

# Group Actions on Four-manifolds

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# Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

**Definition:** A finite group action of  $G$  on  $X$  is called symplectic if there exists a symplectic form  $\omega$  on  $X$  such that  $g^*\omega = \omega$  for any  $g \in G$ ; in particular,  $(X, \omega)$  is a symplectic 4-manifold.

**Fact:** There is a contractible space of  $G$ -invariant,  $\omega$ -compatible almost complex structures  $J$  on  $X$ .

**Goal:** Use  $G$ -invariant  $J$ -holomorphic curves in  $X$  to analyze the  $G$ -action.

**Outline:** (1) Adjunction and intersection formulas for  $J$ -holomorphic curves in 4-orbifolds.

(2) Transversality of moduli spaces of  $J$ -holomorphic curves in 4-orbifolds and Gromov compactness.

(3) Existence of  $G$ -invariant  $J$ -holomorphic curves (equivariant/orbifold Seiberg-Witten-Taubes theory).

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## Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

Let  $(M, \omega)$  be a symplectic 4-orbifold,  $J$  be a  $\omega$ -compatible almost complex structure. Let  $\Sigma$  be a compact closed Riemann surface with orbifold points  $z_i$  of order  $m_i$ . A  $J$ -holomorphic map  $f : \Sigma \rightarrow M$  is a smooth map of orbifolds such that the local representations between uniformizing systems are equivariant  $J$ -holomorphic maps, and moreover, at each orbifold point  $z_i$ , let  $(f_i, \rho_i) : (D_i, Z_{m_i}) \rightarrow (V_i, G_i)$  be the local representative, then we require that  $\rho_i : Z_{m_i} \rightarrow G_i$  be **injective**. A  $J$ -holomorphic curve  $C$  in  $M$  is the image of a  $J$ -holomorphic map  $f : \Sigma \rightarrow M$ . We can always assume  $f$  is not multiply covered, and we assume that  $C$  is not contained entirely in the singular set of  $M$ . A  $J$ -holomorphic curve  $C = \text{Im } f$  is called **embedded** if  $f$  is injective, its local representations are smooth embeddings, and each  $\rho_i : Z_{m_i} \rightarrow G_i$  is **isomorphic**.

# Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

(1) Adjunction and Intersection Formulae.

**Orbifold genus** of  $\Sigma$ :  $g_\Sigma := g_{|\Sigma|} + \sum_i (\frac{1}{2} - \frac{1}{2m_i})$ , where  $g_{|\Sigma|}$  is the genus of the underlying Riemann surface.

**Adjunction Formula:** Let  $C = \text{Im } f$ ,  $f : \Sigma \rightarrow M$  is  $J$ -holomorphic.

$$\frac{1}{2}(C \cdot C + K_M \cdot C) + 1 = g_\Sigma + \sum k_z + \sum k_{[z,z']},$$

where  $0 \leq k_z, k_{[z,z']} \in \mathbb{Q}$  are contributions from singularities and self-intersections of  $C$ ; in particular,  $C$  is embedded if and only if  $k_z = k_{[z,z']} = 0$ .

**Intersection Formula:** Let  $C, C'$  be distinct  $J$ -holomorphic curves.

$$C \cdot C' = \sum k_{(z,z')},$$

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**Example:** Let  $M$  be the quotient orbifold of  $CP^2$  by a pseudo-free linear  $Z_p$ -action. Let  $\hat{C} = \{z_1 = 0\}$  in  $CP^2$ , and let  $C$  be the image of  $\hat{C}$  in  $M$ . Clearly,  $C = \text{Im } f$  for a  $f : \Sigma \rightarrow M$  where  $\Sigma$  is a  $S^2$  with two orbifold points of order  $p$ , and  $C$  is embedded.

In order to check the adjunction formula, note  $C \cdot C = \frac{\hat{C} \cdot \hat{C}}{p} = \frac{1}{p}$ ,

$K_M \cdot C = \frac{K_{CP^2} \cdot \hat{C}}{p} = -\frac{3}{p}$ , and  $g_\Sigma = 2(\frac{1}{2} - \frac{1}{2p})$ . The adjunction formula for  $C$  becomes

$$\frac{1}{2}\left(\frac{1}{p} - \frac{3}{p}\right) + 1 = 2\left(\frac{1}{2} - \frac{1}{2p}\right).$$

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**Example:** Let  $M = P(d_1, d_2, d_3)$  be the weighted projective plane defined by the  $C^*$ -action

$$\lambda \cdot (z_1, z_2, z_3) = (\lambda^{d_1} z_1, \lambda^{d_2} z_2, \lambda^{d_3} z_3).$$

The orbifold points of  $M$  are  $p_1 = [1 : 0 : 0]$ ,  $p_2 = [0 : 1 : 0]$ ,  $p_3 = [0 : 0 : 1]$  of isotropy groups  $Z_{d_1}$ ,  $Z_{d_2}$ ,  $Z_{d_3}$ . The group of orbifold complex line bundles is generated by

$E_0 = (C^3 \setminus \{0\}) \times_{C^*} C$ , with  $K_M = -(d_1 + d_2 + d_3)E_0$  and  $E_0 \cdot E_0 = (d_1 d_2 d_3)^{-1}$ .

Consider  $C = \{z_1 = 0\}$ . Then  $C = d_1 E_0$  and  $p_2, p_3 \in C$ . The left-hand side of the adjunction formula for  $C$  is

$$\frac{1}{2} \left( \frac{d_1^2}{d_1 d_2 d_3} + \frac{-(d_1 + d_2 + d_3)d_1}{d_1 d_2 d_3} \right) + 1 = 1 - \frac{1}{2d_2} - \frac{1}{2d_3}.$$

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For the right-hand side, note that the singular points  $p_2, p_3 \in C$  will contribute at least  $\frac{1}{2} - \frac{1}{2d_2}, \frac{1}{2} - \frac{1}{2d_3}$  respectively, so that  $C = \text{Im } f$  for a  $f : \Sigma \rightarrow M$ , where  $\Sigma$  is a  $S^2$  with two orbifold points of orders  $d_2, d_3$  respectively, and  $C$  is embedded.

Next consider a family of curves  $C_\lambda = \{az_2^{d_3} + bz_3^{d_2} = 0\}$ , where  $\lambda = [a, b] \in CP^1, ab \neq 0$ . Clearly,  $C_\lambda = d_2 d_3 E_0$  and  $p_1 \in C_\lambda$ .

The left-hand side of adjunction formula for  $C_\lambda$  is

$$\frac{1}{2} \left( \frac{(d_2 d_3)^2}{d_1 d_2 d_3} + \frac{-(d_1 + d_2 + d_3) d_2 d_3}{d_1 d_2 d_3} \right) + 1 = \frac{1}{2} - \frac{1}{2d_1} + \frac{(d_2 - 1)(d_3 - 1)}{2d_1}.$$

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Finally, consider the intersection of  $C_\lambda, C_{\lambda'}$ ,  $\lambda \neq \lambda'$ . First,  $p_1 \in C_\lambda \cap C_{\lambda'}$  will contribute at least  $\frac{d_2 d_3}{d_1}$  to  $k_{(z, z')}$  on the right-hand side. On the other hand,  $C_\lambda \cdot C_{\lambda'} = \frac{(d_2 d_3)^2}{d_1 d_2 d_3}$  on the left-hand side. It follows from the intersection formula that  $p_1$  is the only intersection point of  $C_\lambda, C_{\lambda'}$ .

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## (2) Moduli spaces and Gromov compactness.

Let  $C = \text{Im } f$  be a  $J$ -holomorphic curve, where  $f : \Sigma \rightarrow M$  is not multiply covered. Here  $\Sigma$  has  $k$  orbifold points  $z_i$  of orders  $m_i$ . Let  $(f_i, \rho_i) : (D_i, Z_{m_i}) \rightarrow (V_i, G_i)$  be the local representation of  $f$  at  $z_i$ , let  $\mu_{m_i} = \exp(2\pi i/m_i) \in Z_{m_i}$  be the element whose action on the disc  $D_i$  is given by rotation of angle  $2\pi/m_i$ , and let the action of  $\rho_i(\mu_{m_i}) \in G_i$  on  $V_i$  be given by

$$\rho_i(\mu_{m_i}) \cdot (z_1, z_2) = (\mu_{m_i}^{m_{i,1}} z_1, \mu_{m_i}^{m_{i,2}} z_2), \quad 0 < m_{i,1}, m_{i,2} < m_i.$$

Theorem: For generic  $J$ , the moduli space of  $J$ -holomorphic curves is a finite dimensional smooth manifold, whose dimension at  $C$  equals  $2d_C$ , where

$$d_C = -K_M \cdot C + (g_{|\Sigma|} - 1) + k - \sum_{i=1}^k \frac{m_{i,1} + m_{i,2}}{m_i} \in \mathbb{Z}.$$

**Note:** if  $C$  exists for generic  $J$ , then  $d_C \geq 0$ .

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**Example:** Let  $X$  be a symplectic 4-manifold with a symplectic  $Z_p$ -action, let  $J$  be  $Z_p$ -invariant, and let  $\hat{C}$  be a  $Z_p$ -invariant, embedded  $J$ -holomorphic 2-sphere with  $\hat{C} \cdot \hat{C} = -2$ , containing two isolated fixed points  $p_1, p_2$ . (For a generic, non- $Z_p$ -invariant  $J$ , such a  $\hat{C}$  does not exist.)

Let  $M = X/Z_p$ ,  $C = \hat{C}/Z_p$ . Then

$$d_C = -K_M \cdot C + (0 - 1) + 2 - \frac{1 + m_1}{p} - \frac{1 + m_2}{p},$$

where  $0 < m_1, m_2 < p$  are the weights of the  $Z_p$ -action at  $p_1, p_2$  in the normal direction to  $\hat{C}$ . Note that  $K_M \cdot C = \frac{K_X \cdot \hat{C}}{p} = 0$ , so that  $d_C \in Z$  gives

$$m_1 + m_2 + 2 = p \text{ or } m_1 + m_2 + 2 = 2p.$$

Furthermore,  $d_C \geq 0$  rules out the latter case.

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## Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

Proposition: Let  $X$  be a symplectic 4-manifold with a symplectic  $Z_p$ -action, let  $J$  be  $Z_p$ -invariant, and let  $\hat{C}$  be a  $Z_p$ -invariant  $J$ -holomorphic curve such that  $\hat{C} \cdot \hat{C} < 0$ . Let  $C = \hat{C}/Z_p$  be the corresponding curve in  $M = X/Z_p$ , parametrized by  $f : \Sigma \rightarrow M$ . Then  $g_{|\Sigma|} = 0$  for generic  $J$ .

Sketch of proof: Suppose  $\Sigma$  has  $k$  orbifold points of order  $p$ . Then the adjunction formula for  $C$  gives

$$\frac{1}{2p}(\hat{C} \cdot \hat{C} + K_X \cdot \hat{C}) \geq g_{|\Sigma|} - 1 + \left(\frac{1}{2} - \frac{1}{2p}\right)k.$$

On the other hand, for generic  $J$ ,  $d_C \geq 0$  where

$$d_C = -\frac{K_X \cdot \hat{C}}{p} + (g_{|\Sigma|} - 1) + k - \sum_{i=1}^k \frac{m_{i,1} + m_{i,2}}{m_i}.$$



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Theorem (Gromov compactness): The moduli space of  $J$ -holomorphic maps in a symplectic orbifold with a fixed homology class is compact, after introducing certain notion of  $J$ -holomorphic maps from a nodal orbifold Riemann surface.

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## (3) Existence of $G$ -invariant $J$ -holomorphic curves.

**Orbifold Version of Taubes Theorem** (Chen 2006): Let  $(M, \omega)$  be a symplectic 4-orbifold, and let  $E$  be an orbifold complex line bundle. Suppose there is an unbounded sequence of values for the parameter  $r$  such that the corresponding Taubes' deformed Seiberg-Witten equations have a solution  $(A, \alpha, \beta)$ . Then for any  $\omega$ -compatible  $J$ , there are  $J$ -holomorphic curves  $C_i$  with multiplicity  $m_i$  such that  $c_1(E) = \sum_i m_i C_i$ . Moreover, if a closed subset  $\Omega \subset M$  is contained in  $\alpha^{-1}(0)$  throughout, then  $\Omega \subset \cup_i C_i$ .

**Remarks:** There are two typical sources of points in the closed subset  $\Omega$ . (i) A singular point  $p$  is contained in  $\Omega$  if the isotropy group at  $p$  has nontrivial representation on the fiber of  $E$  at  $p$ . (ii) When the dimension of the Seiberg-Witten moduli space is  $2n > 0$ , we can specify any  $n$  distinct points to be contained in  $\Omega$ .

# Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

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## Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

Two useful cases (1)  $b_2^+(M) > 1$ ,  $E = K_M$ . (2)  $b_1(M) = 0$ ,  $b_2^+(M) = 1$ , and applying wall-crossing argument.

An example for (1): There are no homologically trivial symplectic  $Z_p$ -actions on any symplectic 4-manifold with trivial canonical class,  $b_2^+ > 1$  and non-zero signature.

Sketch of proof: Let  $X$  be the symplectic 4-manifold.

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Step 2:  $K_X$  is trivial implies that  $\chi(X) = -\frac{3}{2} \text{Sign}(X)$ , so there are  $-\frac{3}{2} \text{Sign}(X)$  isolated fixed points by the Lefschetz fixed-point theorem and homological triviality.

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## Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

Step 3: Computing the signature defect  $def_m$  for each fixed point  $m$ , local representation has weights  $(a, -a)$  implies

$$def_m = \sum_{k=1}^{p-1} \frac{(1 + \mu_p^k)((1 + \mu_p^{-k}))}{(1 - \mu_p^k)((1 - \mu_p^{-k}))} = \frac{1}{3}(p-1)(p-2),$$

where  $\mu_p = \exp(2\pi i/p)$ .

Step 4: Note that  $Sign(X/Z_p) = Sign(X)$ . Then the weaker version of  $G$ -signature Theorem gives

$$p \cdot Sign(X) = Sign(X) + \left(-\frac{3}{2}Sign(X)\right) \cdot \frac{1}{3}(p-1)(p-2),$$

which implies  $Sign(X) = 0$ , a contradiction.

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**Dimension of Seiberg-Witten moduli space** (Chen 2006): Let  $M$  be a symplectic 4-orbifold with singular points  $p_i$  and singular surfaces  $Y_j$ . Then for any orbifold complex line bundle  $E$ , the dimension of the Seiberg-Witten moduli space associated to  $E$  is

$$d(E) = c_1(E)^2 - c_1(E) \cdot c_1(K_M) + \sum_i l_i + \sum_j l_j,$$

where  $l_i, l_j$  are determined from  $p_i, Y_j$  as follows.

$$l_i = \frac{1}{|G_{p_i}|} \sum_{g \in G_{p_i} \setminus \{e\}} \frac{2(\rho_{p_i, E}(g) - 1)}{\prod_{k=1}^2 (1 - \rho_{p_i, k}(g^{-1}))}$$

## Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

$$l_j = \frac{1}{|G_{Y_j}|} \sum_{g \in G_{Y_j} \setminus \{e\}} \left( \frac{2\rho_{Y_j, E}(g)c_1(E)}{1 - \rho_{Y_j}(g^{-1})} + \frac{(\rho_{Y_j, E}(g) - 1)c_1(TY_j)}{1 - \rho_{Y_j}(g^{-1})} \right. \\ \left. + \frac{2\rho_{Y_j}(g^{-1})(\rho_{Y_j, E}(g) - 1)c_1(\nu_{Y_j})}{(1 - \rho_{Y_j}(g^{-1}))^2} \right) [Y_j].$$

## Lecture 3: Symplectic Actions via $J$ -holomorphic Curves

Let  $p > 1$  be a prime number, and let  $(X, \omega)$  be a symplectic 4-manifold with  $b_1 = 0$  equipped with a symplectic  $Z_p$ -action. Consider a complex line bundle  $E$  such that  $c_1(E) \in H^2(X; \mathbb{Z})^{Z_p}$ . Denote by  $SW_X(E)$  the Seiberg-Witten invariant of  $X$  associated to  $E$ , which is defined using Taubes chamber when  $b_2^+ = 1$ .

Theorem (Chen 2013): Assume further that

- $SW_X(E) \not\equiv 0 \pmod{p}$ ;
- Write  $c_1(E)^2 - c_1(K_X) \cdot c_1(E) = 2(lp + k)$ , where  $l \geq 0$  and  $0 \leq k < p$ . Then the number of fixed-points  $\#X^{Z_p} \geq k$ .

Then for any  $Z_p$ -invariant  $\omega$ -compatible  $J$ , and for any given subset  $\Omega$  of  $X$  which consists of  $l$  distinct free  $Z_p$ -orbits and  $k$  distinct fixed points, there exist  $J$ -holomorphic curves  $C_i$  with multiplicity  $m_i$ , such that (i)  $c_1(E) = \sum_i m_i C_i$ , (ii) the set  $\cup_i C_i$  is  $Z_p$ -invariant, (iii)  $m_i = m_j$  if  $C_i, C_j$  lie in the same orbit under the  $Z_p$ -action on  $\cup_i C_i$ , and (iv)  $\Omega \subset \cup_i C_i$ .



The End

Thank You !