

REU 2016 Day 2  
G. Musiker

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Toric cluster variables for  
the  $dP_2$  quiver

- ① Three-term recurrences
- ② Cluster algebras and  
quiver mutation
- ③ Aztec diamonds, Somos 4 and  
Somos 5
- ④ Brane tiling for  $dP_2$  and  
REU Problem 2

①  $\{x_n : n \geq 1\}$  defined by  
 $x_1 = x_2 = 1, x_n = \frac{x_{n-1} + 1}{x_{n-2}}$

$$x_3 = \frac{1+1}{1} = 2, \quad x_4 = \frac{2+1}{1} = 3$$

$$x_5 = \frac{3+1}{2} = 2, \quad x_6 = \frac{2+1}{3} = 1,$$

$$x_7 = \frac{1+1}{2} = 1$$

5-periodic!

Instead, consider

$\{x_n : n \geq 1\}$  defined by

$$x_1 = x_2 = 1, \quad x_n = \frac{x_{n-1}^2 + 1}{x_{n-2}}$$

$$x_3 = \frac{1^2 + 1}{1} = 2, \quad x_4 = \frac{2^2 + 1}{1} = 5,$$

$$x_5 = \frac{5^2 + 1}{2} = 13, \quad x_6 = \frac{13^2 + 1}{5} = 34,$$

$$x_7 = \frac{34^2 + 1}{13} = \frac{1157}{13} = 89$$

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CLAIM: These are

even-indexed Fibonacci numbers

Let's do the 1<sup>st</sup> one with  
variables  $x_1, x_2$

$$x_n = \frac{x_{n-1} + 1}{x_{n-2}}$$

$$x_3 = \frac{x_2 + 1}{x_1}, \quad x_4 = \frac{x_3 + 1}{x_2} = \frac{\left(\frac{x_2 + 1}{x_1}\right) + 1}{x_2}$$
$$= \frac{x_2 + 1 + x_1}{x_1 x_2}$$

$$x_5 = \frac{x_4 + 1}{x_3} = \frac{\left[\frac{x_2 + 1 + x_1}{x_1 x_2}\right] + 1}{\left[\frac{x_2 + 1}{x_1}\right]}$$

$$= \frac{(x_2 + 1 + x_1 + x_1 x_2)}{x_1 x_2} \cdot \frac{x_1}{x_2 + 1} \stackrel{\nabla}{=} \frac{x_1 + 1}{x_2}$$

$$x_6 = x_1, \quad x_7 = x_2 \quad \text{Still periodic!}$$



DEFIN: A **Laurent polynomial** is a rational function whose denominator is a single monomial.

Let's do the 2<sup>nd</sup> one with variables...

$$\{x_n: n \geq 1\}, \quad x_n = \frac{x_{n-1}^2 + 1}{x_{n-2}}$$

$$x_3 = \frac{x_2^2 + 1}{x_1}, \quad x_4 = \frac{\left(\frac{x_2^2 + 1}{x_1}\right)^2 + 1}{x_2} = \frac{(x_2^2 + 1)^2 + x_1^2}{x_1^2 x_2}$$

$$x_5 = \frac{\left[\frac{(x_2^2 + 1)^2 + x_1^2}{x_1^2 x_2}\right]^2 + 1}{x_2} = \frac{(x_2^2 + 1)^3 + x_1^4 + 2x_1^2 + 2x_1^2 x_2^2}{x_1^3 x_2^2}$$

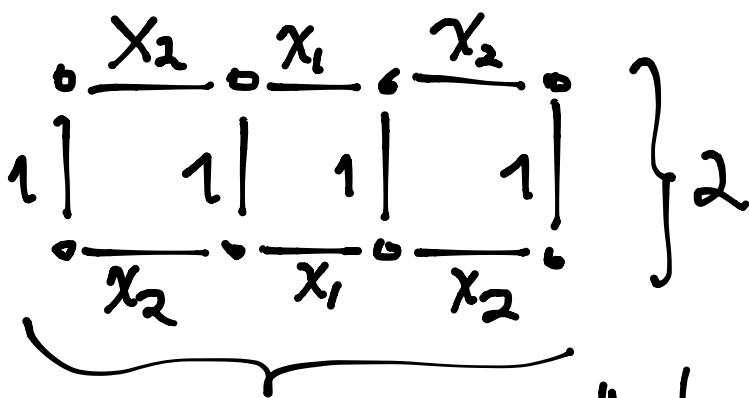
$$\left[\frac{x_2^2 + 1}{x_1}\right]$$

↖ **Laurent!**

CLAIM: These are Laurent polynomials with positive integer coefficients whose numerators have (even-indexed) Fibonacci numbers of terms.

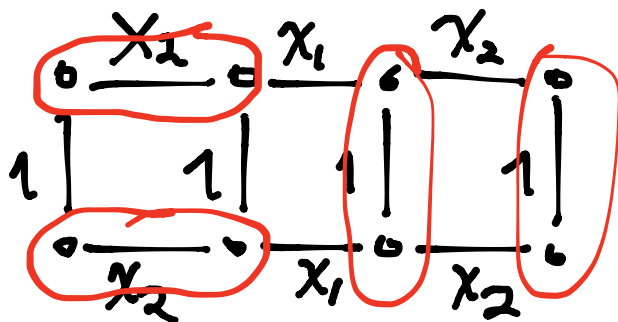
## REU EXERCISE 4

i) Let  $G_m = 2 \times m$  grid graph



$m=4$  with horizontal edges weighted  $x_2, x_1, x_2, x_1, \dots$

A perfect matching  $M$  of a graph  $G$  is a subset of edges so that every vertex is covered exactly once.



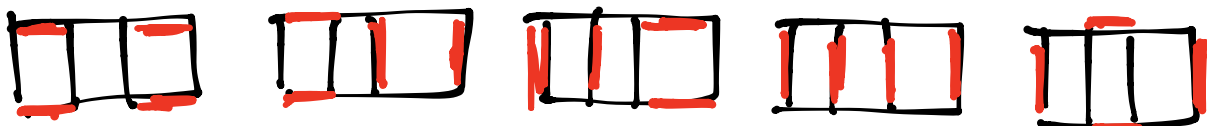
Prove that  $x_n x_{n-2} = x_{n-1}^2 + 1$  satisfies

$$x_n = \frac{1}{x_1^{n-2} x_2^{n-3}} \sum_{\substack{\text{perfect} \\ \text{matchings } M \\ \text{of } G_{2n-4}}} x(M)$$

where  $x(M) = \prod_{e \in M} x_e$

ii) (Easy) Corollary: if  $x_1 = x_2 = 1$ ,  
 then  $x_n = F_{2n-4}$  ← a typo was fixed  
 where  $F_0 = F_1 = 1$ ,  $F_n = F_{n-1} + F_{n-2}$   
 for  $n \geq 2$ .

e.g.  $n=4$  M in  $G_4$



$$x_2^4 + x_2^2 + x_2^2 + 1 + x_1^2$$

cf. 
$$\frac{(x_2^2 + 1)^2 + x_1^2}{x_1^2 x_2}$$

## Somos-4 sequence

$$\{x_n\} \quad x_1 = x_2 = x_3 = x_4 = 1$$

$$x_n x_{n-4} = x_{n-1} x_{n-3} + x_{n-2}^2$$

$$x_5, x_6, x_7, x_8, x_9, \dots = 2, 3, 7, 23, 59, 314, \dots$$

$\parallel$   
 $\frac{59 \cdot 7 + 23^2}{3}$

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## Somos-5 sequence

$$x_n x_{n-5} = x_{n-1} x_{n-4} + x_{n-2} x_{n-3}$$

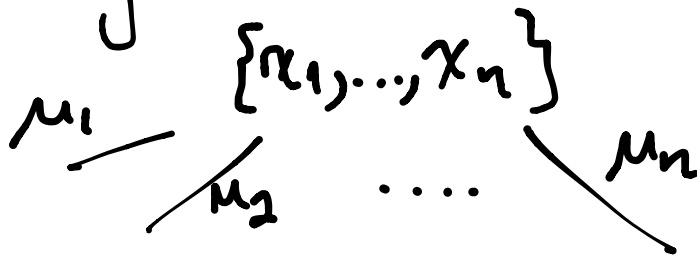
$$1, 1, 1, 1, 1, 2, 3, 5, 11, 37, 83, 274, \dots$$

$\parallel$   
 $\frac{83 \cdot 5 + 11 \cdot 37}{3}$

② DEF'N (Fomin-Zelevinsky)  
2001

A cluster algebra is defined to be a subalgebra of  $K(x_1, \dots, x_n)$ , the field of rational functions, constructed cluster-by-cluster via certain exchange relations.

Generators: specify a finite set  $\{x_1, \dots, x_n\}$  called the initial cluster and get  $n$  new clusters



via binomial exchange relations

of the form

$$X_\alpha X_{\alpha_1} = \prod_i X_{\gamma_i}^{\alpha_i^+} + \prod_i X_{\gamma_i}^{\alpha_i^-}$$

The set of all such generators are called the cluster variables.

Relations: Induced by these  
binomial exchange relations

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To be more precise, let's  
introduce quivers...

DEF'N: A **quiver** is a directed graph  
(but considered within the context of  
representation theory)

[For the moment, no loops  $\circlearrowright$   
and no 2-cycles  $\circlearrowleft$ , but we'll  
relax this later]

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EXAMPLES:  $1 \longrightarrow 2$

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$$\begin{aligned} 1 \begin{array}{c} \circlearrowright \\ \longrightarrow \end{array} 2 &= 1 \implies 2 = 1 \xrightarrow{2} 2 \\ &= 1 \longrightarrow\!\!\!\rangle 2 \end{aligned}$$

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$$1 \longleftarrow 2 \longleftarrow 3$$



For each vertex  $j$  of the quiver

$$x_j x'_j = \prod_{i \rightarrow j} x_i + \prod_{j \rightarrow i} x_i$$

e.g.  $i \leftarrow 2 \leftarrow 3$

$$x_1 x'_1 = 1 + x_2$$

$$x_2 x'_2 = x_1 + x_3$$

$$x_3 x'_3 = x_2 + 1$$

## Quiver mutation (at vertex $j$ )

- 1) For every 2-path  $i \rightarrow j \rightarrow k$  in  $Q$ , add a new arrow  $i \rightarrow k$ .
- 2) Reverse all arrows incident to  $j$ .
- 3) (Unless otherwise stated) erase all 2-cycles created by the above.

e.g.

$$\mu_1(i \leftarrow j \leftarrow k) = i \rightarrow j \leftarrow k$$

$$\mu_2(i \leftarrow j \leftarrow k) = i \rightarrow j \rightarrow k$$

$$\mu_3(i \leftarrow j \leftarrow k) = i \leftarrow j \rightarrow k$$

$$\mu_2^2(i \leftarrow 2 \leftarrow 3) = \mu_2 \left( \overset{\curvearrowright}{i \rightarrow 2 \rightarrow 3} \right)$$

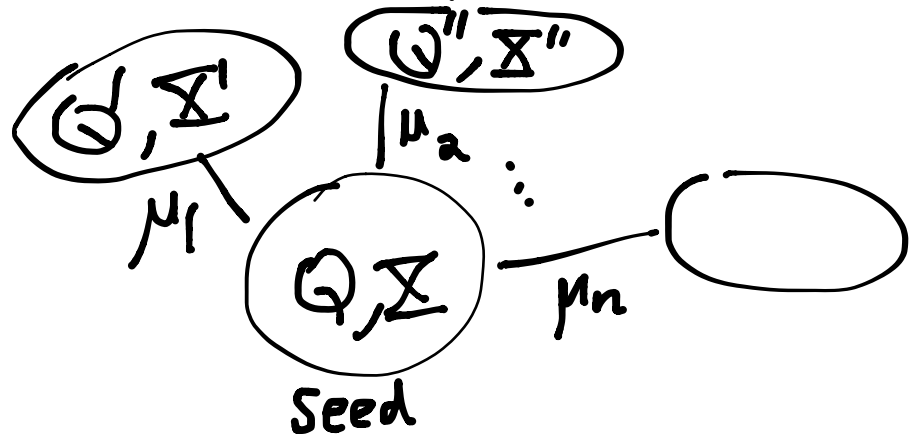
$$= \overset{\curvearrowright}{i \leftarrow 2 \leftarrow 3} = i \leftarrow 2 \leftarrow 3$$

## Cluster mutation

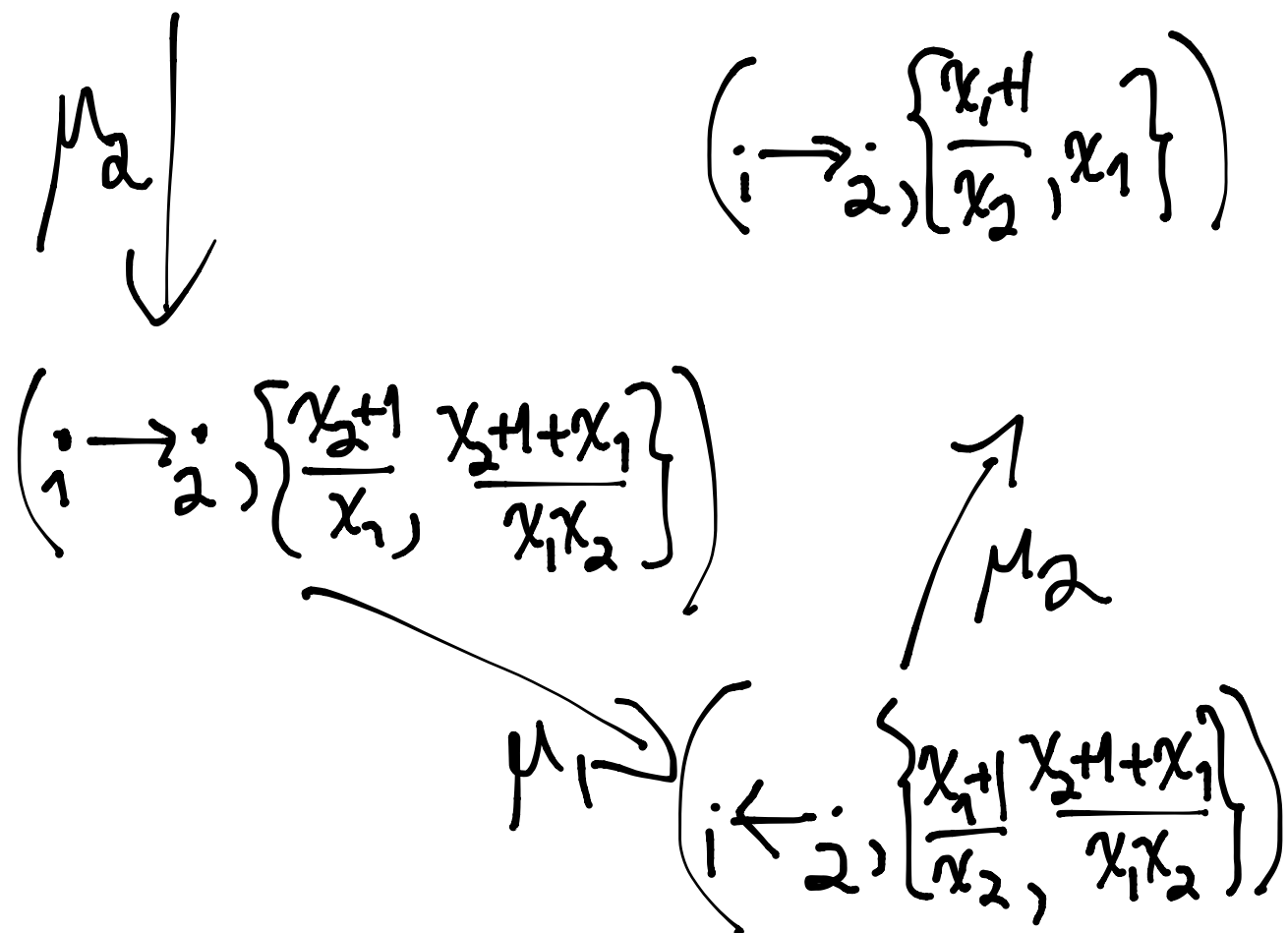
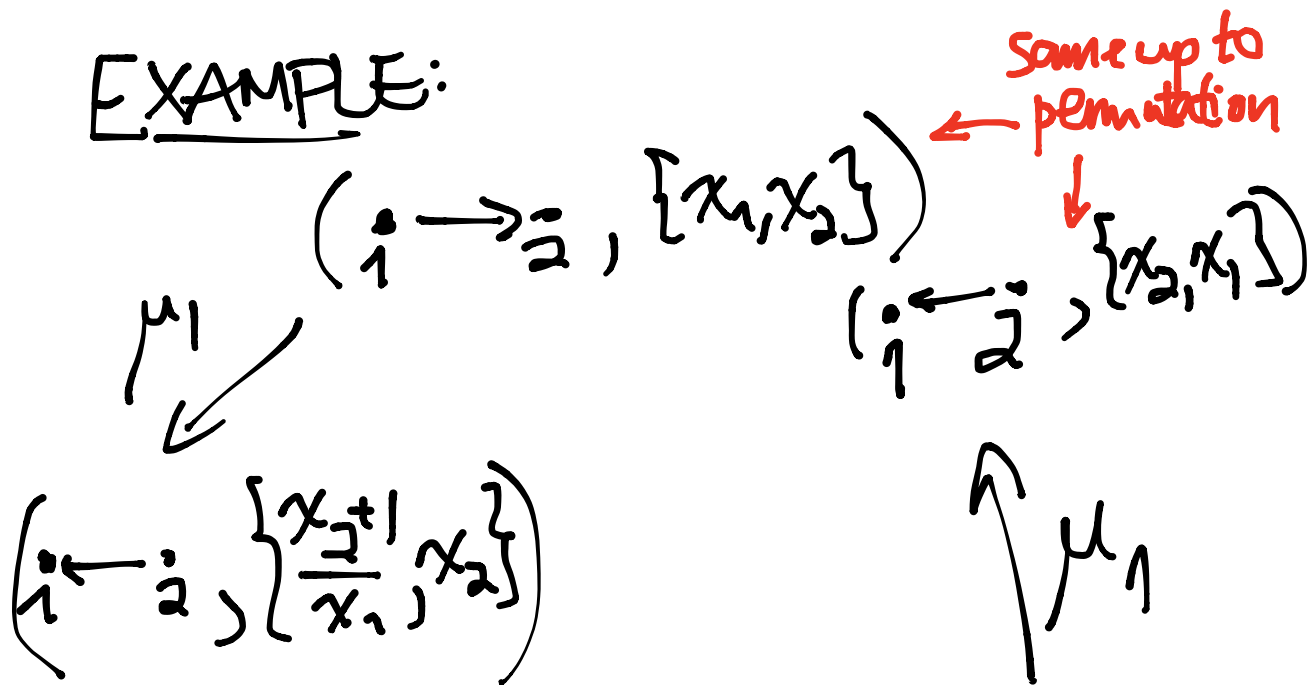
$$\underline{\Sigma} = \{\chi_1, \chi_2, \dots, \chi_n\}$$

$$\mu_i \downarrow$$

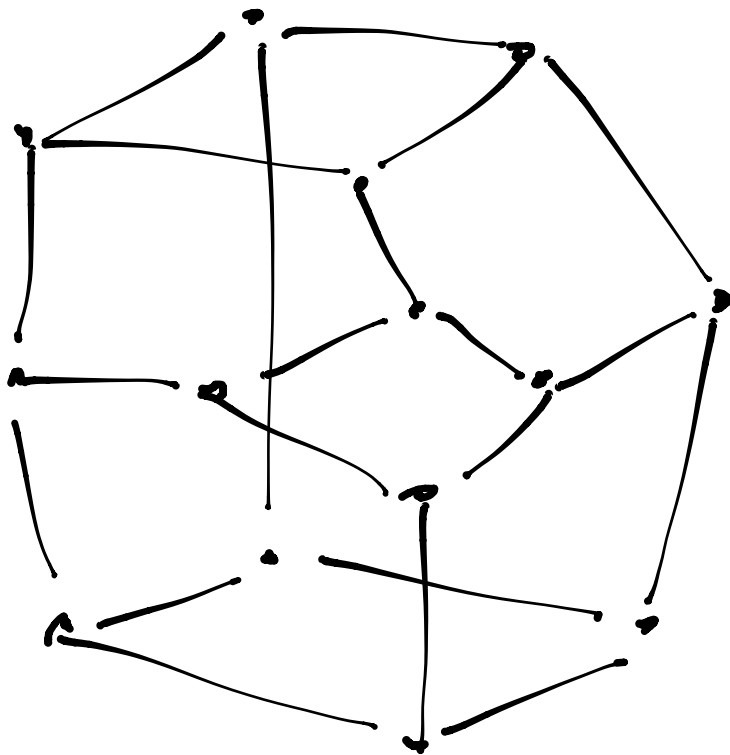
$$\Sigma' = \{\chi_1, \chi_2, \dots, \chi_{i-1}, \chi'_i, \chi_{i+1}, \dots, \chi_n\}$$



EXAMPLE:

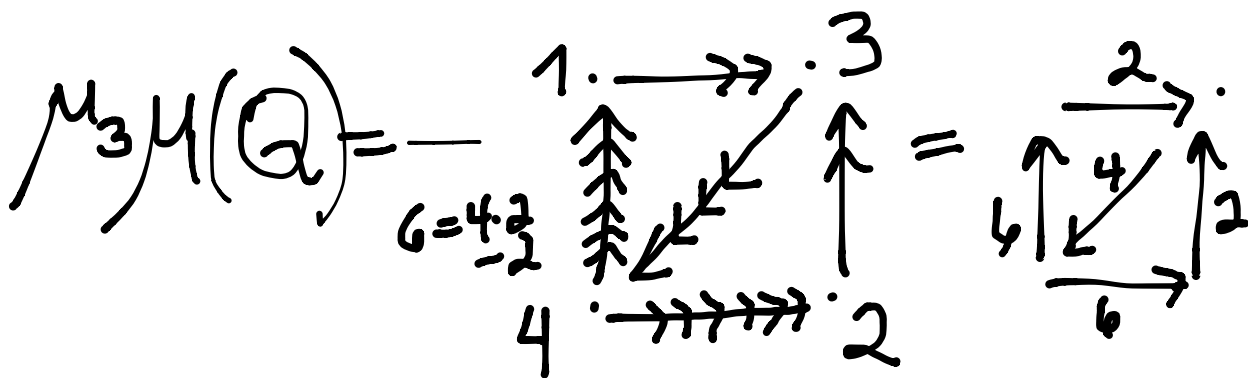
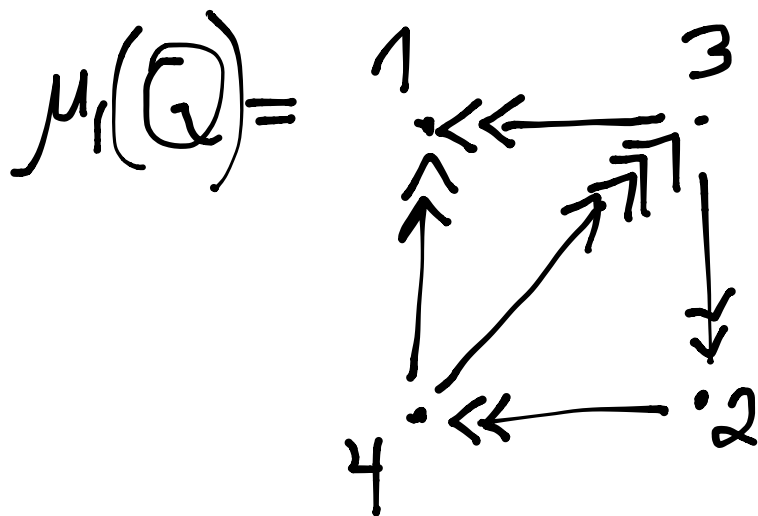
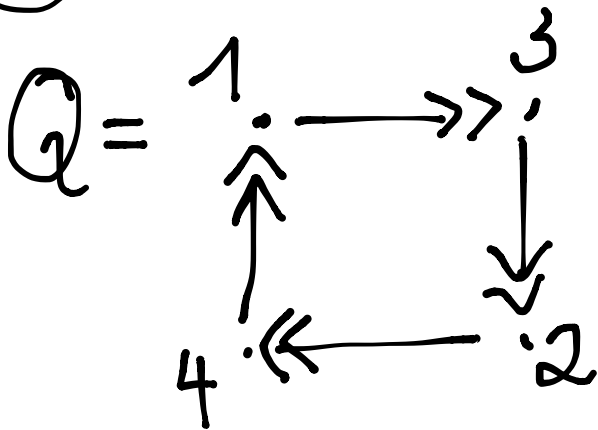


If you do  $(1 \leftarrow 2 \leftarrow 3, \{x_1, x_2, x_3\})$   
the mutation graph will look like  
the associatedhedron

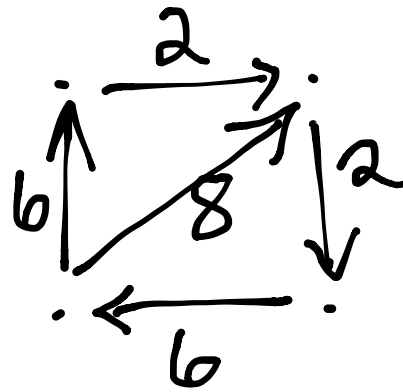


See Example 4.18 of  
Fomin-Reading "Root systems and  
generalized associahedra"

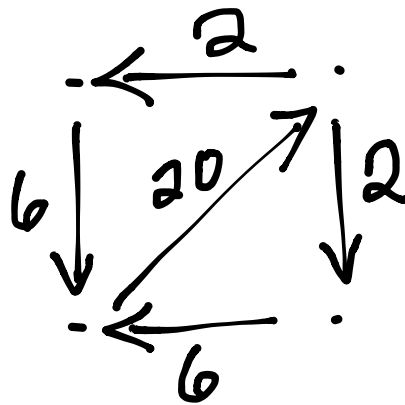
③ Consider



$$\mu_2 \mu_3 \mu_1(\mathbb{Q}) =$$



$$\mu_1 \mu_2 \mu_3 \mu_1(\mathbb{Q}) =$$



$$\mu_3 \mu_1 \mu_2 \mu_3 \mu_1, \dots$$

THM (Fomin-Zelevinsky)

All cluster variables are Laurent polynomials in the initial seed  $\{x_1, \dots, x_n\}$ , with integer coefficients.

"The Laurent phenomenon"

Try in SAGE:

$$Az = \text{ClusterSeed}([ [1, 3, 2], [3, 2, 2], [2, 0, 2], [0, 1, 2] ])$$

$Az.mutate([1, 3, 2]);$

$Az.cluster()$

has 2 monomials

$$\left[ x_0, \frac{*}{x_1}, \frac{*}{x_1^4 x_2 x_3^2}, \frac{*}{x_1^2 x_3} \right]$$

24

5

Repeat and they get big quickly...

$$\left[ x_0, \frac{*}{x_1^3 x_3^2}, \frac{*}{x_1^{20} x_2^3 x_3^{12}}, \frac{*}{x_1^{12} x_2^2 x_3} \right]$$

13

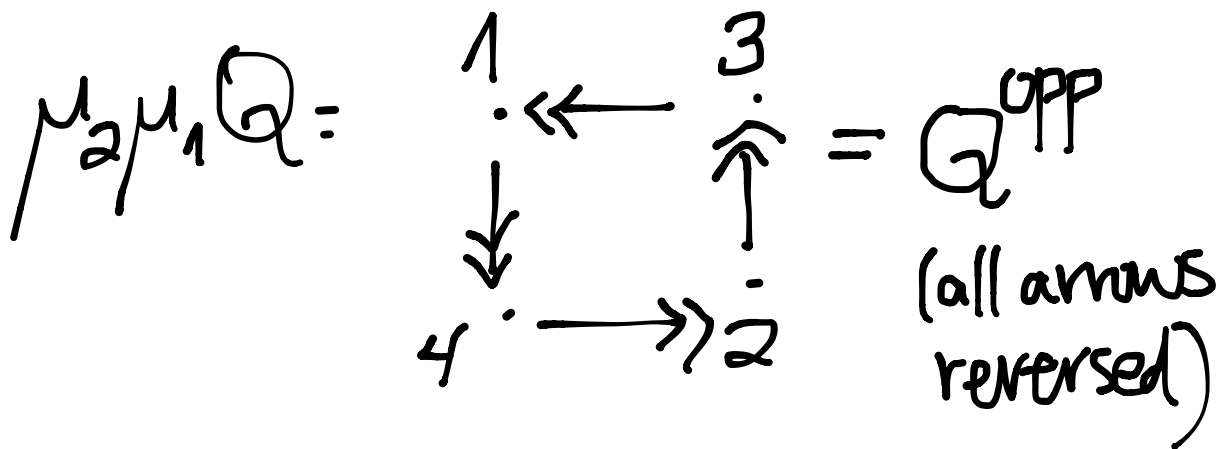
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Instead let's do this :

$\dots \mu_2 \mu_1 \mu_4 \mu_3 \mu_2 \mu_1 \text{ @}$   
 ↻ periodically



Call  $x_5, x_6, x_7, \dots$  the cluster variables obtained at each stage by this period sequence, i.e.,

$$\{x_1, x_2, x_3, x_4\} \xrightarrow{\mu_1} \{x_5, x_2, x_3, x_4\} \xrightarrow{\mu_2} \{x_5, x_6, x_3, x_4\} \rightarrow \dots$$

## REN EXERCISE 5

i) Argue why (easy)

$$x_n x_{n-4} = \begin{cases} x_{n-1}^2 + x_{n-2}^2 & n \text{ odd} \\ x_{n-2}^2 + x_{n-3}^2 & n \text{ even} \end{cases}$$

a typo was fixed

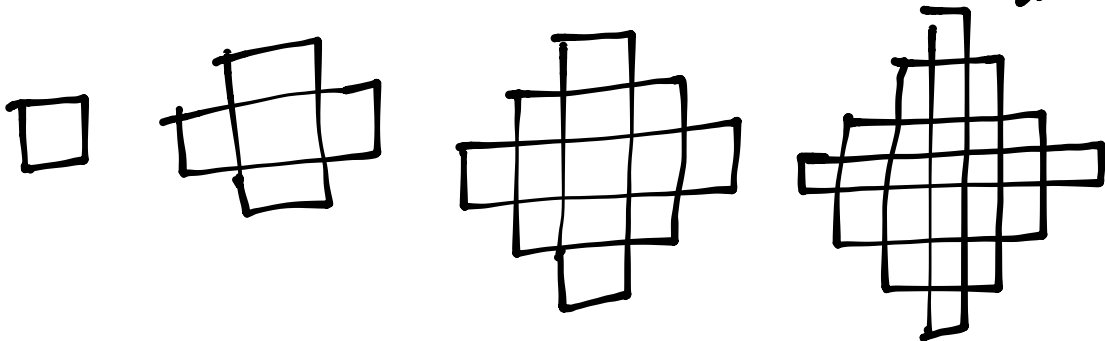
ii) let  $x_{2n-1} = x_{2n} = T_n \quad \forall n \geq 1$

$$\text{Show } T_n T_{n-2} = 2 T_{n-1}^2$$

(easy) Show  $T_n = 2^{\frac{(n-1)(n-2)}{2}}$  if  $T_1 = T_2 = 1$

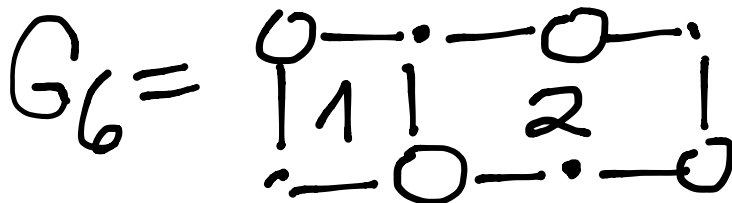
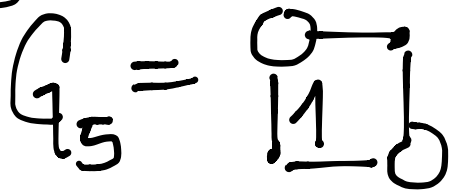
$$\{1, 1, 2, 8, 64, 1024, 32768, \dots\}$$

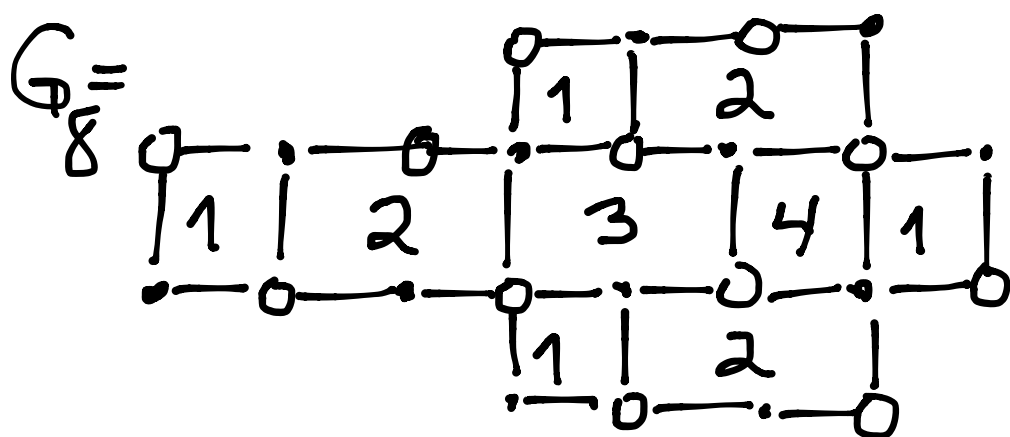
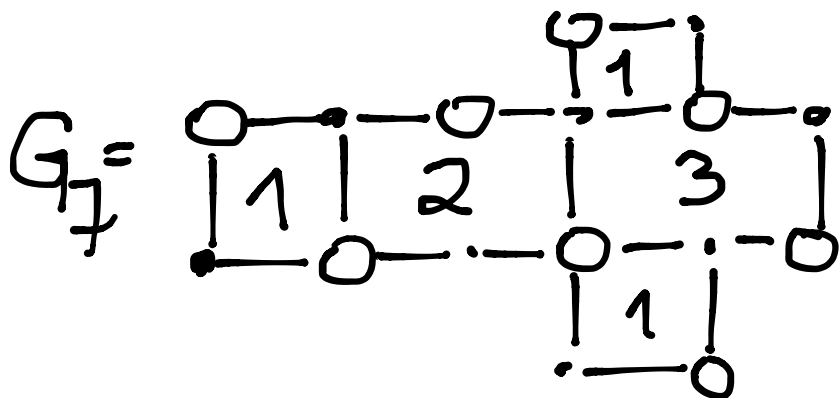
(iii) Show that  $T_n$  counts the perfect matchings in the  $n^{\text{th}}$  Aztec diamond (if  $T_1 = T_2 = 1$ )




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CLAIM: There is a family of graphs





⋮

(see Bousquet-Mélou, Propp, West  
and Spayer)

whose numbers of perfect matchings  
is  $x_n$  in the Somos-4 sequence.

# RELU EXERCISE 6

i) Explain why mutating periodically

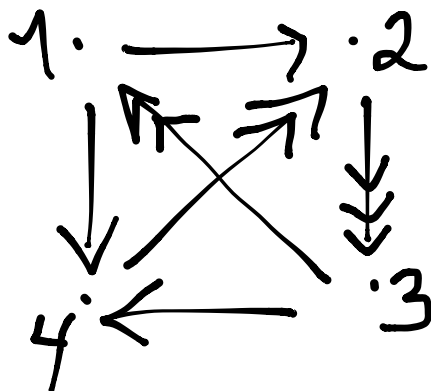
$$\dots \mu_2 / \mu_1 / \mu_4 / \mu_3 / \mu_2 / \mu_1 (\mathcal{Q}_{S_4})$$

$$\{x_1, x_2, x_3, x_4\} \xrightarrow{\mu_1} \{x_5, x_2, x_3, x_4\} \rightarrow \dots$$

gives the Simos-4 sequence

where

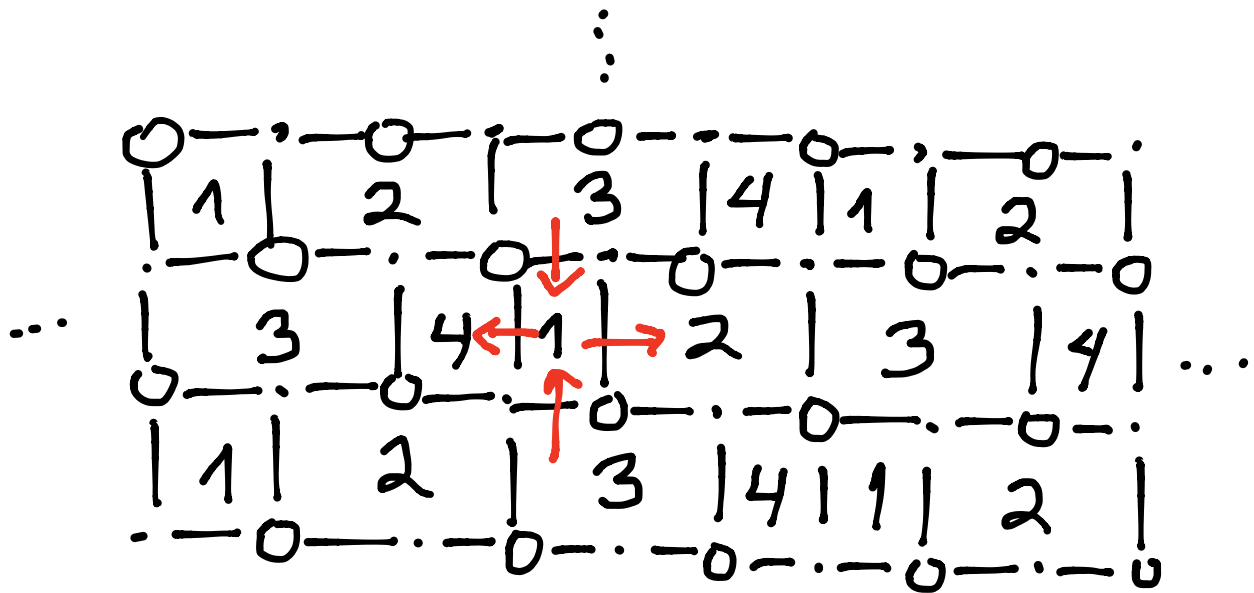
$$\mathcal{Q}_{S_4} =$$



ii) Use this recurrence to express  $x_7$  as a Laurent polynomial, and illustrate the perfect matchings of  $G_7$ , and spot-check that the weights agree.

to be explained...

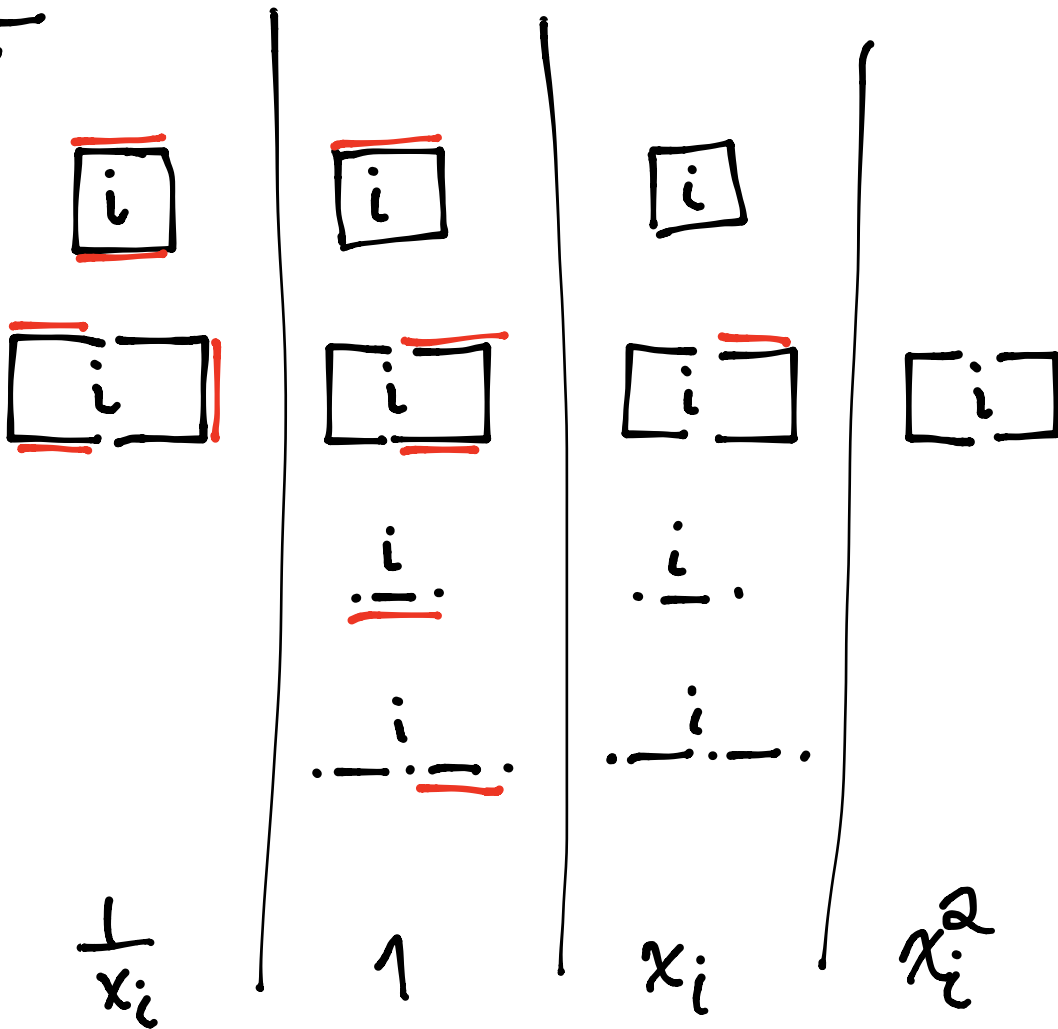
The  $dP_7$  brane tiling



These  $\rightarrow$  correspond to the quiver

Weighting  
 $\chi(M) = \prod_{\text{faces of } G_n \text{ and faces on boundary of } G_n} \chi_F$

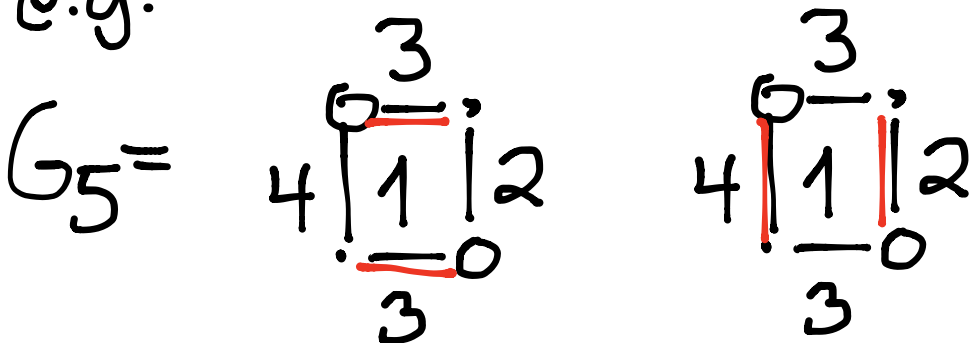
$\chi_F =$



THM: (Special case of Speyer's "octahedron recurrence")

If  $x_n$  is given by the Somos-4 sequence, then  $x_n = \sum_{\text{perfect matchings of } G_n} x(M)$

e.g.



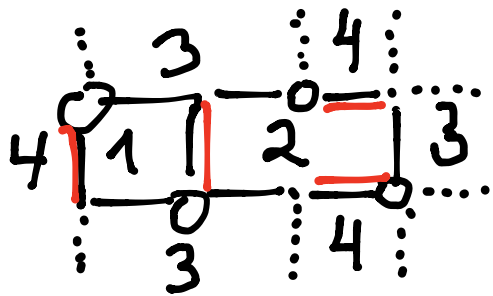
$$\frac{1}{x_1} x_2 \cdot 1 \cdot x_4 +$$

$$\frac{1}{x_1} \cdot x_3 \cdot x_3$$

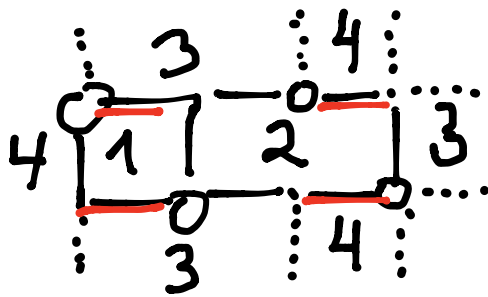
$$x_5 = \frac{x_4 x_2 + x_3^2}{x_1}$$



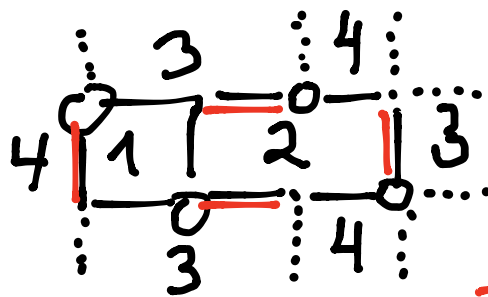
$$G_6 = \begin{array}{c} \vdots \quad 3 \quad \vdots \quad 4 \quad \vdots \\ \circ \quad \text{---} \quad \circ \quad \text{---} \quad \vdots \\ | \quad 1 \quad | \quad 2 \quad | \\ \circ \quad \text{---} \quad \circ \quad \text{---} \quad \vdots \\ \vdots \quad 3 \quad \vdots \quad 4 \quad \vdots \end{array}$$



$$\frac{1}{x_1} \frac{1}{x_2} x_3 x_3 x_3$$



$$+ \frac{1}{x_1} x_3 x_4$$

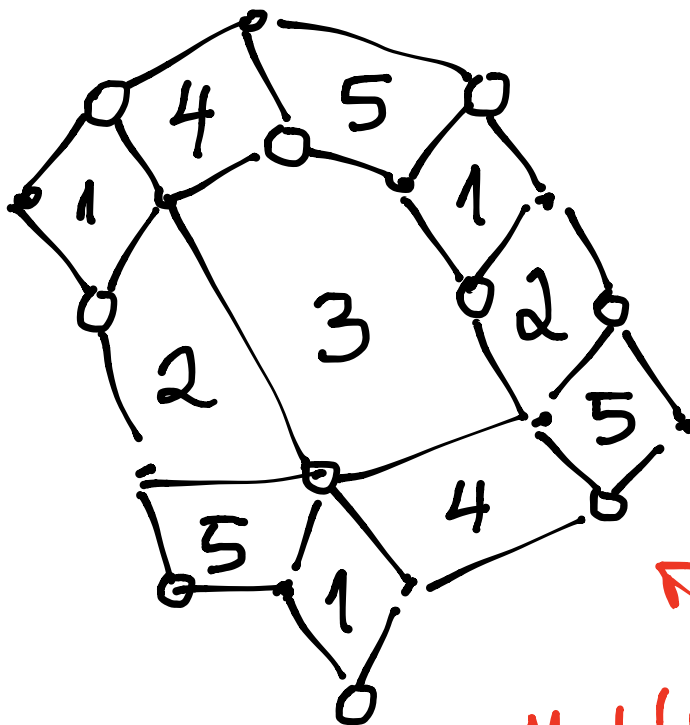
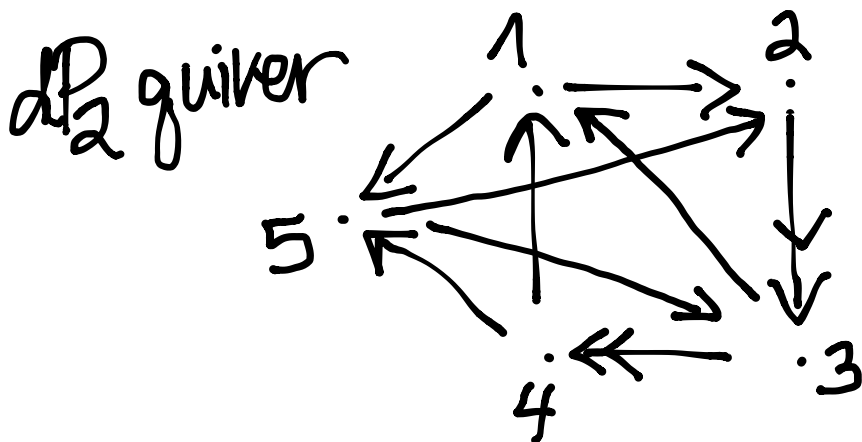


$$+ \frac{1}{x_2} x_4^2$$

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$$= x_6$$

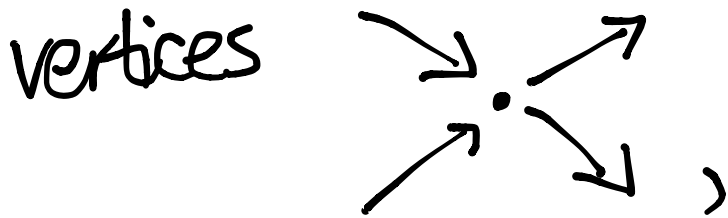
# REU PROBLEM 2



Model 12

(see Hanany-Seong  
"Brane tilings of reflexive polygons")

Consider cluster variables that come from mutating only at vertices



called **toric cluster variables**

i) Give a  $\mathbb{Z}^2$ -parametrization for the toric cluster variables for the  $dP_2$  quiver.

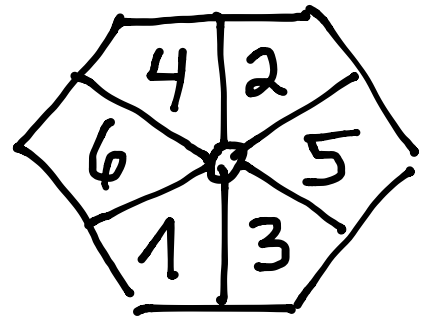
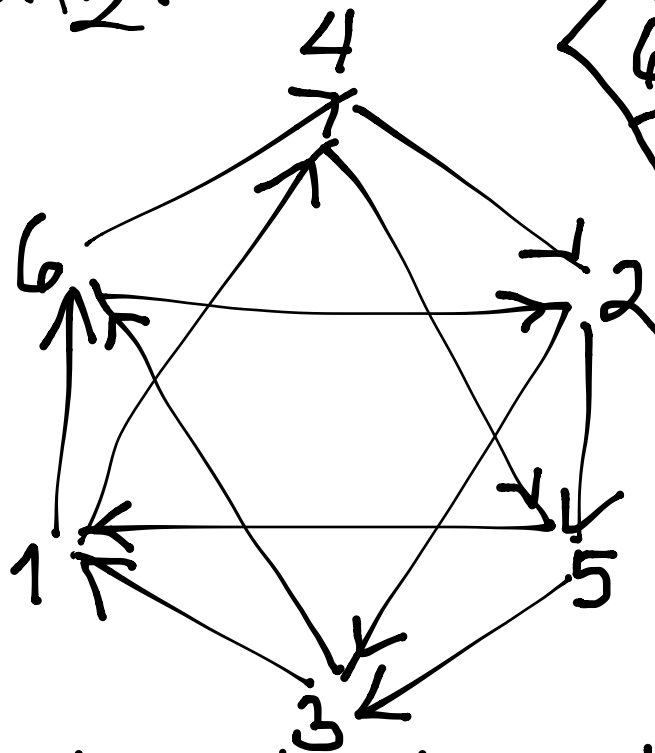
ii) Find a family  $\{G_{ij}\}_{(ij) \in \mathbb{Z}^2}$  of subgraphs of the  $dP_2$  brane tiling so that toric cluster variables  $x_{ij}$  satisfy  $x_{ij} = \sum_{M \in G_{ij}} x(M)$ .

Suggestion:  $G_{ij}$  should be cut out by (nonconvex?) pentagons?

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Why  $dP_2$ ?

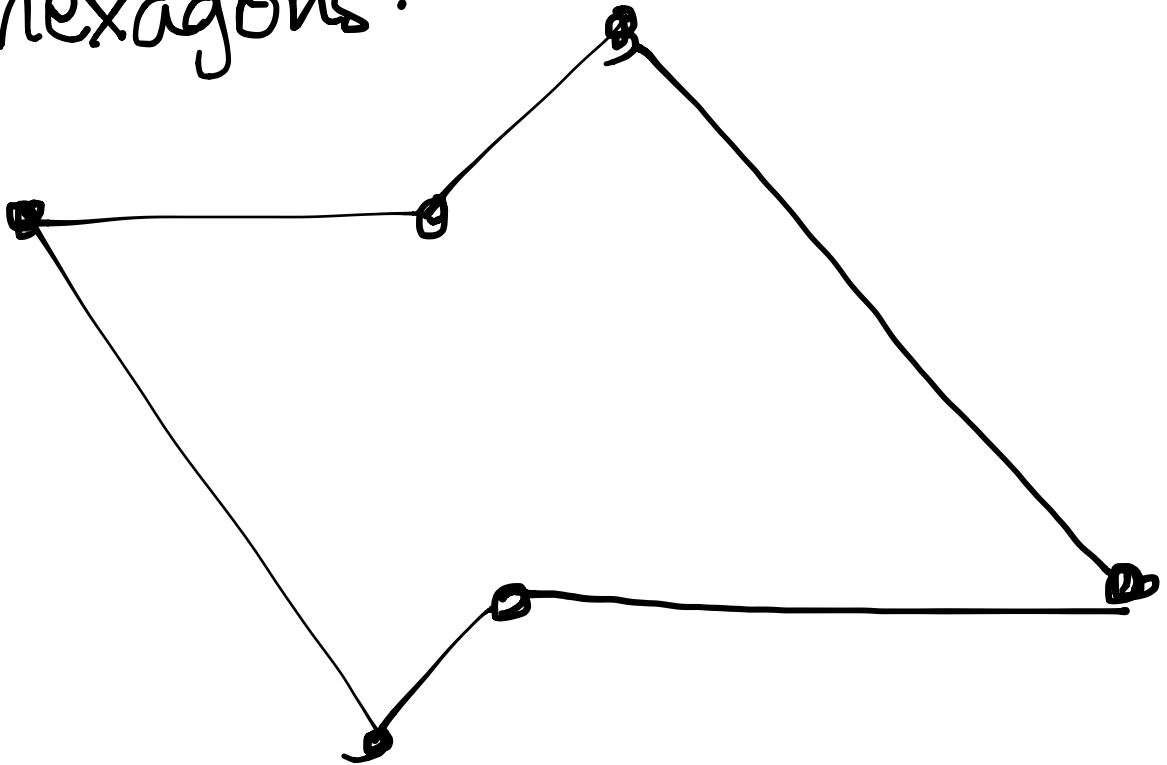
$dP_3 =$



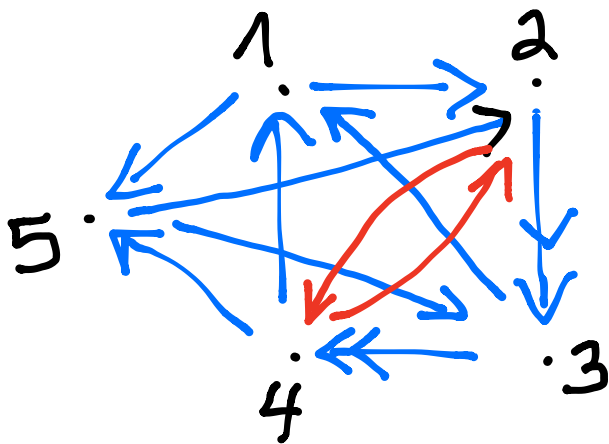
fundamental domain for the brane tiling

had a story like this, where the subgraphs  $G$  inside...

...the brane tiling for  $dP_3$   
were cut out by (non-convex)  
hexagons:



iii) Explain Somos-5 via a  
 $\mathbb{Z}$ -parametrized subfamily, adding  
a 2-cycle to  $dP_2$  as follows...



which eliminates some cluster variables from being toric.

⋮

	3	4	5	1	2	3	4	
	↓	↓						⋯
1	→	→		4	5	1	2	
	↓	↓						
4	5	1	2	3	4	5	1	

⋮

Somos-5 (pseudo- $dP_2$ ) brane tiling

iv) Match up the subgraphs of the pseudo- $dP_2$  brane tiling to the  $\mathbb{Z}^1$ -subfamily for Somos-5 in the  $dP_2$  brane tiling.