

- **Classfield Theory...**

- Slightly refined main statements
- Recollection of quadratic example
- Recap Hilbert's theorem 90
  
- Herbrand quotients: veiled homological ideas
- Recollection of topological antecedents: counting holes
- Toward Hilbert's theorem 90 as cohomology
- Cyclic extensions of local fields

### Putting pieces of classfield theory together:

*Local* classfield theory asserts that the Galois groups of finite abelian extensions  $K$  of a local field  $k$  are exactly the quotients

$k^\times / N_k^K(K^\times) \xrightarrow[\approx]{\alpha_{L/k}} \text{Gal}(K/k)$ . The **Artin** or **reciprocity law** maps to Galois groups are *natural*, in the sense that, for finite abelian extensions  $L \supset K \supset k$  there is a commutative diagram

$$\begin{array}{ccc} k^\times / N_k^L(L^\times) & \xrightarrow{\alpha_{L/k}} & \text{Gal}(L/k) \\ \text{quot} \downarrow & & \downarrow \text{quot} \\ k^\times / N_k^K(K^\times) & \xrightarrow{\alpha_{K/k}} & \text{Gal}(K/k) \end{array}$$

For an abelian extension of number fields  $K/k$ , the *global* Artin/reciprocity map  $\alpha_{K/k} : \mathbb{J} \rightarrow \text{Gal}(K/k)$  is *essentially the product of the local ones...*

*Recall:* For  $\mathfrak{p}$  in  $\mathfrak{o}_k$  and  $\mathfrak{P}|\mathfrak{p}$  in  $\mathfrak{o}_K$  unramified in abelian  $K/k$ , the inertia subgroup of the decomposition group  $G_{\mathfrak{p}} \subset \text{Gal}(K/k)$  is trivial,  $G_{\mathfrak{p}}$  is generated by the Artin element  $(\mathfrak{p}, K/k)$ .

The corresponding unramified extension of completions  $K_w/k_v$  is *cyclic* with Galois group generated by the local Artin element  $(\mathfrak{m}_v, K_w/k_v)$  with  $\mathfrak{m}_v$  the unique non-zero prime in  $\mathfrak{o}_v$ . The local Artin/reciprocity map  $\alpha_{w/v} : k_v^\times \rightarrow \text{Gal}(K_w/k_v)$  is

$$\alpha_{w/v}(x) = (\mathfrak{m}_v, K_w/k_v)^{\text{ord}_v x} \quad (\text{unramified } K_w/k_v)$$

Identifying the two cyclic groups  $\text{Gal}(K_w/k_v) \approx G_{\mathfrak{p}}$  by identifying their corresponding Artin elements  $(\mathfrak{m}_v, K_w/k_v) \longleftrightarrow (\mathfrak{p}, K/k)$ , we can consider the local Artin map as mapping to  $G_{\mathfrak{p}}$ , and

$$\alpha_{w/v} : k_v^\times \longrightarrow \text{Gal}(K_w/k_v) \approx G_{\mathfrak{p}} \subset \text{Gal}(K/k)$$

With the identification  $\text{Gal}(K_w/k_v) \approx G_{\mathfrak{p}} \subset \text{Gal}(K/k)$  at unramified places, define the *global* Artin/reciprocity map  $\alpha_{K/k} : \mathbb{J} \longrightarrow \text{Gal}(K/k)$  by

$$\alpha_{K/k}(x) = \prod_v \prod_{w|v} \alpha_{w/v}(x_v) \quad (\text{for } x = \{x_v\} \in \mathbb{J}_k)$$

**Remark:** For the moment, we seem not to know how to define local Artin/reciprocity maps at *ramified* primes.

**Remark:** Local norms at unramified  $K_w/k_v$  are *surjective* to local units, so the product is *finite*.

The *critical* part of the assertion of global classfield theory is that the global  $\alpha_{K/k}$  *factors through* the idele class group  $\mathbb{J}_k/k^\times$ .

It is a *local* fact that  $\alpha_{w/v} : k_v^\times \rightarrow \text{Gal}(K_w/k_v)$  factors through  $k_v^\times / N_{k_v}^{K_w} K_w^\times$  and gives an *isomorphism*

$\alpha_{w/v} : k_v^\times / N_{k_v}^{K_w} K_w^\times \rightarrow \text{Gal}(K_w/k_v)$ . Thus,  $\alpha_{K/k}$  factors similarly.

And  $\alpha_{K/k} : \mathbb{J}_k/k^\times N_k^K \mathbb{J}_K \longrightarrow \text{Gal}(K/k)$  is an *isomorphism*.

### Significance of factoring through $\mathbb{J}/k^\times$ and $\mathbb{J}/k^\times N_k^K \mathbb{J}_K$

Since norms in unramified extensions of non-archimedean fields are *surjective* to local units, and norms on archimedean fields are open maps, the image  $N_k^K \mathbb{J}_K$  is *open* in  $\mathbb{J}_k$ . Thus, the local and global Artin maps are *continuous*.

The latter open-ness/continuity reformulates *part* of the classical assertion that the Artin map **has a conductor**. But the difficult part is proving  $k^\times$ -invariance.

By Fujisaki's Lemma, since the product of norms at archimedean places includes *the ray*  $\{(t^{1/N}, \dots, t^{1/N}, 1, 1, \dots) : t > 0\}$  with  $N = r_1 + r_2$ , the quotient  $\mathbb{J}_k/k^\times N_k^K \mathbb{J}_K$  is *finite*, in any case.

**Recall** how the fact that the quadratic *norm residue* symbol factors through  $\mathbb{J}_k/k^\times$  proves reciprocity for the quadratic Hilbert symbol, and then more classical forms of quadratic reciprocity...

For global field  $k$  with *completions*  $k_v$  of  $k$ , for  $K$  a *quadratic* extension of  $k$ , put

$$K_v = K \otimes_k k_v$$

The **local norm residue symbol**  $\nu_v : k_v^\times \rightarrow \{\pm 1\}$  is

$$\nu_v(\alpha) = \begin{cases} +1 & (\text{for } \alpha \in N(K_v^\times)) \\ -1 & (\text{for } \alpha \notin N(K_v^\times)) \end{cases}$$

For  $k_v = \mathbb{Q}_p$  with odd  $p$ , we have proven the small *local* **Theorem:**

$$[k_v^\times : N(K_v^\times)] = \begin{cases} 2 & (\text{when } K_v \text{ is a field}) \\ 1 & (\text{when } K_v \approx k_v \times k_v) \end{cases}$$

**Cor:**  $\nu_v$  is a group homomorphism  $k_v^\times \rightarrow \{\pm 1\}$ . ///

We grant ourselves... **Theorem:** the quadratic norm-residue map  $\nu$  is  $k^\times$ -invariant: it *factors through*  $\mathbb{J}/k^\times$ .

This is a *reciprocity law*, and we saw earlier that this entails more classical-looking reciprocity laws. We recall the connections:

**Quadratic Hilbert symbols** For  $a, b \in k_v$  the (quadratic) Hilbert symbol is

$$(a, b)_v = \begin{cases} 1 & \text{(if } ax^2 + by^2 = z^2 \text{ has non-trivial solution in } k_v) \\ -1 & \text{(otherwise)} \end{cases}$$

**Corollary:** For  $a, b \in k^\times$ , we have  $\prod_v (a, b)_v = 1$ .

*Proof:* For  $b$  a non-square in  $k^\times$ ,  $(a, b)_v$  is  $\nu_v(a)$  for the field extension  $k(\sqrt{b})$ , and reciprocity for the norm residue symbol gives the result for the Hilbert symbol. ///

Traditional-looking quadratic reciprocity laws follow from that reciprocity for the quadratic Hilbert symbol. Define

$$\left(\frac{x}{v}\right)_2 = \begin{cases} 1 & (\text{for } x \text{ a non-zero square mod } v) \\ 0 & (\text{for } x = 0 \text{ mod } v) \\ -1 & (\text{for } x \text{ a non-square mod } v) \end{cases}$$

**Quadratic Reciprocity ('main part'):** For  $\pi$  and  $\varpi$  two elements of  $\mathfrak{o}$  generating distinct odd prime ideals,

$$\left(\frac{\varpi}{\pi}\right)_2 \left(\frac{\pi}{\varpi}\right)_2 = \prod_v (\pi, \varpi)_v$$

where  $v$  runs over all *even or infinite* primes, and  $(,)_v$  is the (quadratic) Hilbert symbol.



*Proof:* Claim that, since  $\pi\mathfrak{o}$  and  $\varpi\mathfrak{o}$  are odd primes,

$$(\pi, \varpi)_v = \begin{cases} \left(\frac{\varpi}{\pi}\right)_2 & \text{for } v = \pi\mathfrak{o} \\ \left(\frac{\pi}{\varpi}\right)_2 & \text{for } v = \varpi\mathfrak{o} \\ 1 & \text{for } v \text{ odd and } v \neq \pi\mathfrak{o}, \varpi\mathfrak{o} \end{cases}$$

Let  $v = \pi\mathfrak{o}$ . Suppose that there is a solution  $x, y, z$  in  $k_v$  to

$$\pi x^2 + \varpi y^2 = z^2$$

Via the ultrametric property,  $\text{ord}_v y$  and  $\text{ord}_v z$  are identical, and less than  $\text{ord}_v x$ , since  $\varpi$  is a  $v$ -unit and  $\text{ord}_v \pi x^2$  is *odd*. Multiply through by  $\pi^{2n}$  so that  $\pi^n y$  and  $\pi^n z$  are  $v$ -units. Then  $\varpi$  must be a square modulo  $v$ .

On the other hand, when  $\varpi$  is a square modulo  $v$ , use Hensel's lemma to infer that  $\varpi$  is a square in  $k_v$ . Then

$$\varpi y^2 = z^2$$

certainly has a non-trivial solution.

For  $v$  an odd prime distinct from  $\pi\mathfrak{o}$  and  $\varpi\mathfrak{o}$ ,  $\pi$  and  $\varpi$  are  $v$ -units. When  $\varpi$  is a square in  $k_v$ ,  $\varpi = z^2$  has a solution, so the Hilbert symbol is 1. For unit  $\varpi$  not a square in  $k_v$ , the quadratic field extension  $k_v(\sqrt{\varpi})$  has the property that the norm map is *surjective* to units in  $k_v$ . Thus, there are  $y, z \in k_v$  so that

$$\pi = N(z + y\sqrt{\varpi}) = z^2 - \varpi y^2$$

Thus, all but even-prime and infinite-prime quadratic Hilbert symbols are quadratic symbols. ///

**Simplest example:** For two (positive) odd prime numbers  $p, q$ , we prove that Gauss' quadratic reciprocity

$$\left(\frac{q}{p}\right)_2 \left(\frac{p}{q}\right)_2 = (-1)^{(p-1)(q-1)/4}$$

From quadratic Hilbert reciprocity,

$$\left(\frac{q}{p}\right)_2 \left(\frac{p}{q}\right)_2 = (p, q)_2 (p, q)_\infty$$

Indeed, since both  $p, q$  are positive, the equation

$$px^2 + qy^2 = z^2$$

has non-trivial *real* solutions  $x, y, z$ . That is, the  $\mathbb{Q}_\infty$  Hilbert symbol  $(p, q)_\infty$  is 1.

Therefore, only the 2-adic Hilbert symbol contributes to the right-hand side of Gauss' formula:

$$\left(\frac{q}{p}\right)_2 \left(\frac{p}{q}\right)_2 = (p, q)_2$$

Hensel's lemma shows that the solvability of this equation, for  $p, q$  both 2-adic units, depends only upon their residue classes mod 8.

The usual formula  $(-1)^{(p-1)(q-1)/4}$  is just one way of interpolating the 2-adic Hilbert symbol by elementary-looking formulas. ///

**Remark:** Anticipating that general classfield theory is couched in terms of *norms*, we should expect analogous recovery of other reciprocity laws.

*Recap:*

**Hilbert's Theorem 90:** In a field extension  $K/k$  of degree  $n$  with cyclic Galois group generated by  $\sigma$ , the elements in  $K$  of norm 1 are exactly those of the form  $\sigma\alpha/\alpha$  for  $\alpha \in K$ . ///

Hilbert's Theorem 90 gives another (the usual?) proof of

**Corollary:** A cyclic degree  $n$  extension  $K/k$  of  $k$  containing  $n^{\text{th}}$  roots of unity and characteristic not dividing  $n$  is obtained by adjoining an  $n^{\text{th}}$  root. ///

**Additive version of Theorem 90:** Let  $K/k$  be cyclic of degree  $n$  with Galois group generated by  $\sigma$ . Then  $\text{tr}_k^K(\beta) = 0$  if and only if there is  $\alpha \in K$  such that  $\beta = \alpha - \alpha^\sigma$ .

**Corollary:** (*Artin-Schreier extensions*) Let  $K/k$  be cyclic of order  $p$  in characteristic  $p$ . Then there is  $K = k(\alpha)$  with  $\alpha$  satisfying an (Artin-Schreier) equation  $x^p - x + a = 0$  with  $a \in k$ . ///

**Post-1940's reformulations:** Chevalley 1940, Weil 1951, Hochschild-Nakayama 1952, ... To ground this, recast some things we already know, such as *Hilbert's Theorem 90*, in other terms.

**Herbrand quotients: veiled homological ideas**

Homological algebra includes computational devices extending linear algebra and counting procedures. Motivations also come from (algebraic) topology, defining and counting *holes*.

It is easy enough to *define* the **Herbrand quotient**, although explaining its significance, and the meaning of the Key Lemma, requires more effort:

Let  $A$  be an abelian group, with maps  $f : A \rightarrow A$  and  $g : A \rightarrow A$ , such that  $f \circ g = 0$  and  $g \circ f = 0$ .

$$q(A) = q_{f,g}(A) = \text{Herbrand quotient of } A, f, g = \frac{[\ker f : \text{im } g]}{[\ker g : \text{im } f]}$$

**Inscrutable Key Lemma:** For finite  $A$ ,  $q(A) = 1$ . For  $f$ -stable,  $g$ -stable subgroup  $A \subset B$  with  $f, g : B \rightarrow B$ , we have  $q(B) = q(A) \cdot q(B/A)$ , in the usual sense that if two are finite, so is the third, and the relation holds.

The *keywords* are that this Lemma is about *Euler-Poincaré characteristics* of the short exact sequence of *complexes*

$$\begin{array}{ccccccc}
 & & \vdots & & \vdots & & \vdots \\
 & & \downarrow f & & \downarrow f & & \downarrow f \\
 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & B/A \longrightarrow 0 \\
 & & \downarrow g & & \downarrow g & & \downarrow g \\
 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & B/A \longrightarrow 0 \\
 & & \downarrow f & & \downarrow f & & \downarrow f \\
 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & B/A \longrightarrow 0 \\
 & & \downarrow g & & \downarrow g & & \downarrow g \\
 & & \vdots & & \vdots & & \vdots
 \end{array}$$

What does this mean?

First, quick definitions stripped of origins, motivation, or purpose: A *complex* of abelian groups  $A_i$  is a family of homomorphisms

$$\cdots \longrightarrow A_i \xrightarrow{f_i} A_{i-1} \xrightarrow{f_{i-1}} \cdots$$

with the *composition of any two consecutive maps* 0, that is, with  $f_{i-1} \circ f_i = 0$ , for all  $i$ . The **(co)homology**, with superscript or subscript depending on context and numbering conventions, is

$$H_i(\text{the complex}) = H^i(\text{the complex}) = \frac{\ker f_i}{\text{im } f_{i\pm 1}}$$

The utility of this requires explanation. Indeed, the history of the interaction of linear algebra and algebraic topology (as *counting holes*) is tangled.