong \mathbf{S}^0 * \cdots * \mathbf{S}^0,$$

sometimes known as the *r-dimensional cross-polytope* or *r-hyperoctahedron* (see Fig. 2 for  $r = 1, 2, 3$ ).

We note two important properties of  $\Sigma(W, S)$  :

1.  $\Sigma(W, S)$  is (*completely*) *balanced*, i.e. we can label each vertex of  $\Sigma(W, S)$  with an element  $s \in S$  (call  $s$  the *type* of that vertex) so that every maximal face contains exactly one vertex of each type. A vertex of  $\Sigma(W, S)$  corresponds to a coset  $wW_{S-\{s\}}$  of a maximal proper parabolic subgroup, which we label  $s$ . Similarly, we say a face of  $\Sigma(W, S)$  corresponding to a coset  $wW_J$  is of type  $S - J$  (since it lies above one vertex of each type in  $S - J$ ). See [Bro] Chapter 3, or [Ti] Definition 2.5 for more on this labelling.
2. The Coxeter group  $W$  acts on  $\Sigma(W, S)$  as the group of simplicial automorphisms that preserve the above labelling. In fact, this action is simply the action of  $W$  on



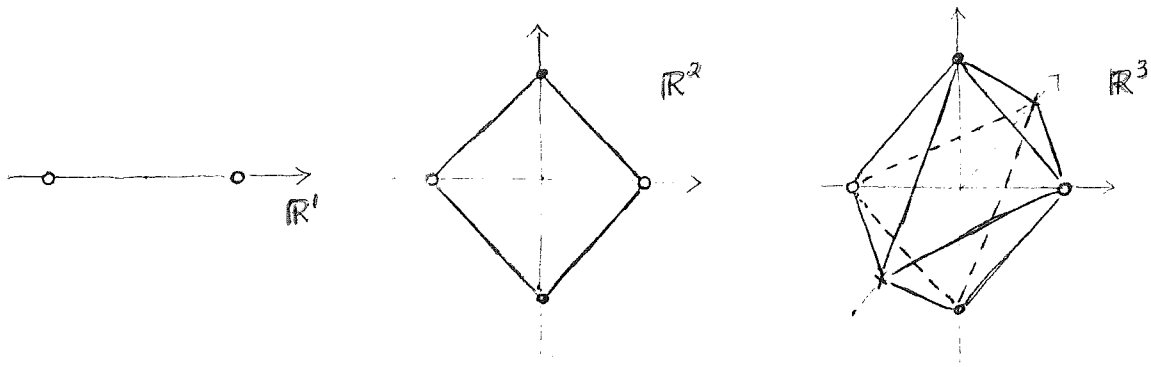


Figure 2-2:  $\Sigma(W^r, rS)$  for  $W = \mathbf{Z}_2$  and  $r = 1, 2, 3$

left cosets, i.e. given  $g \in W$  and  $wW_J$  a face of  $\Sigma(W, S)$ , the image of  $wW_J$  under the action of  $g$  is the face  $gwW_J$  (see [Bro] Chapter 3).

## 2.2 Quotients of Coxeter complexes

Let  $G$  be a subgroup of  $W$ . Since  $W$  acts on  $\Sigma(W, S)$ , so does  $G$ , and we now give two definitions of the quotient  $\Sigma(W, S)/G$  of  $\Sigma(W, S)$  under this action.

**Definition(topological):**  $\Sigma(W, S)/G$  is the quotient space of the sphere  $\Sigma(W, S)$  under the action of  $G$ . That is, let  $\Sigma(W, S)/G$  as a set be the set of  $G$ -orbits of points on the sphere  $\Sigma(W, S)$ , and give this set the quotient topology induced by the canonical surjection  $\pi : \Sigma(W, S) \rightarrow \Sigma(W, S)/G$ .

**Definition(combinatorial):** Let  $\Sigma(W, S)/G$  be the poset of orbits of faces of  $\Sigma(W, S)$ , i.e. double cosets  $\{GwW_J\}_{w \in W, J \subseteq S}$ , ordered under reverse inclusion of double cosets.

Because the action of  $G$  is label-preserving, the elements of the poset  $\Sigma(W, S)/G$  still have well-defined labels, namely that the double coset  $GwW_J$  has label  $S - J$ . Furthermore, any maximal element of this poset must lie above exactly one element of each type  $K \subseteq S$  (since two faces of  $\Sigma(W, S)$  of different types cannot be identified by an element of  $G$ ). Thus,  $\Sigma(W, S)/G$  is what is known as a *simplicial poset* [St3] or *Boolean complex* [GS] or *complex of Boolean type* [Bj2], i.e. a poset with a least element  $\hat{0}$  in which every lower interval  $[\hat{0}, x]$  is isomorphic to a Boolean algebra. Simplicial posets are a generalization of the face posets of simplicial complexes, in that they correspond to the face posets of regular CW-complexes in which each maximal face (with its boundary faces) is combinatorially isomorphic to a simplex (see [Bj2, St3]). It is straightforward to show that the topological definition of  $\Sigma(W, S)/G$  may be given such a CW-complex structure so that the combinatorial definition of  $\Sigma(W, S)/G$  is its face poset. We call this CW-complex the *topological realization* of the associated simplicial poset, and in what follows we will often not distinguish between the two of them.

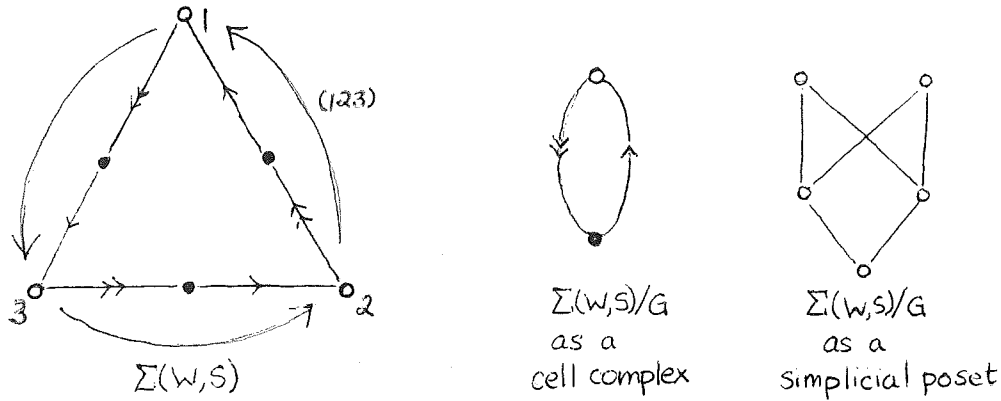


Figure 2-3:  $\Sigma(W, S)/G$  for  $(W, S) = (S_3, \{(12), (23)\})$ ,  $G = \langle (123) \rangle$

**Example:** Let  $(W, S) = (S_3, \{(12), (23)\})$ , and let  $G$  be the cyclic subgroup generated by the 3-cycle  $(123)$ . Fig. 3 shows the two ways of viewing  $\Sigma(W, S)/G$ .

**Example:** Let  $(W, S) = (\mathbf{Z}_2, \{s\})$  and  $(W^r, rS)$  be as before, and let  $G$  be the *diagonal embedding* of  $W$  into  $W^r$ , i.e.  $G = \langle (s, \dots, s) \rangle \subseteq W^r$ . One can check that the non-trivial element of  $G$  acts on the  $r$ -hyperoctahedron by swapping antipodal vertices, and hence acts as the antipodal map on all of  $\Sigma(W^r, rS)$ . Hence  $\Sigma(W^r, rS)/G$  is homeomorphic to  $(r - 1)$ -dimensional *real projective space*  $\mathbf{R}P^{r-1}$ .

By analogy to simplicial complexes, we will call the elements of a simplicial poset *faces*, maximal elements *facets*, and minimal non- $\hat{0}$  elements *vertices*. Note that the remarks following Definitions 1 and 2 imply that every facet of  $\Sigma(W, S)/G$  lies above exactly one vertex of each type  $s \in S$ . When the vertices of a simplicial poset  $P$  can be labelled in such a fashion, we say  $P$  is (*completely*) *balanced*.

## 2.3 General results about quotients

We now study the combinatorial and topological properties of  $\Sigma(W, S)/G$  via its *face ring*. The *Stanley-Reisner ring* (or *face ring*)  $k[\Delta]$  of a simplicial complex  $\Delta$  has been used extensively in the study of simplicial complexes (see e.g. [St1], Chapter 2). In [St3], Stanley introduced the *face ring*  $k[P]$  for a simplicial poset  $P$ , which he defined as follows:

**Definition:** Let  $k$  be a field. Then  $k[P]$  is the quotient  $k[x]_{x \in P}/I_P$  of the polynomial ring in the faces of  $P$  by the ideal  $I_P$  having the following generators:

1.  $xy$  if  $x$  and  $y$  have no common upper bound in  $P$

2.

$$xy - (x \wedge y) \left( \sum_{z \in \text{mub}\{x,y\}} z \right)$$

if  $x, y$  have a common upper bound  $b$  in  $P$ . Here  $\text{mub}\{x, y\}$  is the set of all *minimal* upper bounds for  $x, y$ , and  $x \wedge y$  is the greatest lower bound of  $x, y$  (which exists because  $x, y$  both lie in the Boolean algebra  $[\hat{0}, b]$ ).

3.  $\hat{0} - 1$  (i.e. the  $\hat{0}$  element of  $P$  is identified with the unit of the ring  $k[P]$ ).

This definition reduces to the standard face ring  $k[\Delta]$  when  $P$  is the face poset of a simplicial complex  $\Delta$ .

We will be dealing exclusively with balanced simplicial posets  $P$  having a label-preserving  $G$ -action (i.e.  $G$  is a subgroup of automorphisms of  $P$  satisfying  $\text{type}(gx) = \text{type}(x) \forall g \in G, x \in P$ ).

**Definition:** With  $P$  and  $G$  as above, the *quotient poset*  $P/G$  has as elements the  $G$ -orbits  $\{Gx\}_{x \in P}$ , with  $Gx \leq Gy$  if  $gx \leq y$  in  $P$  for some  $g \in G$ . The remarks following the combinatorial definition of  $\Sigma(W, S)/G$  show that  $P/G$  is also a simplicial poset. Note that the combinatorial definition of  $\Sigma(W, S)/G$  is the special case of this where  $P$  is the poset of faces of  $\Sigma(W, S)$ .

Our first theorem gives a useful relation between  $k[P]$  and  $k[P/G]$ .

**Theorem 2.3.1** *Let  $P$  and  $G$  be as above, and define a set map  $\phi : P/G \rightarrow k[P]$  by*

$$\phi(Gx) = \sum_{x' \in Gx} x'.$$

*Then  $\phi$  extends to a ring isomorphism  $\tilde{\phi} : k[P/G] \rightarrow k[P]^G$ , where  $k[P]^G$  denotes the  $G$ -invariant subring of  $k[P]$ .*

**Proof:** We first establish some notation. Let  $S$  be the labelling set for  $P$ . Given  $J \subseteq K \subseteq S$  and a face  $x \in P$  with  $\text{type}(x) = K$ , let the *restriction*  $\text{Res}_J(x)$  of  $x$  to  $J$  be the unique face under  $x$  of type  $J$ .

We must check that  $\phi$  extends to a ring homomorphism  $\tilde{\phi} : k[P/G] \rightarrow k[P]$ . We need to show that

$$\phi(Gx)\phi(Gy) =$$

$$\begin{cases} \phi(Gx \wedge Gy) \sum_{Gz \in \text{mub}\{Gx, Gy\}} \phi(Gz) & \text{if } Gx, Gy \text{ have an upper bound in } P/G \\ 0 & \text{else} \end{cases}$$

If we have that

$$0 \neq \phi(Gx)\phi(Gy) = \sum_{\substack{x' \in Gx \\ y' \in Gy}} x'y',$$

then there must exist  $x' = g_1x$  and  $y' = g_2y$  such that  $x'y' \neq 0$  in  $k[P]$ , and hence  $x', y'$  have an upper bound  $z \in P$ . But then  $Gz$  would be an upper bound for  $Gx, Gy$  in  $P/G$ . Hence  $\phi(Gx)\phi(Gy) = 0$  if  $Gx, Gy$  have no upper bound in  $P/G$ .

Otherwise, let  $\text{mub}\{Gx, Gy\} = \{Gz_i\}_{i=1, \dots, r}$ . Without loss of generality, we may pick  $x, y$  so that they have an upper bound in  $P$ , and hence  $x \wedge y$  exists, with  $\text{type}(x \wedge y) = \text{type}(x) \cap \text{type}(y)$ . Then  $Gx \wedge Gy = G(x \wedge y)$ , since  $G(x \wedge y) \leq Gx, Gy$  and

$$\begin{aligned} \text{type}(G(x \wedge y)) &= \text{type}(x \wedge y) \\ &= \text{type}(x) \cap \text{type}(y) \\ &= \text{type}(Gx) \cap \text{type}(Gy) \\ &= \text{type}(Gx \wedge Gy). \end{aligned}$$

Thus,

$$\begin{aligned} \phi(Gx \wedge Gy) \sum_{Gz \in \text{mub}\{Gx, Gy\}} \phi(Gz) &= \left( \sum_{i=1}^r \phi(Gz_i) \right) \phi(G(x \wedge y)) \\ &= \left( \sum_{i=1}^r \sum_{z \in Gz_i} z \right) \left( \sum_{w \in G(x \wedge y)} w \right) \\ &= \sum_{i=1}^r \sum_{z \in Gz_i} \sum_{w \in G(x \wedge y)} zw. \end{aligned}$$

Meanwhile,

$$\begin{aligned} \phi(Gx)\phi(Gy) &= \sum_{\substack{x' \in Gx \\ y' \in Gy}} x'y' \\ &= \sum_{x' \in Gx, y' \in Gy} x' \wedge y' \left( \sum_{z \in \text{mub}\{x', y'\}} z \right) \\ &= \sum_{i=1}^r \sum_{z \in Gz_i} z \left( \sum_{\substack{x' \in Gx, y' \in Gy \\ z \in \text{mub}\{x', y'\}}} x' \wedge y' \right) \\ &= \sum_{i=1}^r \sum_{z \in Gz_i} \sum_{w \in G(x \wedge y)} zw \cdot \#\{x' \in Gx, y' \in Gy : \\ &\quad z \in \text{mub}\{x', y'\}, w = x' \wedge y'\}. \end{aligned}$$

Therefore it suffices to show  $\forall w \in G(x \wedge y), z \in Gz_i$  that

$$zw = zw \cdot \#\{x' \in Gx, y' \in Gy : z \in \text{mub}\{x', y'\}, w = x' \wedge y'\}.$$

If  $z, w$  have no upper bound in  $P$ , then it is trivially true since  $zw = 0$ . If  $z, w$  have an upper bound  $v \in P$ , then the cardinality of the set on the right is 1, because  $x', y'$  are

uniquely defined by  $x' = \text{Res}_{\text{type}(x)} v$  and  $y' = \text{Res}_{\text{type}(y)} v$ . So it is still true.

Thus  $\phi$  extends to a ring homomorphism  $\tilde{\phi} : k[P/G] \rightarrow k[P]$ . It is clear that the image of  $\tilde{\phi}$  is contained in  $k[P]^G$ , since

$$\tilde{\phi}(Gx) = \sum_{x' \in Gx} x' \in k[P]^G,$$

and  $\{Gx\}_{x \in P}$  generate  $k[P/G]$  as an algebra.

It only remains to show that  $\tilde{\phi}$  takes a  $k$ -basis for  $k[P/G]$  to one for  $k[P]^G$ . From [St3], Lemma 3.4, we know that a  $k$ -basis for  $k[P]$  consists of all monomials  $x_1 x_2 \cdots x_r$  supported on a multichain  $x_1 \leq \dots \leq x_r$  in  $P$ . Thus we have a  $k$ -basis for  $P/G$  consisting of all monomials  $Gx_1 Gx_2 \cdots Gx_r$  with  $Gx_1 \leq \dots \leq Gx_r$  in  $P/G$ . We also know that we can get a basis for  $k[P]^G$  by *symmetrizing* the basis for  $k[P]$ , i.e. we take all sums of the form  $\sum_{g \in G} g(x_1 \cdots x_r)$  with  $x_1 \leq \dots \leq x_r$  in  $P$ .

Having identified our two bases, we have

$$\begin{aligned} \tilde{\phi}(Gx_1 \cdots Gx_r) &= \tilde{\phi}(Gx_1) \cdots \tilde{\phi}(Gx_r) \\ &= \sum_{(g_1, \dots, g_r) \in G^r} (g_1 x_1) \cdots (g_r x_r). \end{aligned}$$

Note that if  $x_1 \leq x_2$  in  $P$  and  $(g_1 x_1)(g_2 x_2) \neq 0$  in  $k[P]$ , then  $g_1 x_1, g_2 x_2$  have some upper bound  $z$ , and hence

$$\begin{aligned} g_1 x_1 &= \text{Res}_{\text{type}(x_1)} z \\ &= \text{Res}_{\text{type}(x_1)} (\text{Res}_{\text{type}(x_2)} z) \\ &= g_2 \text{Res}_{\text{type}(x_1)} (\text{Res}_{\text{type}(x_2)} g_2^{-1} z) \\ &= g_2 \text{Res}_{\text{type}(x_1)} x_2 \\ &= g_2 x_1 \end{aligned}$$

since  $x_1 \leq x_2 \leq g_2^{-1} z$ .

Thus  $(g_1 x_1) \cdots (g_r x_r) \neq 0$  if and only if we can replace all the  $g_i$ 's by a single  $g$ , i.e.  $g_i x_i = g x_i \forall i$  and some  $g \in G$ . Hence

$$\tilde{\phi}(Gx_1 \cdots Gx_r) = \sum_{(g_1, \dots, g_r) \in G^r} (g_1 x_1) \cdots (g_r x_r) = \sum_{g \in G} g(x_1 \cdots x_r).$$

So  $\tilde{\phi}$  takes our basis for  $k[P/G]$  into our basis for  $k[P]^G$ , and hence is an isomorphism. ■

**Example:** Let  $P$  be the balanced simplicial poset shown in Fig. 4, with  $G = \mathbf{Z}_2$  acting on  $P$  by swapping  $a$  and  $b$ , leaving all other faces fixed. Then  $P/G$  is as shown, and we have

$$k[P] = k[a, b, c, d]/(ab, cd - (a + b))$$

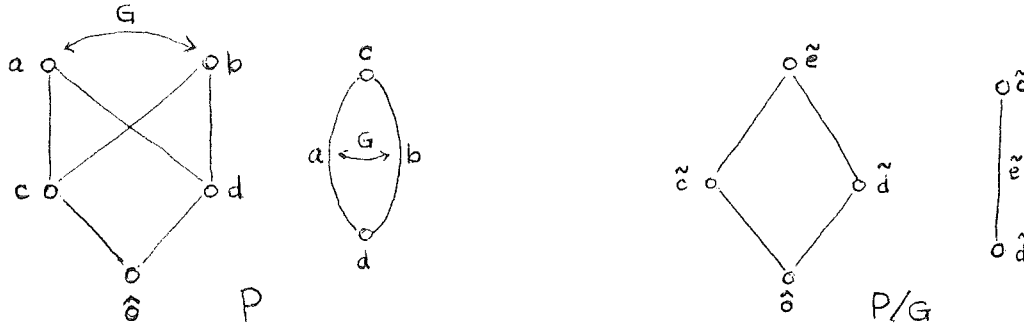


Figure 2-4: An example of  $P$  and  $P/G$

$$k[P/G] = k[\tilde{c}, \tilde{d}, \tilde{e}]/(\tilde{c}\tilde{d} - \tilde{e})$$

and it is easy to see that

$$\tilde{\phi} : \tilde{c} \mapsto c, \tilde{d} \mapsto d, \tilde{e} \mapsto a + b$$

is an isomorphism from  $k[P/G] \rightarrow k[P]^G$ .

In [St3], Stanley defines a simplicial poset  $P$  to be *Cohen-Macaulay over the field  $k$*  (abbreviated  $CM/k$ ) if  $k[P]$  satisfies a ring-theoretic condition known as *Cohen-Macaulay-ness*. For face rings, this condition turns out to be equivalent to purely topological conditions on the realization of  $P$ , namely that for  $i < \dim(P)$  we have  $\tilde{H}_i(P; k) = \tilde{H}_i(P, P - p) = 0$  for all points  $p$  in the realization of  $P$ , where  $\tilde{H}$  denotes reduced homology (see [St3] for more details).

**Theorem 2.3.2** *Let  $P$  be a balanced simplicial poset and  $G$  a group of label-preserving automorphisms. If  $P$  is  $CM/k$ , and the characteristic of the field  $k$  does not divide  $\#G$ , then  $P/G$  is  $CM/k$ .*

Proof:  $k[P/G] \cong k[P]^G$  by the previous theorem. Since  $P$  is  $CM/k$ , we know that  $k[P]$  is a Cohen-Macaulay ring. Since the characteristic of  $k$  does not divide  $\#G$ , we can apply a result of Hochster and Eagon ([HE], Proposition 13) to conclude that  $k[P]^G$  is also Cohen-Macaulay. Therefore  $k[P/G]$  is  $CM/k$ . ■

**Corollary 2.3.3** *Let  $(W, S)$  be a finite Coxeter system, and  $G$  a subgroup of  $W$ . Then  $\Sigma(W, S)/G$  is  $CM/k$  for all fields  $k$  whose characteristic does not divide  $\#G$ .*

Proof: Since  $\Sigma(W, S)$  is a sphere, it is  $CM/k$  for all fields  $k$  (by the topological characterization). Now apply Theorem 2.3.2. ■

Theorem 2.3.2 may also be used to prove a result about simplicial posets which are not necessarily balanced. Let  $P$  be a simplicial poset and  $G$  a group of automorphisms of  $P$ . If we let  $|P|$  denote the topological realization of  $P$  as a cell complex, then  $G$  acts as a group of homeomorphisms of  $|P|$  and we may form the quotient space  $|P|/G$ . Although

$|P|/G$  does not carry an obvious cell-structure that would make it the realization of a simplicial poset, we can still speak of  $|P|/G$  being  $CM/k$  by using the topological characterization.

**Theorem 2.3.4** *Let  $P$  and  $G$  be as in the preceding paragraph. If  $P$  is  $CM/k$ , and the characteristic of  $k$  does not divide  $\#G$ , then  $|P|/G$  is  $CM/k$ .*

Proof: Let  $Sd(P)$  denote the barycentric subdivision of  $P$ , i.e. the simplicial complex of all chains in  $P$ .  $Sd(P)$  is a balanced simplicial complex in which the label of a vertex is given by the dimension of the face of  $P$  to which it corresponds, and hence  $G$  acts a label-preserving group of automorphisms of  $Sd(P)$ . Note also that  $|Sd(P)|$  is homeomorphic to  $|P|$ , and one can easily check that  $|Sd(P)/G|$  is homeomorphic to  $|P|/G$  (where  $Sd(P)/G$  is the quotient simplicial poset of  $Sd(P)$  under the action of  $G$ ). Since  $P$  is  $CM/k$ , so is  $Sd(P)$  (as  $CM$ -ness is a topological property). By Theorem 2.3.2, so is  $Sd(P)/G$ , and hence so is  $|P|/G$ . ■

This is not the end of the story. One might suspect that there is a purely topological version of the same theorem. In fact, using the main result of [Sm], one can prove the following <sup>1</sup>:

**Theorem 2.3.5** *Let  $X$  be a Hausdorff space,  $G$  a finite group of homeomorphisms of  $X$ , and  $k$  a field whose characteristic does not divide  $\#G$ . Let  $\pi : X \rightarrow X/G$  be the quotient mapping. Then  $\forall i \in \mathbf{N}, x \in X$  we have that  $\tilde{H}_i(X/G; k)$  is a direct summand of  $\tilde{H}_i(X; k)$  and  $\tilde{H}_i(X/G, X/G - \pi(x); k)$  is a direct summand of  $\tilde{H}_i(X, X - x; k)$ . ■*

Since the topological characterization of  $CM/k$  asserts the vanishing of certain groups  $\tilde{H}_i(X; k)$  and  $\tilde{H}_i(X, X - x; k)$ , we clearly have

$$\text{Theorem 2.3.5} \Rightarrow \text{Theorem 2.3.4} \Rightarrow \text{Theorem 2.3.2.}$$

## 2.4 Further general results about $\Sigma(W, S)$

We now focus our attention on  $\Sigma(W, S)/G$  rather than more general quotients, and investigate some important combinatorial invariants associated to them.

**Definition:** Given a balanced simplicial poset  $P$  with label set  $S$ , and  $J \subseteq S$ , let  $\alpha_J(P)$  be the number of faces of  $P$  of type  $J$ , and let

$$\beta_J(P) = \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_K(P).$$

---

<sup>1</sup>Thanks to K. Brown for suggesting a topological approach, H. Miller for the key reference [Sm], and H. Sadofsky for technical help

$\beta_J(P)$  is sometimes called the *J-type-selected Möbius invariant* of  $P$ , and the  $\alpha$ 's and  $\beta$ 's are sometimes called the *fine f-vector* and *fine h-vector* of  $P$  respectively.

A priori,  $\beta_J(P) \in \mathbf{Z}$ . However, if  $P$  is  $CM/\mathbf{Q}$  then it is known that  $\beta_J(P) \in \mathbf{N}$ , and in fact  $\beta_J(P)$  has the following two alternate interpretations in this case:

1.

$$\beta_J(P) = \dim_{\mathbf{Q}}(\mathbf{Q}[P]/(\theta_s)_{s \in S})_J$$

where  $(\theta_s)_{s \in S}$  is the ideal generated by the *rank-row polynomials*  $\theta_s = \sum_{\text{type}(x)=s} x$  in  $k[P]$ , and  $(\mathbf{Q}[P]/(\theta_s)_{s \in S})_J$  is the  $J^{\text{th}}$  graded-homogeneous component of the quotient ring  $\mathbf{Q}[P]/(\theta_s)_{s \in S}$ . In fact, knowing  $\beta_J(P)$  for all  $J \subseteq P$  gives an expression for the finely graded *Hilbert series* of  $\mathbf{Q}[P]$ . See [Ga], Section 2 for more details.

2.

$$\beta_J(P) = \dim_{\mathbf{Q}}(\tilde{H}_{\#J-1}(P_J; \mathbf{Q}))$$

where  $\tilde{H}_{\#J-1}(P_J; \mathbf{Q})$  denotes the  $(\#J - 1)^{\text{st}}$  reduced homology group with rational coefficients, and  $P_J$  is (the realization of) the simplicial poset obtained from  $P$  by deleting those faces  $x \in P$  with  $\text{type}(x)$  not contained in  $J$ .  $P_J$  is sometimes called the *J-type-selected subcomplex* of  $P$ . See [St5], Section 1 for more details.

Since  $\Sigma(W, S)/G$  is always  $CM/\mathbf{Q}$  (by Corollary 2.3.3), the above facts apply. In light of the second interpretation above, our next result allows us to calculate  $\dim_{\mathbf{Q}}(\tilde{H}_{\#S-1}(P_J; \mathbf{Q}))$ .

**Proposition 2.4.1**

$$\beta_S(\Sigma(W, S)/G) = \begin{cases} 1 & \text{if } \text{sgn}(g) = 1 \text{ for all } g \text{ in } G \\ 0 & \text{else} \end{cases}$$

where  $\text{sgn}$  denotes the sign character of  $W$ , i.e.  $\text{sgn}(g)$  is the determinant of  $g$  thought of as a linear transformation of  $V$  (note that  $\text{sgn}(g) = \pm 1$  since  $W$  is generated by reflections).

Proof:

$$\begin{aligned} \beta_S(\Sigma(W, S)/G) &= \sum_{K \subseteq S} (-1)^{\#(S-K)} \alpha_K(\Sigma(W, S)/G) \\ &= \sum_{K \subseteq S} (-1)^{\#(S-K)} \#\{\text{double cosets } GwW_{S-K} \subseteq W\} \\ &= \sum_{K \subseteq S} (-1)^{\#(S-K)} \langle \text{Ind}_G^W 1_G, \text{Ind}_{W_{S-K}}^W 1_{W_{S-K}} \rangle_W \end{aligned}$$

by Mackey's formula ([Se], Chapter 7), where here  $\text{Ind}$  denotes induction of characters,  $1_G, 1_{W_{S-K}}$  are the trivial characters of  $G, W_{S-K}$  respectively, and  $\langle \cdot, \cdot \rangle_W$  is the inner product of characters of  $W$ . Thus



$$\begin{aligned}
\beta_S(\Sigma(W, S)/G) &= \langle \text{Ind}_G^W 1_G, \sum_{K \subseteq S} (-1)^{\#(S-K)} \text{Ind}_{W_{S-K}}^W 1_{W_{S-K}} \rangle_W \\
&= \langle \text{Ind}_G^W 1_G, \text{sgn} \rangle_W \\
&= \langle 1_G, \text{Res}_G^W \text{sgn} \rangle_G
\end{aligned}$$

where the second-to-last equality comes from a result of Solomon ([Sol], Theorem 2), and the last equality is by Frobenius reciprocity (here Res denotes restriction of characters, and  $\langle \cdot, \cdot \rangle_G$  is the inner product of characters of  $G$ ; see [Se], Chapter 7). Thus

$$\beta_S(\Sigma(W, S)/G) = \begin{cases} 1 & \text{if } \text{Res}_G^W \text{sgn} = 1_G \\ 0 & \text{else} \end{cases}$$

by the orthogonality of irreducible characters of  $G$ . This is a rephrasing of our result. ■

Our next result tells us about the singularities of  $\Sigma(W, S)/G$ .

**Definition:** A simplicial poset  $P$  is a *pseudomanifold with boundary* if

1.  $P$  is pure, i.e. all its facets have the same dimension.
2. Any two facets  $F, F'$  of  $P$  can be joined by a sequence  $F = F_1, F_2, \dots, F_r = F'$  of facets in which  $F_i, F_{i+1}$  share a common face of codimension 1 for  $1 \leq i < r$ .
3. Every face of codimension 1 lies in at most 2 facets.

We say  $P$  is a *pseudomanifold (without boundary)* if every face of codimension 1 lies in *exactly two* facets. If  $P$  is a pseudomanifold, we say  $P$  is *orientable* if it is possible to choose an orientation on the each of the facets of  $P$  (i.e. a  $\pm 1$  coefficient on each facet) so as to make the sum of all the facets a homology cycle (see [St1], Chapter 0, Defs. 3.15, 3.16 and Chapter 2, Theorem 5.1).

**Proposition 2.4.2**

1.  $\Sigma(W, S)/G$  is always a pseudomanifold with boundary.
2.  $\Sigma(W, S)/G$  is a pseudomanifold if and only if  $G$  contains no reflections (conjugates of elements of  $S$ ).
3.  $\Sigma(W, S)/G$  is an orientable pseudomanifold if and only if  $\text{sgn}(g) = 1 \ \forall g \in G$ .

Proof:

1. Clearly  $\Sigma(W, S)/G$  is pure, and any two facets  $F, F'$  in  $\Sigma(W, S)/G$  can be joined by a sequence as in the definition; simply lift them to facets  $\tilde{F}, \tilde{F}'$  in  $\Sigma(W, S)$ , join these facets by such a sequence of facets in  $\Sigma(W, S)$  (which exists because  $\Sigma(W, S)$  is a sphere and hence a pseudomanifold), and then project this sequence down by  $\pi : \Sigma(W, S) \rightarrow \Sigma(W, S)/G$ . Given a face  $F$  of codimension 1,  $F$  must correspond to a double coset of the form  $GwW_{\{s\}}$  for some  $w \in W, s \in S$ , and hence  $F$  lies in the facet(s) corresponding to  $GwW_\emptyset = Gw$  and  $GwsW_\emptyset = Gws$ . Thus  $F$  lies in two facets if  $Gw = Gws$ , or one facet if  $Gw \neq Gws$ .
2. By the discussion in 1,  $\Sigma(W, S)/G$  is a pseudomanifold exactly when  $Gw \neq Gws \forall w \in W, s \in S$ . Since  $Gw = Gws \Leftrightarrow wsw^{-1} \in G$ , the result follows.
3. Clearly  $\text{sgn}(g) = 1 \forall g \in G$  implies  $G$  contains no reflections, and hence that  $\Sigma(W, S)/G$  is a pseudomanifold by 2. On the other hand, it is easy to see that for any pseudomanifold  $X$  of dimension  $d$ , we have

$$H_d(X; \mathbf{Q}) = \begin{cases} \mathbf{Q} & \text{if } X \text{ is orientable} \\ 0 & \text{else} \end{cases}$$

Hence by Proposition 2.4.1 and our second interpretation of  $\beta_S(\Sigma(W, S)/G)$ , the result follows. ■

**Definition:** A simplicial poset  $P$  which is  $CM/k$  and also an orientable pseudomanifold is called *Gorenstein\* over  $k$*  (abbreviated  $Gor^*/k$ ). Like Cohen-Macaulay-ness, this condition can also be defined as a ring-theoretic condition on  $k[P]$  which turns out to be equivalent to the purely topological condition that  $P$  is a  $k$ -homology sphere. See [St2] for details.

**Corollary 2.4.3** *Let  $k$  be a field whose characteristic does not divide  $\#G$ . Then  $\Sigma(W, S)/G$  is  $Gor^*/k \Leftrightarrow \text{sgn}(g) = 1 \forall g \in G$ . ■*

**Remark:** There is a slightly weaker condition on a simplicial poset  $P$  than being  $Gor^*/k$ , that of being *Gorenstein over  $k$* . In [St3], Section 4, Stanley defines this concept and points out that the only simplicial posets which are  $Gor/k$  but not  $Gor^*/k$  are the Boolean algebras. Hence Gorenstein-ness is only a trivially weaker notion than Gorenstein\*-ness. In our context, it is easy to see that  $\Sigma(W, S)/G$  is a Boolean algebra if and only if  $G = W$ , since this would mean that  $\Sigma(W, S)/G$  had only a single facet  $GwW_\emptyset$ , i.e.  $Gw = Gw' \forall w, w' \in W$ .

Gorenstein\* simplicial posets satisfy a duality related to *Alexander duality* (see [St5], Section 2). This is reflected in the following result.

**Proposition 2.4.4** *Let  $P$  be a balanced simplicial poset (with label set  $S$ ), which is also  $\text{Gor}^*/\mathbf{Q}$ . Then the invariants  $\beta_J(P)$  satisfy the fine Dehn-Somerville equations:*

$$\beta_J(P) = \beta_{S-J}(P) \quad \forall J \subseteq S.$$

Sketch of proof: Let  $x_1, \dots, x_n$  be the vertices of  $P$ , thought of as independent indeterminates, and define a generating function

$$L_P(x_1, \dots, x_n) = \sum_{\text{faces } x \in P} \prod_{x_i \leq x} \frac{x_i}{1 - x_i}$$

Let  $\{t_s\}_{s \in S}$  be another set of independent indeterminates, one for each element of the label set  $S$ , and let  $T$  be the map from power series in  $x_1, \dots, x_n$  to power series in  $\{t_s\}_{s \in S}$  which sends  $x_i \mapsto t_{\text{type}(x_i)}$ . Then we have

$$\begin{aligned} T(L_P(x_1, \dots, x_n)) &= T\left(\sum_{\text{faces } x \in P} \prod_{x_i \leq x} \frac{x_i}{1 - x_i}\right) \\ &= \sum_{J \subseteq S} \alpha_J(P) \prod_{s \in J} \frac{t_s}{1 - t_s} \\ &= \frac{\sum_{J \subseteq S} \beta_J(P) \prod_{s \in J} t_s}{\prod_{s \in S} 1 - t_s} \end{aligned}$$

Proposition 4.4 of [St3] says that

$$L_P(x_1, \dots, x_n) = (-1)^{\#S} L_P\left(\frac{1}{x_1}, \dots, \frac{1}{x_n}\right).$$

Applying the map  $T$  gives

$$\frac{\sum_{J \subseteq S} \beta_J(P) \prod_{s \in J} t_s}{\prod_{s \in S} 1 - t_s} = (-1)^{\#S} \frac{\sum_{J \subseteq S} \beta_J(P) \prod_{s \in J} \frac{1}{t_s}}{\prod_{s \in S} 1 - \frac{1}{t_s}}$$

which (with a little algebra) implies our result. ■

**Corollary 2.4.5** *If  $\text{sgn}(g) = 1 \quad \forall g \in G$  then  $\beta_J(\Sigma(W, S)/G) = \beta_{S-J}(\Sigma(W, S)/G) \quad \forall J \subseteq S$ .*

In Chapters 3 and 4, we will give combinatorial interpretations of these non-negative integers  $\beta_J(\Sigma(W, S)/G)$  for certain groups  $G$ , and then use this corollary to assert non-trivial equalities between cardinalities of certain sets.

**Example:** Let  $(W, S) = (\mathbf{Z}_2, \{s\})$  and  $(W^r, rS)$  be as before, and let  $G = \langle (s, \dots, s) \rangle \subseteq$

$W^r$  as before. Then the quotient  $\Sigma(W, S)/G \cong \mathbf{R}P^{r-1}$  is  $CM/k$  whenever the characteristic of  $k$  is not 2. Since  $(s, \dots, s)$  is not a reflection (unless  $r = 1$ ), and  $\text{sgn}((s, \dots, s)) = (-1)^r$  we conclude that  $\Sigma(W, S)/G$  is a pseudomanifold  $\forall r \geq 2$ , and orientable  $\forall r$  even. Of course, these facts agree with what is known about  $\mathbf{R}P^{r-1}$ .

**Example:** Let  $(W, S) = (S_3, \{(12), (23)\})$  and  $G = \langle (123) \rangle$  as before. Since  $\text{sgn}((123)) = 1$ ,  $\Sigma(W, S)/G$  is an orientable pseudomanifold of dimension 1, i.e.  $\mathbf{S}^1$  (as shown in Fig. 3). We can use Fig. 3 to write down  $\alpha_J(\Sigma(W, S)/G) \forall J \subseteq S$ , and then calculate  $\beta_J$  from this. This yields the following table:

$J$	$\alpha_J(\Sigma(W, S)/G)$	$\beta_J(\Sigma(W, S)/G)$
$\emptyset$	1	1
$\{(12)\}$	1	0
$\{(23)\}$	1	0
$\{(12), (23)\}$	2	1

Note that  $\beta_J(\Sigma(W, S)/G) = \beta_{S-J}(\Sigma(W, S)/G) \forall J \subseteq S$ .

# Chapter 3

## P-partitions for other Coxeter groups

### 3.1 Definitions

In this chapter, we generalize some of the theory of  $P$ -partitions (see [St4]) which deals with the symmetric group  $S_n$  to other finite Coxeter groups. We will then use some of these results in Chapters 4 and 5 to prove results about  $\Sigma(W, S)/G$  for some specific classes of subgroups  $G$ . However, this theory has some interest on its own, and we present two applications of it in Section 3.3.

Since many of the results of this chapter are known for the case of  $W = S_n$  (see the Introduction), we will try to “translate” the more general results into these more familiar surroundings whenever possible.

Let  $(W, S)$  be a finite Coxeter system acting as a group generated by reflections on a Euclidean space  $(V, \langle \cdot, \cdot \rangle)$ , with  $\dim_{\mathbf{R}} V = \#S$ . Let  $T$  denote the reflections of  $W$ , i.e. the set of all conjugates in  $W$  of elements of  $S$ .

**Definition:** A *positive root system* realizing  $(W, S)$  is a pair  $(\Phi, \Pi)$  of finite subsets of vectors in  $V$  satisfying

1.  $\Pi$  is a basis for  $V$ .
2.  $\Phi = \Phi^+ \amalg -\Phi^+$  where

$$\Phi^+ = \left\{ \sum c_\alpha \alpha : c_\alpha \in \mathbf{R}, c_\alpha > 0 \right\} \cap \Phi$$

is the set of all vectors in  $\Phi$  which can be written as a positive linear combination of vectors in  $\Pi$ ,

$$-\Phi^+ = \{-\alpha : \alpha \in \Phi^+\}$$

and  $\amalg$  denotes disjoint union.

3.  $S = \{r_\alpha : \alpha \in \Pi\}$  where  $r_\alpha$  denotes the reflection through the hyperplane orthogonal to  $\alpha$ .
4.  $\Phi = W\Pi = \{w\alpha : w \in W, \alpha \in \Pi\}$ .

$\Phi$  is called the set of *roots*,  $\Phi^+$  the *positive roots*,  $-\Phi^+$  the *negative roots*, and  $\Pi$  the *simple roots*. What we call here a positive root system realizing  $(W, S)$  corresponds in the literature to a *root system* realizing  $W$  along with a choice of positive roots consistent with  $S$ . See [Bo], Chapitre VI Section 1 for background, and [Bro], Chapter II, Section 5 for a method of constructing  $(\Phi, \Pi)$  given any finite Coxeter system  $(W, S)$ .

**Example:** Let  $(W, S) = (S_n, \{(12), (23), \dots, (n-1 n)\})$  acting on

$$V = \{(x_1, \dots, x_n) \in \mathbf{R}^n : \sum x_i = 0\}$$

by permuting coordinates. Let

$$\Phi = \{e_i - e_j : 1 \leq i, j \leq n, i \neq j\}$$

where  $e_i$  denotes the  $i^{\text{th}}$  standard basis vector. Let

$$\Phi^+ = \{e_i - e_j : 1 \leq i < j \leq n\}$$

$$\Pi = \{e_i - e_{i+1} : 1 \leq i < n\}.$$

It is easy to check that  $(\Phi, \Pi)$  give a positive root system realizing  $(W, S)$ , which we will call the *standard realization* of  $S_n$ . Whenever we say  $W = S_n$ , we are referring to this realization.

For the remainder of this section,  $(W, S)$  will be a finite Coxeter system, and  $(\Phi, \Pi)$  a positive root system realizing  $(W, S)$ .

**Definition:** A *parset* (*partial root system*) is a subset  $P \subseteq \Phi$  satisfying

1.  $\alpha \in P \Rightarrow -\alpha \notin P$
2. If  $\alpha_1, \alpha_2 \in P$  and  $c_1\alpha_1 + c_2\alpha_2 \in \Phi$  for some  $c_1, c_2 > 0$ , then  $c_1\alpha_1 + c_2\alpha_2 \in P$

The second condition says that  $P$  is closed under the operation of taking positive linear combinations that still lie in  $\Phi$ . We will denote this closure by  $\overline{\cdot}^{PLC}$ , i.e. given  $A \subseteq \Phi$  we let  $\overline{A}^{PLC}$  be the smallest subset of  $\Phi$  which is closed under this operation.

Another way of phrasing conditions 1 and 2 is to say that  $P$  is the intersection of  $\Phi$  with some *pointed cone* in  $V$ .

**Example:** For  $W = S_n$ , a parset  $P$  corresponds to a *labelled poset* on the numbers  $1, 2, \dots, n$  (i.e. a partial order  $\leq_P$  on  $\{1, 2, \dots, n\}$ ) via the identification

$$i <_P j \Leftrightarrow e_i - e_j \in P.$$

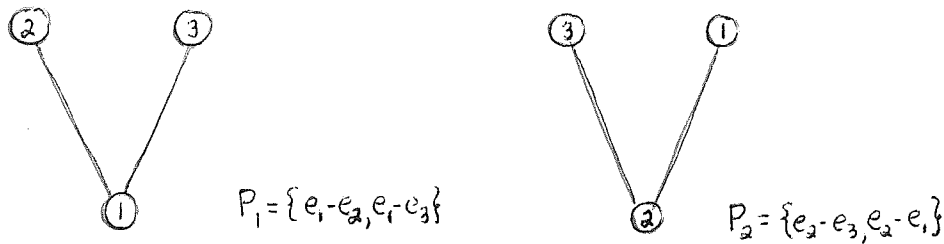


Figure 3-1: Some parsets for  $W = S_n$

Conditions 1 and 2 for a parset correspond to *antisymmetry* and *transitivity* of partial orders (reflexivity is already built-in).

**Definition:** We say 2 parsets  $P_1, P_2$  are *isomorphic* (written  $P_1 \cong P_2$ ) if

$$\exists w \in W \text{ such that } wP_1 = P_2.$$

We say  $P$  is *natural* if  $P \subseteq \Phi^+$ .

**Example:** For  $W = S_n$ , two parsets  $P_1, P_2$  are isomorphic if their underlying partial orders (ignoring labels) are isomorphic, i.e.

$$\exists \phi : P_1 \rightarrow P_2 \text{ such that } i <_{P_1} j \Leftrightarrow \phi(i) <_{P_2} \phi(j).$$

In Figure 1,  $P_1 \cong P_2$ , and  $P_1$  is natural, but  $P_2$  is not.

**Definition:** A vector  $f \in V$  is a  $P$ -*partition* if

$$\langle \alpha, f \rangle \geq 0 \quad \forall \alpha \in P \text{ and}$$

$$\langle \alpha, f \rangle > 0 \quad \forall \alpha \in P \cap -\Phi^+.$$

We denote by  $\mathcal{A}(P)$  the set of all  $P$ -partitions.

**Example:** For  $W = S_n$ , a  $P$ -partition is a vector  $f = (f(1), \dots, f(n)) \in \mathbf{R}^n$  satisfying

$$f(i) \geq f(j) \text{ if } i <_P j \text{ and } f(i) > f(j) \text{ if } i <_P j \text{ and } i > j$$

along with the extra condition  $\sum f(i) = 0$ . This extra condition makes our notion slightly (but trivially) different from the usual one given in [St4]. An example of  $\mathcal{A}(P)$  for a particular  $P$  is shown in Figure 2.

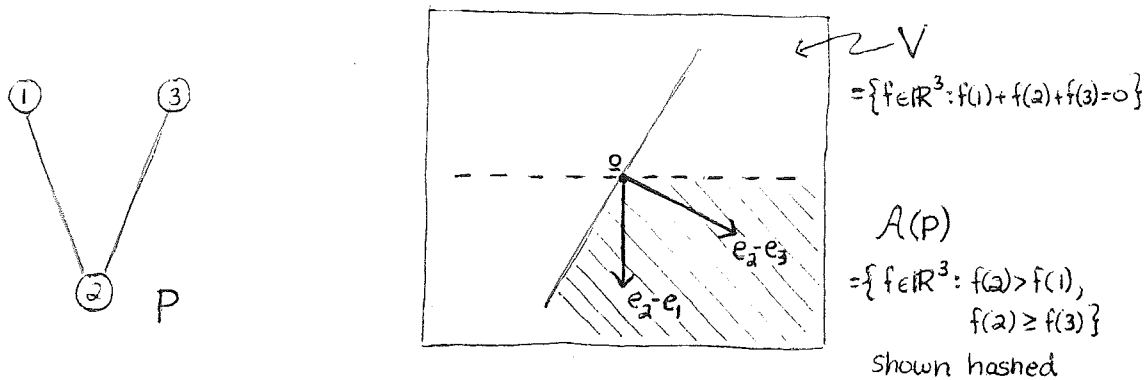


Figure 3-2: An example of  $\mathcal{A}(P)$

**Definition:** The *Jordan-Hölder set* of  $P$ , denoted  $\mathcal{L}(P)$ , is the set  $\{w \in W : P \subseteq w\Phi^+\}$ . Note that  $w\Phi^+$  is a parset, and we say  $f \in V$  is *w-compatible* if  $f \in \mathcal{A}(w\Phi^+)$ .

Given  $w \in W$ , we define its (left) *inversion set*  $I(w)$  and its (right) *descent set*  $D(w)$  by

$$I(w) = \Phi^+ \cap w(-\Phi^+)$$

$$D(w) = \Pi \cap w^{-1}(-\Phi^+) = \Pi \cap I(w^{-1})$$

We will also think of  $I(w)$  as the subset  $\{r_\alpha : \alpha \in I(w)\}$  of  $T$ , and  $D(w)$  as the subset  $\{r_\alpha : \alpha \in D(w)\}$  of  $S$ , i.e. we identify a positive root  $\alpha$  with the reflection  $r_\alpha$  through the hyperplane orthogonal to  $\alpha$ . We hope that it will be clear from context which we mean.

Note that since  $\Phi^+ = \overline{\Pi}^{PLC}$ , we have that

$$f \text{ is } w\text{-compatible} \Leftrightarrow \begin{aligned} &\langle \alpha, f \rangle \geq 0 \quad \forall \alpha \in w\Phi^+ \text{ and} \\ &\langle \alpha, f \rangle > 0 \quad \forall \alpha \in w\Phi^+ \cap -\Phi^+ \end{aligned}$$

$$\Leftrightarrow \begin{aligned} &\langle \alpha, w^{-1}f \rangle \geq 0 \quad \forall \alpha \in \Phi^+ \text{ and} \\ &\langle \alpha, w^{-1}f \rangle > 0 \quad \forall \alpha \in \Phi^+ \cap w^{-1}(-\Phi^+) = I(w^{-1}) \end{aligned}$$

$$\Leftrightarrow \begin{aligned} &\langle \alpha, w^{-1}f \rangle \geq 0 \quad \forall \alpha \in \Pi \text{ and} \\ &\langle \alpha, w^{-1}f \rangle > 0 \quad \forall \alpha \in \Pi \cap w^{-1}(-\Phi^+) = D(w) \end{aligned}$$

In other words, in order to check if  $f$  is  $w$ -compatible, we only need to look at  $\langle \alpha, w^{-1}f \rangle \forall \alpha \in \Pi$ , rather than looking at  $\langle \alpha, f \rangle \forall \alpha \in w\Phi^+$

**Example:** For  $W = S_n$ ,  $\mathcal{L}(P)$  is the set of permutations  $\sigma = (\sigma_1 \cdots \sigma_n)$  such that the total order  $\sigma_1 < \cdots < \sigma_n$  is an extension of  $P$ . We have

$$I(\sigma) = \{(ij) : 1 \leq i < j \leq n \text{ and } \sigma^{-1}(i) < \sigma^{-1}(j)\}$$



and

$$D(\sigma) = \{(i, i+1) : 1 \leq i < n \text{ and } \sigma_i > \sigma_{i+1}\}.$$

We also have that  $f$  is  $\sigma$ -compatible exactly when  $f$  is a  $P$ -partition for the total order given by

$$\sigma_1 < \sigma_2 < \cdots < \sigma_n,$$

i.e. when we have  $f(\sigma_1) \geq \dots \geq f(\sigma_n)$  and  $f(\sigma_i) > f(\sigma_{i+1})$  for  $(i, i+1) \in D(\sigma)$ .

For example, if  $P$  is the parset in Figure 2, then  $\mathcal{L}(P) = \left\{ \begin{pmatrix} 123 \\ 213 \end{pmatrix}, \begin{pmatrix} 123 \\ 231 \end{pmatrix} \right\}$ . If  $\sigma = \begin{pmatrix} 123 \\ 213 \end{pmatrix}$ , then  $I(\sigma) = D(\sigma) = \{(12)\}$ , and  $f$  is  $\sigma$ -compatible if  $f(2) > f(1) \geq f(3)$ .

We come now to the first (and central) result about  $P$ -partitions.

**Proposition 3.1.1**

$$\mathcal{A}(P) = \coprod_{w \in \mathcal{L}(P)} \mathcal{A}(w\Phi^+)$$

Proof (cf. [Ge2], Theorem 1): We use induction on  $t = \#\{\alpha \in \Phi^+ : \alpha \notin P, -\alpha \notin P\}$ .

*Case 1:  $t = 0$ .* We want to show that  $\mathcal{A}(P) = \mathcal{A}(w\Phi^+)$  for some  $w \in \mathcal{L}(P)$ , so it would suffice to show that  $P = w\Phi^+$  for some  $w \in W$ . Since  $t = 0$  implies  $\Phi = P \amalg -P$ , and  $P = \overline{P}^{PLC}$ , we conclude that  $P$  forms an alternative set of positive roots for  $\Phi$  (this is essentially the content of [Bo], Chapitre VI, Section 1, No. 7). Since  $W$  acts transitively on all possible sets of positive roots, we have  $P = w\Phi^+$  for some  $w \in W$ .

*Case 2:  $t > 0$ .* Assume  $\alpha, -\alpha \notin P$ , and let  $P_\alpha = \overline{P \cup \{\alpha\}}^{PLC}$ . We claim  $P_\alpha$  is a parset, i.e. it also satisfies the first condition for being a parset. To see this, suppose not, i.e. let  $\beta, -\beta \in P_\alpha$ . Then we must have

$$\beta = a\alpha + \sum a_i \alpha_i$$

$$-\beta = b\alpha + \sum b_i \alpha_i$$

for some  $a_i, b_i \geq 0$ ,  $a, b > 0$ , and  $\alpha_i \in P$ . Adding these equations, and dividing by  $a + b$  yields

$$-\alpha = \sum \frac{1}{a+b} (a_i + b_i) \alpha_i$$

and hence  $-\alpha \in P$ , a contradiction. Similarly we can form the parset  $P_{-\alpha}$ . We then have

$$\mathcal{A}(P) = \mathcal{A}(P_\alpha) \amalg \mathcal{A}(P_{-\alpha})$$

$$\mathcal{L}(P) = \mathcal{L}(P_\alpha) \amalg \mathcal{L}(P_{-\alpha}).$$

The first equality holds because any  $f \in \mathcal{A}(P)$  either satisfies  $\langle \alpha, f \rangle \geq 0$  or  $\langle -\alpha, f \rangle > 0$ . The second equality holds because any  $w \in \mathcal{L}(P)$  either satisfies  $\alpha \in w\Phi^+$  or  $-\alpha \in w\Phi^+$ . Thus by induction on  $t$ , we are done. ■

An example is shown in Figure 3.

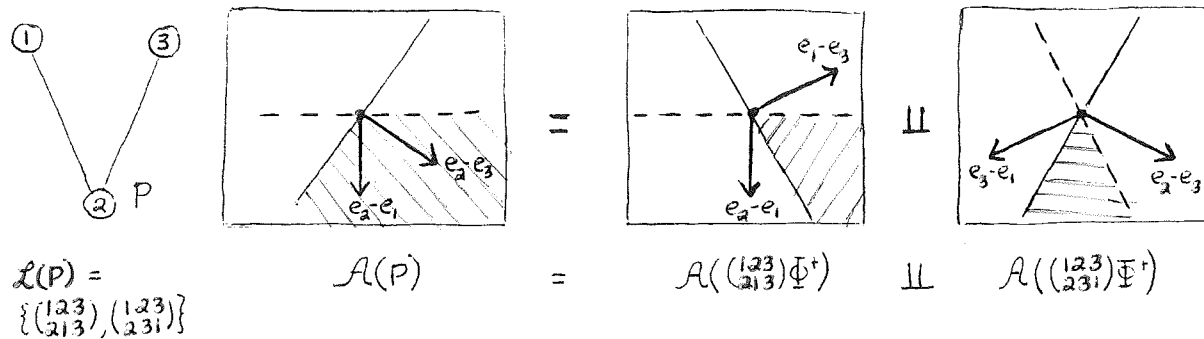


Figure 3-3: An example of the central result on  $P$ -partitions

### 3.2 $P$ -partitions and $\Sigma(W, S)$

We come now to the main link (Lemma 3.2.1) between  $P$ -partitions and Coxeter complexes. The theorems of this section are known (see [Bj4], Section 2, [GS], Sections 7,8), however our method of proof is slightly different, and will form the prototype for the results in Section 3.4 and Chapters 4 and 5.

**Definition:** The *fundamental (Weyl) chamber*  $\mathcal{C}$  is the set  $\mathcal{A}(\Phi^+) \subseteq V$ , that is all vectors  $f \in V$  satisfying  $\langle \alpha, f \rangle \geq 0 \forall \alpha \in \Phi^+$  (or alternatively,  $\langle \alpha, f \rangle \geq 0 \forall \alpha \in \Pi$ ). Given  $f \in V$ , let

$$F(f) = \{w \in W : w^{-1}(f) \in \mathcal{C}\}.$$

We note two important facts about  $F(f)$  and  $\mathcal{C}$  (see [Bro], Chapter I, Theorem 5F):

1. For  $f \in \mathcal{C}$ ,  $F(f) = W_J$  where  $J = \{r_\alpha : \alpha \in \Pi, \langle \alpha, f \rangle = 0\}$
2. Every  $f \in V$  has a unique translate  $w(f) \in \mathcal{C}$ .

Hence, in general we know that  $F(f) = wW_J$  for some  $w \in W$  and  $J \subseteq S$ , i.e.  $F(f)$  always corresponds to a face of  $\Sigma(W, S)$ .

**Example:** For  $W = S_n$ ,  $\mathcal{C}$  is the set of all  $f$  satisfying  $f(1) \geq \dots \geq f(n)$ . The first fact above says that if  $f(i) = f(i+1)$ , then we can permute the coordinates  $i, i+1$  and  $f$  will remain in  $\mathcal{C}$ . E.g. if  $f = (3, 2, 2, 1, 1, 1) \in \mathcal{C}$  then so is  $w(f) \in \mathcal{C}$  whenever  $w \in W_{\{(23), (45), (56)\}}$ . The second fact above says that there is a unique permutation of the coordinates of  $f$  into (weakly) decreasing order.

The next lemma establishes the fundamental link between  $P$ -partitions and the Coxeter complex  $\Sigma(W, S)$ , and will be used frequently in our analysis.

**Lemma 3.2.1** For all  $f \in V$ , we have

$$f \in \mathcal{A}(w\Phi^+) \Leftrightarrow wW_\emptyset \subseteq F(f) \subseteq wW_{S-D(w)}$$

and hence for all  $g \in W$ , we have

$$g(f) \in \mathcal{A}(w\Phi^+) \Leftrightarrow g^{-1}wW_\emptyset \subseteq F(f) \subseteq g^{-1}wW_{S-D(w)}$$

Proof: We have

$$\begin{aligned} f \in \mathcal{A}(w\Phi^+) &\Leftrightarrow \langle \alpha, w^{-1}(f) \rangle \geq 0 \quad \forall \alpha \in \Pi \text{ and } \langle \alpha, w^{-1}(f) \rangle > 0 \quad \forall \alpha \in D(w) \\ &\Leftrightarrow w \in F(f) \text{ and } \langle -\alpha, w^{-1}f \rangle < 0 \quad \forall \alpha \in D(w) \\ &\Leftrightarrow w \in F(f) \text{ and } \langle r_\alpha(\alpha), w^{-1}(f) \rangle < 0 \quad \forall \alpha \in D(w) \\ &\Leftrightarrow w \in F(f) \text{ and } \langle \alpha, r_\alpha w^{-1}(f) \rangle < 0 \quad \forall \alpha \in D(w) \\ &\Leftrightarrow w \in F(f) \text{ but } wr_\alpha \notin F(f) \quad \forall \alpha \in D(w) \\ &\Leftrightarrow wW_\emptyset \subseteq F(f) \subseteq wW_{S-D(w)}. \end{aligned}$$

This proves the first assertion. The second follows from the first along with the simple observation that  $F(g(f)) = gF(f)$ . ■

The previous lemma reflects the following fact, which is tedious but straightforward to verify. Given a face  $F = wW_J$  of  $\Sigma(W, S)$ , define the set

$$V(F) = \{f \in V : F(f) = F\}.$$

Then  $V(F) \cap \mathbf{S}^{\#S-1}$  is exactly the open cell in the decomposition of the unit sphere  $\mathbf{S}^{\#S-1}$  corresponding to  $F$  (from the “informal” definition of  $\Sigma(W, S)$ ). In fact, our philosophy is to “think of” the face  $F = wW_J$  as the same as the open cell  $V(F)$ . We then analyze the  $W$ -action on  $V$  (i.e. find a fundamental domain in  $V$  for the action of  $G$ ), and use the previous lemma to translate this into a statement about  $\Sigma(W, S)/G$ .

Our next theorem exemplifies this philosophy. But first, a definition.

**Definition:** A *partitioning* or *ER-decomposition* of a simplicial poset  $P$  is an expression

$$P = \coprod_{i=1}^t [F_i, M_i]$$

where for each  $i$ ,  $M_i$  is a facet of  $P$ ,  $F_i$  is a face of  $M_i$ , and  $[F_i, M_i] = \{F \in P : F_i \leq F \leq M_i\}$ .

**Theorem 3.2.2**  $\Sigma(W, S)$  is partitionable as

$$\Sigma(W, S) = \coprod_{w \in W} [wW_{S-D(w)}, wW_\emptyset]$$

Proof: Applying Proposition 3.1.1 to the empty parset  $P = \emptyset$ , we get

$$\begin{aligned} V &= \mathcal{A}(\emptyset) \\ &= \coprod_{w \in \mathcal{L}(\emptyset)} \mathcal{A}(w\Phi^+) \end{aligned}$$

$$= \coprod_{w \in W} \mathcal{A}(w\Phi^+)$$

If we now apply the operation  $f \mapsto F(f)$  to both ends of the above equation, we get

$$\bigcup_{f \in V} F(f) = \bigcup_{w \in W} \bigcup_{f \in \mathcal{A}(w\Phi^+)} F(f)$$

In light of Lemma 3.2.1 (and the easy-to-check fact that every face  $F \in \Sigma(W, S)$  is  $F(f)$  for some  $f \in V$ ), this gives

$$\Sigma(W, S) = \coprod_{w \in W} [wW_{S-D(w)}, wW_\emptyset]$$

as we wanted. ■

One by-product of a partitioning for a balanced simplicial poset  $P$  is another interpretation of  $\beta_J(P)$ .

**Proposition 3.2.3** *If  $P = \coprod_{i=1}^t [F_i, M_i]$  is a partitioning, then*

$$\beta_J(P) = \#\{i : \text{type}(F_i) = J\}$$

Proof: Given  $J \subseteq P$ , there is exactly one face of type  $J$  in each interval  $[F_i, M_i]$  with  $\text{type}(F_i) \subseteq J$ . Thus we have

$$\alpha_J(P) = \#\{i : \text{type}(F_i) \subseteq J\}$$

Since

$$\beta_J(P) = \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_K(P)$$

the result then follows by inclusion-exclusion. ■

**Corollary 3.2.4**

$$\beta_J(\Sigma(W, S)) = \#\{w \in W : D(w) = J\}. \blacksquare$$

An important subclass of the partitionable simplicial posets are those that are *shellable*.

**Definition:** A *shelling* of a simplicial poset  $P$  is a partitioning  $P = \coprod_{i=1}^t [F_i, M_i]$  with the extra condition that  $F_i \leq M_j \Rightarrow i \leq j$  (see [Bj4], Proposition 1.2 for the equivalence of this to other definitions of shellings).

Shellability of  $P$  has strong consequences for the topology of  $P$  and ring theory of  $k[P]$ . In particular, if  $P$  is shellable, then it is *CM*/ $k$  for *all* fields  $k$  (see [Bj3] for more on shellability).

In order to shell  $\Sigma(W, S)$ , we require a bit more technology.

**Definition:** The *length* of an element  $w \in W$  is defined by

$$l(w) = \min\{r : w = s_1 s_2 \cdots s_r \text{ for some } s_i \in S\}.$$

The (*right*) *weak order*  $<_R$  is defined to be the transitive closure of the relations  $w <_R ws$  if  $w \in W, s \in S$  and  $l(w) < l(ws)$ . We note some well-known facts about  $l$  and  $<_R$ :

1.  $I(w) = \{t \in T : l(tw) < l(w)\}$  and hence  $D(w) = \{s \in S : l(ws) < l(w)\}$  (see [Bo], Chapitre IV, Section 1, Remark after Lemme 3).
2.  $l(w) = \#I(w)$  (see [Bo], Chapitre IV, Section 1, Lemme 2).
3. Given  $wW_J$ , there is a unique element denoted  $\pi^J(w)$  in the coset  $wW_J$  satisfying  $D(\pi^J(w)) \subseteq S - J$ . We also have  $\pi^J(w) \leq_R u \forall u \in wW_J$  (see [Bo], Chapitre IV, Section 1, Exercice 3, [Bj4], Introduction to Section 2).

**Example:** For  $W = S_n$ ,

$$l(\sigma) = \#\{(i, j) : 1 \leq i < j \leq n, \sigma_i > \sigma_j\}$$

(the number of *inversions* of  $\sigma$ ). We have  $\sigma \leq_R \tau$  if one can get from  $\sigma = \begin{pmatrix} 1 & \cdots & n \\ \sigma_1 & \cdots & \sigma_n \end{pmatrix}$  to  $\tau$  by a sequence of exchanges of  $\sigma_i, \sigma_{i+1}$  with  $\sigma_i < \sigma_{i+1}$ . Given  $\sigma$  and  $J$ ,  $\pi^J(\sigma)$  is the permutation obtained from  $\sigma$  by arranging the  $\sigma_i$  to be ascending in the places permuted by  $W_J$ . For example, letting  $\tau = \begin{pmatrix} 1234 \\ 3142 \end{pmatrix}$  we have  $l(\tau) = 3$ , and for  $J = \{(12), (34)\}$  we have  $\pi^J(\tau) = \begin{pmatrix} 1234 \\ 1324 \end{pmatrix}$ .

**Theorem 3.2.5** ([Bj4], Theorem 2.1, [GS], Theorem 8.6)

$$\Sigma(W, S) = \coprod_{w \in W} [wW_{S-D(w)}, wW_\emptyset]$$

is a *shelling* if we order  $\{wW_\emptyset\}_{w \in W}$  by any linear extension of  $<_R$ .

**Proof:** Let  $w_1, w_2, \dots, w_i$  be such an order. Since Theorem 3.2.2 already asserts that we have a partitioning, we only need to show that

$$w_i W_{S-D(w_i)} \leq w_j W_\emptyset \Rightarrow i \leq j$$

But we have

$$\begin{aligned} w_i W_{S-D(w_i)} \leq w_j W_\emptyset &\Leftrightarrow w_j \in w_i W_{S-D(w_i)} \\ &\Leftrightarrow \pi^{S-D(w_i)}(w_j) = w_i \\ &\Rightarrow w_i \leq_R w_j \\ &\Rightarrow i \leq j \end{aligned}$$

as desired. ■

### 3.3 Two applications

In this section we explore two immediate applications of the theory of  $P$ -partitions that are only indirectly related to the quotients  $\Sigma(W, S)/G$ . But first we need to discuss one more object  $\Sigma_P$ , which will resurface in Chapters 6 and 7.

**Definition:** Let  $(W, S)$  be finite Coxeter system, and  $P$  a  $(W, S)$ -parset. We define the subposet  $\Sigma_P \subseteq \Sigma(W, S)$  by

$$\Sigma_P = \{F \in \Sigma(W, S) : F = F(f) \text{ for some } f \in \mathcal{A}(P)\}$$

Repeating the proof of Theorem 3.2.2 with  $P$  in place of the empty parset  $\emptyset$  immediately yields

**Proposition 3.3.1**

$$\Sigma_P = \coprod_{w \in \mathcal{L}(P)} [wW_{S-D(w)}, wW_\emptyset]. \blacksquare$$

Although  $\Sigma_P$  is only a subposet of  $\Sigma(W, S)$  and not necessarily a simplicial poset, we may still define

$$\alpha_J(\Sigma_P) = \#\{F \in \Sigma(W, S) : \text{type}(F) = J\}$$

and

$$\beta_J(\Sigma_P) = \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_J(\Sigma_P)$$

as before. As in the preceding section, we conclude

**Corollary 3.3.2**

$$\beta_J(\Sigma_P) = \#\{w \in \mathcal{L}(P) : D(w) = J\}. \blacksquare$$

The following observation is the key to both applications.

**Proposition 3.3.3** *Let  $P_i = \overline{A_i}^{PLC}$  for  $i = 1, 2$  be two parsets, and suppose  $wA_1 = A_2$  and  $w(A_1 \cap -\Phi^+) = A_2 \cap -\Phi^+$  for some  $w \in W$ . Then*

1.  $w\mathcal{A}(P_1) = \mathcal{A}(P_2)$  and
2.  $\beta_J(\Sigma_P) = \beta_J(\Sigma_{P'}) \forall J \subseteq S$

Proof: To prove 1, we claim that for  $i = 1, 2$  we have

$$f \in \mathcal{A}(P_i) \Leftrightarrow \langle \alpha, f \rangle \geq 0 \forall \alpha \in A_i \text{ and } \langle \alpha, f \rangle > 0 \forall \alpha \in A_i \cap -\Phi^+.$$

To see this note that the right implication is obvious, and the only non-trivial part of the left implication is checking that the left side implies

$$\langle \alpha, f \rangle > 0 \quad \forall \alpha \in P_i \cap -\Phi^+.$$

To see this, assume  $\alpha \in P_i \cap -\Phi^+$ , so that we can write  $\alpha = \sum c_j \beta_j$  for some  $c_j > 0$  and  $\beta_j \in A_i$ . Now if  $\beta_j \in \Phi^+ \quad \forall j$ , then we reach the contradiction that  $\alpha \in \Phi^+$ . Hence  $\beta_j \in A_i \cap -\Phi^+$  for some  $j_0$ , and thus

$$\langle \alpha, f \rangle = c_{j_0} \langle \beta_{j_0}, f \rangle + \sum_{j \neq j_0} c_j \langle \beta_j, f \rangle > 0$$

Given this claim, the fact that  $w\mathcal{A}(P_1) = \mathcal{A}(P_2)$  follows directly from our hypotheses. To prove 2, we deduce from 1 that  $w\Sigma_{P_1} = \Sigma_{P_2}$ , and since the action of  $w$  is type-preserving, that

$$\alpha_J(\Sigma_{P_1}) = \alpha_J(\Sigma_{P_2}) \quad \forall J \subseteq S$$

Then 2 follows immediately. ■

Our first application is a result of Moszkowski, which generalizes a result of Solomon.

**Theorem 3.3.4** *Let  $J' \subseteq J \subseteq \Pi$  and  $K' \subseteq K \subseteq \Phi$ . Then for a given  $w \in W$ ,*

$$\#\{(u, v) \in W^2 : D(u) \cap J = J', I(v^{-1}) \cap K = K', \text{ and } uv = w\}$$

*depends only on  $I(w^{-1}) \cap K$ .*

Proof: Given  $w \in W$ , define a parset  $P_w = \overline{w(K - K')}^{PLC}$  and notice that

$$\begin{aligned} \beta_J(\Sigma_{P_w}) &= \#\{u \in \mathcal{L}(P_w) : D(u) = J\} \\ &= \#\{u \in W : P_w \subseteq u\Phi^+, D(u) = J\} \\ &= \#\{u \in W : w(K - K') \subseteq u\Phi^+, D(u) = J\} \\ &= \#\{u \in W : u^{-1}w(K - K') \subseteq \Phi^+, D(u) = J\} \\ &= \#\{u \in W : I(u^{-1}w) \cap K \subseteq K', D(u) = J\} \\ &= \#\{(u, v) \in W^2 : I(v^{-1}) \cap K \subseteq K', D(u) = J, \text{ and } uv = w\} \end{aligned}$$

Thus, by inclusion-exclusion on the set  $K$ , it would suffice to show that  $\beta_J(\Sigma_{P_w})$  depends only on  $I(w^{-1}) \cap K$ . So suppose  $w, w' \in W$  satisfy  $I(w^{-1}) \cap K = I(w'^{-1}) \cap K$ . We can apply the previous proposition, once we note that  $w'w^{-1} \cdot w(K - K') = w'(K - K')$  and

$$\begin{aligned} w'w^{-1} \cdot (w(K - K') \cap -\Phi^+) &= w'((K - K') \cap I(w^{-1})) \\ &= w'((K - K') \cap I(w'^{-1})) \\ &= w'(K - K') \cap -\Phi^+ \end{aligned}$$

where the second equality follows from our supposition. ■

**Corollary 3.3.5** ([Mo], Théorème 1, cf. [So2], Theorem 1) *Given  $K' \subseteq K \subseteq \Phi^+$ , let  $X_{K'}^K$  denote the formal sum*

$$X_{K'}^K = \sum_{\substack{w \in W \\ I(w^{-1}) \cap K = K'}} w$$

as an element of the group ring  $\mathbf{Z}W$  of  $W$ . Then

1.  $\forall J \subseteq \Pi$ ,  $\{X_{J'}^J\}_{J' \subseteq J}$  span a subring  $\mathcal{S}_J$  of  $\mathbf{Z}W$  ( $\mathcal{S}_\Pi$  is actually a ring with unit and is sometimes called the Solomon algebra or descent algebra of  $W$ ).
2.  $\forall K \subseteq \Phi^+$ ,  $\{X_{K'}^K\}_{K' \subseteq K}$  span an  $\mathcal{S}_J$ -submodule of  $\mathbf{Z}W$  for each  $J \subseteq \Pi$ .

Proof: We only need to show that  $\forall J' \subseteq J \subseteq \Pi$ , and  $K' \subseteq K \subseteq \Phi^+$ , we have that  $X_{J'}^J X_{K'}^K$  is in the  $\mathbf{Z}$ -span of  $\{X_{K''}^K\}_{K'' \subseteq K}$ . We have

$$\begin{aligned} X_{J'}^J X_{K'}^K &= \sum_{\substack{(u,v) \in W^2 \\ D(u) \cap J = J', I(v^{-1}) \cap K = K'}} uv \\ &= \sum_{w \in W} w \cdot \#\{(u,v) \in W^2 : D(u) \cap J = J', I(v^{-1}) \cap K = K', uv = w\} \\ &= \sum_{K'' \subseteq K} \sum_{\substack{w \in W \\ I(w^{-1}) \cap K = K''}} w \cdot c(J, J', K, K', K'') \end{aligned}$$

Where

$$c(J, J', K, K', K'') = \#\{(u,v) \in W^2 : D(u) \cap J = J', I(v^{-1}) \cap K = K', uv = w\}$$

is a constant whose existence is guaranteed by the previous theorem. Hence we have

$$\begin{aligned} X_{J'}^J X_{K'}^K &= \sum_{K'' \subseteq K} c(J, J', K, K', K'') \sum_{\substack{w \in W \\ I(w^{-1}) \cap K = K''}} w \\ &= \sum_{K'' \subseteq K} c(J, J', K, K', K'') X_{K''}^K \end{aligned}$$

which is in the  $\mathbf{Z}$ -span of  $\#\{X_{K''}^K\}_{K'' \subseteq K}$ , as we wanted. ■

**Remark:** Moszkowski and Solomon actually do more. They give interpretations for  $c(J, J', K, K', K'')$  as cardinalities related to certain subgroups of  $W$ .

For our second application, we need to translate some of the combinatorics of words into the language of Coxeter groups.



**Definition:** Given a permutation  $\sigma = (\begin{smallmatrix} 1 & \dots & n \\ \sigma_1 & \dots & \sigma_n \end{smallmatrix}) \in S_n$ , we will say a word  $\omega$  on letters  $\{1, 2, \dots, n\}$  is a *subword* of  $\sigma$  if  $\omega = \sigma_{i_1} \dots \sigma_{i_k}$  for some  $1 \leq i_1 < \dots < i_k \leq n$ . We will say  $\omega$  has *signature*  $\mathcal{D}(\omega) = \{i : \omega_i > \omega_{i+1}\}$ . For example,  $\sigma = (\begin{smallmatrix} 123456 \\ 341265 \end{smallmatrix})$  contains the subword  $\omega = 416$ , and we have  $\mathcal{D}(\omega) = \{1\}$ . Note that when we think of the permutation  $\sigma$  as a word, specifying its signature  $\mathcal{D}(\sigma)$  is the same as specifying its descent set  $D(\sigma)$ .

Our goal is to prove a generalization of the following theorem of Kreweras and Moszkowski:

**Theorem 3.3.6 ([KM], Théorème 3)** *Fix a word  $\omega$  of length  $k$  using letters from  $\{1, 2, \dots, n\}$  at most once, and also fix  $J \subseteq \{1, 2, \dots, n-1\}$ . Then among all permutations  $\sigma \in S_n$  with  $\mathcal{D}(\sigma) = J$ , the number which contain  $\omega$  as a subword depends only on  $\mathcal{D}(\omega)$ .*

Our first task is to generalize the notion of “subwords” from  $S_n$  to other Coxeter groups.

**Definition:** A subgroup  $W' \subseteq W$  is a *reflection subgroup* if  $W'$  is generated by the reflections it contains, i.e.  $W' = \langle W' \cap T \rangle$ . Reflection subgroups  $W'$  share many of the properties enjoyed by parabolic subgroups  $W_J$  (see the Appendix). Among them is the following (Appendix, Proposition A.0.10): any  $w \in W$  can be factored uniquely as a product  $w = uv$  with  $u \in W'$  and  $I(v) \cap W' = \emptyset$ . In this case, we say  $u = \pi_{W'}(w)$ .

**Example:** For  $W = S_n$ , a reflection subgroup corresponds to some partition of  $\{1, 2, \dots, n\}$  into blocks, and consists of all permutations of elements *within the same block*. For example

$$W' = S_{\{1,4,6\}} \times S_{\{2,3\}} \times S_{\{5\}}$$

is a reflection subgroup of  $S_6$  (where  $S_{\{1,4,6\}}$  is the subgroup permuting 1, 4, 6 while fixing 2, 3, 5), but the cyclic subgroup  $\langle (\begin{smallmatrix} 123 \\ 231 \end{smallmatrix}) \rangle$  is *not* a reflection subgroup. Given  $\sigma \in S_n$  and  $W'$ , we can factor it into  $\sigma = \pi_{W'}(\sigma)v$  as follows:  $v$  is obtained from  $\sigma$  by rearranging the numbers in each block ( $W'$ -orbit) of the partition to be in increasing order in the word  $\sigma_1 \dots \sigma_n$ , and  $\pi_{W'}(\sigma)$  is obtained by making the numbers in each block appear in the same order as they do in  $\sigma_1 \dots \sigma_n$ , but subject to the constraint that  $\pi_{W'}(\sigma) \in W'$ . For example if

$$W' = S_{\{1,4,6\}} \times S_{\{2,3\}} \times S_{\{5\}} \text{ and } \sigma = \begin{pmatrix} 123456 \\ 341265 \end{pmatrix},$$

then  $\sigma = \pi_{W'}(\sigma)v$  where

$$\pi_{W'}(\sigma) = \begin{pmatrix} 123456 \\ 432156 \end{pmatrix} \text{ and } v = \begin{pmatrix} 123456 \\ 214365 \end{pmatrix}.$$

Key point: when the partition of  $\{1, 2, \dots, n\}$  corresponding to  $W'$  has only one non-singleton block  $\{i_1, \dots, i_k\}$ , then the map  $\pi_{W'} : S_n \rightarrow W'$  can be thought of as mapping  $\sigma$  to its *subword*  $\omega$  on letters  $\{i_1, \dots, i_k\}$ . Thus we have a way of thinking of subwords in terms of Coxeter group notions.

Having generalized subwords, we need a notion of when two subwords have the same “signature”.

**Definition:** If  $W'$  is a reflection subgroup of  $W$ , then  $W'$  is a Coxeter group in its own right. In fact, if we define  $\Phi_{W'}^+ = \{\alpha \in \Phi^+ : r_\alpha \in W'\}$ , then it is possible to choose  $\Pi_{W'} \subseteq \Phi_{W'}^+$  and  $S' = \{r_\alpha : \alpha \in \Pi_{W'}\}$  so that  $(W', S')$  is a Coxeter system (Appendix Proposition A.0.9). Then for  $w \in W'$  we let  $D'(w) = \Pi_{W'} \cap w^{-1}(-\Phi_{W'}^+)$ .

**Example:** Let  $W = S_n$  and  $W'$  a reflection subgroup corresponding to the partition of  $\{1, 2, \dots, n\}$  into blocks  $B_i$ . Choose  $\Pi_{W'}$  as follows: for each block  $B_i = \{i_1, \dots, i_k\}$  with  $i_1 < \dots < i_k$ , we include

$$\{e_{i_1} - e_{i_2}, e_{i_2} - e_{i_3}, \dots, e_{i_{k-1}} - e_{i_k}\}$$

in  $\Pi_{W'}$ . A moment's thought shows that if there is only one non-singleton block  $B_i$ , and if we think of  $\pi_{W'}(\sigma)$  as a subword  $\omega$  of  $\sigma$  on the letters in this block, then  $D'(\pi_{W'}(\sigma))$  exactly encodes the same information as the signature  $\mathcal{D}(\omega)$ . For example, let  $\sigma = \begin{pmatrix} 123456 \\ 341265 \end{pmatrix}$  and  $W' = S_{\{1,4,6\}}$ . Then  $\pi_{W'}(\sigma) = \begin{pmatrix} 123456 \\ 423156 \end{pmatrix}$  has  $D'(\pi_{W'}(\sigma)) = \{e_1 - e_4\}$ , while  $\omega = 416$  has  $\mathcal{D}(\omega) = \{1\}$ .

We can now prove our generalization of Theorem 3.3.6

**Theorem 3.3.7** *Let  $W', W''$  be two reflection subgroups of  $W$ , with  $w\Pi_{W'} = \Pi_{W''}$  for some  $w \in W$ , and fix  $J \subseteq S$ . If  $w' \in W', w'' \in W''$  have  $wD'(w') = D''(w'')$  (i.e.  $w'$  and  $w''$  “have the same signature”), then*

$$\#\{u \in W : D(u) = J, \pi_{W'}(u) = w'\} = \#\{u \in W : D(u) = J, \pi_{W''}(u) = w''\}.$$

Proof: Define two parsets  $P_{w'}, P_{w''}$  by  $\overline{w'(\Pi_{W'}^+)^{PLC}}, \overline{w''(\Phi_{W''}^+)^{PLC}}$  respectively. Notice that

$$\begin{aligned} \beta_J(\Sigma_{P_{w'}}) &= \#\{u \in \mathcal{L}(P_{w'}) : D(u) = J\} \\ &= \#\{u \in W : P_{w'} \subseteq u\Phi^+, D(u) = J\} \\ &= \#\{u \in W : w'(\overline{\Phi_{W'}^+}^{PLC}) \subseteq u\Phi^+, D(u) = J\} \\ &= \#\{u \in W : u^{-1}w'(\overline{\Phi_{W'}^+}^{PLC}) \subseteq \Phi^+, D(u) = J\} \\ &= \#\{u \in W : I(u^{-1}w') \cap W' = \emptyset \subseteq \Phi^+, D(u) = J\} \\ &= \#\{u \in W : D(u) = J, \pi_{W'}(u) = w'\} \end{aligned}$$

and similarly for  $w''$ , so we need to show that  $\beta_J(\Sigma_{P_{w'}}) = \beta_J(\Sigma_{P_{w''}})$ . As in the previous application, we can apply Proposition 3.3.3, once we note that  $w''ww'^{-1} \cdot w'\Pi_{W'} = w''\Pi_{W''}$  and

$$\begin{aligned}
w''w\omega'^{-1}(w'\Pi_{W'} \cap -\Phi^+) &= w''w(\Pi_{W'} \cap w'^{-1}(-\Phi^+)) \\
&= w''wD'(w') \\
&= w''D''(w'') \\
&= w''\Pi_{W''} \cap -\Phi^+
\end{aligned}$$

where the second equality comes from our hypotheses. ■

**Corollary 3.3.8** *Theorem 3.3.6 holds.*

Proof: Given two words  $\omega', \omega''$  with  $\mathcal{D}(\omega') = \mathcal{D}(\omega'')$  and  $J \subseteq S$  fixed, we want to show that among all permutations having descent set  $J$ , the number having  $\omega'$  as a subword is the same as the number having  $\omega''$  as a subword. We want to apply the previous theorem with  $W = S_n$ , and  $W', W''$  equal to the subgroups which permute the letters occurring in  $\omega', \omega''$  respectively. If these sets of letters are  $L' = \{i'_1, \dots, i'_k\}, L'' = \{i''_1, \dots, i''_k\}$  with  $i'_1 < \dots < i'_k$  and  $i''_1 < \dots < i''_k$ , then we choose

$$\Pi_{W'} = \{e_{i'_1} - e_{i'_2}, e_{i'_2} - e_{i'_3}, \dots, e_{i'_{k-1}} - e_{i'_k}\}$$

and similarly for  $\Pi_{W''}$ . We then choose  $w$  to be any permutation that takes  $i'_j$  to  $i''_j$  for all  $j$ . This means that  $w\Pi_{W'} = \Pi_{W''}$ , so we can apply the previous theorem. By the discussion in the preceding example, this gives the result. ■

**Example:** Let  $W = S_6$ ,  $\omega' = 416$ , and  $\omega'' = 425$ . Then in the above proof, we choose  $W' = S_{\{1,4,6\}}, W'' = S_{\{2,4,5\}}$ ,

$$\Pi_{W'} = \{e_1 - e_4, e_4 - e_6\}, \Pi_{W''} = \{e_2 - e_4, e_4 - e_5\},$$

and  $w$  is any permutation of the form  $\begin{pmatrix} 123456 \\ 2**4*5 \end{pmatrix}$ .

### 3.4 Multipartite P-partitions

In this section, we carry out a generalization of the theory of multipartite  $P$ -partitions ([GG], [Ge2]) to other Coxeter groups than  $S_n$  (as suggested in [Ge2], p. 300). We will need these results in Chapters 4 and 5 when we discuss quotients by diagonal embeddings of subgroups of  $W$  into  $W^r$ .

Let  $(W, S)$  be a finite Coxeter system realized by the positive root system  $(\Phi, \Pi)$  in the vector space  $V$ . Fix  $r \in \mathbf{P}$ , and we now consider  $W$  acting on  $V^r = \underbrace{V \times \dots \times V}_{r \text{ times}}$

$$w(f_1, \dots, f_r) = (w(f_1), \dots, w(f_r)).$$

**Definition:** Order  $\mathbf{R}^r$  lexicographically, i.e. let  $(x_1, \dots, x_r) \leq_{\mathcal{L}} (y_1, \dots, y_r)$  if  $\exists k \leq r-1$  such that

$$x_1 = y_1, x_2 = y_2, \dots, x_k = y_k, x_{k+1} < y_{k+1}.$$

Given  $(f_1, \dots, f_r) \in V^r$ , and  $\alpha \in \Phi$ , we will say  $\langle \alpha, f \rangle \geq_{\mathcal{L}} \underline{0}$  if  $(\langle \alpha, f_1 \rangle, \dots, \langle \alpha, f_r \rangle) \geq_{\mathcal{L}} \underline{0}$  (and similarly for  $\langle \alpha, f \rangle >_{\mathcal{L}} \underline{0}$ , etc.). For a parset  $P$ , we say  $f \in V^r$  is an  $r$ -partite  $P$ -partition if

$$\langle \alpha, f \rangle \geq_{\mathcal{L}} \underline{0} \quad \forall \alpha \in P$$

and

$$\langle \alpha, f \rangle >_{\mathcal{L}} \underline{0} \quad \forall \alpha \in P \cap -\Phi^+$$

We denote the set of all  $r$ -partite  $P$ -partitions by  $\mathcal{A}_r(P)$ .

**Example:** Let  $W = S_n$ ,  $P = \Phi$ . Then an  $r$ -partite  $P$ -partition  $f = (f_1, \dots, f_r)$  corresponds to a sequence of  $n$  vectors in  $\mathbf{R}^r$  ordered lexicographically from largest to smallest. For example, let  $n = 6$  and  $r = 2$ , and then  $f = ((5, 4, 4, 3, 3, 2), (1, 3, 2, 3, 3, 3))$  corresponds to

$$\binom{5}{1} \geq_{\mathcal{L}} \binom{4}{3} \geq_{\mathcal{L}} \binom{4}{2} \geq_{\mathcal{L}} \binom{3}{3} \geq_{\mathcal{L}} \binom{3}{3} \geq_{\mathcal{L}} \binom{2}{3}.$$

If  $n = 6$ ,  $r = 2$  and  $P$  is the parset from Figure 2, then  $f = ((f_{11}, f_{12}, f_{13}), (f_{21}, f_{22}, f_{23}))$  is in  $\mathcal{A}_2(P)$  when

$$\binom{f_{12}}{f_{22}} >_{\mathcal{L}} \binom{f_{11}}{f_{21}} \quad \text{and} \quad \binom{f_{12}}{f_{22}} \geq_{\mathcal{L}} \binom{f_{13}}{f_{23}}$$

### Proposition 3.4.1

$$\mathcal{A}_r(P) = \coprod_{w \in \mathcal{L}(P)} \mathcal{A}_r(w\Phi^+)$$

Proof: Same as Proposition 3.1.1 (which is the  $r = 1$  case). The only properties we used there were:

1. The linear maps  $\langle \alpha, \cdot \rangle : V \rightarrow \mathbf{R}$  are well-defined  $\forall \alpha \in \Phi$ .
2.  $\mathbf{R}$  is a totally ordered vector space.

Replacing  $V$  by  $V^r$  and  $\mathbf{R}$  by  $\mathbf{R}^r$ , these properties still hold, and the proof goes through. ■

### Theorem 3.4.2 (cf. [Ge2], Theorem 16)

$$\mathcal{A}_r(w\Phi^+) = \coprod_{\substack{(w_1, \dots, w_r) \in W^r \\ w_r w_{r-1} \cdots w_1 = w}} \#\{(f_1, \dots, f_r) \in V^r : (w_r w_{r-1} \cdots w_{i+1})^{-1}(f_i) \in \mathcal{A}(w_i \Phi^+) \quad \forall i\}$$

To prove this theorem, we mimic the proof of Theorem 16 in [Ge2], and first prove a lemma which is slightly more general than the case  $r = 2$ :

**Lemma 3.4.3** (cf. [Ge2], Theorem 9) *Let  $V_1, V_2$  be two vector spaces with  $W$ -actions, and  $R_1, R_2$  two totally ordered vector spaces, with linear maps  $\langle \alpha, \cdot \rangle : V_i \rightarrow R_i$  for  $i = 1, 2$ . Put the lexicographic total order on  $R_1 \times R_2$  (as in the previous definition), and then define  $\mathcal{A}_{V_1}(P), \mathcal{A}_{V_2}(P), \mathcal{A}_{V_1 \times V_2}(P)$  as before. Then*

$$\mathcal{A}_{V_1 \times V_2}(w\Phi^+) = \coprod_{\substack{(w_1, w_2) \\ w_2 w_1 = w}} \{(f_1, f_2) \in V_1 \times V_2 : f_2 \in \mathcal{A}_{V_2}(w_2\Phi^+), w_2^{-1}(f_1) \in \mathcal{A}_{V_1}(w_1\Phi^+)\}$$

Proof: First we check that the sets on the right are actually disjoint. So suppose  $(f_1, f_2) \in V_1 \times V_2$  satisfies

$$f_2 \in \mathcal{A}_{V_2}(w_2\Phi^+) \cap \mathcal{A}_{V_2}(v_2\Phi^+)$$

and

$$w_2^{-1}(f_1) \in \mathcal{A}_{V_1}(w_1\Phi^+) \cap \mathcal{A}_{V_1}(v_1\Phi^+)$$

for some  $w_1, w_2, v_1, v_2 \in W$ . By the analogue of Proposition 3.1.1 for  $V_2$ , the first line allows us to conclude that  $w_2 = v_2$ . But then  $w_2^{-1}(f_1) = v_2^{-1}(f_1)$ , so the second line allows us to conclude that  $w_1 = v_1$ . Thus the sets on the right are disjoint.

Furthermore, the sets on the right cover all of  $V_1 \times V_2$ , as  $w$  ranges over all of  $W$ . To see this, let  $(f_1, f_2) \in V_1 \times V_2$ . By the analogue of Proposition 3.1.1 for  $V_2$ , we know  $f_2 \in \mathcal{A}_{V_2}(w_2\Phi^+)$  for some  $w_2 \in W$ , and then we know  $w_2^{-1}(f_1) \in \mathcal{A}_{V_1}(w_1\Phi^+)$  for some  $w_1 \in W$ . Hence  $(f_1, f_2)$  lies in the set on the right corresponding to  $(w_1, w_2)$ .

Thus it only remains to show that each of the sets on the right is actually in  $\mathcal{A}_{V_1 \times V_2}(w\Phi^+)$ , i.e. we need to show that

$$f_2 \in \mathcal{A}_{V_2}(w_2\Phi^+), w_2^{-1}(f_1) \in \mathcal{A}_{V_1}(w_1\Phi^+), \text{ and } w_2 w_1 = w$$

imply

1.  $\langle \alpha, f_1 \rangle \geq 0 \forall \alpha \in w\Phi^+$
2.  $\langle \alpha, f_1 \rangle = 0$  for some  $\alpha \in w\Phi^+ \Rightarrow$   
 $\langle \alpha, f_2 \rangle \geq 0$  and  
 $\langle \alpha, f_2 \rangle > 0$  if  $\alpha \in w\Phi^+ \cap -\Phi^+$

To prove 1, note that

$$\begin{aligned} w_2^{-1}(f_1) \in \mathcal{A}_{V_1}(w_1\Phi^+) &\Rightarrow \langle \beta, w_2^{-1}(f_1) \rangle \geq 0 \forall \beta \in w_1(\Phi^+), \\ &\langle \beta, w_2^{-1}(f_1) \rangle > 0 \forall \beta \in w_1(\Phi^+) \cap -\Phi^+ \\ &\Rightarrow \langle w_2(\beta), f_1 \rangle \geq 0 \forall w_2(\beta) \in w_2 w_1(\Phi^+), \\ &\langle w_2(\beta), f_1 \rangle > 0 \forall w_2(\beta) \in w_2 w_1(\Phi^+) \cap w_2(-\Phi^+) \\ &\Rightarrow \langle \alpha, f_1 \rangle \geq 0 \forall \alpha \in w\Phi^+, \\ &\langle \alpha, f_1 \rangle > 0 \forall \alpha \in w\Phi^+ \cap w_2(-\Phi^+). \end{aligned}$$

This proves assertion 1 (and a bit more). To prove the first assertion in 2, assume  $\langle \alpha, f_1 \rangle = 0$  for some  $\alpha \in w\Phi^+$ . Then  $\alpha \notin w_2(-\Phi^+)$ , so  $\alpha \in w_2(\Phi^+)$ , and hence  $\langle \alpha, f_2 \rangle \geq 0$  since  $f_2 \in \mathcal{A}_{V_2}(w_2\Phi^+)$ .

To prove the second assertion in 2, assume that this same  $\alpha$  is in  $w\Phi^+ \cap -\Phi^+$ . Then  $w_2^{-1}(\alpha) \in w_2^{-1}(-\Phi^+)$ . Since  $w_2^{-1}(\alpha) \in \Phi^+$  (see the previous paragraph), we can write  $w_2^{-1}(\alpha) = \sum c_i \alpha_i$  with  $c_i > 0$  and  $\alpha_i \in \Pi$ . Hence we must have  $\alpha_i \in w_2^{-1}(-\Phi^+)$  for some  $i_0$  (else  $w_2^{-1}(\alpha) \notin w_2^{-1}(-\Phi^+)$ ). Therefore

$$\langle \alpha, f_2 \rangle = c_{i_0} \langle w_2(\alpha_{i_0}), f_2 \rangle + \sum_{i \neq i_0} c_i \langle w_2(\alpha_i), f_2 \rangle > 0$$

since  $f_2 \in \mathcal{A}_{V_2}(w_2\Phi^+)$ . ■

Proof of Theorem 3.4.2: We use induction on  $r$ . The case  $r = 1$  is trivial, and  $r = 2$  is the specialization of the previous lemma to  $V_1 = V_2 = V, R_1 = R_2 = \mathbf{R}$ . For  $r \geq 3$ , we have

$$\begin{aligned} & \mathcal{A}_r(w\Phi^+) \\ &= \mathcal{A}_{V \times V^{r-1}}(w\Phi^+) \\ &= \coprod_{\substack{(w_1, w_2) \in W^2 \\ w_2 w_1 = w}} \left\{ \begin{array}{l} (f_1, (f_2, \dots, f_r)) \in V \times V^{r-1}: \\ (f_2, \dots, f_r) \in \mathcal{A}_{r-1}(w_2\Phi^+), w_2^{-1}(f_1) \in \mathcal{A}(w_1\Phi^+) \end{array} \right\} \\ &= \coprod_{\substack{(w_1, w_2) \in W^2 \\ w_2 w_1 = w}} \coprod_{\substack{(w'_2, w'_3, \dots, w'_r) \in W^{r-1} \\ w'_r w'_{r-1} \dots w'_2 = w_2}} \left\{ \begin{array}{l} (f_1, f_2, \dots, f_r) \in V^r: \\ (w'_r w'_{r-1} \dots w'_{i+1})^{-1}(f_i) \in \mathcal{A}(w_i\Phi^+) \quad \forall i \geq 2, \\ w_2^{-1}(f_1) \in \mathcal{A}(w_1\Phi^+) \end{array} \right\} \\ &= \coprod_{\substack{(w_1, w_2, \dots, w_r) \in W^r \\ w_r w_{r-1} \dots w_1 = w}} \{(f_1, f_2, \dots, f_r) \in V^r : (w_r w_{r-1} \dots w_{i+1})^{-1}(f_i) \in \mathcal{A}(w_i\Phi^+) \quad \forall i\}. \end{aligned}$$

The second equality above comes from the previous lemma applied with  $V_1 = V, V_2 = V^{r-1}, R_1 = \mathbf{R}, R_2 = \mathbf{R}^{r-1}$ . The third equality is by the induction hypothesis. The fourth equality is because  $w_2^{-1} = (w'_r w'_{r-1} \dots w'_2)^{-1}$ . ■

Just as we used Proposition 3.1.1 to partition and shell  $\Sigma(W, S)$  in Section 3.2, we will now use Theorems 3.4.1, 3.4.2 to partition and shell  $\Sigma(W^r, rS)$ . We now consider  $W^r$  acting on  $V^r$  by

$$(w_1, \dots, w_r)(f_1, \dots, f_r) = (w_1(f_1), \dots, w_r(f_r)).$$

Given  $f = (f_1, \dots, f_r)$ , we can extend the definition of our map  $F$  (from Section 3.1) by setting

$$F(f) = (w_1, \dots, w_r)W_{(J_1, \dots, J_r)} = w_1 W_{J_1} \times \dots \times w_r W_{J_r}$$

where  $w_i W_{J_i} = F(f_i)$ .

#### Theorem 3.4.4

$$\Sigma(W^r, rS) = \coprod_{(w_1, \dots, w_r) \in W^r} \prod_{i=1}^r [w_r w_{r-1} \dots w_i W_{S-D(w_i)}, w_r w_{r-1} \dots w_i W_\emptyset]$$

is a partitioning of  $\Sigma(W^r, rS)$ .

Proof: Applying Propositions 3.4.1, 3.4.2 to the empty parset  $P =$  gives

$$\begin{aligned} V^r &= \coprod_{w \in W} \mathcal{A}_r(w\Phi^+) \\ &= \coprod_{(w_1, \dots, w_r) \in W^r} \#\{(f_1, f_2, \dots, f_r) \in V^r : (w_r w_{r-1} \cdots w_{i+1})^{-1}(f_i) \in \mathcal{A}(w_i\Phi^+) \ \forall i\} \end{aligned}$$

Applying the operation  $F \mapsto F(f)$  to both ends of the above equation gives

$$\bigcup_{f \in V^r} F(f) = \bigcup_{(w_1, \dots, w_r) \in W^r} \{F((f_1, \dots, f_r)) : (w_r w_{r-1} \cdots w_{i+1})^{-1}(f_i) \in \mathcal{A}(w_i\Phi^+) \ \forall i\}$$

which in light of Lemma 3.2.1 gives

$$\Sigma(W^r, rS) = \coprod_{(w_1, \dots, w_r) \in W^r} \prod_{i=1}^r [w_r w_{r-1} \cdots w_i W_{S-D(w_i)}, w_r w_{r-1} \cdots w_i W_\emptyset]$$

as desired. ■

We now put a shelling order on the facets of  $\Sigma(W^r, rS)$ .

**Definition:** We say  $(w_1, \dots, w_r) <_{\mathcal{RLW}} (w'_1, \dots, w'_r)$  in *reverse lexicographic weak order*, if there exists  $k \geq 2$  such that

$$w_r = w'_r, w_{r-1} = w'_{r-1}, \dots, w_k = w'_k, w_{k-1} <_R w'_{k-1}$$

**Theorem 3.4.5** *Order  $W^r$  by any linear extension of  $<_{\mathcal{RLW}}$ . Then the partitioning in the previous theorem is a shelling.*

Proof: It suffices to show that if we have  $(w_1, \dots, w_r), (w'_1, \dots, w'_r)$  satisfying

$$w'_r w'_{r-1} \cdots w'_i W_\emptyset \in w_r w_{r-1} \cdots w_i W_{S-D(w_i)} \ \forall i$$

then  $(w_1, \dots, w_r) <_{\mathcal{RLW}} (w'_1, \dots, w'_r)$ .

Since  $w'_r \in w_r W_{S-D(w_r)}$ , we have  $\pi_{S-D(w_r)}(w'_r) = w_r$  and hence  $w_r \leq_R w'_r$ . If  $w_r <_R w'_r$ , then we're done, so assume  $w_r = w'_r$ . Then from  $w'_r w'_{r-1} W'_\emptyset \in w_r w_{r-1} W_{S-D(w_{r-1})}$ , we conclude that  $w'_{r-1} \in w_{r-1} W_{S-D(w_{r-1})}$  and hence  $w_{r-1} \leq_R w'_{r-1}$ . Continuing this process, we eventually get  $(w_1, \dots, w_r) <_{\mathcal{RLW}} (w'_1, \dots, w'_r)$ . ■

**Remark:** There is a much more straightforward partitioning and shelling based on the fact the  $\Sigma(W^r, rS) = \Sigma(W, S) * \cdots * \Sigma(W, S)$ , and  $\Sigma(W, S)$  is shellable. However, this partitioning will not be as useful for our purposes, because it does not behave as nicely

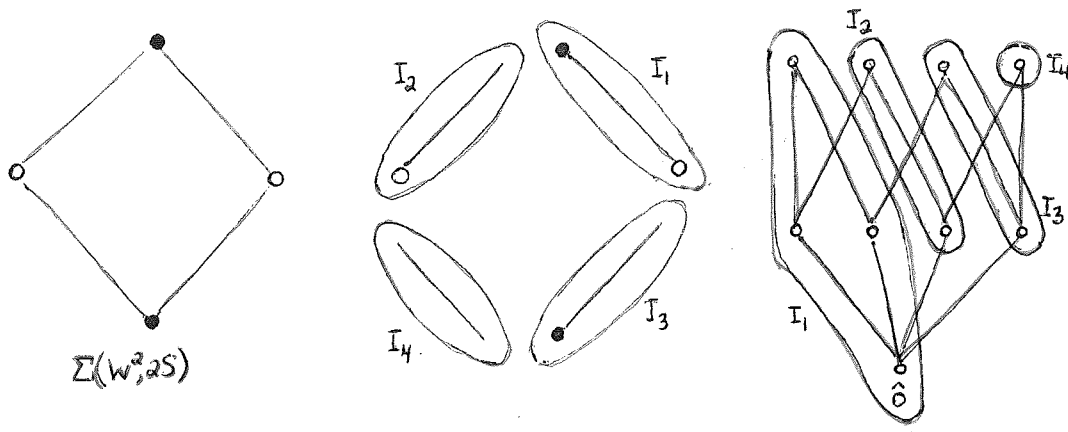


Figure 3-4: Shelling of  $\Sigma(W^2, 2S)$  for  $(W, S) = (S_2, \{(12)\})$

with respect to the diagonal action of  $W$  on  $\Sigma(W^r, rS)$ .

**Example:** Let  $(W, S) = (S_2, \{(12)\})$  in its usual realization as permuting coordinates on  $\mathbf{R}^2$ , and let  $r = 2$ . What do some of the theorems of this section say in this case? Choosing  $P = \emptyset$ , Proposition 3.4.1 says that

$$\{((f_{11}, f_{12}), (f_{21}, f_{22})) \in \mathbf{R}^2 \times \mathbf{R}^2\} = \left\{ \begin{pmatrix} f_{11} \\ f_{21} \end{pmatrix} \geq_{\mathcal{L}} \begin{pmatrix} f_{12} \\ f_{22} \end{pmatrix} \right\} \amalg \left\{ \begin{pmatrix} f_{12} \\ f_{22} \end{pmatrix} >_{\mathcal{L}} \begin{pmatrix} f_{11} \\ f_{21} \end{pmatrix} \right\}$$

and Theorem 3.4.2 refines this further as

$$\left\{ \begin{pmatrix} f_{11} \\ f_{21} \end{pmatrix} \geq_{\mathcal{L}} \begin{pmatrix} f_{12} \\ f_{22} \end{pmatrix} \right\} = \{f_{11} \geq f_{12}, f_{21} \geq f_{22}\} \amalg \{f_{11} > f_{12}, f_{21} < f_{22}\}$$

$$\left\{ \begin{pmatrix} f_{12} \\ f_{22} \end{pmatrix} >_{\mathcal{L}} \begin{pmatrix} f_{11} \\ f_{21} \end{pmatrix} \right\} = \{f_{12} > f_{11}, f_{21} \geq f_{22}\} \amalg \{f_{12} \geq f_{11}, f_{22} > f_{21}\}$$

The shelling and partitioning asserted by the last two theorems goes as follows:

$$\begin{aligned} \Sigma(W^2, 2S) &= [(id, id)W_{(S,S)}, (id, id)W_{(\emptyset, \emptyset)}] \\ &\amalg [((12), id)W_{(\emptyset, S)}, ((12), id)W_{(\emptyset, \emptyset)}] \\ &\amalg [((12), (12))W_{(S, \emptyset)}, ((12), (12))W_{(\emptyset, \emptyset)}] \\ &\amalg [(id, (12))W_{(\emptyset, \emptyset)}, (id, (12))W_{(\emptyset, \emptyset)}] \end{aligned}$$

Figure 4 shows how this decomposes  $\Sigma(W^2, 2S)$  as a simplicial poset and its topological realization (where we have labelled the intervals in this shelling in order as  $I_1, I_2, I_3, I_4$ ).



## Chapter 4

# Quotients by reflection and alternating subgroups, and their diagonal embeddings

### 4.1 Reflection subgroups and their diagonal embeddings

In this chapter, we return to the subject of quotients  $\Sigma(W, S)/G$  and study some specific classes of subgroups  $G$ . This section deals with *reflection subgroups* along with their *diagonal embeddings* in  $W^r$ . Recall that  $W' \subseteq W$  is a reflection subgroup if it is generated by the reflections it contains.

**Definition:** The *diagonal embedding*  $\Delta^r : W \rightarrow W^r$  is the map given by  $\Delta^r(w) = (w, \dots, w)$ . Given a subgroup  $G \subseteq W$ , let  $\Delta^r(W')$  denote the subgroup of  $W^r$  which is the image of  $G$  under  $\Delta^r$ . It turns out that the theory of  $P$ -partitions and  $r$ -partite  $P$ -partitions developed in Chapter 3 will help us to understand the quotients  $\Sigma(W^r, rS)/\Delta^r(W')$  by providing us with a fundamental domain for the action of  $\Delta^r(W')$  on  $V^r$ .

**Definition:** Given a reflection subgroup  $W' \subseteq W$ , define the parset  $P(W') = \overline{\Phi_{W'}^+}^{PLC}$  (recall that  $\Phi_{W'}^+ = \{\alpha \in \Phi^+ : r_\alpha \in W'\}$ ). It is clear from the definitions that

$$\mathcal{L}(P(W')) = \{w \in W : I(w) \cap W' = \emptyset\}.$$

**Proposition 4.1.1**  $\mathcal{A}_r(P(W'))$  is a fundamental domain  $V^r$  for the action of  $\Delta^r(W')$ , i.e. every orbit  $W'f$  of a vector  $f \in V^r$  has a unique representative in  $\mathcal{A}_r(P(W'))$ .

For the proof, we require a lemma giving a multipartite generalization of the fact after the first definition in Section 3.2.

**Lemma 4.1.2** *Let the multipartite fundamental chamber  $\mathcal{C}_r$  be defined by*

$$\mathcal{C}_r = \{f \in V^r : \langle \alpha, f \rangle \geq \underline{c} \ \forall \alpha \in \Phi^+\}$$

Then  $w(f) \in \mathcal{C}_r \Rightarrow$

1.  $\{v \in W : v(f) \in \mathcal{C}_r\} = W_J w$  where

$$J = \{\alpha \in \Pi : \langle \alpha, w(f) \rangle = \underline{0}\}$$

(Notice that we are abusing notation in our usual way by not distinguishing between  $J$  and  $\{r_\alpha : \alpha \in J\}$ ).

2.  $v(f) \in \mathcal{C}_r \Rightarrow v(f) = w(f)$  (i.e. the  $W$ -translate of  $f$  lying in  $\mathcal{C}_r$  is unique).

Proof: Note that  $\alpha \in J \Rightarrow r_\alpha w(f) = w(f)$ , since  $r_\alpha$  fixes all vectors orthogonal to  $\alpha$ . This shows that  $W_J w \subseteq \{v \in W : v(f) \in \mathcal{C}_r\}$ , and also that 1 implies 2.

Thus we need only show that the reverse inclusion holds in 1. Let  $f = (f_1, \dots, f_r)$  and suppose  $v(f) \in \mathcal{C}_r$ . Looking at first coordinates, this implies  $v(f_1), w(f_1) \in \mathcal{C}$ . Then by the standard (non-multipartite,  $r = 1$ ) version of this lemma, we conclude that  $v(f_1) = w(f_1)$  and  $v = uw$  for some  $u \in W_{K_1}$  where

$$K_1 = \{\alpha \in \Pi : \langle \alpha, w(f_1) \rangle = 0\}.$$

Now let  $V_{K_1}$  be the linear span of  $K_1$ , and let  $\pi_{K_1} : V \rightarrow V_{K_1}$  be orthogonal projection onto  $V_{K_1}$  (with respect to  $\langle \cdot, \cdot \rangle$ ). Note that  $(W_{K_1}, K_1)$  forms a Coxeter system on  $V_{K_1}$  with simple roots  $K_1$ . For all  $\alpha \in K_1$ , we have

$$\langle \alpha, \pi_{K_1}(w(f_2)) \rangle = \langle \alpha, w(f_2) \rangle \geq 0$$

since  $\alpha \in \Phi^+$ , and similarly for  $v(f_2)$ . So by applying the standard version of this lemma again (this time to the Coxeter system  $(W_{K_1}, K_1)$ ), we conclude that

$$\begin{aligned} \pi_{K_1}(w(f_2)) &= \pi_{K_1}(v(f_2)) \\ &= \pi_{K_1}(uw(f_2)) \\ &= u\pi_{K_1}(w(f_2)) \end{aligned}$$

The last equality holds because  $u \in W_{K_1}$  implies  $u$  commutes with  $\pi_{K_1}$ . Applying the

standard version of this lemma again tells us that  $u \in W_{K_2}$  where

$$\begin{aligned} K_2 &= \{\alpha \in K_1 : \langle \alpha, \pi_{K_1}(w(f_2)) \rangle = 0\} \\ &= \{\alpha \in K_1 : \langle \alpha, w(f_2) \rangle = 0\} \end{aligned}$$

Repeating this process, we eventually conclude that  $u \in W_K$ , where

$$\begin{aligned} K' &= \{\alpha \in \Pi : \langle \alpha, w(f_1) \rangle = \cdots = \langle \alpha, w(f_r) \rangle = 0\} \\ &= \{\alpha \in \Pi : \langle \alpha, w(f) \rangle = \underline{0}\} \\ &= J \end{aligned}$$

This shows that  $v \in W_J u$ , as we wanted. ■

Proof of Proposition 4.1.1: From Proposition 3.4.1, we know that

$$\mathcal{A}_r(P(W')) = \coprod_{w \in \mathcal{L}(P(W'))} \mathcal{A}_r(w\Phi^+)$$

and we also know that

$$\mathcal{L}(P(W')) = \{w \in W : I(w) \cap W' = \emptyset\}.$$

Thus we need to show that in each orbit  $W'f$  there exists a unique  $e$  such that  $e \in \mathcal{A}_r(w\Phi^+)$  for some  $w$  with  $I(w) \cap W' = \emptyset$ .

*Existence:* Given  $e \in W'f$ , we know that  $e \in \mathcal{A}_r(w\Phi^+)$  for some  $w \in W$  (by 3.4.1 applied to  $P = \emptyset$ ). So choose  $e \in W'f$  such that  $l(w)$  is *minimal*, and we will show by contradiction that  $I(w) \cap W' = \emptyset$ .

Assume not, i.e. let  $r_\beta \in I(w) \cap W$  for some  $\beta \in \Phi^+$ . Let  $v$  satisfy  $r_\beta(e) \in \mathcal{A}_r(v\Phi^+)$  (we know such a  $v$  exists). Our strategy will be to show that  $l(v) < l(w)$ , and hence get a contradiction. We have  $v^{-1}r_\beta(e) \in \mathcal{C}_r$ , so by the previous lemma, we have that  $v^{-1}r_\beta(e) = w^{-1}(e)$  and  $r_\beta v = wu$  for some  $u \in W_K$  where

$$K = \{\alpha \in \Pi : \langle \alpha, v^{-1}r_\beta(e) \rangle = \underline{0}\}.$$

Furthermore, since  $\langle \alpha, v^{-1}r_\beta(e) \rangle >_{\mathcal{L}} \underline{0} \forall \alpha \in D(v)$ , we must have  $K \subseteq S - D(v)$ . Thus  $l(v) \leq l(vu^{-1})$ , since  $u^{-1} \in W_K \subseteq W_{S-D(v)}$  implies  $v = \pi_K(vu^{-1})$ . But  $vu^{-1} = r_\alpha w$ , and  $l(r_\alpha w) < l(w)$  since  $r_\alpha \in I(w)$ . Hence  $l(v) < l(w)$ , as we wanted.

*Uniqueness:* Suppose  $e \in \mathcal{A}_r(w_1\Phi^+)$  and  $w' \in \mathcal{A}_r(w_2\Phi^+)$  for some  $w' \in W$  and  $I(w_i) \cap W' = \emptyset$  for  $i = 1, 2$ . Then  $w_2^{-1}w'(e), w_1^{-1}(e) \in \mathcal{C}_r$ , so by the previous lemma we conclude that  $w_2^{-1}w'(e) = w_1^{-1}(e)$  and  $w'^{-1}w_2 = w_1u$  for some  $u \in W_K$  where  $K \subseteq D(w_1) \cap D(w_2)$ . Thus  $w_2 = w'w_1u$ , and hence we have  $w_2 = w_1$ , since in each double coset  $W'wW_K$  there is a unique element  $w$  satisfying  $I(w) \cap W' = \emptyset$  and  $K \subseteq S - D(w)$  (by Proposition

A.0.12). Thus  $w'(e) = e$ . ■

**Example:** The previous theorem is nearly trivial for  $W = S_n$ . Recall that in this case a reflection subgroup  $W'$  is the set of all permutations within the blocks of some partition  $\pi$  of  $\{1, 2, \dots, n\}$ . It is easy to see that a vector  $f \in \mathcal{A}_r(P(W'))$  corresponds to a sequence of  $n$  vectors  $(v_1, \dots, v_n)$  in  $\mathbf{R}^r$  in which the  $v_i$ 's corresponding to the same block of  $\pi$  appearing in decreasing order lexicographically. Thus our theorem states the obvious fact that we can use  $W'$  to permute  $(v_1, \dots, v_n)$  uniquely so as to make this condition hold. For example, if  $r = 2, n = 6$  and  $W' = S_{\{1,4,5\}} \times S_{\{2,6\}} \times S_{\{3\}}$ , then for every  $f = ((f_{11}, \dots, f_{16}), (f_{21}, \dots, f_{26}))$  there is a unique element  $e \in W'f$  satisfying

$$\begin{pmatrix} e_{11} \\ e_{21} \end{pmatrix} \geq_{\mathcal{L}} \begin{pmatrix} e_{14} \\ e_{24} \end{pmatrix} \geq_{\mathcal{L}} \begin{pmatrix} e_{16} \\ e_{26} \end{pmatrix} \text{ and} \\ \begin{pmatrix} e_{12} \\ e_{22} \end{pmatrix} \geq_{\mathcal{L}} \begin{pmatrix} e_{16} \\ e_{26} \end{pmatrix}.$$

**Theorem 4.1.3**

$$\Sigma(W^r, rS) / \Delta^r(W') = \coprod_{\substack{(w_1, \dots, w_r) \in W^r \\ I(w_r w_{r-1} \dots w_1) \cap W' = \emptyset}} \prod_{i=1}^r [\Delta^r(W') w_r w_{r-1} \dots w_i W_{S-D(w_i)}, \Delta^r(W') w_r w_{r-1} \dots w_i W_{\emptyset}]$$

is a partitioning.

Proof: Let  $V^r/W'$  denote the set of orbits  $W'f$  of all vectors  $f \in V^r$ . Then from the previous theorem we conclude that

$$\begin{aligned} V^r/W' &= \{W'f : f \in \mathcal{A}_r(P(W'))\} \\ &= \coprod_{\substack{(w_1, \dots, w_r) \in W^r \\ I(w_r w_{r-1} \dots w_1) \cap W' = \emptyset}} \{W'(f_1, \dots, f_r) : w_r w_{r-1} \dots w_{i+1}(f_i) \in \mathcal{A}(w_i \Phi^+)\} \end{aligned}$$

where the second equality follows from Propositions 3.4.1, 3.4.2. For any orbit  $Gf$ , define  $F(Gf) = \bigcup_{g(f) \in Gf} F(g(f))$  and note that  $F(Gf) = GF(f)$ . Thus applying the map  $W'f \mapsto F(W'f)$  to both ends of the above equation (and using Lemma 3.2.1) gives

$$\Sigma(W^r, rS) / \Delta^r(W') = \coprod_{\substack{(w_1, \dots, w_r) \in W^r \\ I(w_r w_{r-1} \dots w_1) \cap W' = \emptyset}} \prod_{i=1}^r [\Delta^r(W') w_r w_{r-1} \dots w_i W_{S-D(w_i)}, \Delta^r(W') w_r w_{r-1} \dots w_i W_{\emptyset}]$$

as we wanted. ■

This theorem allows us to give a combinatorial interpretation to the  $\beta_J$ 's of the quotient complex  $\Sigma(W^r, rS)/\Delta^r(W')$  :

**Corollary 4.1.4**

$$\beta_{J_1, \dots, J_r} = \#\{(w_1, \dots, w_r) : D(w_i) = J_i, I(w_r w_{r-1} \cdots w_1) \cap W' = \emptyset\}$$

Proof: see Proposition 3.2.3. ■

**Corollary 4.1.5** *If  $r$  is even then  $\forall J_1, \dots, J_r \subseteq S$  we have*

$$\begin{aligned} & \#\{(w_1, \dots, w_r) \in W^r : I(w_r \cdots w_1) \cap W' = \emptyset, D(w_i) = J_i\} \\ &= \#\{(w_1, \dots, w_r) \in W^r : I(w_r \cdots w_1) \cap W' = \emptyset, D(w_i) = S - J_i\} \end{aligned}$$

Proof: When  $r$  is even,  $\text{sgn}(w, \dots, w) = \text{sgn}(w)^r = 1 \forall w \in W'$ . Hence by Proposition 2.4.4, we have  $\beta_{J_1, \dots, J_r} = \beta_{S-J_1, \dots, S-J_r} \forall J_1, \dots, J_r \subseteq S$ . Now apply the previous corollary. ■

**Remark:** We do not know how to prove this last corollary bijectively. However, Gessel (personal communication) has shown how to prove an even stronger result for the special case of  $W = S_n$  using the theory of *symmetric functions* and their *canonical involution*.

For shellability results, we require another partial order on  $W$  and  $W^r$ .

**Definition:** The (*strong*) *Bruhat order*  $<_B$  on  $W$  is defined to be the transitive closure of the relations  $wt <_B w$  if  $w \in W, t \in T$  and  $l(tw) < l(w)$ . We will say  $(w_1, \dots, w_r) <_{\mathcal{RLB}}$   $(w'_1, \dots, w'_r)$  in *reverse lexicographic Bruhat order* if for some  $k \geq 2$  we have

$$w_r = w'_r, w_{r-1} = w'_{r-1}, \dots, w_k = w'_k, w_{k-1} <_B w'_{k-1}.$$

**Theorem 4.1.6** *For  $r = 1, 2$ , if we order  $W^r$  by any linear extension of  $<_{\mathcal{RLB}}$ , then the partitioning of the previous theorem is a shelling.*

Proof: For  $r = 1$ , we need to show that if  $w_1, w_2$  both satisfy  $I(w_i) \cap W' = \emptyset$ , then

$$W'w_1W_\emptyset \subseteq W'w_2W_{S-D(w_2)} \Rightarrow w_2 \leq_B w_1.$$

But this follows immediately from Proposition A.0.12 which says that the unique element  $w \in W'wW_J$  satisfying  $I(w) \cap W' = \emptyset, D(w) \subseteq S - J$  is the least element of  $W'wW_J$  in Bruhat order.

For  $r = 2$ , we need to that if  $(u_1, u_2), (v_1, v_2)$  satisfy

1.  $I(u_2u_1) \cap W' = I(v_2v_1) \cap W' = \emptyset$

$$2. \Delta^2(v_2, v_1) \subseteq \Delta^2(u_2, u_1)W_{(S-D(u_1), S-D(u_2))}$$

then  $(u_2, u_1) \leq_{\mathcal{R}\mathcal{L}\mathcal{B}} (v_1, v_2)$ . We thank M. Dyer for supplying the proof of a slightly stronger technical lemma, which appears in the Appendix as Lemma A.0.15.■

**Corollary 4.1.7** For  $r = 1, 2$ ,  $\Sigma(W^r, rS)/\Delta^r(W')$  is CM/ $k$  for all fields  $k$ .

Proof: see the remarks after the definition of shellability in Chapter 3.■

**Remark:** It is easy to see by example that the previous theorem is tight, in the sense that  $\Sigma(W^r, rS)/\Delta^r(W')$  may be non-shellable for  $r \geq 3$ . In fact, we have already seen that if  $(W, S) = (\mathbf{Z}_2, \{s\})$  and  $W' = W$ , then  $\Sigma(W^r, rS)/\Delta^r(W')$  is homeomorphic to  $\mathbf{R}P^{r-1}$ . For  $r \geq 3$ , this is not Cohen-Macaulay over fields of characteristic 2, and hence non-shellable.

**Theorem 4.1.8**

1.  $\Sigma(W, S)/W'$  is homeomorphic to an  $(\#S - 1)$ -ball.
2.  $\Sigma(W^2, 2S)/\Delta^2(W')$  is homeomorphic to a  $(2\#S - 1)$ -sphere.

Proof: We use a fact which is a special case of ([Bj2], Proposition 4.3): If  $P$  is a shellable simplicial poset which is also a pseudomanifold with boundary, then  $P$  is homeomorphic is either to a sphere or disk, depending on whether  $P$  is a pseudomanifold or not. When  $r = 1, 2$ , from the previous theorem we know that  $\Sigma(W^r, rS)/\Delta^r(W')$  is shellable, and from Proposition 2.4.2 we know that  $\Sigma(W, S)/G$  is always a pseudomanifold with boundary, and a pseudomanifold if  $G \cap T = \emptyset$ . It is easy to see that  $W' \cap T \neq \emptyset$ , while  $\Delta^2(W') \cap T = \emptyset$ , so the result follows.■

**Example:** As noted earlier, for  $(W, S) = (\mathbf{Z}_2, \{s\})$  and  $W' = W$ , then the quotient  $\Sigma(W^r, rS)/\Delta^r(W')$  is homeomorphic to  $\mathbf{R}P^{r-1}$ . Notice that  $\mathbf{R}P^0$  is a ball, and  $\mathbf{R}P^1$  is a sphere, in agreement with our last theorem.

## 4.2 Application: invariants of permutation groups

We now return to the application mentioned in the introduction which motivated much of this work. We beg the reader's pardon in advance for the seemingly unavoidable use of multi-indices.

**Definition:** Let

$$\mathcal{R}_r = \underbrace{\mathbf{Q}[x_1, \dots, x_n] \otimes \cdots \otimes \mathbf{Q}[x_1, \dots, x_n]}_{r\text{-fold tensor product}}$$

$$\cong \mathbb{Q}[x_1^{(1)}, \dots, x_n^{(1)}, x_1^{(2)}, \dots, x_n^{(2)}, \dots, x_1^{(r)}, \dots, x_n^{(r)}]$$

and let permutations  $\sigma \in S_n$  act on  $\mathcal{R}_r$  by permuting the variables  $x_i^{(j)}$  as follows:  $\sigma(x_i^{(j)}) = x_{\sigma(i)}^{(j)}$ .

Given any subgroup  $G$  of  $S_n$ ,  $G$  also acts on  $\mathcal{R}_r$ , and our problem is to find a certain “nice decomposition” of the invariant subring  $\mathcal{R}_r^G$  (suggested by Gessel in the case  $G = S_n$ ). In order to say what this “nice description” is, we need a few more definitions.

**Definition:** Define an  $\mathbb{N}^r$ -grading on  $\mathcal{R}_r$  by setting  $\deg(x_i^{(j)}) = \epsilon_j =$  the  $j^{\text{th}}$  standard basis vector in  $\mathbb{N}^r$ . Note that our  $S_n$ -action preserves this grading. For an  $\mathbb{N}^r$ -graded  $\mathbb{Q}$ -algebra  $Q$ , let its *Hilbert series*  $F(Q, t)$  be the formal power series in the variables  $t^{(1)}, \dots, t^{(r)}$  given by

$$F(Q, t) = \sum_{\alpha \in \mathbb{N}^r} \dim_{\mathbb{Q}} Q_{\alpha} \cdot t^{\alpha},$$

where  $t^{\alpha} = (t^{(1)})^{\alpha_1} \dots (t^{(r)})^{\alpha_r}$  if  $\alpha = (\alpha_1, \dots, \alpha_r)$ . One nice description of  $\mathcal{R}_r^G$  that we seek is its Hilbert series  $F(\mathcal{R}_r^G, t)$ .

**Definition:** Let

$$e_i(x^{(j)}) = \sum_{I \subseteq \{1, \dots, n\}, \#I=i} \prod_{l \in I} x_l^{(j)}$$

be the  $i^{\text{th}}$  elementary symmetric function in the variables  $x_1^{(i)}, \dots, x_n^{(i)}$ . It is easy to see that  $e_i(x^{(j)}) \in \mathcal{R}_r^{S_n} \subseteq \mathcal{R}_r^G \forall i, j$ . A less trivial fact, which follows from more general results about Cohen-Macaulay rings ([HE], Proposition 13) is that  $\mathcal{R}_r^G$  is actually a free module of finite rank over the subalgebra generated by these  $\{e_i(x^{(j)})\}_{i=1, \dots, n, j=1, \dots, r}$ . Thus there exist  $\eta_1, \dots, \eta_t \in \mathcal{R}_r^G$  such that any  $f \in \mathcal{R}_r^G$  can be written uniquely in the form

$$f = \sum_{l=1}^t \eta_l p_l(e_i(x^{(j)}))$$

where each  $p_l$  is some polynomial in  $rn$  variables with coefficients in  $\mathbb{Q}$ . The nicest description of  $\mathcal{R}_r^G$  that we will seek is an explicit choice of such a basis  $\eta_1, \dots, \eta_t$ .

Garsia and Stanton ([GS]) examined this problem for the case  $r = 1$ . Their approach was to introduce a different ring  $\mathcal{Q}$  having an  $S_n$ -action such that nice descriptions for  $\mathcal{Q}^G$  yield the same for  $\mathcal{R}_r^G = \mathcal{R}_1^G$ . We introduce an analogous ring  $\mathcal{Q}_r$  for the general case.

**Definition:** Let  $\mathcal{B}_n$  denote the Boolean algebra of rank  $n$ , i.e. the poset of all subsets of  $\{1, 2, \dots, n\}$  ordered under inclusion. Let  $\mathcal{Q} = \mathbb{Q}[\mathcal{B}_n - \hat{0}]$  be the Stanley-Reisner ring of  $\mathcal{B}_n - \hat{0}$ , i.e.

$$\mathcal{Q} = \mathbb{Q}[y_J : \emptyset \neq J \subseteq \{1, 2, \dots, n\}] / (y_J y_K : J \not\subseteq K, K \not\subseteq J).$$

Let

$$\mathcal{Q}_r = \mathcal{Q} \otimes \cdots \otimes \mathcal{Q} = \mathbf{Q}[y_J^{(j)} : \emptyset \neq J \subseteq \{1, 2, \dots, n\}] / (y_J^{(j)} y_K^{(j)} : J \not\subseteq K, K \not\subseteq J).$$

Define an  $\mathbf{N}^{nr}$ -grading on  $\mathcal{Q}_r$  by setting  $\deg(j_J^{(j)}) = \epsilon_{\#J, j}$ , where  $\epsilon_{i, j}$  is the  $(i, j)^{th}$  standard basis vector in  $\mathbf{N}^{nr}$ . Define an  $S_n$ -action on  $\mathcal{Q}_r$  by  $\sigma(y_J^{(j)}) = y_{\sigma(J)}^{(j)}$ , and note that this action preserves the grading.

As  $\mathbf{Q}$ -vector spaces with  $S_n$ -actions,  $\mathcal{Q}_r$  and  $\mathcal{R}_r$  are closely related.

**Definition:** The *transfer map*  $T : \mathcal{Q}_r \rightarrow \mathcal{R}_r$  is defined by first setting  $T(y_J^{(j)}) = \prod_{i \in J} x_i$ , then extending multiplicatively on *non-zero* monomials  $y_{J_1}^{(j_1)} \cdots y_{J_m}^{(j_m)}$  (i.e.  $j_m = j_n$  implies either  $J_m \subseteq J_n$  or  $J_n \subseteq J_m$ ), and then extending  $\mathbf{Q}$ -linearly to all of  $\mathcal{Q}_r$ .

Define the *rank-row polynomials*

$$\theta_i^{(j)} = \sum_{\substack{J \subseteq \{1, \dots, n\} \\ \#J=i}} y_J^{(j)}$$

for  $i = 1, \dots, n$  and  $j = 1, \dots, r$ , and note that  $T(\theta_i^{(j)}) = e_i(x^{(j)})$ .

**Example:** Let  $n = 3, r = 2$ . Then

$$\begin{aligned} T(y_3^{(1)} \cdot y_3^{(1)} \cdot y_{123}^{(1)} \cdot y_2^{(2)} \cdot y_{23}^{(2)} + y_1^{(1)} \cdot y_{12}^{(1)}) = \\ x_3^{(1)} \cdot x_3^{(1)} \cdot x_1^{(1)} x_2^{(1)} x_3^{(1)} \cdot x_2^{(2)} \cdot x_2^{(2)} x_3^{(2)} + x_1^{(1)} \cdot x_1^{(1)} x_2^{(1)} = \\ (x_3^{(1)})^3 x_1^{(1)} x_2^{(1)} (x_2^{(2)})^2 x_3^{(2)} + (x_1^{(1)})^2 x_2^{(2)}. \end{aligned}$$

It is an easy exercise (or see [Ga], Section 6) to show that  $T : \mathcal{Q}_1 \rightarrow \mathcal{R}_1$  is a  $\mathbf{Q}$ -linear isomorphism, and hence that  $T : \mathcal{Q}_r \rightarrow \mathcal{R}_r$  is also. Furthermore, since  $T$  commutes with the  $S_n$ -actions on  $\mathcal{Q}_r$  and  $\mathcal{R}_r$ , this implies  $T : \mathcal{Q}_r^G \rightarrow \mathcal{R}_r^G$  is a  $\mathbf{Q}$ -linear isomorphism also. This yields the following:

**Proposition 4.2.1** *Let*

$$F(\mathcal{Q}_r, \lambda) = \sum_{\alpha \in \mathbf{N}^{nr}} \dim_{\mathbf{Q}}(\mathcal{Q}_r^G)_{\alpha} \lambda^{\alpha}$$

(where  $\lambda^{\alpha} = \prod_{i=1}^n \prod_{j=1}^r (\lambda_i^{(j)})^{\alpha_{i,j}}$  if  $\alpha = \sum \alpha_{i,j} \epsilon_{i,j} \in \mathbf{N}^{nr}$ ) be the Hilbert series for  $\mathcal{Q}_r^G$ . Then

$$F(\mathcal{R}_r^G, t) = F(\mathcal{Q}_r^G, \lambda) \Big|_{\lambda_i^{(j)} \mapsto (t^{(j)})^i}.$$

Proof: Note that  $y_J^{(j)}$  is counted as  $\lambda_{\#J}^{(j)}$  in  $F(\mathcal{Q}_r, \lambda)$ , while  $T(y_J^{(j)})$  is counted as  $(t^{(j)})^{\#J}$  in  $F(\mathcal{R}_r^G, t)$ . Since  $T$  preserves the grading in this fashion, and is a  $\mathbf{Q}$ -linear isomorphism, the result follows. ■



We would like then to compute  $F(Q_r^G, \lambda)$ . To do this, we follow the lead of [GS], by relating  $Q_r$  and  $\Sigma((S_n)^r, rS)$  explicitly.

Note first that  $Q_r = Q'_r[y_{12\dots n}^{(j)} : j = 1, \dots, n]$  where

$$Q'_r = \mathbf{Q}[y_J^{(j)} : \emptyset \neq J \subset \{1, 2, \dots, n\}, j = 1, \dots, n]$$

and that  $y_{12\dots n}^{(j)} \in Q_r^G$  for all  $j$ , so

$$Q_r^G = Q_r'^G[y_{12\dots n}^{(j)} : j = 1, \dots, n].$$

**Proposition 4.2.2**

$$Q'_r = \mathbf{Q}[\Sigma((S_n)^r, rS)] = \text{the face ring of } \Sigma((S_n)^r, rS)$$

Proof: Recall that  $\Sigma(S_n, S)$  is the barycentric subdivision of the boundary of  $(n - 1)$ -simplex having vertices  $\{1, 2, \dots, n\}$ . Thus the vertices of  $\Sigma(S_n, S)$  may be identified with subsets  $\emptyset \neq J \subset \{1, 2, \dots, n\}$ , and faces of  $\Sigma(S_n, S)$  may be identified with chains of such subsets. But this means  $\mathbf{Q}[\Sigma(S_n, S)] = Q'_1$ , by definition of  $Q'_1$ . Clearly,  $Q'_r = Q'_1 \otimes \dots \otimes Q'_1$ , and we have already noted that  $\Sigma((S_n)^r, rS) = \Sigma(S_n, S) * \dots * \Sigma(S_n, S)$ . Thus by the fact that  $k[A * B] = k[A] \otimes k[B]$  for any two simplicial complexes  $A, B$ , the result follows. ■

It is important to keep track of the correspondence between the two labellings we are implicitly using for  $\Sigma((S_n)^r, rS)$  and  $\Sigma(S_n, S)$ . On the one hand, a vertex of  $\Sigma(S_n, S)$  thought of as a coset  $wW_{S-s}$  has the label  $s \in \{(12), \dots, (n-1 n)\}$ . On the other hand, a vertex thought of as a subset  $\emptyset \neq K \subset \{1, 2, \dots, n\}$  has the label  $\#K \in \{1, 2, \dots, n\}$ . By chasing through the definitions, one can check that if  $s = (i i + 1)$ , then  $wW_{S-s}$  is a vertex corresponding to a subset  $L$  with  $\#L = i$ . This labelling correspondence extends straightforwardly to  $\Sigma((S_n)^r, rS)$ . Finally, we can state:

**Proposition 4.2.3**

$$F(Q_r^G, \lambda) = \frac{1}{\prod_{i=1}^n \prod_{j=1}^r (1 - \lambda_i^{(j)})} \sum_{J_1, \dots, J_r \subseteq S} \beta_{J_1, \dots, J_r}(\Sigma((S_n)^r, rS) / \Delta^r(G)) \prod_{s=1}^r \prod_{l \in J_s} \lambda_l^{(s)}$$

Proof: From the relation between  $Q_r^G$  and  $Q_r'^G$ , we have

$$F(Q_r^G, \lambda) = \frac{1}{\prod_{j=1}^r (1 - \lambda_n^{(j)})} F(Q_r'^G, \lambda).$$

A  $\mathbf{Q}$ -basis for  $Q_r'^G$  is in one-to-one correspondence with  $G$ -orbits  $Gm$  of non-zero monomials  $m \in Q_r'$ . Thus we have

$$F(Q_r'^G, \lambda) = \sum_{Gm} \lambda^{deg(m)}.$$

Given a monomial  $m = \prod_i (y_{J_i}^{(j_i)})^{m_i}$ , we will say its *support* is the square-free monomial  $\text{supp}(m) = \prod_i y_{J_i}^{(j_i)}$ . Notice that two monomials  $m, m'$  are in the same  $G$ -orbit if and only if  $G\text{supp}(m) = G\text{supp}(m')$  and  $\deg(m) = \deg(m')$ . Thus we have

$$\begin{aligned} F(\mathcal{Q}_r^G, \lambda) &= \sum_{\substack{Gm \\ m \text{ square-free}}} \sum_{\substack{Gm' \\ G\text{supp}(m')=Gm}} \lambda^{\deg(m)} \\ &= \sum_{\substack{Gm: \\ m \text{ square-free}}} \frac{\lambda^{\deg(m)}}{\prod_{i,j} (1 - \lambda_i^{(j)})^{\deg(m)_{i,j}}} \\ &= \sum_{J_1, \dots, J_r \subseteq \{1, \dots, n-1\}} \# \left\{ Gm : \lambda^{\deg(m)} = \prod_{s=1}^r \prod_{l \in J_s} \lambda_l^{(s)} \right\} \cdot \frac{\prod_{s=1}^r \prod_{l \in J_s} \lambda_l^{(s)}}{\prod_{s=1}^r \prod_{l \in J_s} (1 - \lambda_l^{(s)})} \end{aligned}$$

Now if we convert the label sets  $J_i \subseteq \{1, \dots, n-1\}$  into subsets  $J_i \subseteq S$  (using the scheme discussed above), then the previous proposition implies

$$\# \{ Gm : \lambda^{\deg(m)} = \prod_{s=1}^r \prod_{l \in J_s} \lambda_l^{(s)} \} = \alpha_{J_1, \dots, J_r}(\Sigma((S_n)^r, rS) / \Delta^r(G))$$

and hence

$$F(\mathcal{Q}_r^G, \lambda) = \sum_{J_1, \dots, J_r \subseteq \{1, \dots, n-1\}} \alpha_{J_1, \dots, J_r}(\Sigma((S_n)^r, rS) / \Delta^r(G)) \frac{\prod_{s=1}^r \prod_{l \in J_s} \lambda_l^{(s)}}{\prod_{s=1}^r \prod_{l \in J_s} (1 - \lambda_l^{(s)})}.$$

Bringing this over a common denominator (and a little algebra) gives

$$F(\mathcal{Q}_r^G, \lambda) = \frac{1}{\prod_{i=1}^{n-1} \prod_{j=1}^r (1 - \lambda_i^{(j)})} \sum_{J_1, \dots, J_r \subseteq S} \beta_{J_1, \dots, J_r}(\Sigma((S_n)^r, rS) / \Delta^r(G)) \prod_{s=1}^r \prod_{l \in J_s} \lambda_l^{(s)}$$

and combining this with the first sentence of this proof gives the result. ■

**Corollary 4.2.4** *Let  $W' \subseteq S_n$  be a reflection subgroup. Then*

$$F(\mathcal{Q}_r^{W'}, \lambda) = \frac{1}{\prod_{i=1}^n \prod_{j=1}^r (1 - \lambda_i^{(j)})} \sum_{\substack{(\sigma_1, \dots, \sigma_r) \in S_n^r \\ I(\sigma_r \dots \sigma_1) \cap W' = \emptyset}} \prod_{s=1}^r \prod_{l \in D(\sigma_s)} \lambda_l^{(s)}$$

and

$$F(\mathcal{R}_r^{W'}, \lambda) = \frac{1}{\prod_{i=1}^n \prod_{j=1}^r (1 - (t^{(j)})^i)} \sum_{\substack{(\sigma_1, \dots, \sigma_r) \in S_n^r \\ I(\sigma_r \dots \sigma_1) \cap W' = \emptyset}} \prod_{s=1}^r (t^{(s)})^{\text{maj}(\sigma_s)}$$

where  $\text{maj}(\sigma) = \sum_{(i, i+1) \in D(\sigma)} i$  is called the major (or greater) index of the permutation  $\sigma$ . ■

Having found one of our “nice descriptions” of  $\mathcal{R}_r^G$ , we now look at the other. The

following two theorems may be proven as straightforward extensions of the analogous results for the  $r = 1$  case given in [GS].

**Theorem 4.2.5** (cf. [GS], Theorem 9.2) *If  $\gamma_1, \dots, \gamma_t \in \mathcal{Q}_r^G$  are homogenous and form a basis for  $\mathcal{Q}_r^G$  as a free module over the subalgebra  $\mathbb{Q}[\theta_i^{(j)}]_{\substack{i=1, \dots, n \\ j=1, \dots, r}}$ , then*

$$\eta_1 = T(\gamma_1), \dots, \eta_t = T(\gamma_t)$$

*form a basis for  $\mathcal{R}_r^G$  as a free module over the subalgebra*

$$\mathbb{Q}[e_i(x^{(j)})]_{\substack{i=1, \dots, n \\ j=1, \dots, r}} \blacksquare$$

**Theorem 4.2.6** (cf. [GS], Theorem 6.2) *Let  $\Sigma((S_n)^r, rS)/\Delta^r(G) = \coprod_{i=1}^t [F_i, M_i]$  be a shelling, and for  $F \in \Sigma((S_n)^r, rS)/\Delta^r(G)$  let*

$$S^G(F) = \frac{1}{\#G} \sum_{m' \in Gm} m'$$

*where  $Gm$  is the orbit of monomials in  $\mathcal{Q}_r$  corresponding to the orbit of faces  $F$ . Then  $\gamma_1 = S^G(F_1), \dots, \gamma_t = S^G(F_t)$  form a basis as in the hypothesis of the previous theorem.*

We are now but a definition away from our goal.

**Definition:** Given  $J = \{(i_1 \ i_1 + 1), \dots, (i_l \ i_l + 1)\} \subseteq S$  and  $\sigma \in S_n$ , let

$$\gamma_J^{(j)}(\sigma) = y_{\sigma_1 \dots \sigma_{i_1}}^j y_{\sigma_1 \dots \sigma_{i_2}}^j \cdots y_{\sigma_1 \dots \sigma_{i_l}}^j \in \mathcal{Q}_r$$

and let

$$\gamma_{J_1, \dots, J_r}(\sigma_1, \dots, \sigma_r) = \gamma_{J_1}^{(j)}(\sigma_1) \cdots \gamma_{J_r}^{(j)}(\sigma_r)$$

and

$$\eta_{J_1, \dots, J_r}(\sigma_1, \dots, \sigma_r) = T(\gamma_{J_1, \dots, J_r}(\sigma_1, \dots, \sigma_r)).$$

Let  $S^G$  denote the symmetrization operator defined in the previous theorem.

**Theorem 4.2.7** *For  $r = 1, 2$  the set*

$$\{S^{W'} \gamma_{D(w_1), \dots, D(w_r)}(\sigma_r \sigma_{r-1} \cdots \sigma_1, \sigma_r \sigma_{r-1} \cdots \sigma_2, \dots, \sigma_r) : I(w_r w_{r-1} \cdots w_1) \cap W' = \emptyset\}$$

*form a basis as in Theorem 4.2.5 for  $\mathcal{Q}_r^{W'}$ , and hence their images under  $T$  (the corresponding  $\eta$ 's) form a basis for  $\mathcal{R}_r^{W'}$ .*

Proof: Since Theorem 4.1.6 gives a shelling of  $\Sigma(W^r, rS)/\Delta^r(W')$  for  $r = 1, 2$ , we can apply Theorems 4.2.5 and 4.2.6, yielding the result.  $\blacksquare$

**Example:** Let  $n = 3, r = 2, W' = W = S_n$ . Writing the permutation  $\sigma = \begin{pmatrix} 1 & 2 & 3 \\ \sigma_1 & \sigma_2 & \sigma_3 \end{pmatrix}$

as  $\sigma_1\sigma_2\sigma_3$ , and putting a dot between  $\sigma_i, \sigma_{i+1}$  iff  $(i, i+1) \in D(\sigma)$ , we have the following table:

$\frac{(w_1, w_2):}{I(w_2 w_1) \cap W' = \emptyset}$	$(w_2 w_1, w_2)$	$S^{W'} \gamma_{D(w_1), D(w_2)}(w_2 w_1, w_2)$	$S^{W'} \eta_{D(w_1), D(w_2)}(w_2 w_1, w_2)$
(123, 123)	(123, 123)	$S^{W'} 1$	$S^{W'} 1$
(13 · 2, 13 · 2)	(123, 132)	$S^{W'} y_{12}^{(1)} y_{13}^{(2)}$	$S^{W'} x_1^{(1)} x_2^{(1)} x_1^{(2)} x_3^{(2)}$
(2 · 13, 2 · 13)	(123, 213)	$S^{W'} y_1^{(1)} y_2^{(2)}$	$S^{W'} x_1^{(1)} x_2^{(2)}$
(23 · 1, 3 · 12)	(123, 312)	$S^{W'} y_{12}^{(1)} y_3^{(2)}$	$S^{W'} x_1^{(1)} x_2^{(1)} x_3^{(2)}$
(3 · 12, 23 · 1)	(123, 132)	$S^{W'} y_1^{(1)} y_{23}^{(2)}$	$S^{W'} x_1^{(1)} x_2^{(2)} x_3^{(2)}$
(3 · 2 · 1, 3 · 2 · 1)	(123, 321)	$S^{W'} y_1^{(1)} y_{12}^{(1)} y_3^{(2)} y_{23}^{(2)}$	$S^{W'} x_1^{(1)} x_1^{(1)} x_2^{(1)} x_3^{(2)} x_2^{(2)} x_3^{(2)}$

From the previous theorem, we conclude that the symmetrized monomials in the third column form a basis for  $\mathcal{Q}_2^{S_3}$  as a free module over  $\mathbf{Q}[\theta_i^{(j)}]_{\substack{j=1,2 \\ i=1,2,3}}$ , and those in the fourth column form a basis for  $\mathcal{R}_2^{S_3}$  as a free module over  $\mathbf{Q}[e_i(x^{(j)})]_{\substack{j=1,2 \\ i=1,2,3}}$ . Notice also that the data about descents shown in the first column verifies an instance of Corollary 4.1.5.

**Conjecture 4.2.8** *Theorem 4.2.7 holds without the restriction to  $r = 1, 2$ .*

This conjecture cannot be proven in general by appeal to Theorem 4.2.6, since we have seen in an earlier remark that  $\Sigma((S_n)^r, rS)/\Delta^r(W')$  may be non-shellable. However, Garsia and Stanton prove for  $r = 1$  (and it easily generalizes to all  $r$ ), that the conclusion to Theorem 4.2.7 is equivalent to the weaker hypothesis that  $\Sigma((S_n)^r, rS)/\Delta^r(W') = \coprod_{i=1}^t [F_i, M_i]$  is a partitioning for which the incidence matrix

$$(m_{i,j})_{i,j=1}^t \text{ where } m_{i,j} = \begin{cases} 1 & \text{if } F_i \leq M_j \\ 0 & \text{else} \end{cases}$$

is invertible. It is clear that  $\coprod_{i=1}^t [F_i, M_i]$  is a shelling exactly when  $(m_{i,j})$  is upper triangular (and hence invertible, since  $m_{i,i} = 1$ ).

Admittedly, the evidence in support of the invertibility of  $(m_{i,j})$  for  $r \geq 3$  (and hence for the above conjecture) is small, since there are only two special cases for which we can prove it:

1. For  $W' = 1$ , since in this case  $\Sigma((S_n)^r, rS)/\Delta^r(W') = \Sigma((S_n)^r, rS)$ , and then the above partitioning is the same as the shelling of Theorem 3.4.5.
2. For  $n = 2$  and  $W' = W = S_2$ , by an ad hoc induction on  $r$  (which we mercifully omit).

**Remark:** For  $r = 1, 2$  and  $W' = W = S_n$ , Theorem 4.2.7 gives an explicit description

of a ring considered by Solomon in his invariant-theoretic proof of Gordon's Theorem. To be precise, in the notation of Theorem 4.12 of [So3], if we take  $G = 1$ ,  $W = \mathbf{C}$ ,  $p = 1$ , and  $Y_1 = X_1$ , then Theorem 4.12 (Gordon's Theorem) asserts that a certain formal power series  $P_n$  has non-negative integral coefficients. The proof proceeds by showing that  $P_n$  is the Hilbert series of a ring which Solomon calls  $I(T^m C^n \otimes W^n)$ . With the above choices for  $G, W, p, Y_1$ , this ring is the same as (in our notation) the ring

$$\mathcal{R}_n^{S_n} / (e_i(x^{(j)}))_{\substack{i=1, \dots, n \\ j=1, \dots, m}}.$$

Thus Theorem 4.2.7 gives a  $\mathbf{Q}$ -basis for this ring if  $n = 1, 2$ , and the succeeding conjecture asserts the same for all  $n$ .

**Remark:** All the results of this section have analogues for *Weyl groups*  $W$  other than  $S_n$ . For information on this, see [GS], Sections 8,9.

### 4.3 Alternating subgroups and their diagonal embeddings

In this section we examine quotients by another class of subgroups, related to reflection subgroups.

**Definition:** Let  $W'$  be a reflection subgroup of  $W$ . The *alternating subgroup*  $E'$  of  $W'$  is defined by  $E' = \{w \in W' : \text{sgn}(w) = 1\}$ . For example, if  $W' = W = S_n$ , then  $E'$  is the subgroup of all even permutations in  $S_n$ .

For the remainder of this section, let  $(W, S)$  be a finite Coxeter system,  $W'$  a reflection subgroup of  $W$ , and  $E'$  the alternating subgroup of  $W'$ . We now propose to study quotients  $\Sigma(W^r, rS) / \Delta^r(E')$ , just as we did for  $\Sigma(W^r, rS) / \Delta^r(W')$  in Section 4.1. We need one more piece of Coxeter group theory before we can proceed.

**Definition:** The *longest element*  $w_0$  of  $W$  is the unique element of  $W$  satisfying  $I(w_0) = \Phi^+$  (i.e.  $w_0 \Phi^+ = -\Phi^+$ ). We will also need the fact that  $w_0^2 = 1$  (see [Bo], Chapitre VI Section 1, Corollaire 3 for facts about  $w_0$ ). Since  $W'$  is a Coxeter group in its own right, it also has a unique longest element (which we will call  $w'_0$ ) satisfying  $I(w'_0) \cap W' = \Phi_{W'}^+$ . Of course, we also have  $w'^2_0 = 1$ .

**Example:** For  $W = S_n$ ,  $w_0 = \begin{pmatrix} 1 & 2 & \dots & n \\ n & n-1 & \dots & 1 \end{pmatrix}$ . For  $W' \subseteq W = S_n$ , if  $W'$  corresponds to the partition  $\pi$  of  $\{1, 2, \dots, n\}$ , then  $w'_0$  is the unique permutation in  $W'$  that has the numbers in each block of  $\pi$  in decreasing order. E.g., if  $n = 6$  and  $W' = S_{\{1,4,5\}} \times S_{\{2,6\}} \times S_{\{3\}}$  then  $w'_0 = \begin{pmatrix} 123456 \\ 563412 \end{pmatrix}$ .

For the remainder of this section, we fix a particular reflection  $t$  in  $W'$  (i.e  $t \in W' \cap T$ ).

**Proposition 4.3.1**

$$\mathcal{A}_r(P(W')) \amalg tw'_0\mathcal{A}_r(-P(W'))$$

is a fundamental domain for the action of  $E'$  on  $V^r$ , i.e. every orbit  $E'f$  of a vector  $f \in V^r$  has a unique representative in the above set.

Proof: First we show that the above union is indeed disjoint. Suppose not, i.e. let  $e \in \mathcal{A}_r(P(W')) \cap tw'_0\mathcal{A}_r(-P(W'))$ . Let  $t = r_\beta$  with  $\beta \in \Phi_{W'}^+$ , and let  $\alpha = w'_0(\beta) \in -\Phi_{W'}^+$  (since  $w'_0\Phi_{W'}^+ = -\Phi_{W'}^+$ ). Then we have

$$\langle \beta, e \rangle = -\langle t(\beta), e \rangle = -\langle tw'_0w'_0(\beta), e \rangle = -\langle tw'_0(\alpha), e \rangle = -\langle \alpha, w'_0t(e) \rangle <_{\mathcal{L}} \underline{0}$$

since  $e \in tw'_0\mathcal{A}_r(-P(W'))$  and  $\alpha \in -P(W') \cap -\Phi^+$ . But  $\langle \beta, e \rangle <_{\mathcal{L}} \underline{0}$  contradicts  $e \in \mathcal{A}_r(P(W'))$ , since  $\beta \in \Phi_{W'}^+$ .

*Existence:* Given  $E'f$ , let  $e'$  be the unique representative of  $W'f$  which lies in  $\mathcal{A}_r(P(W'))$  (and whose existence is guaranteed by Proposition 4.1.1). If  $e' \in E'f$ , then let  $e = e'$  and we are done. Otherwise  $e' \in W'f - E'f$ , so let  $e = t(e') \in E'f$ . We claim that in this case,  $e \in tw'_0\mathcal{A}_r(-P(W'))$ , i.e.  $w'_0t(e) \in \mathcal{A}_r(-P(W'))$ . To see this, let  $\alpha \in -\Phi_{W'}^+$ , and we have

$$\langle \alpha, w'_0t(e) \rangle = \langle \alpha, w'_0(e') \rangle = \langle w'_0(\alpha), e' \rangle \geq_{\mathcal{L}} \underline{0}$$

since  $w'_0(\alpha) \in \Phi_{W'}^+$  and  $e' \in \mathcal{A}_r(-P(W'))$ . We actually need the previous inequality to be strict. But if it were not strict, that is if  $\langle w'_0(\alpha), e' \rangle = \underline{0}$ , then  $e' = r_{w'_0(\alpha)}(e') \in E'f$ , a contradiction.

*Uniqueness:* Let  $e_1, e_2 \in E'f$  both lie in  $\mathcal{A}_r(P(W')) \amalg tw'_0\mathcal{A}_r(-P(W'))$ . We must show  $e_1 = e_2$ .

*Case 1:*  $e_i \in \mathcal{A}_r(P(W'))$  for  $i = 1, 2$ . Then  $e_1 = e_2$  by the uniqueness statement in Proposition 4.1.1.

*Case 2:*  $e_i \in tw'_0\mathcal{A}_r(-P(W'))$ . It is easy to check that

$$e \in tw'_0\mathcal{A}_r(-P(W')) \Rightarrow t(e) \in \mathcal{A}_r(P(W')) .$$

Hence in this case we have  $t(e_1), t(e_2) \in \mathcal{A}_r(P(W')) \cap W'f$ , so  $t(e_1) = t(e_2)$  and  $e_1 = e_2$ .

*Case 3:*  $e_1 \in \mathcal{A}_r(P(W'))$ ,  $e_2 \in tw'_0\mathcal{A}_r(-P(W'))$ . We want show that this leads to a contradiction. Since  $e_1, t(e_2) \in \mathcal{A}_r(P(W')) \cap W'f$ , we have  $e_1 = t(e_2)$ . On the other hand, since  $e_1, e_2 \in E'f$ , we have  $e_1 = \epsilon(e_2)$  for some  $\epsilon \in E'$ . We will get our contradiction by showing that  $t = \epsilon$  (impossible since  $t \notin E'$ ). To see this, let  $V_{W'}$  be the  $\mathbf{R}$ -span of  $\Phi_{W'}^+$ , and let  $\pi : V \rightarrow V_{W'}$  be orthogonal projection with respect to  $\langle \cdot, \cdot \rangle$ . Given  $\alpha \in \Phi_{W'}^+$ , we have

$$\langle \alpha, e_1 \rangle = \langle \alpha, t(e_2) \rangle = \langle \alpha, w'_0w'_0t(e_2) \rangle = \langle w'_0(\alpha), w'_0t(e_2) \rangle >_{\mathcal{L}} \underline{0}$$

since  $w'_0(\alpha) \in -\Phi_{W'}^+$  and  $w'_0t(e_2) \in \mathcal{A}_r(-P(W'))$ . Thus

$$\langle \alpha, \pi(e_1) \rangle = \langle \alpha, \pi(t(e_2)) \rangle >_{\mathcal{L}} \underline{0} \quad \forall \alpha \in \Phi_{W'}^+,$$

and since  $W'$  preserves  $V_{W'}$  and commutes with  $\pi$ , this means

$$\langle \alpha, \epsilon\pi(e_2) \rangle = \langle \alpha, t\pi(e_2) \rangle >_{\mathcal{L}} \underline{0} \quad \forall \alpha \in \Phi_{W'}^+.$$

But  $\Phi_{W'}^+$  is a positive root system for  $W'$  on  $V_{W'}$  (Appendix, Proposition A.0.9), and hence by Lemma 4.1.2, we have  $\epsilon = t$ . ■

**Example:** The previous proposition is easy to understand when  $W = S_n$ , and particularly simple when  $W' = W = S_n$  and  $r = 1$  (although the more general case of  $W'$  and  $r$  is very similar). In this case, the proposition says that given  $(f_1, \dots, f_n) \in \mathbf{R}^n$ , we can either use an even permutation  $\epsilon$  to get  $f_{\epsilon(1)} \geq \dots \geq f_{\epsilon(n)}$  (i.e.  $\epsilon(f) \in \mathcal{A}(P(W'))$ ), or else this is impossible. If it is impossible, then all of the  $f_i$ 's must be distinct, and by an odd permutation  $\sigma$  we can get  $f_{\sigma(1)} > \dots > f_{\sigma(n)}$ . Hence if we fix  $t = (12)$ , the using the even permutation  $t\sigma$  we can get

$$f_{t\sigma(2)} > f_{t\sigma(1)} > f_{t\sigma(3)} > f_{t\sigma(4)} \dots > f_{t\sigma(n)}$$

i.e.  $\sigma t(f) \in tw_0\mathcal{A}(-P(W'))$ .

**Theorem 4.3.2**

$$\begin{aligned} \Sigma(W^r, rS) / \Delta^r(E') = & \\ & \coprod_{\substack{(w_1, \dots, w_r) \\ I(w_1 \dots w_r) \cap W' = \emptyset}} \prod_{i=1}^r [\Delta^r(E') w_r w_{r-1} \dots w_i W_{S-D(w_i)}, \Delta^r(E') w_r w_{r-1} \dots w_i W_{\emptyset}] \\ & \coprod_{\substack{(w_1, \dots, w_r) \\ I(w_1 \dots w_r) \cap W' = \Phi_{W'}^+}} \prod_{i=1}^r [\Delta^r(E') t w'_0 w_r w_{r-1} \dots w_i W_{S-D(w_i)}, \Delta^r(E') t w'_0 w_r w_{r-1} \dots w_i W_{\emptyset}] \end{aligned}$$

is a partitioning.

Proof: The previous proposition asserts that

$$V^r / E' = \{ E' f : f \in \mathcal{A}_r(P(W')) \amalg t w'_0 \mathcal{A}_r(-P(W')) \}.$$

Since

$$\mathcal{L}(-P(W')) = \{ w \in W : -\Phi_{W'}^+ \subseteq w\Phi^+ \} = \{ w \in W : I(w) \cap W' = \Phi_{W'}^+ \},$$

we conclude from Proposition 3.4.1 that

$$V^r / E' = \{ E' f : f \in \prod_{w: I(w) \cap W' = \emptyset} \mathcal{A}_r(w\Phi^+) \amalg \prod_{w: I(w) \cap W' = \Phi_{W'}^+} t w'_0 \mathcal{A}_r(w\Phi^+) \}.$$

Proceeding as in the proof of Theorem 4.1.3, we apply Theorem 3.4.2, then apply the map  $E' f \mapsto F(E' f)$ , and use Lemma 3.2.1 to reach our conclusion. ■

**Corollary 4.3.3**

$$\beta_{J_1, \dots, J_r}(\Sigma(W^r, rS)/\Delta^r(E')) = \#\{(w_1, \dots, w_r) : I(w_r \cdots w_1) \cap W' = \emptyset \text{ or } \Phi_{W'}^+\}.$$

**Corollary 4.3.4**

$$\begin{aligned} & \#\{(w_1, \dots, w_r) : D(w_i) = J_i, I(w_r \cdots w_1) \cap W' = \emptyset \text{ or } \Phi_{W'}^+\} = \\ & \#\{(w_1, \dots, w_r) : D(w_i) = S - J_i, I(w_r \cdots w_1) \cap W' = \emptyset \text{ or } \Phi_{W'}^+\} \end{aligned}$$

for all  $J_1, \dots, J_r \subseteq S$ .

Proof: By definition,  $\text{sgn}(\epsilon) = 1$  for all  $\epsilon \in E'$ . Apply Proposition 2.4.4 and the previous corollary. ■

**Remark:** The exact same remarks as after Corollary 4.1.5 apply to the previous corollary.

When  $r = 1$ , we can put a shelling order on the above partitioning. But prior to doing this, let us write the partitioning more succinctly. Note that

$$\begin{aligned} u \in \mathcal{L}(-P(W')) & \Leftrightarrow -\Phi_{W'}^+ \subseteq u\Phi^+ \\ & \Leftrightarrow w'_0\Phi_{W'}^+ \subseteq u\Phi^+ \\ & \Leftrightarrow \Phi_{W'}^+ \subseteq w'_0u\Phi^+ \\ & \Leftrightarrow I(w'_0u) \cap W' = \emptyset \\ & \Leftrightarrow u \in w'_0\mathcal{L}(P(W')) \end{aligned}$$

Thus for  $r = 1$  we can rewrite our partitioning as

$$\begin{aligned} \Sigma(W, S)/E' & = \coprod_{w \in \mathcal{L}(P(W'))} [E'wW_{S-D(w)}, E'wW_\emptyset] \amalg [E'twW_{S-D(w'_0w)}, E'twW_\emptyset] \\ & = \coprod_{w \in \mathcal{L}(P(W')) \amalg t\mathcal{L}(P(W'))} [E'uW_{S-D(\psi(w))}, E'uW_\emptyset] \end{aligned}$$

where  $\psi : \mathcal{L}(P(W')) \amalg t\mathcal{L}(P(W')) \rightarrow W$  is the set map defined by

$$\psi(u) = \begin{cases} u & \text{if } u \in \mathcal{L}(P(W')) \\ w'_0tu & \text{if } u \in t\mathcal{L}(P(W')) \end{cases}$$

**Theorem 4.3.5** For  $r = 1$ , the above partitioning is a shelling, if we order

$$\{u \in \mathcal{L}(P(W')) \amalg t\mathcal{L}(P(W'))\}$$

by any linear extension of Bruhat order  $<_B$  on  $\{\psi(u)\}_{u \in \mathcal{L}(P(W')) \amalg t\mathcal{L}(P(W'))}$ .



Proof: We need to show that if

$$u_1, u_2 \in \mathcal{L}(P(W')) \amalg t\mathcal{L}(P(W'))$$

and

$$E'u_2 \subseteq E'u_1W_{S-D(\psi(u_1))},$$

then  $\psi(u_1) \leq_B \psi(u_2)$ . There are four cases:

*Case 1:*  $u_1, u_2 \in \mathcal{L}(P(W'))$ . Then we have  $E'u_2 \subseteq E'u_1W_{S-D(u_1)}$  which implies  $u_2 \in W'u_1W_{S-D(u_1)}$ . Hence  $u_1 \leq_B u_2$ , since  $u_1$  is the least element of  $W'u_1W_{S-D(u_1)}$  under  $\leq_B$  (Appendix, Proposition A.0.12). But  $u_i = \psi(u_i)$  for  $i = 1, 2$  and thus  $\psi(u_1) \leq_B \psi(u_2)$ .

*Case 2:*  $u_1 \in \mathcal{L}(P(W'))$ ,  $u_2 \in t\mathcal{L}(P(W'))$ . Then we still have  $u_2 \in W'u_1W_{S-D(u_1)}$ , and hence  $w'_0u_2 \in W'u_1W_{S-D(u_1)}$ , so  $u_1 \leq_B w'_0u_2$ . But  $u_1 = \psi(u_1)$ ,  $w'_0u_2 = \psi(u_2)$ .

*Case 3:*  $u_1, u_2 \in t\mathcal{L}(P(W'))$ . Let  $u_i = tv_i$  for  $i = 1, 2$ . Then  $E'u_2 \subseteq E'u_1W_{S-D(\psi(u_1))}$  implies  $E'tv_2 \subseteq E'tv_1W_{S-D(w'_0v_1)}$ . Let  $tv_2 \in etv_1W_{S-D(v_1)}$  for some  $e \in E'$ . Then we have

$$te^{-1}tv_2 \in v_1W_{S-D(w'_0v_1)} \Rightarrow w'_0te^{-1}tv_2 \in w'_0v_1W_{S-D(w'_0v_1)} \Rightarrow w'_0v_1 \leq_B w'_0te^{-1}tv_2$$

since  $w'_0v_1$  is the least element of  $w'_0vW_{S-D(w'_0v)}$  under weak order  $\leq_R$  and hence under  $\leq_B$ . We also have  $w'_0te^{-1}t \leq_B w'_0$ , since  $w'_0$  is the greatest element of  $W'$  under  $\leq_B$ . Hence  $w'_0te^{-1}tv_2 \leq_B w'_0v_2$ , since  $I(v_2) \cap W' = \emptyset$  implies that multiplication of elements of  $W'$  on the right by  $v_2$  preserves  $\leq_B$  (Appendix, Proposition A.0.10). Thus

$$\psi(u_1) = w'_0v_1 \leq_B w'_0te^{-1}tv_2 \leq_B w'_0v_2 = \psi(u_2).$$

*Case 4:*  $u_1 \in t\mathcal{L}(P(W'))$ ,  $u_2 \in \mathcal{L}(P(W'))$ . We will show that this leads to a contradiction. Let  $u_1 = tv_1$ . Then  $E'u_2 \subseteq E'u_1W_{S-D(\psi(u_1))}$  implies  $E'u_2 \subseteq E'tv_1W_{S-D(w'_0v_1)}$ . Let  $u_2 = etv_1w$  where  $e \in E'$ ,  $w \in W_{S-D(w'_0v_1)}$ . We then have

$$\begin{aligned} u_2 &= etv_1w \\ te^{-1}u_2 &= v_1w \\ w'_0te^{-1}u_2 &= w'_0v_1w \\ I(w'_0te^{-1}u_2) &= I(w'_0v_1w) \end{aligned}$$

If we let  $+$  denote the operation of symmetric difference of sets, then applying Lemma A.0.11 of the Appendix to the last equation, we get

$$I(w'_0) + w'_0I(te^{-1})w'_0 + w'_0te^{-1}I(u_2)etw'_0 = (I(w'_0) + w'_0I(v_1)w'_0) \amalg w'_0v_1I(w)v_1^{-1}w'_0$$

If we intersect both sides of the above equation with  $W'$  and note that

$$I(v_1) \cap W' = I(u_2) \cap W' = \emptyset$$

implies

$$w'_0 I(v_1) w'_0 \cap W' = w'_0 t e^{-1} I(u_2) e t w'_0 \cap W' = \emptyset,$$

we get

$$T_{W'} - (w'_0 I(t e^{-1}) w'_0 \cap W') = T_{W'} \cap (w'_0 v_1 I(e) v_1^{-1} w'_0 \cap W')$$

This implies  $w'_0 I(t e^{-1}) w'_0 \cap W' = \emptyset$ , and hence that  $I(t e^{-1}) \cap W' = \emptyset$ , so  $t = e$ . But  $t \notin E', e \in E'$ , so this is a contradiction. ■

**Corollary 4.3.6**  $\Sigma(W, S)/E'$  is  $CM/k$  for all fields  $k$  and homeomorphic to an  $(\#S - 1)$ -sphere.

Proof: See the proof of Theorem 4.1.8. ■

**Remark:** Similarly to Theorem 4.1.6, one can give examples to show that Theorem 4.3.5 is tight in the sense that  $\Sigma(W^r, rS)/\Delta^r(E')$  can be non-shellable for all  $r \geq 2$ . For example, let  $(W, S) = (S_3, \{(12), (23)\})$ ,  $W' = W = S_3$ ,  $E' = \langle \left( \begin{smallmatrix} 123 \\ 231 \end{smallmatrix} \right) \rangle \cong \mathbf{Z}_3$ . It is easy to see that  $E'$  gives a free  $\mathbf{Z}_3$ -action on  $\Sigma(W, S)$ , and hence  $\Delta^r(E')$  gives a free  $\mathbf{Z}_3$ -action on  $\Sigma(W^r, rS)$  for all  $r$ . Hence for  $r > 1$ , since  $\Sigma(W^r, rS)$  is a  $(2r - 1)$ -sphere and simply-connected, the quotient map  $\Sigma(W^r, rS) \rightarrow \Sigma(W^r, rS)/\Delta^r(E')$  is the universal cover for  $\Sigma(W^r, rS)/\Delta^r(E')$ . We conclude that the fundamental group of  $\Sigma(W^r, rS)/\Delta^r(E')$  is  $E' \cong \mathbf{Z}_3$ , and thus  $\tilde{H}_1(\Sigma(W^r, rS)/\Delta^r(E')) = \mathbf{Z}_3$ . Thus for  $r \geq 2$ ,  $\Sigma(W^r, rS)/\Delta^r(E')$  can not be shellable, since it is not  $CM/k$  for fields  $k$  of characteristic 3.

It is now a simple matter to apply the results (and notation) of Section 4.2 to prove the following results about invariants.

**Theorem 4.3.7** Let  $W'$  be a reflection subgroup of  $S_n$ , and  $E' \subseteq W'$  its alternating subgroup. Then

$$F(\mathcal{Q}_r^{E'}, \lambda) = \frac{1}{\prod_{i=1}^n \prod_{j=1}^r (1 - \lambda_i^{(j)})} \sum_{\substack{(\sigma_1, \dots, \sigma_r) \in S_n^r \\ I(\sigma_r \dots \sigma_1) \cap W' = \emptyset \text{ or } \Phi_{W'}^+}} \prod_{s=1}^r \prod_{l \in D(\sigma_i)} \lambda_i^{(s)}$$

and

$$F(\mathcal{R}_r^{E'}, \lambda) = \frac{1}{\prod_{i=1}^n \prod_{j=1}^r (1 - (t^{(j)})^i)} \sum_{\substack{(\sigma_1, \dots, \sigma_r) \in S_n^r \\ I(\sigma_r \dots \sigma_1) \cap W' = \emptyset \text{ or } \Phi_{W'}^+}} \prod_{s=1}^r (t^{(s)})^{\text{maj}(\sigma_i)}. \blacksquare$$

**Theorem 4.3.8** Let  $W', E'$  be as in the previous theorem. Then the set

$$\{\mathcal{S}^{E'} \gamma_{D(\sigma)}(\sigma) : I(\sigma) \cap W' = \emptyset \text{ or } \Phi_{W'}^+\}$$

form a basis as in Theorem 4.2.5 for  $\mathcal{R}_r^{E'}$ , and hence their images under  $T$  (the corresponding  $\eta$ 's) form a basis for  $\mathcal{R}_r^{E'}$ . ■

**Example:** Let  $(W, S) = (S_4, \{(12), (23), (34)\})$ ,  $W' = \langle (13), (34) \rangle$ ,  $E' = \langle (134) \rangle$ . We know that  $\Sigma(W, S)$  is the barycentric subdivision of the boundary of a tetrahedron with vertices labelled 1, 2, 3, 4. In order to understand this particular  $E'$ -action, picture  $\Sigma(W, S)$  as the suspension of a circle  $C$ , in which the circle  $C$  is the boundary of the triangle with corners 1, 3, 4, and the suspension points are the vertices labelled 2 and 134 in the barycentric subdivision (see Figure 1). Since  $E'$  fixes both suspension points, and acts simplicially, it is not hard to see that in this case  $\Sigma(W, S)/E' = \text{Susp}(C)/E' = \text{Susp}(C/E')$ . It is easy to see that  $C/E'$  is a 1-sphere, and hence that  $\Sigma(W, S)/E'$  is the suspension of this 1-sphere, i.e. a 2-sphere in accordance with Corollary 4.3.6.

If we choose  $t = (13)$ , then using the same notations as in the example of Section 4.2, we have the following table:

$w : I(w) \cap W' = \emptyset$	$w$	$\mathcal{S}^{E'} \gamma_{D(w)}(w)$	$\mathcal{S}^{E'} \gamma_{D(w)}(w)$
1234	1234	$\mathcal{S}^{E'} 1$	$\mathcal{S}^{E'} 1$
$2 \cdot 134$	2134	$\mathcal{S}^{E'} y_2$	$\mathcal{S}^{E'} x_2$
$13 \cdot 24$	1324	$\mathcal{S}^{E'} y_{13}$	$\mathcal{S}^{E'} x_1 x_3$
$134 \cdot 2$	1342	$\mathcal{S}^{E'} y_{134}$	$\mathcal{S}^{E'} x_1 x_3 x_4$

$w'_0 w : I(w) \cap W' = \emptyset$	$tw$	$\mathcal{S}^{E'} \gamma_{D(w'_0 w)}(tw)$	$\mathcal{S}^{E'} \gamma_{D(w'_0 w)}(tw)$
$24 \cdot 3 \cdot 1$	2314	$\mathcal{S}^{E'} y_{23} y_{123}$	$\mathcal{S}^{E'} x_1 x_2^2 x_3$
$4 \cdot 23 \cdot 1$	3214	$\mathcal{S}^{E'} y_3 y_{123}$	$\mathcal{S}^{E'} x_1 x_2 x_3^2$
$4 \cdot 3 \cdot 12$	3142	$\mathcal{S}^{E'} y_3 y_{13}$	$\mathcal{S}^{E'} x_1 x_3^2$
$4 \cdot 3 \cdot 2 \cdot 1$	3124	$\mathcal{S}^{E'} y_3 y_{13} y_{123}$	$\mathcal{S}^{E'} x_1^2 x_2 x_3^2$

From the previous results, we have that the symmetrized monomials in the third column form a basis for  $\mathcal{Q}^{E'}$  as a free module over  $\mathbf{Q}[\theta_i]_{i=1,2,3,4}$ , and those in the fourth column form a basis for  $\mathcal{R}^{E'} = \mathbf{Q}[x_1, x_2, x_3, x_4]^{E'}$  as a free module over  $\mathbf{Q}[e_i(x)]_{i=1,2,3,4}$ . Notice also that the data about descents shown in the first column verifies an instance of Corollary 4.3.4

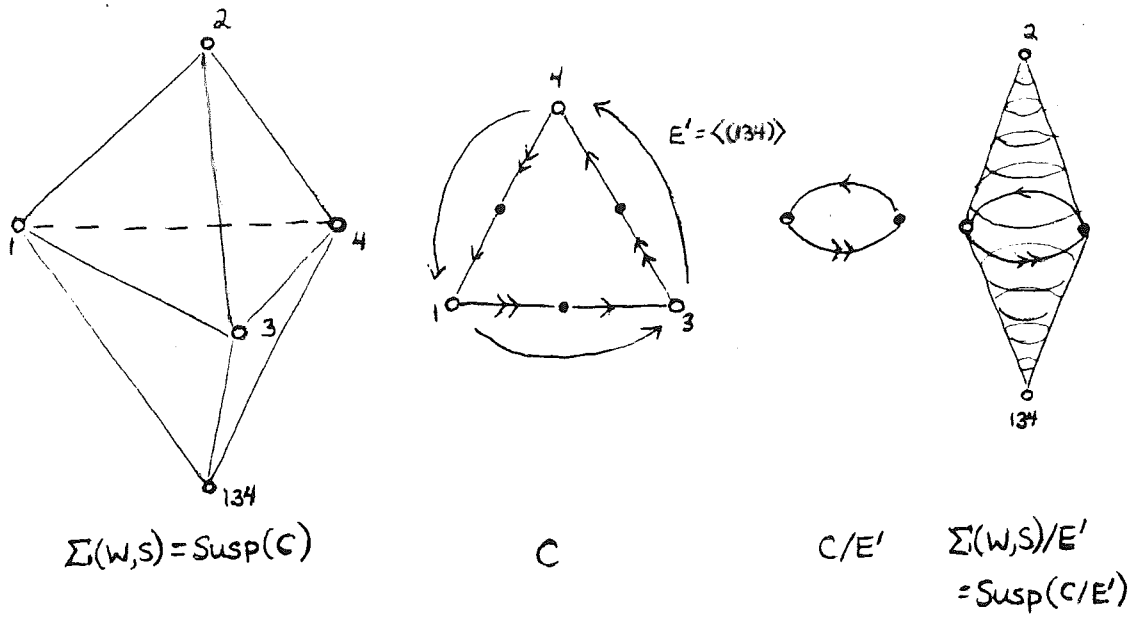


Figure 4-1: An example of  $\Sigma(W,S)/E'$

# Chapter 5

## Quotients by a Coxeter element

### 5.1 Introduction and definitions

In this chapter, we study quotients  $\Sigma(W, S)/G$  for another class of subgroups  $G$ , namely cyclic subgroups generated by a Coxeter element.

**Definition:** Let  $(W, S)$  be a finite Coxeter system. We say  $c \in W$  is a *Coxeter element* if  $c = s_1 s_2 \cdots s_m$  for some ordering of  $S = \{s_1, s_2, \dots, s_m\}$ . It is a fact (see [Bo], Chapitre V, Section 6) that for  $W$  finite, any two Coxeter elements are conjugate in  $W$ , i.e.  $s_1 \cdots s_m$  is conjugate in  $W$  to  $s_{\sigma_1} \cdots s_{\sigma_m}$  for any permutation  $\sigma \in S_m$ . Thus for our purposes, we can fix one ordering of  $S$  and hence fix  $c$  for the remainder of the chapter. The *Coxeter number*  $h$  is defined to be the order of any Coxeter element, i.e.  $h = \# \langle c \rangle$ . The *exponents* of  $W$  are defined to be the unique integers

$$1 \leq e_1 \leq e_2 \leq \dots \leq e_m < h$$

such that  $\{e^{\frac{2\pi i e_j}{h}}\}_{j=1, \dots, m}$  are the eigenvalues of any Coxeter element  $c$  when  $c$  acts in the canonical representation of  $W$  as a reflection group.

**Example:** Let  $(W, S) = (S_n, \{(12), (23), \dots, (n-1 n)\})$ . Then

$$c = (12)(23) \cdots (n-1 n) = (12 \cdots n),$$

an  $n$ -cycle. Hence the Coxeter number  $h$  is  $n$ . To find the exponents, recall that in its canonical representation,  $S_n$  acts as permutations of the coordinates in  $V = \{(f_1, \dots, f_n) \in \mathbf{R}^n : \sum f_i = 0\}$ . The characteristic polynomial for  $c$  acting on  $V$  is then  $\frac{\lambda^n - 1}{\lambda - 1}$ , so  $c$ 's eigenvalues are the non-unit  $n^{\text{th}}$  roots of unity, and hence

$$\{e_j\}_{j=1, \dots, m} = \{1, 2, \dots, n-1\}.$$

$(W, S)$	Coxeter Diagram	Coxeter number	Exponents
$A_n$		$n+1$	$1, 2, 3, \dots, n$
$B_n$		$2n$	$1, 3, 5, \dots, 2n-1$
$D_n$		$2(n-1)$	$1, 3, 5, \dots, 2n-3, n-1$
$E_6$		10	$1, 4, 5, 7, 8, 9$
$E_7$		18	$1, 5, 7, 9, 11, 13, 17$
$E_8$		30	$1, 7, 11, 13, 17, 19, 23, 29$
$F_4$		12	$1, 5, 7, 11$
$H_3$		10	$1, 5, 9$
$H_4$		30	$1, 11, 19, 29$
$I_2(m)$		$m$	$1, m-1$

Table 5.1: Classification of irreducible finite Coxeter systems

**Definition:** The Coxeter system  $(W, S)$  is *irreducible* if one cannot partition  $S = S_1 \amalg S_2$  in such a way that every element of  $S_1$  commutes with every element of  $S_2$

Clearly every Coxeter system can be decomposed uniquely as

$$(W, S) = (W_1 \times \dots \times W_r, S_1 \amalg \dots \amalg S_r)$$

where each  $(W_i, S_i)$  is an irreducible Coxeter system. Notice that a Coxeter element  $c$  of  $(W, S)$  can in this case be written as  $c = (c_1, \dots, c_r)$  where  $c_i$  is a Coxeter element of  $(W_i, S_i)$ . Irreducible finite Coxeter systems will be easier for us to work with, in part because they have been completely classified (see Table 1).

**Proposition 5.1.1** *Let  $(W, S) = (W_1 \times \dots \times W_r, S_1 \amalg \dots \amalg S_r)$  with  $(W_i, S_i)$  finite and irreducible. Then*

1.  $\Sigma(W, S)/\langle c \rangle$  is a pseudomanifold except in the following instance:  $(W_i, S_i) = A_1$

for some  $i$  ( $i = 1$  without loss of generality) and for all  $i > 1$ ,  $(W_i, S_i)$  has an odd Coxeter number (hence from Table 1 we must have  $(W_i, S_i) = A_{2k}$  or  $I_2(2l + 1)$  for  $i > 1$ ).

2.  $\Sigma(W, S)/\langle c \rangle$  is an orientable pseudomanifold if and only if  $\#S$  is even.

Proof:

1. By Proposition 2.4.2,  $\Sigma(W, S)/\langle c \rangle$  is a pseudomanifold except when  $t \in \langle c \rangle$  for some reflection  $t$ , so assume  $t = c^k$  for some  $k$ . Decompose  $c = (c_1, \dots, c_r)$ , with  $c_i$  a Coxeter element of  $(W_i, S_i)$ , so  $t^k = (c_1^k, \dots, c_r^k)$ . We will use the following fact (see [Bo], Chapitre V, Section 6): if  $(W, S)$  is irreducible and finite and  $\#S > 1$ , then there exists a 2-plane in  $V$  on which  $c$  acts as a rotation through an angle of  $\frac{2\pi}{h}$ . Since  $t$  is a reflection, its multiset of eigenvalues is  $\{-1, +1, +1, \dots, +1\}$ . By the above fact, for each  $i$  with  $\#S_i > 1$ , either  $c_i^k$  will either have two non-unit eigenvalues, or else  $c_i^k = 1$ . Thus in order to match  $t$ 's eigenvalues, we must have  $\#S_i = 1$  for exactly one  $i$  (and we may choose  $i = 1$  without loss of generality). So  $(W_1, S_1) = A_1$ , and we have  $c = (s, c_2, \dots, c_r)$  and  $t = (s^k, c_2^k, \dots, c_r^k)$ . Again to match  $t$ 's eigenvalues, we must have  $c_2^k = \dots = c_r^k = 1$  and  $s^k = s$ . Thus  $k$  must be odd, and  $c_2, \dots, c_r$  must have odd orders. But this is exactly the instance described in 1.
2. By Proposition 2.4.2,  $\Sigma(W, S)/\langle c \rangle$  is an orientable pseudomanifold if and only if  $\text{sgn}(g) = 1$  for all  $g \in \langle c \rangle$ . But  $\text{sgn}(c) = \text{sgn}(s_1 \cdots s_m) = (-1)^{\#S}$ , so this occurs exactly when  $\#S$  is even. ■

**Corollary 5.1.2** *If  $\#S$  is even then*

$$\beta_J(\Sigma(W, S)/\langle c \rangle) = \beta_{S-J}(\Sigma(W, S)/\langle c \rangle) \quad \forall J \subseteq S.$$

Proof: Apply Proposition 2.4.4 along with the previous proposition. ■

One might ask if there are any other relations that hold among  $\beta_J$ 's for  $\Sigma(W, S)/\langle c \rangle$ . One way in which they can arise is from symmetries of the Coxeter diagram.

**Definition:** Let  $(W, S)$  be a Coxeter system. The *Coxeter diagram* of  $(W, S)$  is the graph with vertex set  $S$  and having an edge labelled  $m_{ij}$  between node  $s_i$  and node  $s_j$  if  $m_{ij}$  is the order of  $s_i s_j$  in  $W$ . When drawing the diagram (as in Table 1), it is conventional to omit the edges labelled 2, and omit the labels on edges labelled 3. A *diagram automorphism* of  $(W, S)$  is a bijection  $\phi : S \rightarrow S$  such that for all  $i, j$ ,  $s_i s_j$  and  $\phi(s_i) \phi(s_j)$  have the same order in  $W$  (and hence  $\phi$  is a symmetry of the Coxeter diagram as a graph with labelled edges). Because the pairwise order relations  $(s_i s_j)^{m_{ij}} = 1$  form a presentation of  $W$  as a group ([Bro], Chapter II, Section 4), a diagram automorphism  $\phi$  induces a well-defined group automorphism  $\tilde{\phi} : W \rightarrow W$ .

**Proposition 5.1.3** *Let  $\phi$  be a diagram automorphism of the finite Coxeter system  $(W, S)$ . Then*

$$\beta_J(\Sigma(W, S)/\langle c \rangle) = \beta_{\phi(J)}(\Sigma(W, S)/\langle c \rangle) \quad \forall J \subseteq S.$$

Proof: We will show that

$$\alpha_J(\Sigma(W, S)/\langle c \rangle) = \alpha_{\phi(J)}(\Sigma(W, S)/\langle c \rangle) \quad \forall J \subseteq S$$

and the result then follows. By the fact mentioned after the first definition of this section, since  $\tilde{\phi}(c)$  is another Coxeter element, we have  $\tilde{\phi}(c) = u^{-1}cu$  for some  $u \in W$ . Define a map

$$\psi : W \rightarrow \{\text{double cosets } \langle c \rangle w W_{\phi(J)}\}$$

by

$$\psi(w) = \tilde{\phi}(\tilde{\phi}^{-1}(u)\langle c \rangle w W_J) = u \cdot u^{-1}\langle c \rangle u \phi(w) W_{\phi(J)} = \langle c \rangle u \tilde{\phi}(w) W_{\phi(J)}.$$

The first expression above for  $\psi$  shows that it actually induces a well-defined map

$$\tilde{\psi} : \{\text{double cosets } \langle c \rangle w W_J\} \rightarrow \{\text{double cosets } \langle c \rangle w W_{\phi(J)}\}.$$

This first expression also shows that  $\tilde{\psi}$  is bijective (since  $\tilde{\phi}$  is an automorphism), and so we are done. ■

When  $\#S$  is even, Corollary 5.1.2 tells us about a duality between  $\beta_J$  and  $\beta_{S-J}$  for  $\Sigma(W, S)/\langle c \rangle$ . Is there anything we can say when  $\#S$  is odd? In many instances, we still have a form of weaker “local duality”.

**Definition:** Given a subgroup  $G$  of  $W$  and  $s \in S$ , we will say  $G$  is  $s$ -dual if

$$\text{sgn}|_{w^{-1}Gw \cap W_{S-s}} = 1 \quad \forall w \in W.$$

**Proposition 5.1.4 (s-local duality)** *If  $G$  is  $s$ -dual for some  $s \in S$ , then (abbreviating  $\beta_J(\Sigma(W, S)/\langle c \rangle)$  by  $\beta_J$ ) for all  $J \subseteq S - s$ , we have that*

$$\beta_J + \beta_{J+s} = \beta_{S-J} + \beta_{S-J-s}.$$

Proof:

$$\begin{aligned} \beta_J + \beta_{J+s} &= \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_K + \sum_{K \subseteq J+s} (-1)^{\#(J+s-K)} \alpha_K \\ &= \sum_{s \in K \subseteq J+s} (-1)^{\#(J+s-K)} \alpha_K \\ &= \sum_{L \subseteq J} (-1)^{\#(J-L)} \alpha_{L+s} \end{aligned}$$



Now by definition

$$\begin{aligned}\alpha_{L+s} &= \#\{\text{double cosets } GwW_{S-L-s} \subseteq W\} \\ &= \sum_{\substack{\text{double cosets} \\ Gw_iW_{S-s} \subseteq W}} \#\{\text{double cosets } GwW_{S-L-s} \subseteq Gw_iW_{S-s}\}.\end{aligned}$$

We interject here a group-theory lemma that will help us to re-interpret this sum.

**Lemma 5.1.5** *Let  $W$  be a finite group with subgroups  $G, H, I$  and  $H \subseteq I$ . Given  $z \in W$ , we have*

$$\#\{(z^{-1}Gz \cap I)xH \subseteq I : x \in I\} = \#\{GyH \subseteq GzI : y \in W\}.$$

Proof of lemma: Define a set map

$$\psi : I \rightarrow \{GyH \subseteq GzI : y \in W\}$$

by  $\psi(x) = GzxH$ . Clearly  $\psi(x)$  depends only on the double coset  $(z^{-1}Gz \cap I)xH$ , and hence  $\psi$  induces a well-defined map  $\tilde{\psi}$  between the two sets in the statement of this lemma.

$\tilde{\psi}$  is surjective: Given  $GyH \subseteq GzI$ , we have  $y \in GzI$  and hence we can write  $y = gzx$  for some  $x \in I$ . Then  $\psi(x) = GzxH = GyH$  as we want.

$\tilde{\psi}$  is injective: Assume  $Gzx_1H = Gzx_2H$  for some  $x_1, x_2 \in I$ . Then  $x_1 \in z^{-1}Gzx_2H$ , so we can write  $x_1 = \gamma x_2 h$  with  $\gamma \in z^{-1}Gz, h \in H$ . But then  $\gamma = x_1 h^{-1} x_2^{-1} \in I$ , so  $x_1 \in (z^{-1}Gz \cap I)x_2H$  as we want. ■

Continuing the proof of Proposition 5.1.4, we apply this lemma with  $W = W, G = G, H = W_{S-L-s}, I = W_{S-s}$ , and  $z = w_i$  to conclude that

$$\begin{aligned}\alpha_{L+s} &= \sum_{\substack{\text{double cosets} \\ Gw_iW_{S-s} \subseteq W}} \#\{\text{double cosets } (w_i^{-1}Gw_i \cap W_{S-s})wW_{S-L-s} \subseteq W_{S-s} : w \in W_{S-s}\} \\ &= \sum_{\substack{\text{double cosets} \\ Gw_iW_{S-s} \subseteq W}} \alpha_L(\Sigma(W_{S-s}, S-s)/w_i^{-1}Gw_i \cap W_{S-s}).\end{aligned}$$

Therefore

$$\begin{aligned}\beta_J + \beta_{J+s} &= \sum_{L \subseteq J} (-1)^{\#(J-L)} \sum_{\substack{\text{double cosets} \\ Gw_iW_{S-s} \subseteq W}} \alpha_L(\Sigma(W_{S-s}, S-s)/w_i^{-1}Gw_i \cap W_{S-s}). \\ &= \sum_{\substack{\text{double cosets} \\ Gw_iW_{S-s} \subseteq W}} \beta_J(\Sigma(W_{S-s}, S-s)/w_i^{-1}Gw_i \cap W_{S-s}). \\ &= \sum_{\substack{\text{double cosets} \\ Gw_iW_{S-s} \subseteq W}} \beta_{S-J-s}(\Sigma(W_{S-s}, S-s)/w_i^{-1}Gw_i \cap W_{S-s}). \\ &= \beta_{S-J-s} + \beta_{S-J}.\end{aligned}$$

The second-to-last equality comes from the assumption that  $G$  is  $s$ -dual (and Proposition

2.4.4). The last equality follows from reversing all the previous steps. ■

Our next result asserts that among finite Coxeter systems and  $s \in S$ , the property of  $\langle c \rangle$  being  $s$ -dual is the rule rather than the exception.

**Proposition 5.1.6** *Let  $(W, S) = (W_1 \times \cdots \times W_r, S_1 \amalg \cdots \amalg S_r)$  with  $(W_i, S_i)$  finite and irreducible. Let  $s \in S_i$ . Then  $\langle c \rangle$  is  $s$ -dual except when  $\#S$  is odd and one of the following holds:*

1.  $(W_i, S_i) = A_{n-1} \cong (S_n, \{(12), (23), \dots, (n-1 n)\})$  and  $s = (j \ j+1)$  where  $j$  has odd order (additively) in  $\mathbf{Z}_n$
2.  $(W_i, S_i) = I_2(m)$  with  $m$  odd
3.  $(W_i, S_i) = E_6$  and  $s$  is either one of the simple reflections which are farthest from each other in the Coxeter diagram of  $E_6$  (see Table 1).

Proof: Suppose  $x \in w^{-1}\langle c \rangle w \cap W_{S-s}$  for some  $s \in S, w \in W$ . Then  $x = w^{-1}c^l w$  for some  $l$ , and  $c^l \in wW_{S-s}w^{-1}$ . Clearly if  $\#S$  is even then  $\text{sgn}(x) = \text{sgn}(c)^l = 1$ , so  $\langle c \rangle$  will always be  $s$ -dual. Thus we may assume that  $\#S$  is odd. Write  $c = (c_1, \dots, c_r)$  with  $c_k$  a Coxeter element for  $(W_k, S_k)$ , and write  $w = (w_1, \dots, w_r)$ . From  $c^l \in wW_{S-s}w^{-1}$ , we conclude that  $c_i^l \in w_iW_{S_i-s}w_i^{-1}$ . This implies that  $c_i^l$  fixes some non-zero vector  $v \in V$  (e.g. let  $v = w_i(v')$  where  $v'$  is constructed to be orthogonal to  $\alpha$  if  $r_\alpha \in S_i - s$ ). Hence  $c_i$  must have some eigenvalue  $\lambda$  for which  $\lambda^l = 1$ , which means  $(W_i, S_i)$  has an exponent  $e$  for which  $le \equiv 0 \pmod h$ . We now check cases using the data from Table 1.

*Case 1:*  $(W_i, S_i)$  has even Coxeter number  $h$ , and all odd exponents  $e_j$  (this condition holds for  $B_n, D_{2m}, E_7, E_8, F_4, H_3, H_4, I_2(2m)$ ). In this case  $le \equiv 0 \pmod h$  implies that  $l$  is even and hence  $\text{sgn}(x) = \text{sgn}(c)^l = 1$ , so  $\langle c \rangle$  is  $s$ -dual.

*Case 2:*  $(W_i, S_i) = D_{2m+1}$ . If  $e$  is an odd exponent, then as in Case 1 we have  $\text{sgn}(x) = 1$  so  $\langle c \rangle$  is  $s$ -dual. If  $e$  is an even exponent, then  $e = m$  and  $h = 2m$ . Thus  $le \equiv 0 \pmod h$  implies  $l$  is even, and as in Case 1,  $\langle c \rangle$  is  $s$ -dual.

*Case 3:*  $(W_i, S_i) = A_{n-1} \cong (S_n, \{(12), (23), \dots, (n-1 n)\})$ . Here we have  $c = (12 \cdots n)$  and

$$\begin{aligned} c^l &= \left( 1 \ l+1 \ 2l+1 \cdots \left(\frac{n}{l}-1\right)l+1 \right) \left( 2 \ l+2 \ 2l+2 \cdots \left(\frac{n}{l}-1\right)l+2 \right) \cdots (n \ 2n \ 3n \cdots n) \\ &= w \left( 1 \ 2 \ 3 \cdots \frac{n}{l} \right) \left( \frac{n}{l} + 1 \ \frac{n}{l} + 2 \ \cdots 2\frac{n}{l} \right) \cdots \left( (l-1)\frac{n}{l} + 1 \ (l-1)\frac{n}{l} + 2 \cdots n \right) w^{-1} \end{aligned}$$

for some  $w \in W$ . Thus  $c^l \in w^{-1}W_{S-s}w$  for some  $w$  exactly when  $s = (j \ j+1)$  for some  $j$  with order  $l$  (additively) in  $\mathbf{Z}/n$ . As before,  $\langle c \rangle$  will be  $s$ -dual except if  $l$  is odd (since  $\text{sgn}(x) = \text{sgn}(c)^l$ ), which is exactly the first exceptional case in the proposition.

*Case 4:*  $(W_i, S_i) = I_2(m)$  with  $m$  odd.  $I_2(m)$  is the *dihedral group of order  $2m$*  with generators  $\{s_1, s_2\}$  and relation  $(s_1 s_2)^m = 1$ . Since  $c_i = s_1 s_2$ , in this case we can have  $c_i^l \in w_iW_{S_i-s}w_i^{-1}$  if and only if  $l = m$ . Hence  $\text{sgn}(x) = \text{sgn}(c)^m = -1$  since  $m$  and  $\#S$  are odd. Thus neither of  $\langle c \rangle$  is neither  $s_1$ - nor  $s_2$ -dual. This is exactly the second exceptional

case.

*Case 5*  $(W_i, S_i) = E_6$ . Here  $(W_i, S_i)$  has exponents 1, 4, 5, 7, 8, 11 and Coxeter number  $h = 12$ . As in Case 1, if  $e$  is an odd exponent then  $\langle c \rangle$  is  $s$ -dual. Since the even exponents  $e = 4, 8$  both satisfy  $3e \equiv 0 \pmod{12}$ , we need only check for which  $s \in S_i$  do we have  $c^3 \in w_i W_{S-s} w_i^{-1}$  for some  $w_i \in W_i$ . Using the results of [Ca], one can show that this occurs exactly when  $s$  is as described in the third exceptional case of the proposition, but we omit the details. ■

**Example:** Let  $(W, S) = (S_4, \{(12), (23), (34)\})$ . By brute force, one may calculate the table below for  $\Sigma(W, S)/\langle c \rangle$ .

$J$	$\alpha_J$	$\beta_J$
$\emptyset$	1	1
(12)	1	0
(23)	2	1
(34)	1	0
(12), (23)	3	1
(12), (34)	3	2
(23), (34)	3	1
(12), (23), (34)	6	0

Alternatively, one could use the relations given by Propositions 5.1.3 and 5.1.4 to reduce the work. There is a single non-trivial diagram automorphism  $\phi : (12) \mapsto (34), (23) \mapsto (23)$ , so Proposition 5.1.3 tells us that

$$\beta_{(12)} = \beta_{(34)}$$

$$\beta_{(12), (23)} = \beta_{(23), (34)}.$$

By our last proposition, we see that for all  $s \in S$ ,  $\langle c \rangle$  is  $s$ -dual, and hence we have

$$\begin{aligned} \beta_\emptyset + \beta_{(12)} &= \beta_{(23), (24)} + \beta_{(12), (23), (34)} \\ \beta_{(23)} + \beta_{(12), (23)} &= \beta_{(34)} + \beta_{(12), (34)} \\ \beta_\emptyset + \beta_{(23)} &= \beta_{(12), (34)} + \beta_{(12), (23), (34)} \\ \beta_{(12)} + \beta_{(12), (23)} &= \beta_{(34)} + \beta_{(23), (34)} \\ \beta_\emptyset + \beta_{(34)} &= \beta_{(12), (23)} + \beta_{(12), (23), (34)} \\ \beta_{(12)} + \beta_{(12), (34)} &= \beta_{(23)} + \beta_{(23), (34)}. \end{aligned}$$

This gives a total of 8 linear relations, however one can check that the last 4 are linear combinations of the first 4. Since there are 8  $\beta_J$ 's, we only need to calculate 4 of them in order to fill in the rest using these relations. Since we always have  $\beta_\emptyset = 1$ , and in this case  $\beta_S = 0$  (via Proposition 2.4.1), we only need to calculate 2 further  $\beta_J$ 's by brute

force, e.g.  $\beta_{(12)}, \beta_{(23)}$ .

## 5.2 Primitivity

It would be very desirable to have a partitioning or shelling of  $\Sigma(W, S)/\langle c \rangle$  like the ones in Chapter 4 for  $\Sigma(W^r, rS)/\Delta^r(W')$  and  $\Sigma(W^r, rS)/\Delta^r(E')$ . This however seems to be a difficult problem. In fact the next example shows that  $\Sigma(W, S)/\langle c \rangle$  is not in general shellable, but even a general partitioning of  $\Sigma(W, S)/\langle c \rangle$  has eluded us.

**Example:** Let  $(W, S) = (S_n, \{(12), (23), \dots, (n-1 n)\})$  with  $n$  prime. Then  $c = (12 \cdots n)$ , and it is not hard to see (using the description of  $\Sigma(W, S)/\langle c \rangle$  as the barycentric subdivision of a simplex having vertices  $\{1, 2, \dots, n\}$ ) that  $\langle c \rangle$  gives a free  $\mathbf{Z}_n$ -action on the sphere. By the same reasoning as in the example after Theorem 4.3.5, we conclude that the quotient  $\Sigma(W, S)/\langle c \rangle$  is not shellable.

Even though  $\Sigma(W, S)/\langle c \rangle$  has not been partitioned, there is a large “chunk” of  $\Sigma(W, S)/\langle c \rangle$  (the primitive part) which is in some instances more tractable.

**Definition:** We will say a face  $wW_J$  of  $\Sigma(W, S)/\langle c \rangle$  is *primitive (with respect to  $c$ )* if  $c^i wW_J \neq wW_J$  unless  $c^i = 1$ , or in other words,  $\langle c \rangle \cap wW_J w^{-1} = 1$ . We will say a face  $\langle c \rangle wW_J$  of  $\Sigma(W, S)/\langle c \rangle$  is *primitive* if  $wW_J$  is primitive (this clearly only depends on  $\langle c \rangle wW_J$ ). We will say a vector  $f \in V$  is *primitive* if  $c^i(f) \neq f$  unless  $c^i = 1$ , and an orbit  $\langle c \rangle f$  of vectors is *primitive* if  $f$  is primitive.

One can easily check that  $f$  or  $\langle c \rangle f$  is primitive if and only if  $F(f)$  or  $F(\langle c \rangle f) = \langle c \rangle F(f)$  is primitive, respectively. We will let  $\Sigma(W, S)_{prim}$  denote the subposet of  $\Sigma(W, S)$  consisting of all primitive faces  $wW_J$ , and similarly for  $\Sigma(W, S)/\langle c \rangle_{prim}$ .

Our next proposition gives (in some cases) a fundamental domain for the action of  $\langle c \rangle$  on the primitive vectors of  $V$ .

**Proposition 5.2.1** *Let  $(W, S)$  be one of the infinite families  $A_n, B_n, D_n, I_2(m)$  of finite irreducible Coxeter systems. Let*

$$A = \{(b, f) \in W \times V : b \text{ is a conjugate } u^{-1}cu \text{ of } c, \text{ and } b(f) \in \mathcal{A}(b\Phi^+)\}.$$

*Then the map  $\phi : A \rightarrow \{\text{orbits } \langle c \rangle f' : f' \in V\}$  given by  $\phi(b, f) = \langle c \rangle u(f)$  is well-defined, and a bijection onto  $\{\text{primitive } \langle c \rangle f' : f' \in V\}$ .*

**Proof:** To show  $\phi$  is well-defined, we need to see that if  $b = u^{-1}cu = v^{-1}cv$  for some  $u, v \in W$ , then  $\langle c \rangle u(f) = \langle c \rangle v(f)$ . But  $u^{-1}cu = v^{-1}cv$  implies  $vu^{-1}$  commutes with  $c$ , and it is known ([Ca], Proposition 30) that if  $(W, S)$  is irreducible then the centralizer of  $c$  in  $W$  is  $\langle c \rangle$ . So  $vu^{-1} \in \langle c \rangle$  and  $\langle c \rangle u(f) = \langle c \rangle v(f)$ .

The fact that  $\phi$  is a bijection onto the primitive orbits for  $(W, S) = A_n$  is a special case of result of Gessel (mentioned in [Ge1] and described in [Wa2], Proposition 2.1). We will give Gessel's construction of  $\phi^{-1}$ , leaving it to the reader to verify the rest of the details

(namely that  $\phi(b, f)$  is always primitive, and that  $\phi^{-1}(\langle c \rangle f') = (b, f)$  always satisfies  $b(f) \in \mathcal{A}(b\Phi^+)$ ). We will then mimic this construction of  $\phi^{-1}$  for  $(W, S) = B_n, D_n$ . The case of  $(W, S) = I_2(m)$  is easy to check using the description of  $I_2(m)$  as the dihedral group acting on  $\mathbf{R}^2$ , with  $c$  acting as rotation through  $\frac{2\pi}{n}$ .

Gessel's construction of  $\phi^{-1}$  for  $A_{n-1} \cong S_n$  goes as follows. In this case,  $c = (12 \cdots n)$ . Given  $\langle c \rangle f'$  primitive with  $(f'_1, \dots, f'_n) \in V$ , let  $w_i$  for  $i = 1, \dots, n$  be the word of length  $n$  defined by

$$w_i = f'_i c^{-1}(f')_i c^{-2}(f')_i \cdots c^{-n+1}(f')_i$$

(i.e.  $w_i$  is the sequence of numbers that pass through the  $i^{\text{th}}$  coordinate as one repeatedly applies  $c^{-1}$  to  $f'$ , or in other words,  $w_i$  is the word gotten by reading  $f'$  starting from the  $i^{\text{th}}$  coordinate and moving to the right with a wraparound from  $f'_n$  to  $f'_1$ ). Rank these words  $\{w_i\}_{i=1, \dots, n}$  in lexicographic order from largest to smallest (primitivity of  $\langle c \rangle f'$  assures that no two of them are equal). Let  $r_i$  be the rank of  $w_i$ , e.g. if  $w_1$  is third largest lexicographically, then  $r_1 = 3$ . Then  $u^{-1} = \binom{1 \cdots n}{r_1 \cdots r_n}$  and  $\phi^{-1}(\langle c \rangle f') = (u^{-1}cu, u^{-1}(f'))$ . For example, let  $n = 8$  and  $f' = (1, 2, 2, 1, 4, 3, 2, 4)$ . We then have

$$w_1 = 12214324, w_2 = 22143241, w_3 = 21432412, \text{ etc.}$$

and the ranking is

$$w_5 \geq w_8 \geq w_6 \geq w_7 \geq w_2 \geq w_3 \geq w_4 \geq w_1.$$

So  $u^{-1} = \begin{pmatrix} 12345678 \\ 85671342 \end{pmatrix}$  and

$$\phi(\langle c \rangle f') = (b, f) = (u^{-1}cu, u^{-1}(f')) = \left( (85671342) = \begin{pmatrix} 12354768 \\ 38426715 \end{pmatrix}, (4, 4, 3, 2, 2, 2, 1, 1) \right).$$

Notice in this example that  $f$  satisfies  $f_1 \geq \dots \geq f_n$ , and  $f_i > f_{i+1}$  whenever  $(i, i+1) \in D(b)$ , which are the same conditions as  $b(f) \in \mathcal{A}(b\Phi^+)$ .

We now vary this construction for  $B_n$ . Here  $W$  is the set of all permutations and *sign changes* on the coordinates in  $V = \mathbf{R}^n$ , with simple reflections

$$S = \left\{ (12), (23), \dots, (n, n-1), \begin{pmatrix} n \\ -n \end{pmatrix} \right\}$$

and

$$c = (12)(23) \cdots (n, n-1) \begin{pmatrix} 1 & 2 & \cdots & n-1 & n \\ -2 & -3 & \cdots & -n & -1 \end{pmatrix}.$$

Given  $\langle c \rangle f'$  primitive with  $(f'_1, \dots, f'_n) \in V$ , again we let  $w_i$  for  $i = 1, \dots, n$  be the word of length  $n$  defined by

$$w_i = f'_i c^{-1}(f')_i c^{-2}(f')_i \cdots c^{-n+1}(f')_i$$

(i.e.  $w_i$  is the word gotten by reading  $f'$  starting from the  $i^{\text{th}}$  coordinate and moving

to the right with a wraparound and *persistent sign change* after  $f'_n$ ). Whenever the first non-zero coordinate in  $w_i$  is negative, we negate all of  $w_i$  to make it positive. Rank these words  $\{w_i\}_{i=1,\dots,n}$  in lexicographic order from largest to smallest (as before, primitivity of  $\langle c \rangle f'$  assures that no two of them are equal), and let  $r_i$  be the rank of  $w_i$ . Next we put signs on the positive numbers  $r_i$  to get integers  $r'_i$  as follows. If  $f'_i \neq 0$ , then  $r'_i$  has the same sign as  $f'_i$ . If  $f'_i = 0$ , then let  $r'_i$  have the same sign as  $r'_{i+1}$  if  $i < n$ , and opposite sign as  $r'_{i+1}$  if  $i = n$ . We then let  $u^{-1} = \begin{pmatrix} 1 & & & & \\ & r'_1 & & & \\ & & \dots & & \\ & & & r'_n & \\ & & & & 1 \end{pmatrix}$  and  $\phi^{-1}(\langle c \rangle f') = (u^{-1}cu, u^{-1}(f'))$ . For example, let  $n = 5$  and  $f' = (+1, 0, -1, 0, +2)$ . Before negations, we have

$$w_1 = +10-10+2, w_2 = 0-10+2-1, w_3 = -10+2-10, w_4 = 0+2-10+1, w_5 = +2-10+10$$

and we must negate  $w_2, w_3$  to get  $w_2 = 0+10-2+1, w_3 = +10-2+10$ . The ranking is

$$w_5 \geq w_1 \geq w_3 \geq w_4 \geq w_2,$$

so  $(r_1, \dots, r_5) = (2, 5, 3, 4, 1)$ . Then  $(r'_1, \dots, r'_5) = (+2, -5, -3, +4, +1)$ , so  $u^{-1} = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ +2 & -5 & -3 & +4 & +1 \end{pmatrix}$  and

$$\phi(\langle c \rangle f') = (b, f) = (u^{-1}cu, u^{-1}(f')) = \left( \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ -2 & +5 & -4 & +1 & +3 \end{pmatrix}, (+2, +1, +1, 0, 0) \right).$$

We now vary this construction for  $D_n$ . Here  $W$  is the set of all permutations and an *even* number of sign changes on the coordinates in  $V = \mathbf{R}^n$ , with simple reflections

$$S = \{(12), (23), \dots, (n \ n-1), \begin{pmatrix} n-1 & n \\ -n & -(n-1) \end{pmatrix}\}$$

and

$$c = (12)(23) \cdots (n \ n-1) \begin{pmatrix} n-1 & n \\ -n & -(n-1) \end{pmatrix} = \begin{pmatrix} 1 & 2 & \dots & n-2 & n-1 & n \\ 2 & 3 & \dots & n-1 & -1 & -n \end{pmatrix}.$$

Given  $\langle c \rangle f'$  primitive with  $(f'_1, \dots, f'_n) \in V$ , we define the words  $w_i$  and their rankings  $r_i$  as we did in the  $B_n$  construction. The difference lies in the signs we put on  $r_i$  to get the integers  $r'_i$ . If  $r_i \neq n$  and  $f'_i \neq 0$ , then  $r'_i$  has the same sign as  $f'_i$ . If  $r'_i \neq n$  and  $f'_i = 0$ , then there are three case depending on whether  $i < n-1, i = n-1$ , or  $i = n$ . The third case cannot occur, since then  $w_n = 000\dots$ , and hence  $r_n = n$ . In the first case, let  $r'_i$  have the same sign as  $r'_{i+1}$ , and in the second case, let them have opposite signs. This only leaves  $r'_i$  undetermined when  $r_i = n$ , and we let  $r'_i = \pm n$  so as to make the total number of negative  $r'_i$  even. We then let  $u^{-1} = \begin{pmatrix} 1 & & & & \\ & r'_1 & & & \\ & & \dots & & \\ & & & r'_n & \\ & & & & 1 \end{pmatrix}$  and  $\phi^{-1}(\langle c \rangle f') = (u^{-1}cu, u^{-1}(f'))$ . For example, let  $n = 6$  and  $f' = (-1, 0, 0, +2, +1, 0)$ . Then

$$w_1 = +100-2-1, w_2 = 00+2+1+1, w_3 = 0+2+1+10,$$

$$w_4 = +2 + 1 + 100, w_5 = +1 + 100 - 2, w_6 = 000000.$$

The ranking is

$$w_4 \geq w_5 \geq w_1 \geq w_3 \geq w_2 \geq w_6,$$

so  $(r_1, \dots, r_6) = (3, 5, 4, 1, 2, 6)$ . Then  $(r'_1, \dots, r'_6) = (-3, +5, +4, +1, +2, -6)$ , so  $u^{-1} = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ -3 & +5 & +4 & +1 & +2 & -6 \end{pmatrix}$  and

$$\phi(\langle c \rangle f') = (b, f) = (u^{-1}cu, u^{-1}(f')) = \left( \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ +2 & +3 & -5 & +1 & +4 & -6 \end{pmatrix}, (+2, +1, +1, 0, 0, 0) \right).$$

The previous proposition suggests the following conjecture:

**Conjecture 5.2.2** *The map  $\phi$  of the previous proposition is a bijection as stated for all irreducible Coxeter systems (i.e. it holds for the exceptional groups  $E_6, E_7, E_8, F_4, H_3, H_4$  as well), and with a more unified proof.*

**Theorem 5.2.3** *For  $(W, S) = A_n, B_n, D_n$  or  $I_2(m)$ , we have*

$$\Sigma(W, S) / \langle c \rangle_{prim} = \coprod_{\text{cosets } \langle c \rangle u \subseteq W} [\langle c \rangle u W_{S-D(u^{-1}cu)}, \langle c \rangle u W_\emptyset].$$

Proof: According to the previous theorem, if we let  $V / \langle c \rangle_{prim}$  be the set of all primitive orbits  $\langle c \rangle f'$  in  $V$ , then

$$\begin{aligned} V / \langle c \rangle_{prim} &= \{ \langle c \rangle u(f) : b \text{ is a conjugate } u^{-1}cu \text{ of } c, \text{ and } b(f) \in \mathcal{A}(b\Phi^+) \} \\ &= \{ \langle c \rangle u(f) : \langle c \rangle u \subseteq W, u^{-1}cu(f) \in \mathcal{A}(u^{-1}cu\Phi^+) \}. \end{aligned}$$

Applying the map  $\langle c \rangle f' \mapsto F(\langle c \rangle f')$  to both sides, and using Lemma 3.2.1 gives the result. ■

Note that  $\Sigma(W, S)_{prim}$  and  $\Sigma(W, S) / \langle c \rangle_{prim}$  are only subsets of the simplicial posets  $\Sigma(W, S)$  and  $\Sigma(W, S) / \langle c \rangle$  respectively, and not simplicial posets themselves. Nevertheless we can still define  $\alpha_J$  for both as usual, and then let  $\beta_J = \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_K$ .

**Corollary 5.2.4** *For  $(W, S) = A_n, B_n, D_n$  or  $I_2(m)$ , we have*

$$\beta_J(\Sigma(W, S) / \langle c \rangle_{prim}) = \#\{b \in W : D(b) = J, b \text{ conjugate to } c\}.$$

Proof: same as proof of Proposition 3.2.3. ■

**Remark:** Note that the Coxeter element  $c$  for  $(W^r, rS)$  is the same as the diagonal embedding  $\Delta^r(c_1) = (c_1, c_1, \dots, c_1)$  of the Coxeter element  $c_1$  for  $(W, S)$ . Thus using our standard multipartite techniques from Sections 3.4 and 4.1, we can soup up the proof of Proposition 5.2.1 (replace all inequalities  $\geq$  by  $\geq_{\mathcal{L}}$ ) and prove that the same proposition

holds if we replace  $V$  by  $V^r$  and  $\mathcal{A}(\cdot)$  by  $\mathcal{A}_r(\cdot)$ . From this we can then deduce the following multipartite analogues of Theorem 5.2.3 and Corollary 5.2.4:

$$\begin{aligned} \Sigma(W^r, rS)/\langle c \rangle_{prim} &= \coprod_{\text{cosets } \langle c \rangle u \subseteq W} \coprod_{\substack{(w_1, \dots, w_r) \in W^r \\ w_r \cdots w_1 = u^{-1} c_1 u}} \prod_{i=1}^r [w_r w_{r-1} \cdots w_i W_{S-D(w_i)}, w_r w_{r-1} \cdots w_i W_\emptyset] \\ \beta_{(J_1, \dots, J_r)}(\Sigma(W^r, rS)/\langle c \rangle_{prim}) &= \\ \#\{(w_1, \dots, w_r) \in W^r : D(w_i) = J_i, w_r w_{r-1} \cdots w_1 \text{ conjugate to } c_1\}. \end{aligned}$$

Since we have a combinatorial interpretation for  $\beta_J(\Sigma(W, S)/\langle c \rangle_{prim})$  in the instances above, we would like to know when to expect some kind of duality like  $\beta_J = \beta_{S-J}$ , as in the fine Dehn-Somerville equations. Since  $\Sigma(W, S)/\langle c \rangle_{prim}$  is not even a simplicial poset, we cannot simply apply Proposition 2.4.4. Our strategy is to *filter*  $\Sigma(W, S)/\langle c \rangle$  into pieces according to their *primitivity*, and then use Proposition 2.4.4 on the pieces.

**Definition:** Let  $(W, S)$  be a finite Coxeter system (not necessarily irreducible) with Coxeter number  $h$ , and a Coxeter element  $c$ . Given  $j$  dividing  $h$ , let

$$\begin{aligned} \Sigma_{\leq j} &= \{wW_J \in \Sigma(W, S) : c^j wW_J = wW_J\} \\ \Sigma_{=j} &= \Sigma_{\leq j} - \bigcup_{i|j} \Sigma_{\leq i}. \end{aligned}$$

Note that  $\Sigma_{=h} = \Sigma(W, S)_{prim}$ .

**Proposition 5.2.5**

$$\beta_J(\Sigma(W, S)/\langle c \rangle_{prim}) = \frac{1}{h} \sum_{d|h} \mu(d) \beta_J(\Sigma_{\leq \frac{h}{d}})$$

for all  $J \subseteq S$ , where  $\mu$  denotes the number-theoretic Möbius function ([HW], Section 16.3).

Proof: By linearity it suffices to prove the same result replacing  $\beta_J$  by  $\alpha_J$  on both sides. Note that primitivity of  $wW_J$  is equivalent to the property that  $\{c^i wW_J\}_{i=1, \dots, h}$  are all distinct. Hence we have

$$\alpha_J(\Sigma(W, S)/\langle c \rangle_{prim}) = \frac{1}{h} \alpha_J \Sigma(W, S)_{prim} = \frac{1}{h} \alpha_J(\Sigma_{=h}).$$

Since  $\Sigma_{\leq j} = \coprod_{i|j} \Sigma_{=i}$  implies  $\alpha_J(\Sigma_{\leq j}) = \sum_{i|j} \alpha_J(\Sigma_{=i})$ , we can apply Möbius inversion



([HW], Section 16.4) to conclude that

$$\alpha_J(\Sigma_{=j}) = \sum_{i|j} \mu\left(\frac{j}{i}\right) \alpha_J(\Sigma_{\leq i}).$$

and hence

$$\alpha_J(\Sigma(W, S)/\langle c \rangle_{\text{prim}}) = \frac{1}{h} \sum_{i|h} \mu\left(\frac{h}{i}\right) \alpha_J(\Sigma_{\leq i}).$$

Replacing  $\frac{h}{i}$  by  $d$  gives what we wanted. ■

**Theorem 5.2.6** *In the following instances, we have*

$$\beta_J(\Sigma(W, S)/\langle c \rangle_{\text{prim}}) = \beta_{S-J}(\Sigma(W, S)/\langle c \rangle_{\text{prim}})$$

for all  $J \subseteq S$ :

1.  $(W, S) = A_{n-1}$  and  $n \not\equiv 2 \pmod{4}$
2.  $(W, S) = B_n$  and  $n$  even
3.  $(W, S) = D_n$  and  $n - 1$  a power of 2
4.  $(W, S) = I_2(m)$

Proof: The instances above all share the following property: for all  $d|h$ , the subposet  $\Sigma_{\leq \frac{h}{d}}$  is a balanced simplicial poset triangulating a sphere and having label set  $R$  for some subset  $R$  of  $S$ . In this situation, we have

$$\begin{aligned} \beta_J(\Sigma_{\leq \frac{h}{d}}) &= \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_K(\Sigma_{\leq \frac{h}{d}}) \\ &= (-1)^{\#(J-R)} \sum_{K \subseteq J \cap R} (-1)^{\#(R-K)} \alpha_K(\Sigma_{\leq \frac{h}{d}}) \\ &= (-1)^{\#(J-R)} \beta_{J \cap R}(\Sigma_{\leq \frac{h}{d}}) \end{aligned}$$

and hence by Proposition 2.4.4 applied to the sphere  $\Sigma_{\leq \frac{h}{d}}$ , we have

$$\begin{aligned} \beta_J(\Sigma_{\leq \frac{h}{d}}) &= (-1)^{\#(J-R)} \beta_{R-(J \cap R)}(\Sigma_{\leq \frac{h}{d}}) \\ &= (-1)^{\#(J-R)} \beta_{(S-J) \cap R}(\Sigma_{\leq \frac{h}{d}}) \\ &= (-1)^{\#(J-R) + \#(S-J-R)} \beta_{S-J}(\Sigma_{\leq \frac{h}{d}}) \\ &= (-1)^{\#(S-R)} \beta_{S-J}(\Sigma_{\leq \frac{h}{d}}) \end{aligned}$$

We will apply this equality in each of the cases above, and using explicit descriptions for  $\Sigma(W, S)$  in each case.

1.  $(W, S) = A_{n-1}, n \not\equiv 2 \pmod{4}$ . Here  $c = (12 \cdots n)$ ,  $h = n$  and  $c^{\frac{n}{d}}$  has  $\frac{n}{d}$  cycles, each of size  $d$ .  $\Sigma(W, S)$  is the barycentric subdivision of the boundary of an  $(n-1)$ -simplex, and thus has vertices corresponding to the subsets of  $\{1, 2, \dots, n\}$ . From this we see that  $\Sigma_{\leq \frac{n}{d}}$  is the barycentric subdivision of the boundary of the  $(\frac{n}{d}-1)$ -simplex having vertices corresponding to all unions of orbits of  $c^{\frac{n}{d}}$ . Thus the label set  $R \subseteq S$  for  $\Sigma_{\leq \frac{n}{d}}$  has  $\#R = \frac{n}{d} - 1$ , and we have

$$(-1)^{\#(S-R)} = (-1)^{(n-1)-(\frac{n}{d}-1)} = (-1)^{\frac{n}{d}(d-1)}.$$

Thus

$$\beta_J(\Sigma(W, S)_{prim}) = \sum_{d|n} \mu(d) \beta_J(\Sigma_{\leq \frac{n}{d}}) = \sum_{d|n} \mu(d) (-1)^{\frac{n}{d}(d-1)} \beta_{S-J}(\Sigma_{\leq \frac{n}{d}}).$$

Hence our conclusion will follow when  $\mu(d) (-1)^{\frac{n}{d}(d-1)} = \mu(d)$  for all  $d$  dividing  $n$ . One can check that this occurs exactly when  $n \not\equiv 2 \pmod{4}$ .

2.  $(W, S) = B_n$  with  $n$  even. Here  $c = \begin{pmatrix} 1 & 2 & & & & \\ & 2 & 3 & & & \\ & & & \ddots & & \\ & & & & n-1 & n \\ & & & & & -1 \end{pmatrix}$ ,  $h = 2n$ , and  $c^{\frac{2n}{d}}$  acts differently depending on the parity of  $d$ . If  $d$  is odd, then  $c^{\frac{2n}{d}}$  has  $\frac{n}{d}$  cycles, each of size  $d$ , and in which the total number sign changes in each cycle is even. For example, if  $n = 6$  and  $d = 3$  then

$$c^{\frac{2n}{d}} = c^4 = \begin{pmatrix} 1 & 3 & 5 \\ & 2 & 4 \\ & & -3 \end{pmatrix} \begin{pmatrix} 2 & 4 & 6 \\ & -2 & -4 \end{pmatrix}$$

If  $d$  is even, then  $c^{\frac{2n}{d}}$  has an odd number of sign changes in each of its cycles.  $\Sigma(W, S)$  is the barycentric subdivision of the boundary of an  $n$ -cube, whose vertices correspond to all signed subsets of  $\{\pm 1, \dots, \pm n\}$  (i.e. all subsets of the previous set that contain no pair  $i$  and  $-i$ ). Thus when  $d$  is odd, we get a pair of opposite signed subsets for each cycle of  $c^{\frac{2n}{d}}$ , and unions of these over all the cycles give the vertices of  $\Sigma_{\leq \frac{2n}{d}}$ , which is the barycentric subdivision of the boundary of an  $\frac{n}{d}$ -cube. Thus if  $d$  is even, the label set  $R$  of  $\Sigma_{\leq \frac{2n}{d}}$  has  $\#R = \frac{n}{d}$ , and  $(-1)^{\#(S-R)} = (-1)^{\frac{n}{d}(d-1)}$ . If  $d$  is even,  $c^{\frac{2n}{d}}$  fixes only the empty signed subset, so  $\#R = 0$  and  $(-1)^{\#(S-R)} = (-1)^n$ . Therefore,

$$\begin{aligned} \beta_J(\Sigma(W, S)_{prim}) &= \sum_{\substack{d|2n \\ d \text{ odd}}} \mu(d) \beta_J(\Sigma_{\leq \frac{2n}{d}}) \\ &= + \sum_{\substack{d|2n \\ d \text{ even}}} \mu(d) \beta_J(\Sigma_{\leq \frac{n}{d}}) \end{aligned}$$

$$\begin{aligned}
&= \sum_{\substack{d|2n \\ d \text{ odd}}} \mu(d)(-1)^{\frac{n}{d}(d-1)} \beta_{S-J}(\Sigma_{\leq \frac{2n}{d}}) \\
&\quad + \sum_{\substack{d|2n \\ d \text{ even}}} \mu(d)(-1)^n \beta_{S-J}(\Sigma_{\leq \frac{n}{d}})
\end{aligned}$$

Hence our conclusion will follow when  $\mu(d)(-1)^{\frac{n}{d}(d-1)} = \mu(d)$  for all odd  $d$  dividing  $2n$  and  $\mu(d)(-1)^n = \mu(d)$  for all even  $d$  dividing  $2n$ . A bit of thought shows that this is true exactly when  $n$  is even.

3.  $(W, S) = D_n$  with  $n - 1$  a power of 2. Here  $c = \begin{pmatrix} 1 & \dots & n-2 & n-1 & n \\ & & n-1 & -1 & -n \end{pmatrix}$ ,  $h = 2(n - 1)$  and  $d|h$  implies that  $d$  is a power of 2. One can check that  $c^{\frac{2(n-1)}{d}}$  breaks up into the singleton cycle  $\binom{n}{n}$ , and all other cycles having an odd number of sign changes.  $\Sigma(W, S)$  is the subdivision of the boundary of the  $n$ -cube having vertices corresponding to all signed subsets of  $\{\pm 1, \dots, \pm n\}$  *except* for those of cardinality  $n - 1$ . Hence  $\Sigma_{\leq \frac{2(n-1)}{d}}$  is the 0-sphere with vertices corresponding to the signed sets  $\{n\}, \{-n\}$ . Thus  $\#R = 1$ , and  $(-1)^{\#(S-R)} = (-1)^{n-1}$ . Therefore,

$$\begin{aligned}
\beta_J(\Sigma(W, S)_{prim}) &= \sum_{d|2(n-1)} \mu(d) \beta_J(\Sigma_{\leq \frac{2(n-1)}{d}}) \\
&= \sum_{d|2(n-1)} \mu(d)(-1)^{n-1} \beta_{S-J}(\Sigma_{\leq \frac{2(n-1)}{d}})
\end{aligned}$$

But  $(-1)^{n-1} = 1$  since  $n - 1$  is a power of 2, so the result follows.

4.  $(W, S) = I_2 m$ . Then  $c$  is the rotation through  $\frac{2\pi}{m}$  acting on  $\Sigma(W, S)$ , which is the barycentric subdivision of a regular  $m$ -gon in the plane. It is easy to compute directly that for  $\Sigma(W, S)/\langle c \rangle_{prim}$  we have

$$\beta_\emptyset = \beta_S = 0, \beta_{s_1} = \beta_{s_2} = 1$$

where  $S = \{s_1, s_2\}$ . ■

**Remark:** One can do a similar analysis for  $\Sigma(W^r, rS)_{prim}$  and get that  $\beta_{J_1, \dots, J_r} = \beta_{S-J_1, \dots, S-J_r}$  for all  $J_i \subseteq S$  in the following instances:

1.  $(W, S) = A_{n-1}$  and either  $r$  even or  $n \not\equiv 2 \pmod{4}$
2.  $(W, S) = B_n$  and either  $r$  even or  $n$  is even
3.  $(W, S) = D_n$  and  $n - 1$  a power of 2
4.  $(W, S) = I_2(m)$ .

**Corollary 5.2.7** *In the instances listed in the above remark, for all  $J_i \subseteq S$  we have*

$$\begin{aligned} & \#\{(w_1, \dots, w_r) \in W^r : D(w_i) = J_i, w_r w_{r-1} \cdots w_1 \text{ is conjugate to } c\} = \\ & \#\{(w_1, \dots, w_r) \in W^r : D(w_i) = S - J_i, w_r w_{r-1} \cdots w_1 \text{ is conjugate to } c\}. \end{aligned}$$

Proof: Combine Theorem 5.2.6 (and its succeeding remark) with Corollary 5.2.4 (and its succeeding remark). ■

**Remark:** As in remarks after Corollary 4.1.5, Gessel (personal communication) has shown how to prove an even stronger result in the case of  $W = S_n$  using the theory of symmetric functions. Presumably an analogous technique might work for the cases of  $B_n$  and  $D_n$ .

**Example:** Let  $(W, S) = A_2 = (S_3, \{(12), (23)\})$ ,  $r = 2$ . We can compile the following list of pairs of permutations  $(w_1, w_2)$  such that  $w_2 w_1$  is conjugate to  $c = (123)$ :

$$\begin{array}{ll} (123, 23 \cdot 1) & (3 \cdot 2 \cdot 1, 2 \cdot 13) \\ (13 \cdot 2, 2 \cdot 13) & (2 \cdot 13, 13 \cdot 2) \\ (2 \cdot 13, 3 \cdot 2 \cdot 1) & (23 \cdot 1, 123) \\ (13 \cdot 2, 3 \cdot 2 \cdot 1) & (3 \cdot 12, 123) \\ (23 \cdot 1, 23 \cdot 1) & (3 \cdot 12, 3 \cdot 12) \\ (123, 3 \cdot 12) & (3 \cdot 2 \cdot 1, 13 \cdot 2) \end{array}$$

Note that they have been listed so that pairs within the same row have complementary descent sets, illustrating an instance of the previous corollary.

# Chapter 6

## $B_n$ -parsets

### 6.1 Introduction

In this chapter we take a closer look at the theory of  $P$ -partitions for the Coxeter system  $(W, S) = B_n$  associated to the hyperoctahedral group. We will be most interested in extending the generating function results known for posets  $P$  and  $P$ -partitions. (i.e. the case of  $(W, S) = A_n$ ; see [St4]). We will also generalize the connection between a poset  $P$  and its distributive lattice of order ideals.

**Definition:** Let

$$(W, S) = \left( B_n, \left\{ (12), (23), \dots, (n-1 n), \begin{pmatrix} n-1 & n \\ -n & -(n-1) \end{pmatrix} \right\} \right)$$

be the *hyperoctahedral group* of all permutations and sign changes of the coordinates in  $\mathbf{R}^n$ . Let

$$\Phi = \{\pm e_i : 1 \leq i \leq n\} \cup \{\pm e_i \pm e_j : 1 \leq i < j \leq n\}$$

where  $e_i$  denotes the  $i^{\text{th}}$  standard basis vector. Let

$$\Phi^+ = \{+e_i : 1 \leq i \leq n\} \cup \{+e_i + e_j, +e_i - e_j : 1 \leq i < j \leq n\}$$

and

$$\Pi = \{e_i - e_{i+1} : 1 \leq i < n\} \cup \{+e_n\}.$$

It is easy to check that  $(\Phi, \Pi)$  forms a positive root system for  $(W, S)$ , which we will call the *usual realization* of  $B_n$ . When we talk about  $B_n$ -parsets, this is the realization to which we are referring. We will also abuse notation a bit by referring to the (abstract) hyperoctahedral group by the name  $B_n$ .

Recall that a labelled poset on  $\{1, 2, \dots, n\}$  (i.e. an  $A_{n-1}$ -parset) can be represented by a directed graph in which there is a directed edge from  $j$  to  $i$  whenever  $i <_P j$ . It is convenient to have such a pictorial representation for  $B_n$ -parsets.

$$P = \{+e_1 - e_3, +e_1 - e_4, +e_2, +e_2 - e_3, -e_3 + e_4, -e_5, -e_5 - e_6, -e_5 + e_6\}$$

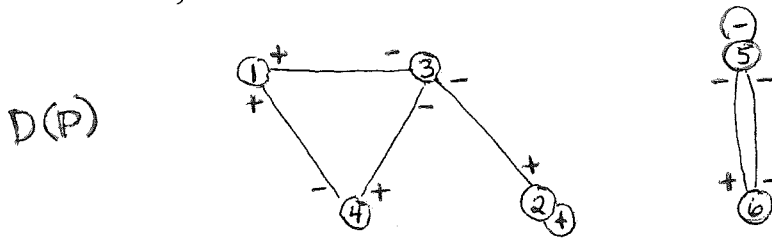


Figure 6-1: An example of  $D(P)$

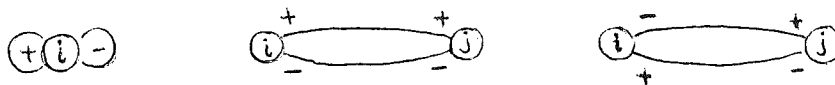


Figure 6-2: Configurations not allowed

**Definition:** Given a  $B_n$ -parset  $P$ , we can represent it by its *signed digraph*  $D(P)$  defined as follows.  $D(P)$  is a graph on vertex set  $\{\pm 1, \dots, \pm n\}$ .  $D(P)$  has a loop labelled  $+$  attached to node  $i$  if  $+e_i \in P$ , a loop labelled  $-$  attached to node  $i$  if  $-e_i \in P$ , and no loop attached to node  $i$  otherwise.  $D(P)$  has an edge between nodes  $i$  and  $j$  with a  $+$  label near node  $i$  and a  $-$  label near node  $j$  if  $+e_i - e_j \in P$ , an edge between nodes  $i$  and  $j$  with a  $+$  label near both nodes if  $+e_i + e_j \in P$ , and an edge between nodes  $i$  and  $j$  with a  $-$  label near both nodes if  $-e_i - e_j \in P$ . An example is shown in Figure 1.

**Remark:** The terminology “signed digraph” was chosen to be consistent with the theory of *signed graphs* developed by Zaslavsky [Za].

The axioms of parsets (Section 3.1) dictate that certain configurations of edges in  $D(P)$  cannot occur, and certain configurations imply the existence of more edges. These rules are summarized in Figures 2 and 3.

It turns out that many results about posets are special cases of results about  $B_n$ -parsets, provided that we “embed” the posets correctly as  $B_n$ -parsets.

**Definition:** Given an  $A_{n-1}$ -parset  $P$  (i.e. a labelled poset on  $\{1, 2, \dots, n\}$ ), we define its *positive embedding*  $P^+$  as a  $B_n$ -parset to be

$$P^+ = P \cup \{+e_i, +e_i + e_j : 1 \leq i < j \leq n\}.$$

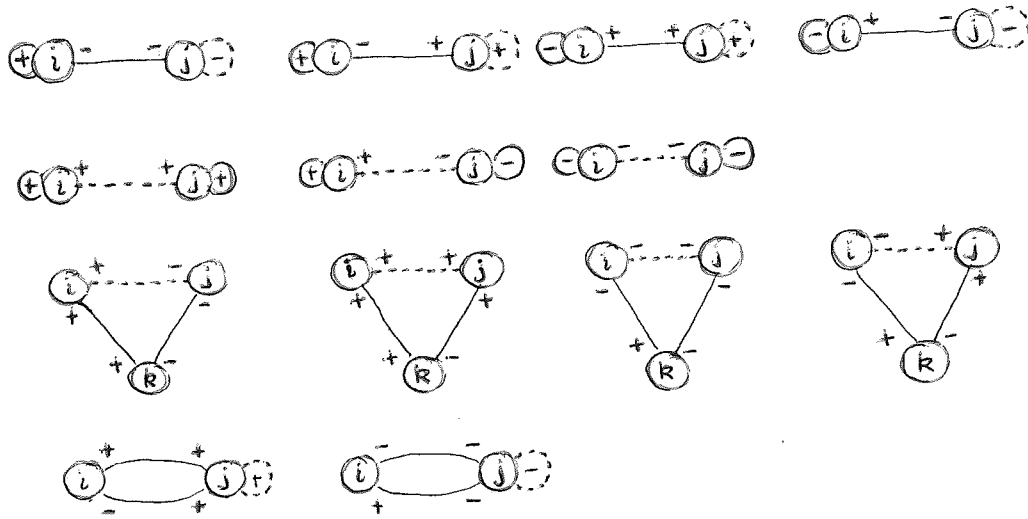


Figure 6-3: Configurations implying more edges (implied edges shown dotted)



Figure 6-4: An example of  $B_n$ -isomorphism

The action of the signed permutations of  $B_n$  on a  $B_n$ -parset  $P$  may be visualized on  $D(P)$  by permuting the nodes, and changing the signs of the near ends of attached edges. The orbit of a  $B_n$ -parset under this action is by definition its isomorphism class (Section 3.1). Note that every parset  $P$  is isomorphic to one which is natural; simply choose  $w \in \mathcal{L}(P)$  and then  $P$  is isomorphic to  $w^{-1}(P) \subseteq \Phi^+$ . An example is shown in Figure 4.

## 6.2 P-partitions, generating functions, and $J(P)$

In this section we investigate various generating functions of integer-valued  $P$ -partitions for  $B_n$ -parsets  $P$ . We also introduce the poset  $J(P)$  of ideals of  $P$ . Our notation and exposition is intended to parallel that of [St4]. All of our results, when particularized to the natural embedding  $P^+$  of a labelled poset  $P$ , yield an analogous result from [St4].

**Definition:** Let  $P$  be a  $B_n$ -parset. We denote by  $\mathcal{A}_{\mathbf{Z}}(P)$  the set  $\mathcal{A}(P) \cap \mathbf{Z}^n$  of  $P$ -partitions (see Section 3.1) with integral coordinates. For  $m \in \mathbf{N}$ , define

$$\mathcal{A}_{\mathbf{Z}}(P; m) = \{(f_1, \dots, f_n) \in \mathcal{A}_{\mathbf{Z}}(P) : |f_i| \leq m \forall i\}$$

Define  $F(P, \mathbf{x})$  to the formal power series variables  $x_1, x_{-1}, \dots, x_n, x_{-n}$  given by

$$F(P, \mathbf{x}) = \sum_{f \in \mathcal{A}_{\mathbf{Z}}(P)} \mathbf{x}^f$$

where  $\mathbf{x}^f = \prod_{i=1}^n x_{\text{sgn}(f_i).i}^{|f_i|}$  (e.g.  $\mathbf{x}^{(-5,1,3,-2)} = x_{-1}^5 x_2^1 x_3^3 x_{-4}^2$ ). Let  $U_m(P, x)$  be the formal power series in one variable  $x$  defined by

$$U_m(P, x) = \sum_{f \in \mathcal{A}_{\mathbf{Z}}(P; m)} x^{|f_1| + \dots + |f_n|}$$

and

$$U(P, x) = \lim_{m \rightarrow \infty} U_m(P, x) = \sum_{f \in \mathcal{A}_{\mathbf{Z}}(P)} x^{|f_1| + \dots + |f_n|} = F(P, \mathbf{x})|_{x_{\pm i} \rightarrow x}.$$

Define a poset

$$J(P) = \{f \in \{+1, -1, 0\}^n : \langle \alpha, f \rangle \geq 0 \forall \alpha \in P\}$$

with partial order inherited from  $\{+1, -1, 0\}^n$  by setting  $+1 > 0, -1 > 0$  and extending componentwise. We will call an element  $I \in J(P)$  an *ideal* of  $P$ .

**Example:** Let  $P = \{+e_2 - e_1, +e_2\}$ . Then

$$\mathcal{A}_{\mathbf{Z}}(P) = \{(f_1, f_2) \in \mathbf{Z}^2 : f_2 > f_1, f_2 \geq 0\}$$

and

$$\begin{aligned} F(P, \mathbf{x}) &= \sum_{f_2 > f_1, f_2 \geq 0} \mathbf{x}^f = \sum_{f_2 > f_1 \geq 0} \mathbf{x}^f + \sum_{f_2 \geq 0 > f_1} \mathbf{x}^f \\ &= \frac{x_2}{(1-x_2)(1-x_1x_2)} + \frac{x_{-1}}{(1-x_{-1})(1-x_{-1}x_2)} \end{aligned}$$

and thus

$$U(P, x) = \frac{x}{(1-x)^2} + \frac{x}{(1-x)(1-x^2)} = \frac{x^2 + 2x}{(1-x)(1-x^2)}.$$



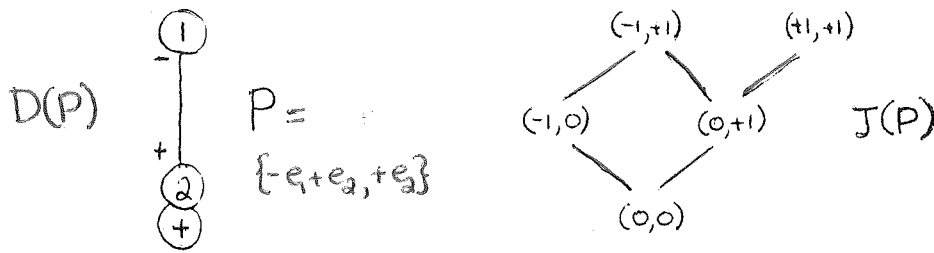


Figure 6-5: An example of  $D(P)$  and  $J(P)$

$D(P)$  and  $J(P)$  are shown in Figure 5.

The next definition shows how to relate a vector in  $\mathbf{Z}^n$  to a chain in  $\{+1, -1, 0\}^n$   
**Definition:** Given  $f \in \mathbf{Z}^n$ , let  $\{|f_i|\}_{i=1, \dots, n} = \{n_1, \dots, n_k\}$  with  $n_1 > \dots > n_k$ . Define a chain  $c(f)$  of vectors  $I_1 < \dots < I_k$  in  $\{+1, -1, 0\}^n$  by

$$I_i(j) = \begin{cases} \text{sgn}(f_j) & \text{if } |f_j| \geq n_i \\ 0 & \text{else} \end{cases}$$

for  $j = 1, \dots, n$ . For example, if  $f = (-5, +1, +3, -2, -3)$  then  $\{|f_i|\}_{i=1,2,3,4,5} = \{5, 3, 2, 1\}$  and  $c(f)$  is

$$(-1, 0, 0, 0, 0) \leq (-1, 0, +1, 0, -1) \leq (-1, 0, +1, -1, -1) \leq (-1, +1, +1, -1, -1)$$

One way to visualize this is as follows. Given  $f$ , make a histogram that has the coordinates  $f_i$  along the bottom, and a column of  $\pm 1$ 's (depending on  $\text{sgn}(f_i)$ ) of height  $|f_i|$  above each  $f_i$ , filling in zeroes elsewhere. Then  $I_1, \dots, I_k$  are the set of (distinct) rows read from top to bottom. For instance, in the example above, we have

$$\begin{array}{cccccc}
 -1 & 0 & 0 & 0 & 0 & \leftarrow I_1 \\
 -1 & 0 & 0 & 0 & 0 & \\
 -1 & 0 & +1 & 0 & -1 & \leftarrow I_2 \\
 -1 & 0 & +1 & -1 & -1 & \leftarrow I_3 \\
 -1 & +1 & +1 & -1 & -1 & \leftarrow I_4 \\
 \hline
 -5 & +1 & +3 & -2 & -3 & \leftarrow f
 \end{array}$$

Given  $c$  a chain  $I_1 \leq \dots \leq I_k$  in  $J(P)$ , we will say  $c$  is  $P$ -compatible if it satisfies the following condition: for  $0 \leq i < k$ , when we restrict  $I_{i+1}$  to the set  $S_i$  of coordinates where it differs from  $I_i$  (setting  $I_0 = \emptyset$ ), we get a vector in  $\mathcal{A}(P'; 1)$ , where  $P'$  is the parset gotten by restricting  $P$  to those roots which only have non-zero coordinates in  $S_i$ .

The next proposition gives the basic relation between  $\mathcal{A}_{\mathbf{Z}}(P)$  and  $J(P)$ .

**Proposition 6.2.1** *A vector  $f$  is in  $\mathcal{A}_{\mathbf{Z}}(P)$  if and only if  $c(f)$  is a  $P$ -compatible chain in  $J(P) - \hat{0}$ .*

Proof: A look at the histogram picture should convince one that  $\langle \alpha, f \rangle \geq 0 \forall \alpha \in P$  if and only if  $\langle \alpha, I_i \rangle \geq 0 \forall \alpha \in P, \forall i$ , i.e. if and only if  $c(f)$  is a chain in  $J(P)$ . It then remains to show that  $\langle \alpha, f \rangle > 0 \forall \alpha \in P \cap -\Phi^+$  if and only if  $c(f)$  is  $P$ -compatible. One can check this for the various cases of  $\alpha \in P \cap -\Phi^+$ , i.e.  $\alpha$  of the form  $-e_j, -e_j - e_k$ , or  $+e_j - e_k$  for  $j > k$ . We illustrate this for the second case; the others are similar. If  $\alpha = -e_j - e_k$  then  $\langle \alpha, f \rangle \geq 0$  if and only if  $f_j + f_k < 0$ . This is equivalent to the condition that whenever  $I_{i+1}$  and  $I_i$  differ in coordinates  $j$  and  $k$  we have  $I_{i+1}(j) = I_{i+1} = -1$ , which is one of the conditions for  $c(f)$  to be  $P$ -compatible. ■

There is a further connection between  $\mathcal{A}_{\mathbf{Z}}(P)$ ,  $J(P)$ , and the Coxeter complex  $\Sigma(B_n, S)$ . We use the explicit description ([Ti], Section 7.3) of  $\Sigma(B_n, S)$  as the barycentric subdivision of the boundary complex of the  $n$ -dimensional hyperoctahedron. The vertices of  $\Sigma(B_n, S)$  correspond to vectors in  $\{+1, -1, 0\}$  and faces correspond to chains of such vectors in our componentwise partial order with  $+1 > 0, -1 > 0$ . To compare labellings, identify  $S$  with  $\{1, 2, \dots, n\}$  by the indexing

$$s_1 = (12), s_2 = (23), \dots, s_{n-1} = (n-1 \ n), s_n = \begin{pmatrix} n \\ -n \end{pmatrix}.$$

Then one can check that for  $I \in \{+1, -1, 0\}$ , the vertex of  $\Sigma(B_n, S)$  corresponding to  $I$  is of type  $s_{\#I}$  where  $\#I = \#\{i : I_i \neq 0\}$ , i.e. it is a coset of the form  $wW_{S-\#I}$ . Recall (Section 3.3) our definition of

$$\Sigma_P = \{F \in \Sigma(W, S) : F = F(f) \text{ for some } f \in \mathcal{A}(P)\}.$$

**Proposition 6.2.2** *The correspondence above gives a bijection between  $P$ -compatible chains in  $J(P) - \hat{0}$  and faces of  $\Sigma_P$ .*

Proof: Given a chain  $c = I_1 < \dots < I_k$  in  $\{+1, -1, 0\}^n$ , it corresponds to the face  $F(c)$  of  $\Sigma(B_n, S)$  having  $I_1, \dots, I_k$  as vertices, which has barycenter  $b_{F(c)} = \frac{1}{k}(I_1 + \dots + I_k)$ . But we have

$$F(c) \in \Sigma_P \Leftrightarrow b_{F(c)} \in \mathcal{A}(P) \Leftrightarrow I_1 < \dots < I_k \text{ is a } P\text{-compatible chain in } J(P) - \hat{0}$$

by the previous proposition. ■

**Example:** Let  $P = \{+e_2 - e_1, +e_2\}$ .  $\Sigma(B_n, S)$  and  $\Sigma_P$  are shown in Figure 6, along with the correspondence of the previous proposition.

With this correspondence in mind, we make the following definitions.

**Definition:** Given  $J \subseteq \{1, 2, \dots, n\}$  let

$$\alpha_J(P) = \#\{P\text{-compatible chains } I_1 < \dots < I_k \text{ in } J(P) \text{ with } \{\#I_i\}_{i=1, \dots, k} = J\}$$

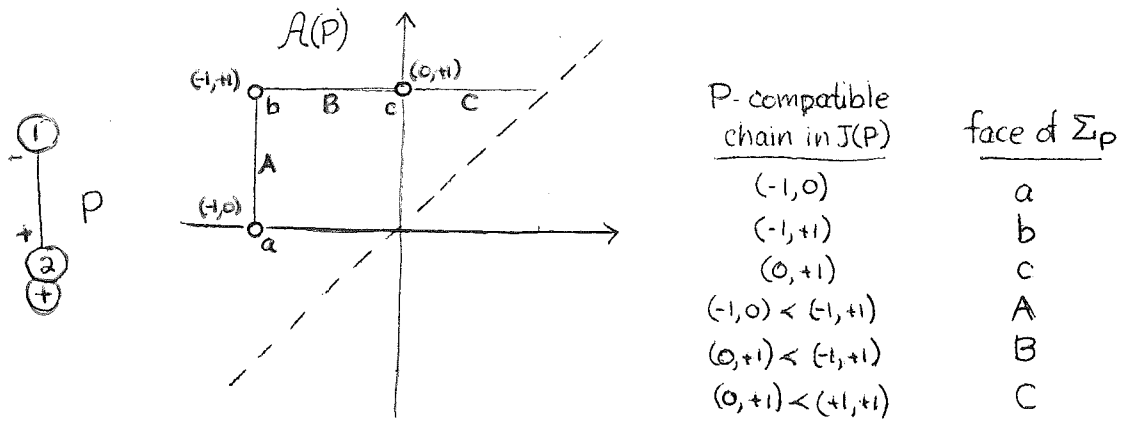


Figure 6-6: An example of the correspondence between  $P$ -compatible chains and faces of  $\Sigma_P$

$$\beta_J(P) = \sum_{K \subseteq J} (-1)^{\#(J-K)} \alpha_J(P).$$

**Corollary 6.2.3**

$$\alpha_J(P) = \alpha_J(\Sigma_P) = \#\{w \in \mathcal{L}(P) : D(w) \subseteq J\}$$

$$\beta_J(P) = \beta_J(\Sigma_P) = \#\{w \in \mathcal{L}(P) : D(w) = J\}$$

Proof: The first equality on both lines comes from the previous proposition, and the second comes from Corollary 3.3.2.

We return now to our generating functions.

**Proposition 6.2.4**

$$F(P, \mathbf{x}) = \sum \frac{x^{I_1} \dots x^{I_k}}{(1 - x^{I_1}) \dots (1 - x^{I_k})}$$

where the above sum ranges over all  $P$ -compatible chains  $I_1 < \dots < I_k$  in  $J(P)$ .

Proof:

$$\begin{aligned} F(P, \mathbf{x}) &= \sum_{f \in \mathcal{A}_{\mathbb{Z}}(P)} x^f \\ &= \sum_{\substack{P\text{-compatible} \\ I_1 < \dots < I_k \in J(P)}} \sum_{\substack{f \in \mathbb{Z}^n \\ \alpha(f) = I_1 < \dots < I_k}} x^f \\ &= \sum_{\substack{P\text{-compatible} \\ I_1 < \dots < I_k \in J(P)}} \frac{x^{I_1} \dots x^{I_k}}{(1 - x^{I_1}) \dots (1 - x^{I_k})} \end{aligned}$$

where the second equality comes from Proposition 6.2.1. ■

**Example:** For  $P = \{+e_2 - e_1, +e_2\}$  as before, the  $P$ -compatible chains in  $J(P)$  are

$$(-1, 0), (0, +1), (-1, +1)$$

$$(-1, 0) < (-1, +1), (0, +1) < (-1, +1), (0, +1) < (+1, +1)$$

and thus according to the previous proposition,

$$\begin{aligned} F(P, \mathbf{x}) &= \frac{x_{-1}}{(1-x_{-1})} + \frac{x_2}{(1-x_2)} + \frac{x_{-1}x_2}{(1-x_{-1}x_2)} \\ &+ \frac{x_{-1} \cdot x_{-1}x_2}{(1-x_{-1})(1-x_{-1}x_2)} + \frac{x_2 \cdot x_{-1}x_2}{(1-x_2)(1-x_{-1}x_2)} + \frac{x_2 \cdot x_1x_2}{(1-x_2)(1-x_1x_2)}. \end{aligned}$$

With a great deal of manipulation, one can check that this agrees with our earlier calculation of  $F(P, \mathbf{x})$ .

We can also get expressions for our generating functions using Proposition 3.1.1, once we have understood what it means for  $f \in \mathbf{Z}^n$  to be  $w$ -compatible (i.e.  $f \in \mathcal{A}_{\mathbf{Z}}(w\Phi^+)$ ) for some  $w \in B_n$ . The following lemma is a straightforward unravelling of the definitions:

**Proposition 6.2.5** *Let  $w = \begin{pmatrix} 1 & \dots & n \\ w_1 & \dots & w_n \end{pmatrix} \in B_n$ . Then  $f \in \mathcal{A}_{\mathbf{Z}}(w\Phi^+)$  if and only if:*

1.  $\text{sgn}(w_i) = \text{sgn}(f_{|w_i|})$
2.  $|f_{|w_1|}| \sim_1 \dots \sim_{n-1} |f_{|w_n|}| \sim_n 0$  where " $\sim_i$ " = " $\leq$ " if  $s_i \in D(w)$  and " $\sim_i$ " = " $<$ " else.

**Example:** If  $w = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ -3 & +1 & -4 & +5 & -2 \end{pmatrix}$ , then  $D(w) = \{(12), (34), \begin{pmatrix} 5 \\ -5 \end{pmatrix}\}$ , and  $f \in \mathcal{A}_{\mathbf{Z}}(w\Phi^+)$  if and only if  $f_2, f_4 \geq 0$ ,  $f_1, f_3, f_5 \leq 0$  and

$$|f_3| > |f_1| \geq |f_4| > |f_5| \geq |f_2| > 0.$$

**Proposition 6.2.6**

$$F(P, \mathbf{x}) = \sum_{w \in \mathcal{L}(P)} \frac{\prod_{s_i \in D(w)} x_{w_1} x_{w_2} \dots x_{w_i}}{\prod_{i=1}^n (1 - x_{w_1} x_{w_2} \dots x_{w_i})}$$

and hence

$$U(P, \mathbf{x}) = \sum_{w \in \mathcal{L}(P)} \frac{x^{\text{maj}(w)}}{(1-x)(1-x^2) \dots (1-x^n)}$$

where  $\text{maj}(w) = \sum_{s_i \in D(w)} i$ .

Proof: We have

$$F(P, \mathbf{x}) = \sum_{f \in \mathcal{A}_{\mathbf{Z}}(P)} \mathbf{x}^f = \sum_{w \in \mathcal{L}(P)} \sum_{f \in \mathcal{A}(w\Phi^+)} \mathbf{x}^f$$

and from the previous proposition, one can see that

$$\sum_{f \in \mathcal{A}(w\Phi^+)} \mathbf{x}^f = \frac{\prod_{s_i \in D(w)} x_{w_1} x_{w_2} \cdots x_{w_i}}{\prod_{i=1}^n (1 - x_{w_1} x_{w_2} \cdots x_{w_i})}. \blacksquare$$

To get expressions for the other generating functions, we need a little terminology.

**Definition:** Let

$$W(P, x) = \sum_{w \in \mathcal{L}(P)} x^{\text{maj}(w)}$$

be the numerator in the above expression for  $U(P, x)$ , and for  $0 \leq s \leq n$  let

$$W_s(P, x) = \sum_{\substack{w \in \mathcal{L}(P) \\ \#D(w)=s}} x^{\text{maj}(w)}$$

so that  $W(P, x) = \sum_{s=0}^n W_s(P, x)$ . The *Gaussian coefficient*  $\begin{bmatrix} n \\ k \end{bmatrix}_x$  is defined by

$$\begin{bmatrix} n \\ k \end{bmatrix}_x = \frac{(1-x^n)(1-x^{n-1}) \cdots (1-x^{n-k+1})}{(1-x^k)(1-x^{k-1}) \cdots (1-x)}.$$

### Proposition 6.2.7

1.  $U_m(P, x) = \sum_{s=0}^n \begin{bmatrix} n+s \\ n \end{bmatrix}_x W_{m-s}(P, x)$
2.  $\sum_{m \geq 0} U_m(P, x) q^m = \frac{\sum_{s=0}^n q^s W_s(P, x)}{(1-q)(1-qx) \cdots (1-qx^n)}$

Proof:

1.

$$U_m(P, x) = \sum_{f \in \mathcal{A}_{\mathbf{Z}}(P; m)} x^{|f_1| + \cdots + |f_n|} = \sum_{w \in \mathcal{L}(P)} \sum_{f \in \mathcal{A}_{\mathbf{Z}}(w\Phi^+; m)} x^{|f_1| + \cdots + |f_n|}.$$

By Proposition 6.2.5,  $f \in \mathcal{A}_{\mathbf{Z}}(w\Phi^+; m)$  if and only if  $\text{sgn}(w_i) = \text{sgn}(f_i)$  and

$$m \geq |f_{|w_1|}| \geq \cdots \geq |f_{|w_n|}| \geq 0$$

with strict inequalities at the descents of  $w$ . If we let

$$\lambda_i = |f_{|w_i|}| - \#(D(w) \cap \{s_i, s_{i+1}, \dots, s_n\})$$

then we have

$$|f_1| + \cdots + |f_n| = \text{maj}(w) + \lambda_1 + \cdots + \lambda_n$$

and

$$m - \#D(w) \geq \lambda_1 \geq \cdots \geq \lambda_n \geq 0.$$

Thus

$$\begin{aligned} U_m(P, x) &= \sum_{w \in \mathcal{L}(P)} x^{\text{maj}(w)} \sum_{m - \#D(w) \geq \lambda_1 \geq \cdots \geq \lambda_n \geq 0} x^{\lambda_1 + \cdots + \lambda_n} \\ &= \sum_{s=0}^m \sum_{m-s \geq \lambda_1 \geq \cdots \geq \lambda_n \geq 0} x^{\lambda_1 + \cdots + \lambda_n} \sum_{\substack{w \in \mathcal{L}(P) \\ \#D(w)=s}} x^{\text{maj}(w)} \\ &= \sum_{s=0}^n \left[ \begin{matrix} n+m-s \\ n \end{matrix} \right]_x W_s(P, x) \end{aligned}$$

where the last equality follows from a result of Euler (see e.g. [HW], Theorem 349). Our result now follows upon replacing  $s$  by  $m - s$  and noting that  $W_s(P, x) = 0$  for  $s > n$ .

2. From the last equation we have

$$\begin{aligned} \sum_{m \geq 0} U_m(P, x) q^m &= \sum_{m \geq 0} \sum_{s \leq m} \left[ \begin{matrix} n+m-s \\ n \end{matrix} \right]_x W_s(P, x) q^m \\ &= \sum_{s \geq 0} q^s W_s(P, x) \sum_{m-s \geq 0} \left[ \begin{matrix} n+(m-s) \\ n \end{matrix} \right]_x q^{m-s} \\ &= \frac{\sum_{s \geq 0} q^s W_s(P, x)}{(1-q)(1-qx) \cdots (1-qx^n)} \end{aligned}$$

where the last equality is also Euler's result (ibid). ■

**Example:** For  $P = \{+e_2 - e_1, +e_2\}$  as before, we have

$$\mathcal{L}(P) = \left\{ \begin{pmatrix} 1 & 2 \\ -1 \cdot & +2 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ +2 \cdot & +1 \end{pmatrix}, \begin{pmatrix} 1 & 2 \\ +2 & -1 \cdot \end{pmatrix} \right\}$$

(with descents indicated by dots). Thus by Proposition 6.2.6, we have

$$F(P, \mathbf{x}) = \frac{x_{-1}}{(1-x_{-1})(1-x_2)} + \frac{x_2}{(1-x_2)(1-x_1x_2)} + \frac{x_{-1}x_2}{(1-x_2)(1-x_{-1}x_2)}$$

and

$$U(P, x) = \frac{x + x + x^2}{(1-x)(1-x^2)},$$

both of which agree (after a little manipulation) with our previous calculations. By the previous proposition,

$$U_m(P, x) = \left[ \begin{matrix} n+m-1 \\ n \end{matrix} \right]_x (x^2 + 2x)$$

and

$$\sum_{m \geq 0} U_m(P, x) q^m = \frac{q(x^2 + 2x)}{(1-q)(1-qx)(1-qx^2)}.$$

Our next result gives reciprocity formulas that hold between the various generating functions for  $P$  and  $-P = w_0 P$ , where

$$w_0 = \begin{pmatrix} 1 & 2 & \cdots & n \\ -1 & -2 & \cdots & -n \end{pmatrix}$$

is the longest element of  $B_n$  (see Section 4.3).

### Theorem 6.2.8 (Reciprocity)

1.  $F(-P, \mathbf{x}) = (-1)^n F(P, \mathbf{x})|_{x_i \rightarrow \frac{1}{x_i}}$
2.  $\beta_J(-P) = \beta_{S-J}(P)$
3.  $W_s(-P, x) = x^{\binom{n+1}{2}} W_{n-s}(P, x^{-1})$
4.  $W(-P, x) = x^{\binom{n+1}{2}} W(P, x^{-1})$
5.  $U(-P, x) = (-1)^n U(P, x^{-1})$
6.  $U_{-m}(-P, x) = (-1)^n U_{m-1}(P, x^{-1})$

Proof:

1. From Proposition 6.2.6, we have that

$$\begin{aligned} F(P, \mathbf{x}) &= \sum_{w \in \mathcal{L}(P)} \frac{\prod_{s_i \in D(w)} x_{w_1} x_{w_2} \cdots x_{w_i}}{\prod_{i=1}^n (1 - x_{w_1} x_{w_2} \cdots x_{w_i})} \\ &= \sum_{w \in \mathcal{L}(P)} \frac{x_{w_1}^{c_1(w)} \cdots x_{w_n}^{c_n(w)}}{\prod_{i=1}^n (1 - x_{w_1} x_{w_2} \cdots x_{w_i})} \end{aligned}$$

where  $c_i(w) = \#D(w) \cap \{s_i, s_{i+1}, \dots, s_n\}$ . Note that  $\mathcal{L}(-P) = w_0 \mathcal{L}(P)$ , and  $c_i(w_0 w) = n + 1 - i - c_i(w)$ . Thus we have

$$F(-P, \mathbf{x}) = \sum_{u \in \mathcal{L}(-P)} \frac{x_{u_1}^{c_1(u)} \cdots x_{u_n}^{c_n(u)}}{\prod_{i=1}^n (1 - x_{u_1} x_{u_2} \cdots x_{u_i})}$$

$$\begin{aligned}
&= \sum_{w \in \mathcal{L}(P)} \frac{x_{(w_0 w)_1}^{c_1(w_0 w)} \cdots x_{(w_0 w)_n}^{c_n(w_0 w)}}{\prod_{i=1}^n (1 - x_{(w_0 w)_1} x_{(w_0 w)_2} \cdots x_{(w_0 w)_i})} \\
&= \sum_{w \in \mathcal{L}(P)} \frac{x_{-w_1}^{n-c_1(w)} x_{-w_2}^{n-1-c_2(w)} \cdots x_{-w_n}^{1-c_n(w)}}{\prod_{i=1}^n (1 - x_{-w_1} x_{-w_2} \cdots x_{-w_i})}
\end{aligned}$$

Multiplying numerator and denominator above by  $\prod_{i=1}^n (x_{-w_1} x_{-w_2} \cdots x_{-w_i})^{-1}$  gives

$$F(-P, \mathbf{x}) = \sum_{w \in \mathcal{L}(P)} \frac{x_{-w_1}^{-c_1(w)} x_{-w_2}^{-c_2(w)} \cdots x_{-w_n}^{-c_n(w)}}{\prod_{i=1}^n (x_{-w_1}^{-1} x_{-w_2}^{-1} \cdots x_{-w_i}^{-1} - 1)} = (-1)^n F(P, \mathbf{x})|_{x_i \rightarrow \frac{1}{x_i}}.$$

2. Follows from the fact that  $\beta_J(P) = \#\{w \in \mathcal{L}(P) : D(w) = J\}$ , since  $\mathcal{L}(-P) = w_0 \mathcal{L}(P)$  and  $D(w_0 w) = S - D(w)$ .

3. Since  $\#D(w_0 w) = \#(S - D(w)) = n - \#D(w)$ , and  $maj(w_0 w) = \binom{n+1}{2}$ , we have

$$\begin{aligned}
W_s(-P, x) &= \sum_{\substack{u \in \mathcal{L}(-P) \\ \#D(u)=s}} x^{maj(u)} \\
&= \sum_{w \in \mathcal{L}(P)} x^{maj(w_0 w)} \\
&= \sum_{\substack{w \in \mathcal{L}(P) \\ \#D(w)=n-s}} x^{\binom{n+1}{2} - maj(w)} \\
&= x^{\binom{n+1}{2}} W_{n-s}(P, x^{-1})
\end{aligned}$$

4. Follows from 3 and the fact that  $W(P, x) = \sum_{s=0}^n W_s(P, x)$ .

5. Follows from 1 and the fact that  $U(P, x) = F(P, \mathbf{x})|_{x_{\pm i} \rightarrow x}$

6.

$$\begin{aligned}
U_{-m}(-P, x) &= \sum_{s=0}^n \left[ \begin{matrix} n-m-s \\ n \end{matrix} \right]_x W_s(-P, x) \\
&= \sum_{s=0}^n \left[ \begin{matrix} n-m-s \\ n \end{matrix} \right]_x x^{\binom{n+1}{2}} W_{n-s}(P, x^{-1}) \\
&= \sum_{s=0}^n (-1)^n \left[ \begin{matrix} m+s-1 \\ n \end{matrix} \right]_{x^{-1}} W_{n-s}(P, x^{-1}) \\
&= (-1)^n \sum_{s=0}^n \left[ \begin{matrix} m+n-s-1 \\ n \end{matrix} \right]_{x^{-1}} W_s(P, x^{-1}) \\
&= (-1)^n U_{m-1}(P, x^{-1})
\end{aligned}$$



where the third equality comes from the easy to check fact that

$$\left[ \begin{matrix} n-m-s \\ n \end{matrix} \right]_x x^{\binom{n+1}{2}} = (-1)^n \left[ \begin{matrix} m+s-1 \\ n \end{matrix} \right]_{x^{-1}},$$

and the fourth equality comes from replacing  $s$  by  $n - s$ . ■

We will explore one further counting function of  $P$ .

**Definition:** The *order polynomial*  $\Omega(P; m)$  of  $P$  is defined as

$$\Omega(P; m) = \#\mathcal{A}(P, m-1) = U_{m-1}(P; 1)$$

(its name anticipates the soon-to-be-proven fact that it is a polynomial in  $m$ ). Define the *P-Eulerian numbers*  $w_0(P), \dots, w_n(P)$  by

$$w_s(P) = \#\{w \in \mathcal{L}(P) : \#D(w) = s\} = W_s(P, 1).$$

For  $1 \leq j \leq n$ , define the numbers  $e_j(P), e'_j(P)$  by

$$e_j(P) = \#\{f \in \mathcal{A}_{\mathbf{Z}}(P) : \{|f_i|\}_{i=1, \dots, n} = \{1, 2, \dots, j\}\}$$

$$e'_j(P) = \#\{f \in \mathcal{A}_{\mathbf{Z}}(P) : \{|f_i|\}_{i=1, \dots, n} = \{0, 1, \dots, j-1\}\}.$$

### Proposition 6.2.9

1.  $\Omega(P; m) = \sum_{j=1}^n \left( e_j(P) \binom{m-1}{j} + e'_j(P) \binom{m-1}{j-1} \right)$  and hence  $\Omega(P; m)$  is a polynomial in  $m$  of degree  $n$ .
2.  $\Omega(P; m) = \sum_{s=0}^n \binom{n+m-1-s}{n} w_s(P)$
3.  $\sum_{m \geq 0} q^m = \frac{\sum_{s=0}^n w_s(P) q^{s+1}}{(1-q)^{n+1}}$
4. (*Reciprocity*)  $\Omega(-P; m) = (-1)^n \Omega(P; -m+1)$

Proof:

1. We consider two classes of  $f \in \mathcal{A}_{\mathbf{Z}}(P; m-1)$ : those  $f$  having  $f_i \neq 0$  for all  $i$ , and those having some  $f_i = 0$ . For those  $f$  in the first class, if we know the set  $R(f) = \{|f_i|\}_{i=1, \dots, n}$  has cardinality  $j$ , then there is a unique  $f' \in \mathcal{A}_{\mathbf{Z}}(P)$  such that  $R(f') = \{1, 2, \dots, j\}$  and  $c(f) = c(f')$ . Conversely,  $f'$  and  $R(f)$  completely determine  $f$ , so there are  $\sum_{j=1}^n e_j(P) \binom{m-1}{j}$  elements in the first class. Similarly, for  $f$  in the second class we must have  $\{0\} \subseteq R(f) \subseteq \{0, 1, \dots, m-1\}$ , and hence if  $\#R(f) = j$  then there is a unique  $f' \in \mathcal{A}_{\mathbf{Z}}(P)$  with  $R(f') = \{0, 1, \dots, j-1\}$  and  $c(f) = c(f')$ . Again,  $f'$  and  $R(f)$  determine  $f$ , so there are  $\sum_{j=1}^n e_j(P) \binom{m-1}{j-1}$  elements in the second class.

2. Plug  $x = 1$  in Proposition 6.2.7, Part 1.
3. Plug  $x = 1$  in Proposition 6.2.7, Part 2.
4. Plug  $x = 1$  in Theorem 6.2.8, Part 6. ■

**Example:** Let  $P = \{+e_2 - e_1, +e_2\}$ , so  $-P = \{-e_2 + e_1, -e_2\}$ . One can check that

$$e_1(P) = 1, e_2(P) = 3, e'_1(P) = e'_2(P) = 0$$

and

$$w_0(P) = w_2(P) = 0, w_1(P) = 3.$$

Thus by the first part of the previous proposition, we have

$$\Omega(P; m) = 1 \binom{m-1}{1} + 3 \binom{m-1}{2} + 0 \binom{m-1}{0} + 2 \binom{m-1}{1} = 3 \binom{m}{2}$$

or by the second part of the previous proposition, we have

$$\Omega(P; m) = \binom{2+m-1-0}{2} 0 + \binom{2+m-1-1}{2} 3 + \binom{2+m-1-2}{2} 0 = 3 \binom{m}{2},$$

so the two agree. To check a case of the third (reciprocity) part of the proposition, note that  $w_0(-P) = w_2(-P) = 0, w_1(-P) = 3$ , and hence  $\Omega(-P; m) = 3 \binom{m}{2}$  also. Therefore we have

$$(-1)^2 \Omega(P, -m+1) = 3 \binom{-m+1}{2} = \frac{3(-m+1)(-m)}{2} = \frac{3m(m-1)}{2} = \Omega(P; m)$$

as expected.

### 6.3 The lattices $\hat{J}(P)$

In this section, we take a closer look at the posets  $J(P)$ . Our goal is to show that they give a  $B_n$ -analogue of distributive lattices, by proving an analogue of the *Fundamental Theorem of Distributive Lattices* ([St2], Theorem 3.4.1) or *Birkhoff's Theorem*.

**Definition:** Let  $\hat{J}(P)$  be the poset obtained from  $J(P)$  by adjoining a (new) greatest element  $\hat{1}$ .

#### Proposition 6.3.1

1.  $\hat{J}(P)$  is a sublattice of the lattice  $\{+1, \widehat{-1}, 0\}^n$  (i.e. the lattice of faces of the  $n$ -hyperoctahedron).

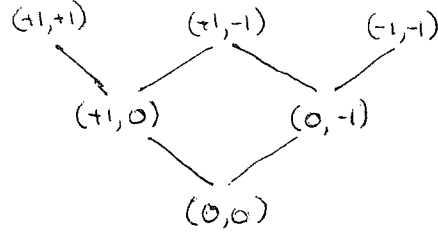


Figure 6-7: Possibilities for  $(g_i, g_j)$  if  $\langle +e_i - e_j, g \rangle \geq 0$

2.  $\hat{J}(P)$  depends (up to lattice-isomorphism) only on the isomorphism class of  $P$ .

Proof: We prove 2 first. If  $P \cong P'$ , then  $P = wP'$  for some  $w \in B_n$  and hence we have

$$\langle f, \alpha \rangle \geq 0 \forall \alpha \in P \Leftrightarrow \langle w(f), w(\alpha) \rangle \geq 0 \forall \alpha \in P \Leftrightarrow \langle w(f), \beta \rangle \geq 0 \forall \beta \in P'.$$

So  $w$  maps ideals of  $P$  onto ideals of  $P'$ , and since  $w$  is an automorphism of the order on  $\{+1, -1, 0\}^n$ ,  $w$  is an order-isomorphism of  $J(P)$  onto  $J(P')$ .

To prove 1, let  $\wedge, \vee$  denote meet and join in the lattice  $\{+1, -1, 0\}^n$ . We must show that if  $g, f \in \hat{J}(P)$ , then  $g \wedge f, g \vee f \in \hat{J}(P)$ . Clearly we may assume  $g, f, g \wedge f, g \vee f$  are all unequal to  $\hat{1}$ . Given  $\alpha \in P$ , we want to show that  $\langle \alpha, g \rangle \geq 0$  and  $\langle \alpha, f \rangle \geq 0$  imply that  $\langle \alpha, g \wedge f \rangle \geq 0$  and  $\langle \alpha, g \vee f \rangle \geq 0$ . We may assume  $\alpha$  is of the form  $+e_i$  or  $+e_i - e_j$ , since otherwise we could apply an element  $w$  of  $B_n$  to make it of this form, and use assertion 2.

If  $\alpha = +e_i$ , then  $g_i, f_i \in \{+1, 0\}$ , and hence  $(g \wedge f)_i, (g \vee f)_i \in \{+1, 0\}$ . Thus we have  $\langle \alpha, g \wedge f \rangle \geq 0$  and  $\langle \alpha, g \vee f \rangle \geq 0$  as desired.

If  $\alpha = +e_i - e_j$ , then the possibilities for  $(g_i, g_j), (f_i, f_j)$  are exactly the vectors shown in Figure 7. One can see that the vectors in Figure 7 are closed under meets, and also closed under joins whenever their join is unequal to  $\hat{1}$ . Hence as long as  $g \vee f \neq \hat{1}$  we have  $\langle \alpha, g \wedge f \rangle \geq 0$  and  $\langle \alpha, g \vee f \rangle \geq 0$ , as desired. ■

We now assemble some properties of the lattices  $\hat{J}(P)$  that will help us to characterize them intrinsically.

**Proposition 6.3.2**  $J(P)$  is locally distributive, i.e any interval  $[x, y]$  in  $J(P)$  is distributive.

Proof: It is easy to see that any interval in  $\{+1, -1, 0\}^n$  is a Boolean algebra and hence distributive. Since an interval  $[x, y]$  in  $J(P)$  is a sublattice of an interval in  $\{+1, -1, 0\}^n$  (Proposition 6.3.1), it must also be distributive. ■

**Definition:** Given a finite lattice  $L$ , let  $G(L)$  be the graph whose vertex set is the

maximal elements of  $L - \hat{1}$ , and having an edge between two vertices  $m_1$  and  $m_2$  if  $m_1, m_2$  both cover  $m_1 \wedge m_2$  in  $L$ .

**Proposition 6.3.3**  $G(\hat{J}(P))$  is connected.

Proof: First, we claim that  $f \in \hat{J}(P) - \hat{1} = J(P)$  is maximal if and only if every coordinate  $f_i \neq 0$ . To see this, assume  $f_i = 0$  for some  $i$ . We may assume  $P \subseteq \Phi^+$  by applying some element  $w^{-1}$  with  $w \in \mathcal{L}(P)$ . Then  $f \in J(P)$  implies  $f \in \mathcal{A}(P)$ , so  $f \in \mathcal{A}(w\Phi^+)$  for some  $w \in \mathcal{L}(P)$  by Proposition 3.1.1. Let  $f' = f + w(+e_{|w^{-1}(i)|})$ , and note that  $w(+e_{|w^{-1}(i)|}) = \pm e_i$ , so  $f'_i \in \{+1, -1\}$  and hence  $f < f'$  in the order on  $\{+1, -1, 0\}$ . Furthermore, if  $\alpha \in \Phi^+$ , then

$$\langle w(\alpha), f' \rangle = \langle w(\alpha), f \rangle + \langle w(\alpha), w(+e_{|w^{-1}(i)|}) \rangle = \langle w(\alpha), f \rangle + \langle \alpha, +e_{|w^{-1}(i)|} \rangle \geq 0.$$

So  $f' \in J(P)$  (since  $P \subseteq w\Phi^+$ ), contradicting the maximality of  $f$ .

Now suppose  $f, g$  are two distinct maximal elements of  $J(P)$ , and we will show that there is a path in  $G(\hat{J}(P))$  connecting them. By restricting attention to the coordinates where they differ, we can assume  $f_i \neq g_i$  for  $i = 1, \dots, n$ , and by applying an element  $w \in B_n$ , we can assume  $f = (+1, +1, \dots, +1), g = (-1, -1, \dots, -1)$ . This implies that  $P$  can only contain roots of the form  $+e_i - e_j$ , and thus  $P$  corresponds to a poset on  $\{1, 2, \dots, n\}$  (in which  $i <_P j$  when  $+e_i - e_j \in P$ ). Let  $i$  be minimal in this poset, and let  $g'$  be the vector with all  $-1$ 's except for a  $+1$  in the  $i^{\text{th}}$  coordinate. Then  $g$  and  $g'$  cover  $g \wedge g'$ , and we have that  $f$  and  $g'$  differ in one fewer coordinate than  $f$  and  $g$  did. So by induction we can find such a path. ■

**Definition:** An element  $f \in J(P)$  is said to be *join-irreducible* (written  $f \in \text{Irr}(J(P))$ ) if  $f \neq 0$ , and  $f = x \vee y$  implies either  $f = x$  or  $f = y$ . For  $1 \leq i \leq n$ , if  $-e_i \notin P$ , let  $I^{+i}$  denote the least element  $f \in J(P)$  having  $f_i = +1$  (i.e.  $I^{+i} = \bigwedge \{f \in J(P) : f_i = +1\}$ ). Define  $I^{-i}$  similarly.

**Proposition 6.3.4**

$$f \in \text{Irr}(J(P)) \Leftrightarrow f = I^{+i} \text{ or } I^{-i} \text{ for some } i.$$

Proof:( $\Leftarrow$ ): Suppose  $f = I^{+i}$  for some  $i$  (the  $f = I^{-i}$  case is identical, or apply  $w = \begin{pmatrix} i \\ -i \end{pmatrix}$ ). Then if  $f = x \vee y$ , either  $x_i = +1$  or  $y_i = +1$ , so either  $x \geq I^{+i} = f$  or  $y \geq I^{+i} = f$ . ( $\Rightarrow$ ): Suppose  $f \in \text{Irr}(J(P))$ . Let

$$T = \{+i : f_i = +1\} \cup \{-i : f_i = -1\}.$$

Clearly,  $f \geq I^t \forall t \in T$ , and  $f \leq \bigvee_{t \in T} t$ . Using the fact that  $f$  is join-irreducible, and induction, we have  $f = I^t$  for some  $t \in T$ . ■

**Definition:** Given a finite lattice  $L$ , and  $I_1, I_2$  two join-irreducibles in  $L - \hat{1}$ , we will say

$I_1 \sim I_2$  if there exist two maximal elements  $m_1, m_2$  in  $L - \hat{1}$  that are adjacent in  $G(L)$  and satisfy  $I_i \leq m_i$ , but  $I_i \not\leq m_1 \wedge m_2$  for  $i = 1, 2$ .

**Proposition 6.3.5** *Let  $I_1, I_2 \in \text{Irr}(J(P))$ . Then  $I_1 \sim I_2$  if and only if for some  $i \in \{\pm 1, \dots, \pm n\}$  we have  $I_1 = I^i$  and  $I_2 = I^{-i}$ .*

Proof:( $\Rightarrow$ ): Given  $I_1 \sim I_2$  and  $m_1, m_2$  as in the previous definition, by applying some element  $w \in B_n$ , we may assume  $m_1 = (+1, +1, +1, \dots, +1), m_2 = (-1, +1, +1, \dots, +1)$ . Then the conditions that  $I_i \leq m_i$  but  $I_i \not\leq m_1 \wedge m_2$  imply  $I_1 = I^{+1}, I_2 = I^{-1}$ .

( $\Leftarrow$ ): Given that  $I^i, I^{-i}$  both exist in  $J(P)$ , we must exhibit  $m_1, m_2$  as in the above definition. Let

$$M_1 = \{m \in J(P) : m \text{ maximal, and } m \geq I^i\}$$

$$M_2 = \{m \in J(P) : m \text{ maximal, and } m \geq I^{-i}\}.$$

Since we saw (in the proof of Proposition 6.3.3) that every maximal element  $m$  in  $J(P)$  has all non-zero coordinates, these two sets  $M_1, M_2$  disjointly cover all the maximal elements of  $J(P)$ . Since  $G(\hat{J}(P))$  is connected, there must exist a pair of elements  $m_1 \in M_1, m_2 \in M_2$  such that  $m_1, m_2$  are adjacent in  $G(\hat{J}(P))$ . It is easy to see that these  $m_1, m_2$  satisfy the conditions of the definition for  $I^i \sim I^{-i}$ . ■

**Proposition 6.3.6** *Suppose  $I_1, I_2, I_3, I_4 \in \text{Irr}(J(P))$  satisfy  $I_1 \sim I_2$  and  $I_3 \sim I_4$ . Then*

$$I_1 \leq I_3 \Leftrightarrow I_2 \geq I_4.$$

Proof: From the previous proposition, we have  $I_1 = I^i, I_2 = I^{-i}, I_3 = I^j, I_4 = I^{-j}$  for some  $i, j \in \{\pm 1, \dots, \pm n\}$ . But

$$I^i \leq I^j \Leftrightarrow -\text{sgn}(j)e_{|j|} + \text{sgn}(i)e_{|i|} \in P \Leftrightarrow I^{-i} \geq I^{-j}$$

so the result follows. ■

**Proposition 6.3.7** *Let  $\{I_i\}_{i=1, \dots, m} \in \text{Irr}(J(P))$ . Then  $\bigvee_{i=1}^m I_i = \hat{1}$  if and only if there exists some  $k \in \{1, 2, \dots, n\}$  and  $r, s \in \{1, \dots, m\}$  such that  $I^{+k} \leq I_r$  and  $I^{-k} \leq I_s$ .*

Proof:  $\bigvee_{i=1}^m I_i = \hat{1}$  if and only if there exists some  $k \in \{1, 2, \dots, n\}$  such that  $I_1(k), \dots, I_m(k)$  have no upper bound in the partial order  $+1 > 0, -1 > 0$ . This is equivalent to saying that there exists  $r, s \in \{1, \dots, m\}$  with  $I_r(k) = +1, I_s(k) = -1$ , which is the same as  $I^{+k} \leq I_r, I^{-k} \leq I_s$ . ■

It turns out that Propositions 6.3.2, 6.3.3, 6.3.6, 6.3.7 characterize the lattices  $\hat{J}(P)$ .

**Definition:** We will say that a finite lattice  $L$  is  $B_n$ -distributive if

1.  $L$  is locally distributive
2.  $G(L)$  is connected

3. If  $I_1, I_2, I_3, I_4 \in \text{Irr}(L - \hat{1})$  satisfy  $I_1 \sim I_2$  and  $I_3 \sim I_4$ , then we have

$$I_1 \leq I_3 \Leftrightarrow I_2 \geq I_4$$

4. If  $\{I_i\}_{i=1, \dots, m} \subseteq \text{Irr}(L - \hat{1})$  and  $\bigvee_{i=1, \dots, m} I_i = \hat{1}$ , then there exists  $I_0, I'_0 \in \text{Irr}(L - \hat{1})$  and  $r, s \in \{1, \dots, m\}$  such that  $I_0 \sim I'_0$  and  $I_0 \leq I_r, I'_0 \leq I_s$ .

We have not mentioned how the number  $n$  (in the name  $B_n$ -distributive) enters the picture. However it is easy to see that Conditions 1 and 2 together imply that  $L$  is ranked, and then we require that  $n$  be equal to the rank of  $L$ .

**Theorem 6.3.8 ( $B_n$ -Birkhoff's Theorem)** *A finite lattice  $L$  is isomorphic to  $\hat{J}(P)$  for some  $B_n$ -parset  $P$  if and only if  $L$  is  $B_n$ -distributive. Furthermore,  $P$  is determined by  $L$  up to isomorphism as a  $B_n$ -parset.*

Proof:( $\Rightarrow$ ): This is the content of Propositions 6.3.2, 6.3.3, 6.3.6, 6.3.7.

( $\Leftarrow$ ): Assume  $L$  is  $B_n$ -distributive. We give a procedure to extract a  $B_n$ -parset  $P$  from  $L$  with the property that  $L \cong \hat{J}(P)$ .

Let  $m_1, m_2, \dots, m_M$  be an ordering of the maximal elements in  $L - \hat{1}$  such that  $m_{k+1}$  is adjacent to  $m_k$  in  $G(L)$  for all  $k \geq 1$  (such an ordering exists since  $G(L)$  is connected by Condition 2). We will construct  $P$  by a sequence  $P_1, P_2, \dots, P_M$  of approximations.

The first approximation  $P_1$  is defined as follows. Let  $+e_i, +e_i + e_j \in P_1$  for all  $1 \leq i < j \leq n$ . We will "think" of  $m_1$  as being the ideal  $(+1, +1, \dots, +1)$  and label the elements of  $\text{Irr}(L - \hat{1})$  underneath  $m_1$  arbitrarily as  $I^{+1}, \dots, I^{+n}$ . Then we add  $+e_i - e_j$  to  $P_1$  if and only if  $I^{+i} \leq I^{+j}$ . This completes the construction of  $P_1$ .

Having gotten to stage  $k$  and constructed  $P_k$ , we proceed inductively as follows. Since  $m_k$  and  $m_{k+1}$  are incomparable, there exists at least one element  $I \in \text{Irr}(L - \hat{1})$  satisfying  $I \leq m_k$  but  $I \not\leq m_{k+1}$ , and at least one  $I' \in \text{Irr}(L - \hat{1})$  satisfying  $I' \leq m_{k+1}$  but  $I' \not\leq m_k$ . Any two such  $I, I'$  will have  $I \sim I'$  by definition. But Condition 3 implies that a given join-irreducible  $J$  can have  $J \sim J'$  for at most one element  $J'$ : if  $J \sim J'$  and  $J \sim J''$ , then we have  $J \leq J' \Rightarrow J' \geq J''$  and vice-versa, so  $J' = J''$ . Thus there is a *unique* pair of join-irreducibles  $I, I'$  such that  $I \sim I', I \leq m_k, I' \leq m_{k+1}$ . Since  $I \leq m_k$ , by induction,  $I$  has already been labelled  $I^i$  for some  $i \in \{\pm 1, \dots, \pm n\}$ . We then label  $I'$  as  $I^{-i}$ , and "think" of  $m_{k+1}$  as the ideal that differs from  $m_k$  exactly in the  $i^{\text{th}}$  coordinate and nowhere else. We produce  $P_{k+1}$  from  $P_k$  by removing  $+e_i$ , and then removing  $+e_i + e_j$  if and only if  $I^{-i} \not\leq I^j$  for some previously labelled join-irreducible  $I^j$ . This completes the construction of  $P_k$ . Proceeding through all of the elements  $m_1, m_2, \dots, m_M$  yields our final approximation  $P_M = P$ .

We want to show that  $L \cong \hat{J}(P)$ . The labelling of join-irreducibles during the above procedure gives a map  $\phi : \text{Irr}(L - \hat{1}) \rightarrow \text{Irr}(J(P))$ . A little thought shows that  $\phi$  is a bijection (because of the way we removed roots of the form  $+e_i$ ). Also,  $\phi$  is a poset isomorphism, because of the way we included roots of the form  $+e_i - e_j$  in  $P_1$  (along with Condition 3), and the way we removed roots of the form  $+e_i + e_j$ . We now use this

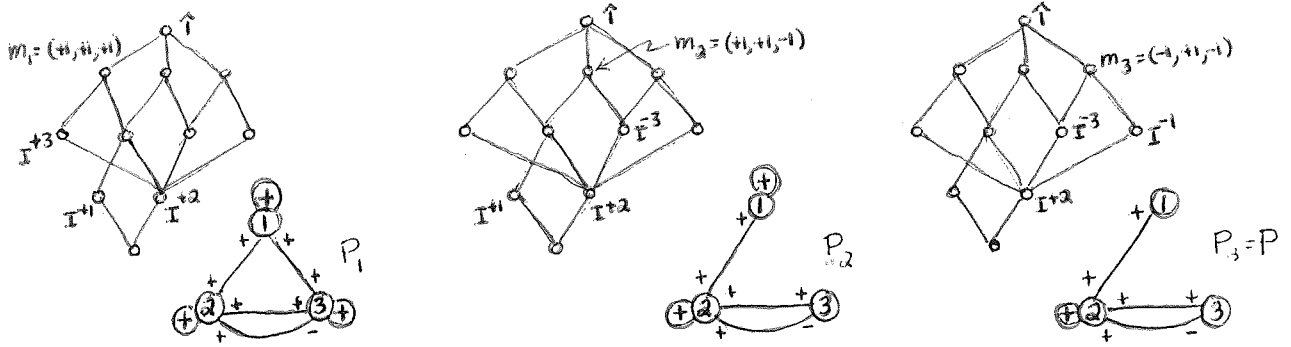


Figure 6-8: Recovering a  $B_n$ -parset from a  $B_n$ -distributive lattice

bijection  $\phi$  to define two maps  $\check{\phi} : L \rightarrow \hat{J}(P)$  and  $\check{\psi} : \hat{J}(P) \rightarrow L$ . We let  $\check{\phi}(\hat{1}) = \hat{1}$ , and  $\check{\phi}(x) = \bigvee_i \phi(I_i)$  if  $x \neq \hat{1}$  and  $x = \bigvee_i I_i$  is the unique irredundant decomposition of  $x$  into join-irreducibles (assured by the fact the  $[\hat{0}, x]$  is distributive). Similarly, we let  $\check{\psi}(\hat{1}) = \hat{1}$ , and  $\check{\psi}(x) = \bigvee_i \phi^{-1}(I^i)$  if  $f \neq \hat{1}$  and  $f = \bigvee_i I^i$  is the unique irredundant decomposition of  $f$ . One can easily check that Condition 4 implies that  $\check{\phi}(x) = \hat{1}$  if and only if  $x = \hat{1}$ , and that  $\check{\psi}(f) = \hat{1}$  if and only if  $f = \hat{1}$ . Also, since  $\phi$  is a poset-isomorphism,  $\check{\phi}$  and  $\check{\psi}$  are inverse poset-isomorphisms. Hence  $L \cong \hat{J}(P)$ .

If  $L \cong J(Q)$  for some other  $B_n$ -parset  $Q$ , we can produce an element  $w \in B_n$  such that  $wP = Q$  as follows. For  $1 \leq i \leq n$ , a given join-irreducible of  $L$  labelled  $I^{+i}$  during the above procedure must correspond to some join-irreducible  $I$  of  $J(Q)$ , and we know that  $I$  must in fact be of the form  $I^{w_i}$  for some  $w_i \in \{\pm 1, \dots, \pm n\}$ . Let  $w = \begin{pmatrix} 1 & \dots & n \\ w_1 & \dots & w_n \end{pmatrix}$ , and it is not hard to see that  $wP = Q$ . ■

An example of the procedure in the preceding proof is shown in Figure 8.

## 6.4 More about $\hat{J}(P)$

In this section, we investigate the interval structure of  $\hat{J}(P)$ , and compute some of its combinatorial invariants. We also give an EL-labelling (and hence a shelling) of a larger class of lattices which are  $B_n$ -analogous to *upper-semimodular* lattices.

**Proposition 6.4.1** *Let  $[x, y]$  be an interval in  $\hat{J}(P)$ .*

1. *If  $y = \hat{1}$ , then  $[x, y] \cong \hat{J}(P')$  for some  $B_n$ -parset  $P'$ .*
2. *If  $y \neq \hat{1}$ , then  $[x, y] \cong J(P'')$  for some poset  $Q$  (where here  $J(P'') = J(P''^+)$  is the usual distributive lattice of order ideals in  $P''$ ).*

Proof:

1. Given  $[x, \hat{1}]$ , let  $T = \{i : x_i = 0\}$ , and let  $P'$  be the parset containing the roots in  $P$  whose coordinates outside  $T$  are zero. We consider  $P'$  as a  $B_{\#T}$ -parset by

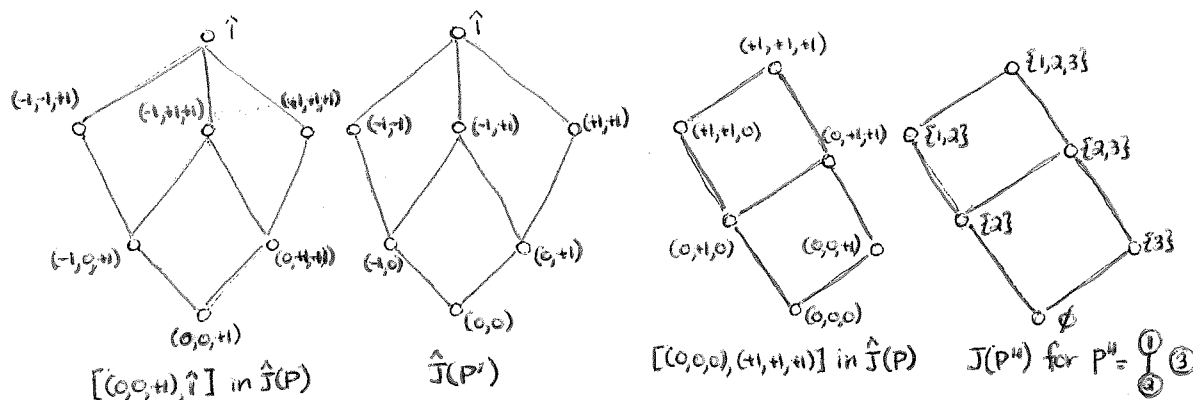


Figure 6-9: Some examples of intervals in  $\hat{J}(P)$

re-indexing the coordinates in  $T$  to make them  $\{1, 2, \dots, \#T\}$ . Then the map from  $[x, \hat{1}]$  to  $\hat{J}(P')$  which ignores all coordinates outside  $T$  is clearly an isomorphism.

- Given  $[x, y]$ , let  $T = \{i : x_i \neq y_i\}$ . Then by an element of  $B_n$ , we can make the restrictions of  $x$  and  $y$  to  $T$  look like  $(0, \dots, 0)$  and  $(+1, \dots, +1)$  respectively. Let  $P''$  be the partial order on the numbers in  $T$  determined in our usual fashion by  $\{+e_i - e_j \in P : i, j \in T\}$ . Again, the map from  $[x, y]$  to  $J(P)$  which ignores all coordinates outside  $T$  is clearly an isomorphism. ■

In light of the previous proposition, rather than looking at intervals, we can concentrate our attention on the structure of the *whole* distributive lattice  $J(P)$  for posets  $P$  and the *whole*  $B_n$ -distributive lattice  $\hat{J}(P)$  for  $B_n$ -parsets  $P$ .

**Example:** Let  $P = \{+e_2 - e_1, +e_2 + e_3, +e_3\}$ . Then the interval  $[(0, 0, +1), \hat{1}]$  in  $\hat{J}(P)$ , along with  $\hat{J}(P')$  (where  $P' = \{+e_2 - e_1\}$ ) is shown in Figure 9. The interval  $[(0, 0, 0), (+1, +1, +1)]$ , along with  $J(P'')$  where  $P''$  is the poset determined by  $\{+e_2 - e_1\}$ , is also shown in Figure 9.

**Definition:** Let  $L$  be a lattice with a least element  $\hat{0}$ , and a greatest element  $\hat{1}$ .  $L$  is *complemented* if  $\forall x \in L \exists y \in L$  such that  $x \wedge y = \hat{0}$  and  $x \vee y = \hat{1}$  ( $y$  is called a *complement* of  $x$ ). A minimal element of  $L - \hat{0}$  is called an *atom*.  $L$  is called *atomic* if  $\hat{1} = \bigvee_{atoms\ x} x$ .

It is well-known (see e.g. [St2], remarks after Proposition 3.4.4), that for posets  $P$  on  $n$  elements, the following are equivalent:

1.  $J(P)$  is complemented
2.  $J(P)$  is atomic
3.  $J(P)$  is the Boolean algebra  $\{1, 0\}^n$

**Proposition 6.4.2** *Let  $P$  be a  $B_n$ -parset. Then*



1.  $\hat{J}(P)$  is complemented if and only if  $\hat{J}(P)$  is the lattice  $\{+1, \widehat{-1}, 0\}^n$  of faces of the  $n$ -hyperoctahedron, i.e.  $P = \emptyset$ .
2.  $\hat{J}(P)$  is atomic if and only if some coordinate  $i \in \{1, 2, \dots, n\}$  is vacuous in  $P$ , i.e. every  $\alpha \in P$  has zero  $i^{\text{th}}$  coordinate.

Proof:

1. Clearly  $\{+1, \widehat{-1}, 0\}^n$  is complemented, since the complement of  $f$  is given by  $-f$ . We must show then that  $P \neq \emptyset$  implies  $\hat{J}(P)$  is not complemented. Let  $\alpha \in P$ . Since  $\hat{J}(P)$  only depends up to lattice-isomorphism on the isomorphism class of  $P$ , we can assume  $P \subseteq \Phi^+$ , so  $\alpha \in \Phi^+$ . If  $\alpha = +e_i$ , then one can check that  $I^{+i}$  has no complement in  $\hat{J}(P)$ . If  $\alpha = +e_i + e_j$  or  $+e_i - e_j$  then one can check that  $I^{+i} \vee I^{+j}$  or  $I^{+i} \vee I^{-j}$  has no complement in  $\hat{J}(P)$ , respectively.
2. By proposition 6.3.7,  $\hat{J}(P)$  is atomic if and only if for some  $i \in \{1, 2, \dots, n\}$ , both  $I^{+i}$  and  $I^{-i}$  are atoms. One can check that this means that  $i$  is vacuous in  $P$ . ■

**Definition:** The *Möbius function*  $\mu_Q$  of a poset  $Q$  is the map from the intervals of  $Q$  to  $\mathbf{Z}$  defined recursively as follows:

$$\begin{aligned} \mu_Q(x, x) &= 1 \quad \forall x \in Q, \\ \mu_Q(x, y) &= - \sum_{z: x \leq z < y} \mu_Q(x, z). \end{aligned}$$

If  $Q$  is ranked with rank function  $r$ , and has a least element  $\hat{0}$ , then the *characteristic polynomial*  $\chi(Q, \lambda)$  is defined by

$$\chi(Q, \lambda) = \sum_{x \in Q} \mu_Q(\hat{0}, x) \lambda^{r(Q) - r(x)}.$$

See [Ro] for more on these definitions.

It follows from [Bj1], Theorem 3.3, that for a finite lattice  $L$ ,  $\mu_L(\hat{0}, \hat{1}) = 0$  unless  $L$  is complemented. Hence for a poset  $P$ , we have

$$\mu_{J(P)}(\hat{0}, \hat{1}) = \begin{cases} (-1)^n & \text{if } P \text{ is an antichain} \\ 0 & \text{else} \end{cases}$$

**Proposition 6.4.3** *If  $P$  is a  $B_n$ -parset, then*

$$\mu_{J(P)}(\hat{0}, \hat{1}) = \begin{cases} (-1)^n & \text{if } P = \emptyset \\ 0 & \text{else} \end{cases}$$

Proof: If  $P \neq \emptyset$ , then  $P$  is not complemented by Proposition 6.4.2, so  $\mu_{J(P)}(\hat{0}, \hat{1}) = 0$ . If  $P = \emptyset$ , then  $\mu_{J(P)}(\hat{0}, \hat{1}) = (-1)^{n-1}$  since  $\hat{J}(P)$  is the poset of faces of a *regular cell decomposition* of an  $(n-1)$ -sphere (see [St2], Proposition 3.8.9). ■

**Proposition 6.4.4** *Let  $P$  be a  $B_n$ -parset.*

1. *If  $P = \emptyset$ , then*

$$\chi(\hat{J}(P), \lambda) = \lambda(\lambda - 2)^n + (-1)^{n+1}.$$

2. *If  $P \neq \emptyset$ , let  $k$  be the number of coordinates  $i$  which are vacuous in  $P$ , and let  $a$  be the number of atoms of  $\hat{J}(P)$ . Then*

$$\chi(\hat{J}(P), \lambda) = \lambda^{n+1-a+k}(\lambda - 1)^{a-2k}(\lambda - 2)^k.$$

Proof:

1. Since  $\hat{J}(P) = \{+1, \widehat{-1}, 0\}^n$ , we can just compute directly. For any  $x \in J(P)$  we have  $\mu(\hat{0}, x) = (-1)^{r(x)}$ , since  $[\hat{0}, x]$  is a Boolean algebra of rank  $r(x)$ . There are  $\binom{n}{i} 2^i$  elements of rank  $i$  in  $\{+1, -1, 0\}$ , and thus

$$\begin{aligned} \chi(\hat{J}(P), \lambda) &= \sum_{x \in J(P)} \mu(\hat{0}, x) \lambda^{n+1-r(x)} \\ &= (-1)^{n+1} + \sum_{i=0}^n (-1)^i \binom{n}{i} 2^i \lambda^{n+1-i} \\ &= \lambda(\lambda - 2)^n + (-1)^{n+1}. \end{aligned}$$

2. Our first observation is that

$$\chi(\hat{J}(P), \lambda) = \mu_{\hat{J}(P)}(\hat{0}, \hat{1}) + \lambda \chi(J(P), \lambda).$$

But  $\mu_{\hat{J}(P)}(\hat{0}, \hat{1}) = 0$  by the previous proposition, so  $\chi(\hat{J}(P), \lambda) = \lambda \chi(J(P), \lambda)$ .

Next we note that  $J(P)$  factors as a direct product of posets in the following manner. Let  $P'$  be the parset having the same roots as  $P$  but considered as a  $B_{n-k}$ -parset by re-indexing the non-vacuous coordinates. Then one easily sees that

$$J(P) = \{+1, -1, 0\}^k \times J(P').$$

Since it is easy to see that the characteristic polynomial satisfies

$$\chi(Q_1 \times Q_2, \lambda) = \chi(Q_1, \lambda) \chi(Q_2, \lambda),$$

we have

$$\begin{aligned} \chi(\hat{J}(P), \lambda) &= \lambda \chi(\{+1, -1, 0\}^k, \lambda) \chi(J(P'), \lambda) \\ &= \lambda \chi(\{+1, -1, 0\}, \lambda)^k \chi(J(P'), \lambda) \end{aligned}$$

$$= \lambda(\lambda - 2)^k \chi(J(P'), \lambda).$$

It only remains for us to calculate  $\chi(J(P'), \lambda)$ . Since  $P'$  has no vacuous coordinates by construction,  $\hat{J}(P')$  is not atomic (by Proposition 6.4.2). Hence if we let  $z = \bigvee_{atoms\ x \in \hat{J}(P')} x$ , then  $z \neq \hat{1}$  so  $z \in J(P)$ . Since any interval  $[\hat{0}, x]$  is distributive,  $\mu(\hat{0}, x) = 0$  unless  $x$  is a join of atoms, i.e. unless  $x \in [\hat{0}, z]$ . Thus

$$\begin{aligned} \chi(J(P), \lambda) &= \sum_{x \in J(P')} \mu(\hat{0}, x) \lambda^{r(J(P')) - r(x)} \\ &= \sum_{x \in [\hat{0}, z]} \mu(\hat{0}, x) \lambda^{n-k-r(x)} \\ &= \lambda^{n-k-r(z)} \sum_{x \in [\hat{0}, z]} \mu(\hat{0}, x) \lambda^{r(z)-r(x)} \\ &= \lambda^{n-k-r(z)} \chi([\hat{0}, z], \lambda) \\ &= \lambda^{n-a+k} (\lambda - 1)^{a-2k} \end{aligned}$$

where the last equality holds because  $[\hat{0}, z]$  is a Boolean algebra of rank  $a - 2k$ . Thus, we have

$$\chi(\hat{J}(P), \lambda) = \lambda^{n+1-a+k} (\lambda - 1)^{a-2k} (\lambda - 2)^k. \blacksquare$$

**Example:** Let  $n = 3$ , and  $P = \{+e_2 - e_3\}$ . Then 1 is vacuous in  $P$ , so  $k = 1$ , and  $a = \#\{(+1, 0, 0), (-1, 0, 0), (0, +1, 0), (0, 0, -1)\} = 4$ . Thus by the previous proposition we have

$$\chi(\hat{J}(P), \lambda) = \lambda(\lambda - 1)^2(\lambda - 2).$$

Figure 10 shows  $\hat{J}(P)$  labelled with the values  $\mu(\hat{0}, x)$ , and the factorization  $J(P) = \{+1, -1, 0\}^a \times J(P')$ .

If we assume that  $P$  is natural, i.e.  $P \subseteq \Phi^+$ , then the numbers  $\beta_K(P)$  for  $K \subseteq S$  also have a Möbius function interpretation.

**Definition:** For  $K \subseteq S = \{1, 2, \dots, n\}$ , let  $\hat{J}(P)_K$  be the subposet of  $\hat{J}(P)$  consisting of  $\hat{0}, \hat{1}$  and all ideals  $f$  whose rank is in  $K$ .

**Proposition 6.4.5** For  $P$  a natural  $B_n$ -parset and  $K \subseteq S$ , we have

$$\beta_K(P) = (-1)^{\#K} \mu_{\hat{J}(P)_K}(\hat{0}, \hat{1}).$$

Proof: We have that

$$\begin{aligned} \alpha_K(P) &= \#\{P\text{-compatible chains in } J(P) - \hat{0} \text{ with rank set } K\} \\ &= \#\{\text{chains in } J(P) - \hat{0} \text{ with rank set } K\} \end{aligned}$$

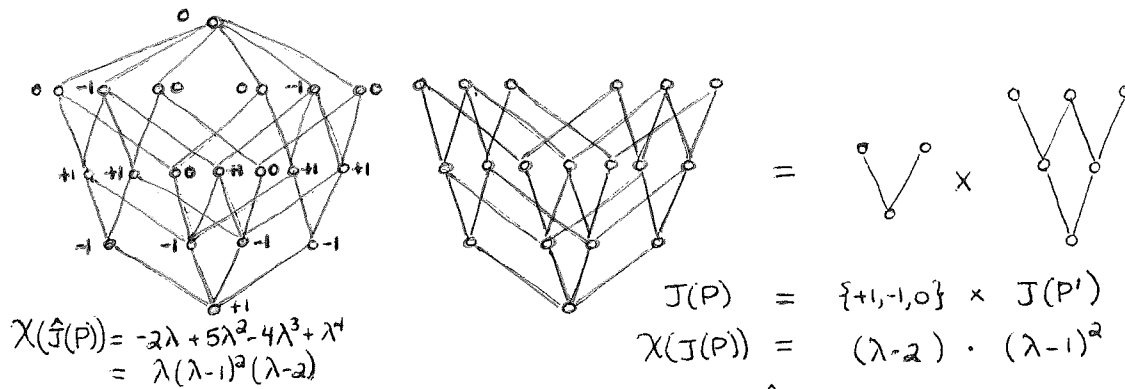


Figure 6-10: An example of  $\chi(\hat{J}(P), \lambda)$

since  $P$  is natural. Hence

$$\begin{aligned}
 \beta_K(P) &= \sum_{L \subseteq K} (-1)^{\#(K-L)} \alpha_L(P) \\
 &= \sum_{L \subseteq K} (-1)^{\#(K-L)} \#\{P\text{-compatible chains in } J(P) - \hat{0} \text{ with rank set } L\} \\
 &= (-1)^{\#K} \sum_{\text{chains } c \in J(P)_K} (-1)^{\#c} \\
 &= (-1)^{\#K} \mu_{J(P)_K}(\hat{0}, \hat{1})
 \end{aligned}$$

where the last equality is by P. Hall's Theorem ([Ro], Proposition 6, [St2], Proposition 3.8.5). ■

### Corollary 6.4.6

$$(-1)^{\#K} \mu_{J(P)_K}(\hat{0}, \hat{1}) = \#\{w \in B_n : D(w) = K\}$$

and hence is non-negative, for all  $K \subseteq S$ .

Proof: Combine the previous proposition with Proposition 3.3.2. ■

The previous corollary is sometimes phrased as follows: the Möbius function of  $\hat{J}(P)_K$  alternates in sign. We now show that there is an even larger class of posets (containing all  $B_n$ -distributive lattices) with this property.

**Definition:** We will say a finite lattice  $L$  is  $B_n$ -semimodular if  $L$  satisfies conditions 2,3, and 4 in the definition of  $B_n$ -distributive, along with the following condition (which is weaker than the condition of local distributivity): every interval in  $L - \hat{1}$  is (upper)-semimodular (a lattice is upper-semimodular if whenever  $x$  covers  $x \wedge y$  we have that  $x \vee y$  covers  $y$ ).

We will make use of the notion of an EL-labelling ([Bj3]).

**Definition:** Let  $Q$  be a ranked poset. Write  $x < \cdot y$  if  $y$  covers  $x$  in  $Q$ . We say  $Q$  is *edgewise-lexicographically labellable* or *EL-labellable* if we can label the edges  $E = \{(x, y) : x < \cdot y\}$  in the Hasse diagram using a map  $\lambda : E \rightarrow \Lambda$  to a linearly ordered set  $\Lambda$  satisfying:

1. For any interval  $[x, y] \in Q$ , there is a unique maximal chain

$$c[x, y] : x = x_0 < \cdot x_1 < \dots < \cdot x_{k-1} < \cdot x_k = y$$

for which the sequence of labels

$$(\lambda(x_0, x_1), \lambda(x_1, x_2), \dots, \lambda(x_{k-1}, x_k))$$

is (weakly) increasing in  $\Lambda$ .

2.  $c[x, y]$  is the least among all maximal chains of  $[x, y]$  when we order them by their label sequences, using the lexicographic extension of  $\Lambda$  to  $\Lambda^k$ .

In [Bj3], Björner shows that when  $Q$  is EL-labellable and has  $\hat{0}, \hat{1}$ , for any subset  $K$  of the rank set of  $Q$ , the Möbius function of  $Q_K$  alternates in sign for the following reason:  $(-1)^{\#K} \mu_{Q_K}(\hat{0}, \hat{1}) =$

$$\#\{\text{maximal chains in } Q \text{ whose label set decreases exactly after the ranks in } K\}.$$

It is known ([Ga], Section 5) that all semimodular lattices are EL-labellable. We now prove a  $B_n$ -analogue of this result.

**Theorem 6.4.7** *If a finite lattice  $L$  is  $B_n$ -semimodular, then  $L$  is EL-labellable.*

Proof: We do the  $B_n$ -analogue of the proof of Theorem 5.1 in [Ga].

First we describe the edge-labelling  $\lambda$ . Let  $\Lambda$  be the following linear order on  $\mathbf{Z} \cup \{\infty\} - \{0\}$ :

$$+1 <_{\Lambda} +2 <_{\Lambda} +3 <_{\Lambda} \dots <_{\Lambda} \infty <_{\Lambda} \dots <_{\Lambda} -3 <_{\Lambda} -2 <_{\Lambda} -1.$$

Now pick a maximal element  $m$  in  $L - \hat{1}$ , and label the elements of  $\text{Irr}(L - \hat{1})$  which lie under  $m$  by  $I^{+1}, I^{+2}, \dots, I^{+k}$  in such a way that  $I^{+i} \leq_L I^{+j}$  implies  $i \leq j$ . We extend this to all join-irreducibles as in the proof of Theorem 6.3.8, i.e. if  $I \in \text{Irr}(L - \hat{1})$  and  $I \sim I^{+i}$  for some  $i$ , then label  $I$  as  $I^{-i}$  (it is not hard to check that *all* join-irreducibles get labelled this way). Now given  $x < \cdot y$  in  $L$ , we label the edge  $(x, y)$  in the Hasse diagram with  $\lambda(x, y)$  defined as follows:

$$\lambda(x, y) = \begin{cases} \infty & \text{if } y = \hat{1} \\ \min_{\Lambda} \{i : x \vee I^i = y\} & \text{else} \end{cases}$$

Before we show that this is an EL-labelling, we note one property of our labelling of  $\text{Irr}(L - \hat{1})$ : if  $I^i \leq_L I^j$  then  $i \leq_\Delta j$ . To see this, we check cases:

*Case 1:*  $i, j$  both positive. Then  $I^i \leq_L I^j$  implies  $i \leq_\Delta j$  by construction.

*Case 2:*  $i, j$  both negative. Then  $I^i \leq_L I^j$  implies  $I^{-i} \geq_L I^{-j}$  by condition 3 of  $B_n$ -semimodularity, which implies  $-i \geq -j$  and hence  $i \leq_\Delta j$ .

*Case 3:*  $i$  positive,  $j$  negative. Then  $i \leq_\Delta j$  anyway.

*Case 4:*  $i$  negative,  $j$  positive. Then  $I^i \leq I^j$  implies  $I^i \leq m$ , which contradicts the construction, so this case never happens.

Now we show that it is an EL-labelling. Let  $x \leq y$  in  $L$ . We must exhibit  $c[x, y]$ , and show it satisfies the two properties in the definition. If  $x < \cdot y$ , then  $c[x, y]$  is just  $x < \cdot y$ , which trivially satisfies the definition. Otherwise, we will show how to construct  $c[x, y]$  by induction on the length of the interval  $[x, y]$ .

Let

$$i = \min_\Delta \{j : I^j \not\leq x, I^j \leq y, \text{ and } I^j \vee x \neq \hat{1}\}$$

(if this set is empty, then  $y = \hat{1}$  and  $x < \cdot y$ ). We claim that  $I^i \wedge x < \cdot I^i$ . To see this, assume not, i.e. let  $I^k$  satisfy

$$I^i \wedge x < I^k \vee (I^i \wedge x) < I^i.$$

Then  $k \in \{j : I^j \not\leq x, I^j \leq y, \text{ and } I^j \vee x \neq \hat{1}\}$ , and  $I^k < I^i$  implies  $k <_\Delta i$ , contradicting the minimality of  $i$ . Thus  $I^i \wedge x < \cdot I^i$ , and using the fact that the interval  $[\hat{0}, I^i \vee x]$  is semimodular, we conclude that  $x < \cdot x \vee I^i$ . Thus if we start our chain  $c[x, y]$  with  $x < \cdot x \vee I^i$ , we can then continue by induction (replacing  $x$  by  $x \vee I^i$ ), and  $c[x, y]$  will certainly be the lexicographically smallest maximal chain from  $x$  to  $y$ .

We must check that this  $c[x, y]$  has increasing labels. This is clearly true by construction if  $y \neq \hat{1}$ , since at each stage, the edge  $x < \cdot x \vee I^i$  gets labelled  $i$ . If  $y = \hat{1}$ , then the labels are certainly increasing, until the last step which gets labelled  $\infty$ . Thus it would suffice to show that  $i$  is always positive. To see this, suppose not, i.e.  $i < 0$ . Then  $I^i \vee x \neq \hat{1}$  implies that  $I^{-i} \not\leq x$ . We also can infer that  $I^{-i} \vee x \neq \hat{1}$ , else by condition 4 of  $B_n$ -semimodularity there would be some  $l$  for which  $I^{-l} \leq I^{-i}$  and  $I^{+l} \leq x$  and we would get the contradiction  $I^i \leq I^{+l} \leq x$ . Thus  $-i$  is also in the set  $\{j : I^j \not\leq x, I^j \leq y, \text{ and } I^j \vee x \neq \hat{1}\}$ , and we have  $-i <_\Delta i$ , contradicting the minimality of  $i$ .

Thus we have exhibited the lexicographically smallest chain from  $x$  to  $y$ , and shown that it has increasing labels. Now suppose  $c$  is some other maximal chain from  $x$  to  $y$  with increasing labels. It only remains to show that  $c = c[x, y]$ , which we will do by induction on the length of  $c$ . Let  $c$  be  $x = x_0 < \cdot x_1 < \dots < \cdot x_t = y$ , and let  $j$  be the unique index satisfying  $I^i \not\leq x_j$ , but  $I^i \leq x_{j+1}$ .

*Case 1:*  $x_{j+1} \neq \hat{1}$ . Then  $x_j \vee I^i = x_{j+1}$ . Thus by minimality of  $i$ , this edge of  $c$  must be labelled  $i$ . In order for  $c$  to have increasing labels, this must be the first edge of  $c$ , i.e.  $j = 0, x = x_j, x_{j+1} = x \vee I^i$ . So  $c$  and  $c[x, y]$  agree in their first step, and we can apply induction on the length of  $c$ .

*Case 2:*  $x_{j+1} = \hat{1}$ . We will show that one of the labels on  $c$  between  $x$  and  $x_j$  is negative, and hence  $c$  is not increasing (since the last label on  $c$  is  $\infty$ ).

To see this, let the labels on  $c$  between  $x$  and  $x_j$  be  $i_1, \dots, i_k$ . Let  $x = I^{i_{k+1}} \vee \dots \vee I^{i_1}$  be an irredundant decomposition of  $x$ . Then we have

$$\begin{aligned} \hat{1} &= I^i \vee x_j = I^i \vee x \vee I^{i_1} \vee \dots \vee I^{i_k} \\ &= I^i \vee I^{i_1} \vee \dots \vee I^{i_k}. \end{aligned}$$

This implies (by condition 4 of  $B_n$ -semimodularity) that for some  $r, s$  we have  $I^s \leq I^i$  and  $I^{-s} \leq I^{i_r}$ . Hence (by condition 3 of  $B_n$ -semimodularity) we have  $I^{-i} \leq I^{-s} \leq I^{i_r}$ , as long as  $I^{-i}$  exists in  $L$ . But  $I^{-i}$  must exist, since  $x_j$  is a maximal element of  $L - \hat{1}$  which does not lie above  $I^i$ , so it must lie above  $I^{-i}$  (it is easy to see from condition 1 of  $B_n$ -semimodularity that every maximal element of  $L - \hat{1}$  must lie above either  $I^i$  or  $I^{-i}$ ). Now, if  $r \geq k + 1$  then  $I^{-i} \leq x$  contradicting the fact that  $I^i \vee x \neq \hat{1}$ . If  $r \leq k$ , then  $I^{-i} \leq I^{i_r}$  implies that  $i_r$  is negative, as we desired. ■

**Remark:** One can check that for a natural  $B_n$ -parset  $P$  and  $L = \hat{J}(P)$ , if we choose  $m$  in the above proof to be the ideal  $(+1, +1, \dots, +1) \in \hat{J}(P)$ , and label each of the join-irreducibles  $I^{+i}$  as themselves (i.e. label the least ideal having  $+1$  in the  $i^{\text{th}}$  coordinate as  $I^{+i}$ ), then two nice things happen:

1. The label sequences of the maximal chains in  $J(P)$  are exactly the same as  $\mathcal{L}(P)$  (where we identify  $w \in \mathcal{L}(P)$  with a sequence of numbers in  $\{\pm 1, \dots, \pm n\}$ ).
2. The lexicographic order on label sets of maximal chains in  $J(P)$  corresponds to a linear extension of Bruhat order on  $\mathcal{L}(P)$ .

**Example:** Let  $L = \hat{J}(P)$  for  $P = \{+e_1, +e_2 - e_3\}$ . Figure 11 shows an EL-labelling as in the proof above, and a listing of  $\mathcal{L}(P)$ .

## 6.5 P-partition rings

In [Ga], Section 6, Garsia introduced the *partition ring*  $\mathcal{R}(P)$  associated to a naturally labelled poset  $P$  (i.e. a natural  $A_n$ -parset  $P$ ), and showed how a shelling of the order complex of  $J(P)$  leads to a decomposition of the ring  $\mathcal{R}(P)$ . In this section, we define partition rings for  $B_n$ -parsets, and state an analogous result that also incorporates group actions.

For posets  $P$ , there is a single canonical choice for  $\mathcal{R}(P)$ . For  $B_n$ -parsets, there are two choices; one associated to each of the two root systems  $B_n$  and  $C_n$ .

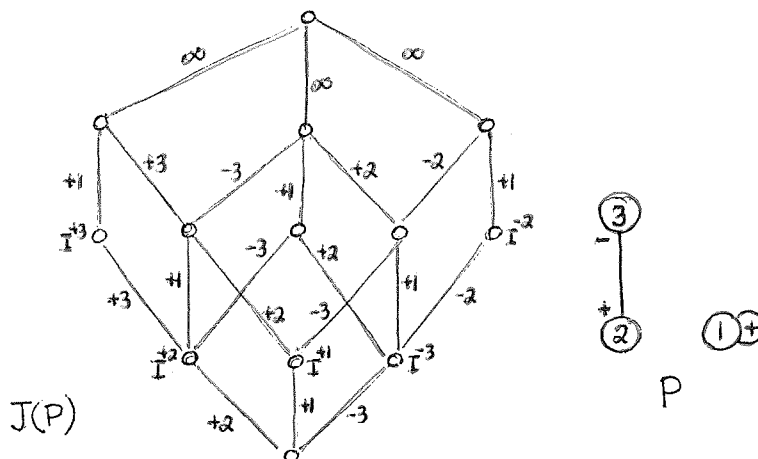


Figure 6-11: An example of an EL-labelling for a lattice  $J(P)$

**Definition:** Let  $(W, S)$  be the same Coxeter system as in  $B_n$ , i.e.  $W$  is the hyperoctahedral group acting as all permutations and sign changes of the coordinates in  $V = \mathbf{R}^n$ . Let

$$\Phi = \{\pm 2e_i, \pm e_i \pm e_j : 1 \leq i < j \leq n\}$$

$$\Phi^+ = \{+2e_i, +e_i + e_j, +e_i - e_j : 1 \leq i < j \leq n\}$$

$$\Pi = \{+e_i - e_{i+1} : 1 \leq i < n\} \cup \{+2e_n\}.$$

It is easy to check that  $(\Phi, \Pi)$  forms a positive root system for  $(W, S)$ , which we will call the *usual realization* of  $C_n$ .

Note that  $B_n$  and  $C_n$  share the same Coxeter system, but have different positive root systems. Given a root  $\alpha$  in either of these two root systems, let  $\check{\alpha} = \frac{2\alpha}{\langle \alpha, \alpha \rangle}$ . Notice that  $\check{\alpha} = \alpha$  and  $\alpha \in B_n \Leftrightarrow \check{\alpha} \in C_n$ , i.e.  $\check{B}_n = C_n$  and  $\check{C}_n = B_n$ . This gives us a way to identify the  $B_n$ -parset  $P$  with the  $C_n$ -parset  $\check{P}$  (and explains why we have ignored  $C_n$ -parsets up to this point). We again have the *positive embedding* of an  $A_{n-1}$  parset  $P$  as a  $C_n$ -parset, given by

$$\check{P}^+ = P \cup \{+2e_i, +e_i + e_j : 1 \leq i < j \leq n\}.$$

We will define the partition rings for  $A_{n-1}$ -parsets as a special case of  $C_n$ -parsets using this positive embedding.

The *weight lattices*  $\Lambda(B_n), \Lambda(C_n)$  are defined by

$$\Lambda(B_n) = \mathbf{Z}^n + \left(\frac{1}{2}, \frac{1}{2}, \dots, \frac{1}{2}\right) \mathbf{Z}^n$$



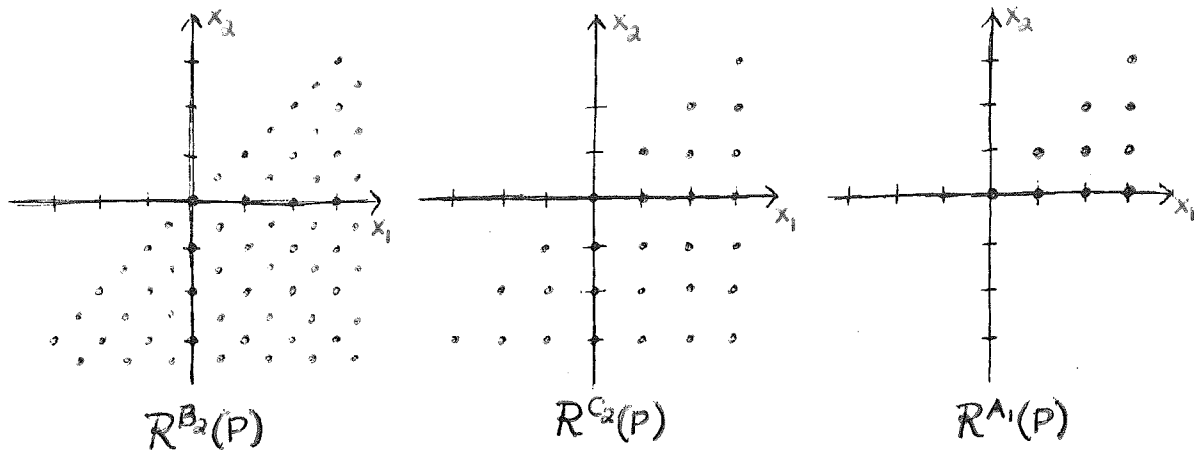


Figure 6-12: Some examples of  $P$ -partition rings

$$\Lambda(C_n) = \mathbf{Z}^n.$$

We may now define our  $P$ -partition rings over a field  $k$ :

If  $P$  is a  $B_n$ -parset, then  $\mathcal{R}_k^{B_n}(P) = k[x^f]_{f \in \mathcal{A}(P) \cap \Lambda(B_n)}$ .

If  $P$  is a  $C_n$ -parset, then  $\mathcal{R}_k^{C_n}(P) = k[x^f]_{f \in \mathcal{A}(P) \cap \Lambda(C_n)}$ .

If  $P$  is an  $A_{n-1}$ -parset, then  $\mathcal{R}_k^{A_n}(P) = \mathcal{R}_k^{C_n}(P^+)$ .

When no ambiguity results, we will omit the subscript  $k$  denoting the field. Note that  $\mathcal{R}^{A_{n-1}}(P)$  is a subalgebra of  $k[x_1, \dots, x_n]$ ,  $\mathcal{R}^{C_n}(P)$  is a subalgebra of the ring

$$k[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$$

(the ring of *finite Laurent series* in  $n$  variables), and  $\mathcal{R}^{B_n}(P)$  is a subalgebra of

$$k[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}, (x_1 \cdots x_n)^{\frac{1}{2}}].$$

In fact, each of these rings is an example of a *monoid algebra*, i.e. an algebra of the form  $k[x^f]_{f \in \mathcal{M}}$ , where  $\mathcal{M}$  is some additive submonoid of  $\mathbf{R}^n$ .

**Example:** Let  $P = \{+e_1 - e_2\}$ , and we will think of  $P$  as an  $A_1$ - or  $B_2$ - or  $C_2$ -parset. We have

$$\mathcal{R}^{B_2}(P) = k[x_1^a x_2^b : (a, b) \in \mathbf{Z}^2 + (\frac{1}{2}, \frac{1}{2})\mathbf{Z}, a \geq b]$$

$$\mathcal{R}^{C_2}(P) = k[x_1^a x_2^b : (a, b) \in \mathbf{Z}^2, a \geq b]$$

$$\mathcal{R}^{A_1}(P) = k[x_1^a x_2^b : (a, b) \in \mathbf{N}^2, a \geq b].$$

The relevant submonoids  $\mathcal{M}$  are shown in Figure 12.

**Definition:** Given a finite poset  $Q$ , let  $\Delta(Q)$  be the *order complex* of  $Q$ , i.e. the simplicial complex having chains of  $Q$  as simplices. Given  $\Delta$  a simplicial complex, recall from Section 2.3 that  $k[\Delta]$  denotes the *Stanley-Reisner ring* or *face-ring* of  $\Delta$ .

We define three *transfer maps*  $T^{A_{n-1}}, T^{B_n}, T^{C_n}$  as follows:

$$T^{B_n} : k[\Delta(\{+1, -1, 0\}^n - \hat{0})] \rightarrow k[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}, (x_1 \cdots x_n)^{\frac{1}{2}}]$$

is defined by starting with

$$T(y_f) = \begin{cases} \mathbf{x}^f & \text{if } \#\{i' : f_i \neq 0\} \neq n \\ \mathbf{x}^{\frac{f}{2}} & \text{if } \#\{i' : f_i \neq 0\} = n \end{cases},$$

then extending multiplicatively on non-zero monomials  $y_{f_1} \cdots y_{f_k}$ , and then  $k$ -linearly to all of  $k[\Delta(\{+1, -1, 0\}^n - \hat{0})]$ .

$$T^{C_n} : k[\Delta(\{+1, -1, 0\}^n - \hat{0})] \rightarrow k[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$$

is defined similarly, except that we start with  $T(y_f) = \mathbf{x}^f$ .

$$T^{A_{n-1}} : k[\Delta(\{1, 0\}^n - \hat{0})] \rightarrow k[x_1, \dots, x_n]$$

is defined similarly, except we start with  $T(y_f) = \mathbf{x}^f$ .

**Example:** Let  $n = 3$  and

$$p = y_{(0,+1,0)}y_{(-1,+1,0)}y_{(-1,+1,-1)} + y_{(0,0,-1)} \in k[\Delta(\{+1, -1, 0\}^3 - \hat{0})].$$

Then we have

$$\begin{aligned} T^{B_n}(p) &= x_2 \cdot (x_1^{-1}x_2) \cdot (x_1^{-1}x_2x_3^{-1})^{\frac{1}{2}} + x_3^{-1} \\ T^{C_n}(p) &= x_2 \cdot (x_1^{-1}x_2) \cdot (x_1^{-1}x_2x_3^{-1}) + x_3^{-1}. \end{aligned}$$

If we let

$$q = y_{(0,1,0)}y_{(1,1,0)}y_{(1,1,1)} + y_{(0,0,1)} \in k[\Delta(\{1, 0\}^n - \hat{0})]$$

then we have

$$T^{A_{n-1}}(q) = x_2 \cdot (x_1x_2) \cdot (x_1x_2x_3) + x_3.$$

**Definition:**

$$\text{Aut}(P) = \{w \in W : wP = P\}.$$

Note that if  $G$  is a subgroup of  $\text{Aut}(P)$ , then  $G$  acts on  $J(P), \Delta(J(P) - \hat{0}), k[\Delta(J(P) - \hat{0})]$ , and  $\mathcal{R}^{(\Phi, \Pi)}(P)$  (where  $(\Phi, \Pi) = A_{n-1}, B_n$  or  $C_n$ , depending on what type of parset  $P$  is). Let  $k[\Delta(J(P) - \hat{0})]^G$  denote the invariant subring of  $k[\Delta(J(P) - \hat{0})]$  under the action of  $G$ . Let  $\Delta(J(P) - \hat{0})/G$  denote the simplicial poset which is the quotient of  $\Delta(J(P) - \hat{0})$  under the action of  $G$ . Let  $\mathcal{S}^G$  denote the symmetrization operator  $\mathcal{S}^G(p) = \frac{1}{\#G} \sum_{g \in G} g(p)$ .

We now have enough terminology to cram all the results into one omnibus theorem, for which we omit a detailed proof.

**Theorem 6.5.1** *Let  $P$  be a  $(\Phi, \Pi)$ -parset where  $(\Phi, \Pi)$  is one of  $A_{n-1}, B_n$ , or  $C_n$ , and let  $G$  be a subgroup of  $\text{Aut}(P)$  for which the characteristic of the field  $k$  does not divide  $\#G$ . We then have that:*

1.  $T^{(\Phi, \Pi)}$  restricts to a  $k$ -linear isomorphism (but not a ring homomorphism)

$$k[\Delta(J(P) - \hat{0})] \rightarrow \mathcal{R}^{(\Phi, \Pi)}(P)$$

which commutes with the action of  $G$ .

2.  $k[\Delta(J(P) - \hat{0})]^G$  is a free module over  $k[\theta_i(P)]_{i=1, \dots, n}$ , where  $\theta_i(P) = \sum_{\substack{f \in J(P) \\ \text{rank}(f)=i}} y_f$ .

3.  $\mathcal{R}^{(\Phi, \Pi)}(P)^G$  is a free module over

$$k[T^{(\Phi, \Pi)}\theta_i(P)]_{i=1, \dots, n}.$$

4. If  $\{\eta_j\}_{j=1, \dots, t}$  are a basis for  $k[\Delta(J(P) - \hat{0})]^G$  as a free  $k[\theta_i(P)]_{i=1, \dots, n}$ -module, then  $\{T^{(\Phi, \Pi)}\eta_j\}_{j=1, \dots, t}$  are a basis for  $(\mathcal{R}^{(\Phi, \Pi)}P)^G$  as a free module over

$$k[T^{(\Phi, \Pi)}\theta_i(P)]_{i=1, \dots, n}.$$

5. If  $\Delta(J(P) - \hat{0})/G = \coprod_{j=1}^t [F_i, M_i]$  is a shelling, and we let  $\eta_i = S^G m_i$  where  $m_i$  is the monomial of  $k[\Delta(J(P) - \hat{0})]$  corresponding to some chain in the  $G$ -orbit  $F_i$ , then  $\{\eta_j\}_{j=1, \dots, t}$  are a basis as in 3.

“Proof”: The case of  $G = \langle 1 \rangle, (\Phi, \Pi) = A_{n-1}$ , and arbitrary  $P$  is contained in [Ga], Section 6.

The case of  $P = \emptyset$ , and  $G, (\Phi, \Pi)$  arbitrary is contained in [GS], Section 9.

The general case is a routine extension and combination of these methods. ■

**Remark:** An EL-labelling of a poset  $Q$  gives a shelling of  $\Delta Q$  ([Bj3]). Thus for the case of  $G = \langle 1 \rangle$ , a shelling as in Part 4 of the previous theorem can be produced using Theorem 6.4.7.

**Example:** Let  $P = \{+e_1 - e_2\}$  as in the previous example, which we will think of as both a  $B_n$ - and  $C_n$ -parset. Let us choose  $G$  to be all of  $\text{Aut}(P) = \langle \begin{pmatrix} 1 & 2 \\ -2 & -1 \end{pmatrix} \rangle$ . Figure 13 shows  $\Delta(J(P) - \hat{0})$  and  $\Delta(J(P) - \hat{0})/G$ . By inspection, we can write down the following shelling:

$$\Delta(J(P) - \hat{0})/G = [\emptyset, (+1, 0) < (+1, +1)] \amalg [(+1, -1), (+1, 0) < (+1, -1)].$$

From the previous theorem (Parts 4,5), we conclude that

1.  $\{1, y_{(+1,-1)}\}$  form a basis for  $k[\Delta(J(P) - \hat{0})]^G$  as a free  $k[\theta_1(P), \theta_2(P)]$ -module, where

$$\theta_1(P) = y_{(+1,0)} + y_{(0,-1)}, \theta_2(P) = y_{(+1,+1)} + y_{(+1,-1)} + y_{(-1,-1)}.$$

2.  $\{1, (x_1 x_2^{-1})^{\frac{1}{2}}\}$  form a basis for  $\mathcal{R}^{B_2}(P)^G$  as a free  $k[x_1 + x_2^{-1}, (x_1 x_2)^{\frac{1}{2}} + (x_1 x_2^{-1})^{\frac{1}{2}} + (x_1^{-1} x_2^{-1})^{\frac{1}{2}}]$  - module.
3.  $\{1, x_1 x_2^{-1}\}$  form a basis for  $\mathcal{R}^{C_2}(P)^G$  as a free  $k[x_1 + x_2^{-1}, x_1 x_2 + x_1 x_2^{-1} + x_1^{-1} x_2^{-1}]$  - module.

# Chapter 7

## A Coxeter group approach to the Neggers-Stanley Conjecture

### 7.1 Order and Eulerian polynomials

In this section we extend the definition of  $\Omega(P; m)$  and  $w_s(P)$  from  $B_n$ -parsets  $P$  to arbitrary parsets. We will see that they are related exactly as they are in the case of  $B_n$ -parsets. In the next section, we will use these extended definitions to phrase an extension of the Neggers-Stanley conjecture.

Throughout this section,  $(W, S)$  will denote a finite Coxeter system realized by some positive root system, and  $P$  will denote a  $(W, S)$ -parset.

**Definition:** Recall the definition (Section 3.3) of

$$\Sigma_P = \{F(f) : f \in \mathcal{L}(P)\} \subseteq \Sigma(W, S).$$

We define the *order polynomial*  $\Omega(P; m)$  to be the number of *multifaces* of  $\Sigma_P$  of cardinality less than or equal to  $m - 1$ , where a multiface of  $\Sigma_P$  is a multiset of vertices that all lie on a single face in  $\Sigma_P$ . One can check that this definition is equivalent to the one given for  $B_n$ -parsets in Section 6.2 (the equivalence is a consequence of Propositions 6.2.1, 6.2.2). The fact that  $\Omega(P; m)$  is actually a polynomial in  $m$  will be proven in the proposition below.

We also define the *P-Eulerian numbers*

$$w_s(P) = \#\{w \in \mathcal{L}(P) : \#D(w) = s\}$$

for  $0 \leq s \leq S$ , and the *P-Eulerian polynomial*

$$E_P(q) = \sum_{w \in \mathcal{L}(P)} q^{\#D(w)+1} = \sum_{s=0}^{\#S} w_s(P) q^{s+1}.$$

**Proposition 7.1.1**

1.  $\Omega(P; m) = \sum_{\text{faces } F \in \Sigma_P} \sum_{l=1}^{m-1} \binom{\#F+l-1}{l}$ , and is a polynomial in  $m$  of degree  $\#S$ .
2.  $\sum_{m \geq 0} \Omega(P; m) q^m = \frac{E_P(q)}{(1-q)^{\#S+1}}$ .
3.  $\Omega(P; m) = \sum_{s=0}^{\#S} \binom{\#S+m-1-s}{\#S} w_s(P)$ .

Proof:

1. The expression given comes directly from our definition of  $\Omega(P; m)$ . To see that it is a polynomial of degree  $\#S$  in  $m$ , note that its first difference is

$$\Delta \Omega(P; m) = \Omega(P; m) - \Omega(P; m-1) = \sum_{\text{faces } F \in \Sigma_P} \binom{\#F+m-2}{m-1}$$

which is easily seen to be a polynomial of degree  $\#S - 1$  in  $m$ .

- 2.

$$\begin{aligned} (1-q) \sum_{m \geq 0} \Omega(P; m) q^m &= q \sum_{m \geq 1} [\Omega(P; m) - \Omega(P; m-1)] q^{m-1} \\ &= q \sum_{m \geq 1} \#\{\text{multifaces } \hat{F} \in \Sigma_P \text{ with } \#\hat{F} = m-1\} q^{m-1} \\ &= q \sum_{\text{multifaces } \hat{F} \in \Sigma_P} q^{\#\hat{F}} \\ &= q \sum_{\text{faces } F \in \Sigma_P} \frac{q^{\#F}}{(1-q)^{\#F}} \\ &= q \sum_{J \subseteq S} \alpha_J(\Sigma_P) \frac{q^{\#J}}{(1-q)^{\#J}} \\ &= \frac{q \sum_{L \subseteq S} \beta_L(\Sigma_P) q^{\#L}}{(1-q)^{\#S}} \\ &= \frac{q \sum_{L \subseteq S} \#\{w \in \mathcal{L}(P) : D(w) = L\} q^{\#L}}{(1-q)^{\#S}} \\ &= \frac{E_P(q)}{(1-q)^{\#S}} \end{aligned}$$

which is equivalent to our result.

3. From Part 2 we have

$$\begin{aligned}
\sum_{m \geq 0} \Omega(P; m) q^m &= \left( \sum_{s=0}^{\#S} w_s(P) q^{s+1} \right) (1-q)^{-\#S-1} \\
&= \left( \sum_{s=0}^{\#S} w_s(P) q^{s+1} \right) \left( \sum_{r \geq 0} \binom{-\#S-1}{r} (-q)^r \right) \\
&= \left( \sum_{s=0}^{\#S} w_s(P) q^{s+1} \right) \left( \sum_{r \geq 0} \binom{\#S+r}{\#S} q^r \right) \\
&= \sum_{m \geq 0} \left( \sum_{s=0}^{\#S} w_s(P) \binom{\#S+m-s-1}{\#S} \right) q^m
\end{aligned}$$

Equating coefficients of  $q^m$  gives the result. ■

## 7.2 The Neggers-Stanley Conjecture

**Conjecture 7.2.1 (Neggers-Stanley)** *For all  $A_{n-1}$ -parsets  $P$ ,  $E_P(q)$  has only real zeroes.*

This conjecture was made by Neggers ([Ne]) for natural  $P$ , and generalized to the above statement by Stanley in 1986. One consequence of the conjecture would be the statement that the sequence  $w_0(P), \dots, w_{n-1}(P)$  of  $P$ -Eulerian numbers is unimodal, and the conjecture may be considered a generalization of well-known facts about the usual Eulerian numbers. Some recent work ([Bre, Wg]) has greatly enlarged the classes of  $A_{n-1}$ -parsets  $P$  for which the conjecture is known to hold, however the general case is still open (see [Bre, Wg] for more details).

Our phrasing of the conjecture suggests the following definition.

**Definition:** Let  $(W, S)$  be a finite Coxeter system. We will say *NS holds for  $(W, S)$*  if  $E_P(q)$  has only real zeroes for all  $(W, S)$ -parsets  $P$ .

We can also try to make sense of this definition when  $(W, S)$  is not necessarily finite. In fact, the notion and construction of a root system (and positive roots) for *arbitrary* Coxeter systems is well-known. The main difference is that the bilinear form  $\langle \cdot, \cdot \rangle$  is in general neither positive definite, nor non-degenerate. We can still define a parset  $P$  as before, but we may no longer have that  $\mathcal{L}(P)$  is finite, so we simply restrict our attention to the cases where it *is* finite. There is a close connection (noted by Björner and Wachs in [BW1]) between these sets  $\mathcal{L}(P)$  and the notion of convexity in  $W$ .

**Definition:** Let  $(W, S)$  be a (not necessarily finite) Coxeter system. A subset  $U \subseteq W$  is said to be *convex* if whenever  $u, v \in U$  and  $us_1s_2 \cdots s_k = v$  with  $s_i \in S$  and  $k$  minimal, we have  $us_1s_2 \cdots s_i$  for  $i = 1, \dots, k$ .

The following is a translation of [Ti], Theorem 2.19.

**Theorem 7.2.2**  $U \subseteq W$  is convex if and only if  $U = \mathcal{L}(P)$  for some parset  $P$ . ■

In light of this last fact, we can rephrase our definition for arbitrary Coxeter systems in a way that makes no mention of parsets.

**Definition:** Let  $(W, S)$  be an arbitrary Coxeter system. We say *NS holds for  $(W, S)$*  if for all finite convex subsets  $U \subseteq W$ , the polynomial

$$E(U, q) = \sum_{w \in U} q^{\#D(w)}$$

has only real zeroes.

The remainder of this section will be devoted to reductions and special cases in the search for which Coxeter systems NS holds.

The next proposition shows that we need only determine for which *irreducible* Coxeter systems NS holds.

**Proposition 7.2.3** *NS holds for  $(W_1 \times W_2, S_1 \amalg S_2)$  if and only if it holds for  $(W_1, S_1)$  and  $(W_2, S_2)$ .*

Proof: Let  $(\Phi_i, \Pi_i)$  be positive root systems for  $(W_i, S_i)$  acting on  $V_i$ , for  $i = 1, 2$ . Then

$$(\Phi_1 \times \underline{0} \cup \underline{0} \times \Phi_2, \Pi_1 \times \underline{0} \cup \underline{0} \times \Pi_2)$$

is easily seen to be a positive root system for  $(W_1 \times W_2, S_1 \amalg S_2)$ . Given a finite convex subset  $U \subseteq W_1 \times W_2$ , we know from the previous theorem that  $U = \mathcal{L}(P)$  for some parset  $P$ . We can write  $P = P_1 \times \underline{0} \cup \underline{0} \times P_2$ , and it is clear that  $P$  is a  $(W_1 \times W_2, S_1 \amalg S_2)$ -parset if and only if  $P_i$  is a  $(W_i, S_i)$ -parset for  $i = 1, 2$ . Hence  $\mathcal{L}(P) = \mathcal{L}(P_1) \times \mathcal{L}(P_2)$ , and we then have

$$\begin{aligned} E_P(q) &= \sum_{(w_1, w_2) \in \mathcal{L}(P_1) \times \mathcal{L}(P_2)} q^{\#D((w_1, w_2)) + 1} \\ &= \sum_{(w_1, w_2) \in \mathcal{L}(P_1) \times \mathcal{L}(P_2)} q^{\#D(w_1) + \#D(w_2) + 1} \\ &= \frac{1}{q} \left( q \sum_{w_1 \in \mathcal{L}(P_1)} q^{\#D(w_1)} \right) \left( q \sum_{w_2 \in \mathcal{L}(P_2)} q^{\#D(w_2)} \right) \\ &= \frac{1}{q} E_{P_1}(q) E_{P_2}(q) \end{aligned}$$

Thus  $E_P(q)$  has only real zeroes if and only if both  $E_{P_1}(q)$  and  $E_{P_2}(q)$  do. Our result follows. ■

The condition that  $(W, S)$  has an unforked Coxeter diagram turns out to be relevant for the cases when  $\#U$  is small.



**Definition:** We will say  $(W, S)$  has *unforked diagram* if for all  $s \in S$ , the degree of  $s$  in the Coxeter diagram (see Section 5.1) is less than 3 (i.e.  $\#\{r \in S : rs \neq sr\} \leq 2$ ).

**Lemma 7.2.4** *If  $(W, S)$  has unforked diagram, then for all  $w \in W$  and  $s \in S$ , the numbers  $\#D(w)$  and  $\#D(ws)$  differ at most by 1.*

Proof: Without loss of generality,  $l(ws) = l(w) + 1$ . Recall that  $D(w) = I(w^{-1}) \cap S$ , and

$$\begin{aligned} D(ws) &= I(sw^{-1}) \cap S \\ &= (I(s) + sI(w^{-1})s) \cap S \\ &= \{s\} \amalg s(I(w^{-1}) \cap sS)s \end{aligned}$$

where the second equality is by Appendix Lemma A.0.11, and the last equality comes from the fact that  $l(ws) > l(w)$  implies  $s \notin I(w^{-1})$ . Thus we have  $\#D(w) = \#I(w^{-1}) \cap S$ , and  $\#D(ws) = 1 + \#I(w^{-1}) \cap sSs$ . Let  $s = r_\alpha$  for some  $\alpha \in \Pi$ . We will argue by contradiction in two cases.

*Case 1:*  $\#I(w^{-1}) \cap sSs \geq \#I(w^{-1}) \cap S + 1$ . Then there must exist some  $r \in S$  such that  $srs \in I(w^{-1})$  but  $r \notin I(w^{-1})$ . Let  $r = r_\beta$  for  $\beta \in \Pi$ . We have

$$srs = r_\alpha r_\beta r_\alpha = r_{r_\alpha(\beta)}$$

and

$$r_\alpha(\beta) = \alpha - \frac{2\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \beta = \alpha + c\beta$$

for some  $c \geq 0$ , by the fact ([Bro] Chapter II Theorem 5C) that  $\langle \alpha, \beta \rangle < 0 \forall \alpha, \beta \in \Pi$ . Since  $r_{r_\alpha(\beta)} \in I(w^{-1})$ , we know  $w(r_\alpha(\beta)) \in -\Phi^+$ . But

$$w(r_\alpha(\beta)) = w(\alpha + c\beta) = w(\alpha) + cw(\beta)$$

and since  $w(\alpha) \in \Phi^+$ , we must have  $w(\beta) \in -\Phi^+$ . This contradicts  $r_\beta = r \notin I(w^{-1})$ .

*Case 2:*  $\#I(w^{-1}) \cap sSs \leq \#I(w^{-1}) \cap S - 3$ . Then there must exist  $r_1, r_2, r_3 \in S$  such that  $r_i \in I(w^{-1})$ , but  $sr_i s \notin I(w^{-1})$  for  $i = 1, 2, 3$ . Thus  $sr_i \neq r_i s$  for  $i = 1, 2, 3$ , so  $(W, S)$  has a forked diagram. ■

### Proposition 7.2.5

1. *If  $(W, S)$  has unforked diagram, and  $U \subseteq W$  with  $\#U = 2$ , then  $E(U, q)$  has only real zeroes.*
2. *If  $(W, S)$  is finite and has forked diagram, then there exists a  $U \subseteq W$  with  $U$  convex,  $\#U = 2$ , and  $E(U, q) = q(1 + q^2)$  (which has non-real zeroes). Hence NS does not hold for  $(W, S)$ .*

Proof:

1. By the definition of convexity, we must have  $U = \{w, ws\}$  for some  $w \in W, s \in S$ . By the previous lemma, either  $E(U, q) = q^k$  or  $E(U, q) = q^{k+1} + q^k = q^k(q + 1)$  for some  $k \in \mathbf{N}$ . In both cases, it has only real zeroes.
2. If  $(W, S)$  is finite and has a forked diagram, then a quick glance at Table 5.1.1 shows that it contains a subdiagram isomorphic to that of  $D_4$ , i.e. there exist  $r_1, r_2, r_3, s \in S$  such that  $sr_i$  has order 3 for each  $i$ , and  $r_i, r_j$  commute for  $i \neq j$ . Let  $U = \{r_1r_2r_3, r_1r_2r_3s\}$ , and one can easily check that the assertion is true. ■

In light of the preceding proposition, we suggest that the following is the most plausible general conjecture:

**Conjecture 7.2.6** *NS holds for all Coxeter systems with unforked diagram.*

Since we only need to consider irreducible Coxeter systems, we may assume that  $(W, S)$  has connected Coxeter diagram. Hence among the unforked diagrams there are two classes- circular and linear.

**Definition:** A Coxeter system  $(W, S)$  has *linear diagram* if its diagram is unforked and a loopless graph (i.e. each connected component of the diagram is a linear path).

In [Wa1], Wachs shows that if  $P$  is a  $(W, S)$ -parset and  $(W, S)$  has linear diagram, then there is a poset  $J(P)$  whose order complex  $\Delta(J(P))$  is isomorphic to  $\Sigma_P$  whenever  $P$  is natural. It can be shown that even if  $P$  is not natural, the faces of  $\Sigma_P$  are in one-to-one correspondence with those chains in  $J(P)$  that satisfy a certain  $P$ -compatibility condition similar to Proposition 6.2.2. Thus  $\Omega(P; m)$  and  $E(P, q)$  have another (chain-counting) interpretation in this case (see [Bre] for the relevance of this interpretation to the original Neggers-Stanley conjecture). With this in mind, we suggest the following weaker conjecture:

**Conjecture 7.2.7** *NS holds for all Coxeter systems with linear diagram.*

We end by checking a trivial case of the above conjectures.

**Proposition 7.2.8** *NS holds for all Coxeter systems  $(W, S)$  with  $\#S \leq 2$ .*

Proof:

*Case 1:*  $\#S = 1$ . Then  $W = \{1, s\}$ , so either  $U = \{1\}$  or  $U = \{1, s\}$ . Hence  $E(U, q) = 1$  or  $1 + q$ .

*Case 2:*  $\#S = 2$ . Then  $(W, S) = I_2(m)$  is the dihedral group of order  $2m$ ,  $m \in \{2, 3, \dots\}$ . If  $U = W$ , then we can compute explicitly that  $E(U, q) = 1 + 2(m - 1)q + q^2$ , which has only real zeroes since  $m \geq 2$ . If  $U \neq W$ , then either 1 or the longest element  $w_0$  is not in  $U$  (as the convex hull of  $\{1, w_0\}$  is all of  $W$ ). Thus  $E(U, q)$  is either of the form  $1 + aq$  or  $aq + q^2$  for some  $a \in \mathbf{Z}$ , both of which have only real zeroes. ■

# Appendix A

## Technical results on reflection subgroups

Here we collect together most of the technical tools we have used in Chapters 3 and 4 concerning reflection subgroups. I am greatly indebted to Matthew Dyer for the proofs of all of these results. Many of these may be paraphrased as saying that “reflection subgroups behave almost as nicely as standard parabolic subgroups”.

For the remainder of this appendix, let  $(W, S)$  be a Coxeter system (not necessarily finite) realized by the positive root system  $(\Phi^+, \Pi)$  on an  $\mathbf{R}$ -vector space  $V$ , and let  $T$  be the reflections of  $W$  i.e.

$$T = \bigcup_{w \in W, s \in S} wsw^{-1} = \{r_\alpha : \alpha \in \Phi^+\}.$$

Let  $W'$  be a reflection subgroup of  $W$ , i.e.  $W' = \langle W' \cap T \rangle$ . Recall

$$I(w) = \{t \in T : l(tw) < l(w)\} = \{r_\alpha : \alpha \in \Phi^+ \cap w^{-1}(-\Phi^+)\}.$$

**Definition:** The *canonical generators*  $S'$  of  $W'$  are defined by

$$S' = \{t \in T : I(t) \cap W' = \{t\}\}.$$

Let  $\Phi_{W'}^+ = \{\alpha \in \Phi^+ : r_\alpha \in W'\}$ ,  $\Pi_{W'} = \{\alpha \in \Phi^+ : r_\alpha \in S'\}$ , and let  $V_{W'}$  be the  $\mathbf{R}$ -span of  $\Phi_{W'}^+$ .

The next theorem justifies the notation just defined.

**Theorem A.0.9 ([Dy], Theorem 3.3)**  $(W', S')$  is a Coxeter system realized by the positive root system  $(\Phi_{W'}^+, \Pi_{W'})$  on  $V_{W'}$  whose length function

$$l'(w) = \min\{r : w = s'_1 s'_2 \cdots s'_r, s'_i \in S'\}$$

is given by  $l'(w) = \#(I(w) \cap W')$ . ■

**Definition:** Let  $D_{W'} = \{w \in W : I(w) \cap W' = \emptyset\}$  (this is the same as  $\mathcal{L}(P(W'))$  from Chapters 3 and 4).

**Proposition A.0.10** ([Dy], Corollary 3.4)

1. Every  $w \in W$  can be factored uniquely in the form  $w = xy$  where  $x \in D_{W'}, y \in W'$ .
2. If  $y \in D_{W'}$ , then the map  $x \mapsto xy$  from  $W'$  to  $W'y$  is an isomorphism of Bruhat order  $\leq_B$ . Hence  $y$  is the unique element of minimal length in  $W'y$ , and the least element of  $W'y$  in Bruhat order. ■

The next lemma will be used frequently in the proofs in this appendix.

**Lemma A.0.11** ([Dy], Definition 2.1)

1.  $I(xy) = I(x) + xI(y)x^{-1}$ , where  $+$  denotes the operation of symmetric difference of sets (i.e.  $A + B = (A - B) \cup (B - A)$ ).
2. If  $x \in D_{W'}^{-1}$ , and  $y \in W_J$  for some  $J \subseteq S$ , then  $I(xy) = I(x) \amalg xI(y)x^{-1}$ . ■

**Proposition A.0.12** (Dyer) Fix  $J \subseteq S$ .

1. Every  $w \in W$  can be factored uniquely in the form  $w = xyz$  where  $x \in W', y \in D_{W'} \cap D_{W_J}^{-1}, z \in W_J \cap D_{y^{-1}W'y}$ .
2. In the above factorization, we have  $xy \in D_{W'}$ , and  $l(xyz) > l(x)$  unless  $x = z = 1$ . Thus if  $y \in D_{W'} \cap D_{W_J}^{-1}$ , then  $y$  is the unique element of minimal length in  $W'yW_J$ , and the least element of  $W'yW_J$  in Bruhat order.

*Proof:* To prove 1, given  $w, J$ , and  $W'$  we must show that there exists a unique such factorization.

*Existence:* Let  $y$  be an element of  $W'yW_J$  of minimal length. Clearly then  $y \in D_{W'} \cap D_{W_J}^{-1}$  and  $w = x'yz'$  for some  $x' \in W', z' \in W_J$ . Now decompose  $z' = z''z$  with  $z'' \in y^{-1}W'y$  and  $z \in D_{y^{-1}W'y \cap W_J}$  using Proposition A.0.10. Then

$$w = x'yz' = x'yz''z = x'y \cdot y^{-1}x''yzz = x'x''yz = xyz$$

where  $x'' \in W'$ .

Rather than showing uniqueness, let us first show 2. We have

$$\begin{aligned} I(yz) \cap W' &= I(y) \cap W' + yI(z)y^{-1} \cap W' \\ &= \emptyset + y(I(z) \cap y^{-1}W'y)y^{-1} \\ &= y(I(z) \cap y^{-1}W'y \cap W_J)y^{-1} \\ &= \emptyset \end{aligned}$$

The first equality comes from Lemma A.0.11, and the third from the fact that  $z \in W_J$ . Thus  $xy \in D_{W'}$ , and hence  $l(xyz) > l(yz)$  if  $x \neq 1$ . But  $l(yz) = l(y) + l(z) > l(y)$  if  $z \neq 1$  (by Lemma A.0.11).

*Uniqueness:* Suppose  $w = x_1y_1z_1 = x_2y_2z_2$  with  $x_i, y_i, z_i$  as above. Since  $y_1z_1 = x_1^{-1}x_2y_2z_2$ , and  $y_1z_1, y_2, z_2 \in D_{W'}$ , we have

$$\begin{aligned} \emptyset &= I(y_1z_1) \cap W' = I(x_1^{-1}x_2y_2z_2) \cap W' \\ &= I(x_1^{-1}x_2) \cap W' + x_1^{-1}x_2I(y_2z_2)x_2^{-1}x_1 \cap W' = I(x_1^{-1}x_2) \cap W'. \end{aligned}$$

Hence  $x_1^{-1}x_2 = 1$ , i.e.  $x_1 = x_2$ . Thus  $y_1z_1 = y_2z_2$ , and hence  $y_1 = y_2, z_1 = z_2$  by Proposition A.0.10. ■

The next proposition is known as the *Z-property* or *lifting property* of Bruhat order (see [BW1], Section 2).

**Proposition A.0.13** *If  $x, y \in W, s \in S$  satisfy  $l(sx) < l(x)$  and  $l(sy) < l(y)$  then the following conditions are equivalent:*

1.  $x \leq_B y$
2.  $sx \leq_B y$
3.  $sx \leq_B sy$ . ■

The next proposition will be needed in the proof of Lemma A.0.15, and is related to Kilmoyer's Theorem ([So2], Lemma 2).

**Proposition A.0.14 (Dyer)** *Let  $x \in D_{W'}$  and  $J \subseteq S$ . Then*

$$W' \cap xW_Jx^{-1} = \langle S' \cap xW_Jx^{-1} \rangle$$

*and hence is a standard parabolic subgroup of the Coxeter system  $(W', S')$ , where  $S'$  are the canonical generators of  $W'$  (as in Proposition A.0.9).*

Proof: Obviously

$$\langle S' \cap xW_Jx^{-1} \rangle \subseteq W' \cap xW_Jx^{-1}$$

so we only need to show that for all  $y \in W' \cap xW_Jx^{-1}$  we have  $y \in \langle S' \cap xW_Jx^{-1} \rangle$ , which we do by induction on  $l'(y)$ . We know we can write  $yx = xz$  for some  $z \in W_J$ . If  $l'(y) = 0$  it is trivial, so assume  $l'(y) > 0$  and let  $s \in S' \cap I(y)$ . Since  $x \in D_{W'}$ ,  $s \in I(yx) = I(xz) = I(x) + xI(z)x^{-1}$ . But  $s \in I(x)$  since  $x \in D_{W'}$ , so  $s \in xI(z)x^{-1} \subseteq xW_Jx^{-1}$ . Thus  $sy \in W' \cap xW_Jx^{-1}$  and  $l'(sy) < l'(y)$ , so by induction  $sy \in \langle S' \cap xW_Jx^{-1} \rangle$ . Hence  $y \in \langle S' \cap xW_Jx^{-1} \rangle$  as desired. ■

The final result we need is the technical lemma needed in the proof of Theorem 4.1.6.

**Lemma A.0.15 (Dyer)** *If  $u_1, u_2, v_1, v_2 \in W$ ,  $w \in W'$ , and  $J, K \subseteq S$  satisfy*

1.  $u_2 u_1, v_2 v_1 \in D_{W'}$
2.  $u_1 \in D_{W_J}^{-1}, u_2 \in D_{W_K}^{-1}$
3.  $w v_2 v_1 = u_2 u_1 x$  for some  $x \in W_J$
4.  $w v_2 = u_2 y$  for some  $y \in W_K$

*then we have*

1.  $u_2 \leq_B v_2$
2.  $u_2 = v_2 \Rightarrow u_1 \leq_B v_1$

*This lemma may be rephrased as follows:*

$$\Delta^2(W')(v_2 v_1, v_2) W_{(\emptyset, \emptyset)} \subseteq \Delta^2(W')(u_2 u_1, u_2) W_{(J, K)}$$

*along with hypothesis 2 above implies that*

$$(u_1, u_2) \leq_{\mathcal{L}} (v_1, v_2).$$

**Proof:**

*Assertion 1:*  $v_2 = w^{-1} u_2 y$ , so we need to show  $u_2 \leq_B w^{-1} u_2 y$ . *Claim:* it suffices to show  $u_2 \leq_B w^{-1} u_2$ . To see this, write  $y = s_1 \cdots s_m$  with  $s_i \in K$ . Then  $u_2 \leq_B w^{-1} u_2$  would imply  $u_2 \leq_B w^{-1} u_2 s_1$ , either trivially (if  $l(w^{-1} u_2 s_1) > l(w^{-1} u_2)$ ) or by the Z-property (Proposition A.0.13) in the other case. Continuing in this way, we get that  $u_2 \leq_B w^{-1} u_2$  would imply

$$u_2 \leq_B w^{-1} u_2 s_1 \cdots s_m = w^{-1} u_2 y.$$

Our immediate goal in proving  $u_2 \leq_B w^{-1} u_2$  will be to show that

$$l'(w^{-1} u_2) = l'(w^{-1}) + l'(u_2),$$

where  $l'(g) = \#I(g) \cap W'$ . Since

$$I(w^{-1} u_2) = I(w^{-1}) + w^{-1} I(u_2) w,$$

this means that our goal is to show

$$(I(w^{-1}) \cap W') \cap (w^{-1} I(u_2) w \cap W') = \emptyset$$

$$\text{or } w I(w^{-1}) w^{-1} \cap w W' w^{-1} \cap I(u_2) = \emptyset$$

$$\text{or } I(w) \cap W' \cap I(u_2) = \emptyset.$$

So let  $t \in I(w) \cap W'$ . Then  $w^{-1}tw \notin I(v_2v_1)$  (since  $v_2v_1 \in D_{W'}$ ), so

$$t \in I(w) + wI(v_2v_1)w^{-1} = I(wv_2v_1) = I(u_2u_1x) = I(u_2u_1) + u_2u_1I(x)u_1^{-1}u_2^{-1}$$

which implies  $t \in u_2u_1I(x)u_1^{-1}u_2^{-1}$  (since  $u_2u_1 \in D_{W'}$ ). Thus if we let  $t = u_1^{-1}u_2^{-1}tu_2u_1$ , then  $t \in I(x) \subseteq W_J$ . Since  $u_1 \in D_{W_J}^{-1}$ , we know that  $l(u_1t'u_1^{-1} \cdot u_1) = l(u_1t') > l(u_1)$  and hence that  $u_1t'u_1^{-1} \notin I(u_1)$ . But  $u_1t'u_1^{-1} = u_2^{-1}tu_2$ , so  $t \notin u_2I(u_1)u_2^{-1}$ . Since  $u_2u_1 \in D_{W'}$  implies that

$$t \notin I(u_2u_1) = I(u_2) + u_2I(u_1)u_2^{-1},$$

we must have  $t \notin I(u_2)$ . Therefore  $I(w) \cap W' \cap I(u_2) = \emptyset$  as we wanted.

Now write  $u_2 = zz'$  with  $z \in W', z' \in D_{W'}$  (by Proposition A.0.10). Note that  $l'(u_2) = l'(z)$  and  $l'(w^{-1}u_2) = l'(w^{-1}z)$ , and thus we have

$$l'(w^{-1}z) = l'(w^{-1}u_2) = l'(w^{-1}) + l'(u_2) = l'(w^{-1}) + l'(z).$$

Hence  $z \leq_B w^{-1}z$  in  $W'$ . But then multiplying on the right by  $z'$  is an isomorphism of  $\leq_B$  (Proposition A.0.10), so we get

$$u_2 = zz' \leq_B w^{-1}zz' = w^{-1}u_2$$

as desired.

*Assertion 2:* We are now assuming  $u_2 = v_2$ . Since

$$u_2u_1x = wv_2v_1 = u_2yv_1,$$

we have  $u_1x = yv_1$  or  $v_1 = y^{-1}u_1x$ . Write  $u_2 = v_2 = zv_3$  with  $z \in W', v_3 \in D_{W'}$  (using Proposition A.0.10) and let

$$W'' = v_3^{-1}W'v_3 \cap W_K$$

(a reflection subgroup by Proposition A.0.14). Our goal will be to show that  $y^{-1} \in W''$  and  $u_1 \in D_{W''}$ . This would imply that  $u_1$  is the least element of  $W''u_1W_J$  (since we already have  $u_1 \in D_{W_J}^{-1}$ ) and thus  $u_1 \leq_B v_1$  by Proposition A.0.12 (since  $u_1 = y^{-1}u_1x \in W''u_1W_J$ ).

To show that  $y \in W''$ , note that

$$wzv_3 = wv_2 = u_2y = zv_3y$$

and hence

$$y = v_3^{-1}z^{-1}wzv_3 \in W_K \cap v_3^{-1}W'v_3 = W''.$$

It only remains then to show that  $u_1 \in D_{W''}$ . We have

$$\emptyset = I(u_2u_1) \cap W' = I(zv_3u_1) \cap W' = I(z) \cap W' + zI(v_3u_1)z^{-1} \cap W'$$

and hence

$$\begin{aligned}
I(z^{-1}) \cap W' &= z^{-1}I(z)z \cap W' \\
&= z^{-1}(I(z) \cap W')z \\
&= I(v_3u_1) \cap W' \\
&= I(v_3) \cap W' + v_3I(u_1)v_3^{-1} \cap W' \\
&= v_3I(u_1)v_3^{-1} \cap W'
\end{aligned}$$

Thus

$$I(u_1) \cap v_3^{-1}W'v_3 = v_3^{-1}I(z^{-1})v_3 \cap v_3^{-1}W'v_3$$

and hence we have

$$I(u_1) \cap W'' = v_3^{-1}I(z^{-1})v_3 \cap W''. \quad (\text{A.1})$$

On the other hand,

$$\begin{aligned}
I(u_2^{-1}) \cap v_3^{-1}W'v_3 &= u_2^{-1}I(u_2)u_2 \cap u_2^{-1}W'u_2 \\
&= u_2^{-1}I(u_2 \cap W')u_2 \\
&= v_3^{-1}z^{-1}(I(z) \cap W' + zI(v_3)z^{-1} \cap W')zv_3 \\
&= v_3^{-1}I(z^{-1})v_3 \cap v_3^{-1}W'v_3
\end{aligned}$$

But  $u_2 \in D_{W_K}^{-1}$ , so  $I(u_2^{-1}) \cap W_K = \emptyset$ . Hence

$$v_3^{-1}I(z^{-1})v_3 \cap v_3^{-1}W'v_3 \cap W_K = \emptyset.$$

This last fact, combined with equation A.1, says that  $u_1 \in D_{W''}$  as we wanted. ■



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