

• **Classfield Theory** In brief, *global* classfield theory classifies *abelian* extensions of *number fields*, while *local* classfield theory does the analogous things for *local fields*, finite extensions of \mathbb{Q}_p .

The details subsume all known (abelian) **reciprocity laws**.

Main Theorem of Global Classfield Theory

(classical form): The abelian (Galois) extensions K of a number field k are in bijection with generalized ideal class groups, which are quotients of *ray class groups* of *conductor* (a non-zero ideal) \mathfrak{f}

$$I(\mathfrak{f})/P_{\mathfrak{f}}^+$$

$$\parallel$$

fractional ideals prime to \mathfrak{f}

principal ideals with totally positive generators $1 \pmod{\mathfrak{f}}$

Further, the bijection sends a given generalized ideal class group to the (abelian) *Galois group* of the extension, via the *Artin/Frobenius* map/symbols $\mathfrak{p} \rightarrow (\mathfrak{p}, K/k)$ [see below].

Main Theorem of Local Classfield Theory: The abelian (Galois) extensions K of a local field k are in bijection with the open, finite-index subgroups of k^\times , by

$$K/k \longleftrightarrow k^\times / N_k^K K^\times$$

This bijection is given by an isomorphism of the Galois group with $k^\times / N_k^K K^\times$ via Artin/Frobenius.

Cyclic local-global principle for norms: In a *cyclic* extension K/k of number fields, an element of k is a *global norm* if and only if it is a *local norm everywhere*. That is, for $\alpha \in k$,

$$\alpha \in N_k^K(K^\times) \iff \alpha \in N_{k_v}^{K_w}(K_w^\times) \text{ for all } v, w$$

The most intelligible proof uses *zeta functions of simple algebras*.

To approach classfield theory, it is useful to progress from simple situations to complicated: *finite* fields, *local* fields, *number* fields.

Indeed, the simplest part of the Galois theory of local fields is described by the Galois theory of their residue fields. The same is true of number fields.

As a diagnostic, if we can't understand finite extensions of *finite* fields, most likely we'll not understand finite extensions of *local* fields and *number* fields.

Further, as below, all finite finite-field extensions are generated by *roots of unity*. Thus, extensions of local fields and number fields generated by roots of unity (*cyclotomic* extensions) are the first and canonical examples of abelian extensions. Extensions $k(\sqrt[n]{a})$ for k containing n^{th} roots of unity (*Kummer extensions*) are next.

In fact, over \mathbb{Q} itself, classfield theory is provably the study of cyclotomic extensions (*Kronecker-Weber theorem*).

Finite fields: Recall the classification of finite algebraic field extensions of \mathbb{F}_p :

Claim: inside a fixed algebraic closure $\overline{\mathbb{F}_p}$ of \mathbb{F}_p , for each integer n there is a unique field extension K of degree n over \mathbb{F}_p . It is the collection of roots of $x^{p^n} - x = 0$ in the fixed algebraic closure.

Proof: On one hand, a finite multiplicative subgroup of a field is *cyclic*, else there'd be too many roots of unity of some order. A field extension of \mathbb{F}_p of degree n is an n -dimensional \mathbb{F}_p -vectorspace, so has p^n elements. The non-zero elements form a cyclic group of order $p^n - 1$. These, together with 0, are roots of $x^{p^n} - x = 0$.

On the other hand, inside the algebraic closure there is a splitting field of $x^{p^n} - x$. ///

Remark: The same proof works over arbitrary finite fields.

Galois group of $\mathbb{F}_{p^n}/\mathbb{F}_p$: is *cyclic*, generated by the Frobenius element $\alpha \rightarrow \alpha^p$.

Proof: The Frobenius element stabilizes \mathbb{F}_{p^n} , since $\alpha^{p^n} = \alpha$ implies

$$(\alpha^p)^{p^n} = \alpha^{p^{n+1}} = (\alpha^{p^n})^p = \alpha^p$$

On the other hand, the fixed points of the Frobenius in \mathbb{F}_{p^n} are roots of $x^p - x = 0$, giving exactly \mathbb{F}_p . Similarly, the action of Frobenius on \mathbb{F}_{p^n} really is of order n . Thus, by Galois theory, the Galois group of \mathbb{F}_{p^n} over \mathbb{F}_p is *cyclic* order n generated by Frobenius. ///

Remark: The same proof works over arbitrary finite fields.

Surjectivity of norms on finite fields: The Galois norm $N : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_p$ is *surjective*:

Proof: The norm is

$$N\alpha = \alpha \cdot \alpha^p \cdot \dots \cdot \alpha^{p^{n-1}} = \alpha^{1+p+p^2+\dots+p^{n-1}} = \alpha^{\frac{p^n-1}{p-1}}$$

Note that the exponent divides $p^n - 1$. In a finite cyclic group of order ℓ , for every divisor k of ℓ , the map $g \rightarrow g^k$ surjects to the unique subgroup of order ℓ/k . Here, the Galois norm surjects to \mathbb{F}_p^\times . ///

Remark: A similar result holds for extensions of arbitrary finite fields.

Surjectivity of traces on finite fields: The Galois trace $\text{tr} : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_p$ is *surjective*:

Proof: The trace is

$$\text{tr } \alpha = \alpha + \alpha^p + \dots + \alpha^{p^{n-1}}$$

This is a linear combination (all coefficients 1) of field homomorphisms $\mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$. The desired assertion is a very special case of

Linear independence of characters: Let $\chi_j : k \rightarrow \Omega$ be distinct field maps. For $c_j \in \Omega$, $\sum_j c_j \chi_j = 0$ as a map $k \rightarrow \Omega$ only for c_j all 0.

Proof: Let $\sum_j c_j \chi_j = 0$ be a shortest non-trivial relation, renumbering as convenient...

Divide through by c_1 , so

$$\chi_1 + c_2\chi_2 + \dots = 0 \quad (\text{with } c_2 \neq 0)$$

Let $0 \neq x \in k$ such that $\chi_1(x) \neq \chi_2(x)$. Then

$$0 = \chi_1(xy) + c_2\chi_2(xy) + \dots = \chi_1(x) \cdot \left(\chi_1(y) + c_2 \frac{\chi_2(x)}{\chi_1(x)} \chi_2(y) + \dots \right)$$

Dividing by $\chi_1(x)$ and subtracting gives a shorter relation,

contradiction. ///

The Galois maps of \mathbb{F}_{p^n} over \mathbb{F}_p are linearly independent, are \mathbb{F}_p -linear, so trace is a *not-identically-zero* \mathbb{F}_p -linear map $\mathbb{F}_{p^n} \rightarrow \mathbb{F}_p$.

Since \mathbb{F}_p is one-dimensional over itself, this is surjective. ///

Remark: A similar result holds for extensions of arbitrary finite fields.

Unramified extensions of \mathbb{Q}_p : Inside a fixed algebraic closure of \mathbb{Q}_p , for each positive integer n there is a unique *unramified* extension k of \mathbb{Q}_p of degree n over \mathbb{Q}_p . It is generated by a primitive $p^n - 1$ root of unity.

Proof: Recall that the local ramification degree e and residue class field extension degree f satisfy $ef = n$. The unramified-ness is $e = 1$, so $f = n$. There is a primitive $p^n - 1$ root of unity in \mathbb{F}_{p^n} .

Let Φ be the $(p^n - 1)^{th}$ cyclotomic polynomial. It has no repeated roots mod p . We do not claim that Φ is irreducible over \mathbb{Q}_p . (It probably isn't.) Let $\zeta_1 \in \mathfrak{o}_k$ reduce to a primitive $p^n - 1$ root mod p , so $\Phi(\zeta_1) = 0 \pmod{p}$ and $\Phi'(\zeta_1) \not\equiv 0 \pmod{p}$. Hensel. ///

Remark: The same proof works over arbitrary local fields.

Frobenius elements in Galois groups over \mathbb{Q}_p

In k/\mathbb{Q}_p , unramified or ramified, there is certainly a unique prime \mathfrak{p} over p . Thus, the *decomposition group*

$G_{\mathfrak{p}} = \{g \in \text{Gal}(k/\mathbb{Q}_p) : g\mathfrak{p} = \mathfrak{p}\}$ is the whole Galois group $\text{Gal}(k/\mathbb{Q}_p)$. Recall that $G_{\mathfrak{p}}$ *surjects* to the residue field Galois group, which is cyclic order n , generated by Frobenius.

In general, the kernel of the map of $G_{\mathfrak{p}}$ to the residue field Galois group is the inertia subgroup. Here, there cannot be a non-trivial kernel, since the residue field extension degree is equal to that of the local field extension degree.

Thus, $\text{Gal}(k/\mathbb{Q}_p) = G_{\mathfrak{p}}$ is cyclic order n , with canonical generator also called *Frobenius*, characterized by reducing mod p to the finite-field Frobenius.

Remark: The same proof works for unramified extensions of arbitrary local fields.

Norm map in unramified extensions k/\mathbb{Q}_p

Claim: The Galois norm $N : k \rightarrow \mathbb{Q}_p$ gives a *surjection* $\mathfrak{o}_k^\times \rightarrow \mathbb{Z}_p^\times$.

Proof: Surjectivity of finite-field norm and trace, and completeness. Frobenius $\varphi \in \text{Gal}(k/\mathbb{Q}_p)$ satisfies $\varphi(\alpha) = \alpha^p \pmod{p\mathfrak{o}}$, so, $\text{mod } p\mathfrak{o}$

$$N\alpha = \alpha \alpha^p \dots \alpha^{p^{n-1}} = \alpha^{1+p+p^2+\dots+p^{n-1}} = \alpha^{\frac{p^n-1}{p-1}} \pmod{p\mathfrak{o}}$$

This reduces the question to proving surjectivity to $1 + p\mathbb{Z}_p$. By surjectivity of trace on finite fields, $\text{tr}_{\mathbb{Q}_p}^k \mathfrak{o}_k = \mathbb{Z}_p$. Thus, given $1 + p\alpha$ with $\alpha \in \mathbb{Z}_p$, there is $\beta \in \mathfrak{o}$ with $\text{tr}(\beta) = \alpha$. Thus, $N(1 + p\beta) = 1 + p\alpha \pmod{p^2}$. This reduces the question to proving surjectivity to $1 + p^2\mathbb{Z}_p$. Continuing, using completeness, the sequence of cumulative adjustments converges. ///

Remark: The same proof works for unramified extensions of arbitrary local fields.

A very special sub-case:

Unramified local classfield theory:

(Mock) Theorem: The unramified extensions k of \mathbb{Q}_p are in bijection with finite-index subgroups of \mathbb{Q}_p^\times containing \mathbb{Z}_p^\times , by

$$\text{finite-index subgroup } H \supset \mathbb{Z}_p^\times \longleftrightarrow N_{\mathbb{Q}_p}^k(k^\times)$$

The Galois group is $\text{Gal}(k/\mathbb{Q}_p) \approx \mathbb{Q}_p^\times / N_{\mathbb{Q}_p}^k(k^\times)$, via the map to Artin/Frobenius:

$$(\text{Frobenius } x \rightarrow x^p) \longleftarrow p$$

Remark: The analogous result holds for all local fields.

Proof: We have shown that an unramified extension k of \mathbb{Q}_p of degree n is cyclic Galois, obtained by adjoining a primitive $(p^n - 1)^{th}$ root of unity ω , and the map from $\text{Gal}(k/\mathbb{Q}_p)$ to the Galois group of residue fields is an isomorphism. Thus, the Frobenius generates $\text{Gal}(k/\mathbb{Q}_p)$, and is order n .

Since the norm $N_{\mathbb{Q}_p}^k$ is surjective $\mathfrak{o}_k^\times \rightarrow \mathbb{Z}_p^\times$, $N_{\mathbb{Q}_p}^k(k^\times)$ is *open*. Also, $N_{\mathbb{Q}_p}^k(p) = p^n$. Thus, $\mathbb{Q}_p^\times / N_{\mathbb{Q}_p}^k(k^\times) \approx p^\mathbb{Z} / p^{n\mathbb{Z}}$, which gives the Galois group, by the map to Frobenius.

On the other hand, for $H \supset \mathbb{Z}_p^\times$ of finite index n , since $\mathbb{Q}_p^\times / \mathbb{Z}_p^\times \approx p^\mathbb{Z}$, necessarily $H = p^{n\mathbb{Z}} \cdot \mathbb{Z}_p^\times$. Adjoining a primitive $(p^n - 1)^{th}$ root of unity produces an unramified degree n extension k such that $N_{\mathbb{Q}_p}^k(k^\times) = H$. ///