

# PATTERN AVOIDANCE

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The following theorem of Erdős and Szekeres [1] (see the section “Second proof”) has the answer of REU Exercise 19 as a corollary.

**Theorem 1.** *Every permutation of length  $N > (m - 1)(n - 1)$  contains either  $1 \cdots n$  or  $m \cdots 1$  as a pattern.*

One can also prove a converse.

**Proposition 2.** *If  $w$  and  $u$  are two patterns other than those that appear in the Erdős–Szekeres theorem, then there exist permutations of every length avoiding  $w$  and  $u$ .*

In 2003, Marcus and Tardos [2] proved the following theorem about the growth rates of permutation classes.

**Theorem 3.** *For any permutation  $w$ , there is a constant  $c_w$  such that the number of permutations in  $S_n$  avoiding  $w$  is bounded above by  $(c_w)^n$ .*

**Corollary 4.** *Suppose that  $w$  and  $u$  are any two patterns other than those that appear in the Erdős–Szekeres theorem. Then for sufficiently large  $n$ ,*

$$\Pr(v \in S_n \text{ avoids } w) < \Pr(v \in S_n \text{ avoids } w \mid v \text{ avoids } u).$$

*Proof.* By Marcus–Tardos, we have

$$\Pr(v \text{ avoids } w) < \frac{(c_w)^n}{n!}.$$

By Marcus–Tardos and the converse to Erdős–Szekeres, we have

$$\Pr(v \text{ avoids } w \mid v \text{ avoids } u) \geq \frac{1}{(c_u)^n}.$$

For any constant  $C$  and sufficiently large  $n$ ,  $n! \gg C^n$ , so in particular  $n! \gg (c_u c_w)^n$  and thus

$$\Pr(v \text{ avoids } w) < \frac{(c_w)^n}{n!} \ll \frac{1}{(c_u)^n} \leq \Pr(v \text{ avoids } w \mid v \text{ avoids } u). \quad \square$$

At a high level, the problem is that “avoiding a pattern” is so rare that pattern-avoidance classes are not large enough to support events with smaller probability.

One possible fix is to consider everything inside a smaller (say, exponential-sized) universe. For example, one could introduce a third permutation and take everything relative to that. (Obviously this loses a certain amount of elegance; but perhaps the case could be made that it is nice enough when the third pattern is length 3, or is a monotone pattern.)

## REFERENCES

- [1] P. Erdős and G. Szekeres, A combinatorial problem in geometry. *Compositio Math.* **2** (1935), pp. 463–470.
- [2] Adam Marcus and Gábor Tardos, Excluded permutation matrices and the Stanley-Wilf conjecture. *J. Combin. Theory Ser. A* **107** (2004), pp. 153–160.