

Continuing the pre/review ...

Riemann's explicit formula, Gauss *Quadratic Reciprocity*, Lagrange resolvents for cyclotomic fields, factorization of Dedekind zeta functions, ...

Continuing: solving equations mod p^n ... and p -adic numbers. *Hensel's Lemma*, a version of *Newton-Raphson* in a different context. Both *completions* and *projective limits*.

Forgotten example: Cauchy's criterion is *sufficient*, p -adically.

Ultrametric inequality: All p -adic triangles are isosceles!!!
Stronger than *triangle inequality*:

$$|x \pm y|_p \leq \max(|x|_p, |y|_p) \quad (\text{with } \textit{equality} \text{ unless } |x|_p = |y|_p)$$

Ring structure of \mathbb{Z}_p

All integers n *prime to* p become p -adic *units*

No zero divisors in \mathbb{Z}_p : use the p -adic norm...

Even on the *completion* \mathbb{Q}_p^\times the p -adic norm *still* takes only the discrete values p^ℓ with $\ell \in \mathbb{Z} \dots$ in contrast to the usual $|\ast|$'s values on \mathbb{R} versus on \mathbb{Q} .

Each of these sets is *both open and closed*.

$$\mathbb{Z}_p = \{\alpha \in \mathbb{Q}_p : |\alpha|_p \leq 1\} = \{\alpha \in \mathbb{Q}_p : |\alpha|_p < p\}$$

$$p\mathbb{Z}_p = \{\alpha \in \mathbb{Q}_p : |\alpha|_p < 1\} = \{\alpha \in \mathbb{Q}_p : |\alpha|_p \leq \frac{1}{p}\}$$

$$\mathbb{Z}_p^\times = \{\alpha \in \mathbb{Q}_p : |\alpha|_p = 1\} = \{\alpha \in \mathbb{Q}_p : \frac{1}{p} < |\alpha|_p < p\}$$

Proof: Discreteness of $|\cdot|_p \dots$

\mathbb{Z}_p and \mathbb{Q}_p are **totally disconnected**. That is, given $\alpha \neq \beta$ in \mathbb{Q}_p , there are disjoint open-and-closed sets $U \ni \alpha$ and $V \ni \beta$ such that $U \cup V = \mathbb{Q}_p \dots$

Cauchy's criterion is necessary-and-sufficient: A p -adic infinite sum $a_0 + a_1 + a_2 + \dots$ is convergent if and only if $|a_n| \rightarrow 0$.

Proof: Ultrametric property: given $\varepsilon > 0$, let m_o be large enough so that $|a_m|_p < \varepsilon$ for $m \geq m_o$. Then, by the ultrametric property, for $m_o \leq m < n$, the tail between these two indices has size

$$|a_{m+1} + \dots + a_n|_p \leq \max_{m < j \leq n} |a_j|_p < \varepsilon$$

Done.

Don't forget that in \mathbb{R} , Cauchy's criterion is *necessary*, but *not* sufficient: the harmonic series $1 + \frac{1}{2} + \frac{1}{3} + \dots$ diverges.

Observe: The only non-zero proper *ideals* in \mathbb{Z}_p are $p^\ell \cdot \mathbb{Z}_p$ with $\ell > 0$.

Proof: Given a proper, non-zero ideal I in \mathbb{Z}_p , let $\sigma = \sup_{x \in I} |x|_p$. By the discreteness of $|\cdot|_p$, for $|x_j|_p \rightarrow \sigma \neq 0$, eventually $|x_i|_p = \sigma$.

Thus, we can choose a largest element x in I . For all $y \in I$, $|y/x|_p = |y|_p/|x|_p \leq 1$. That is, $y/x \in \mathbb{Z}_p$, and $I = x \cdot \mathbb{Z}_p$. ///

Another viewpoint: Even though the p -adic norm and metric succeed in making the sequences produced by Hensel's lemma *convergent*, there was no mandate to make metric spaces.

One ambiguity is that many different metrics can give the same topology.

Candidly, Hensel's recursion produces a sequence x_n fitting into a picture

$$\begin{array}{ccccccc} \dots & \longrightarrow & x_{n+1} & \longrightarrow & \dots & \longrightarrow & x_2 & \longrightarrow & x_1 \\ \\ \dots & \longrightarrow & \mathbb{Z}/p^{n+1} & \xrightarrow{\text{mod } p^n} & \dots & \xrightarrow{\text{mod } p^2} & \mathbb{Z}/p^2 & \xrightarrow{\text{mod } p} & \mathbb{Z}/p \end{array}$$

Warm-up: characterizations versus constructions:

The *ordered pair* formation (a, b) is *characterized* by the property that $(a, b) = (a', b')$ if and only if $a = a'$ and $b = b'$.

Straightforward intent!

In contrast, the set-theory *construction* is $(a, b) = \{\{a\}, \{a, b\}\}$. In the early 20th century, this was interesting. The construction is irrelevant to the *use* of ordered pairs.

Or, what is an indeterminate? We tell calculus students that x is a *variable real number*. Or *is arbitrary*. Not bad intuition, but what does that *mean*? This viewpoint is stressed beyond hope in the Cayley-Hamilton theorem: a linear map T on a finite-dimensional real vectorspace V has characteristic polynomial $\chi_T(x) = \det(x \cdot 1_V - T)$. The CH theorem says $\chi_T(T) = 0$.

We are substituting a *matrix* for x .

The CH theorem helps illustrate that x has the property that we can *substitute anything* for it... within reason.

One way to say this: working over \mathbb{C} , for example, the polynomial ring $\mathbb{C}[x]$ should have the property that, for every ring R containing a copy of \mathbb{C} , and for every $r_o \in R$, there is a unique ring hom $\mathbb{C}[x] \rightarrow R$ mapping $x \rightarrow r_o$ (and mapping \mathbb{C} to the copy inside R).

That is, $\mathbb{C}[x]$ is the *free \mathbb{C} -algebra on one generator*.

Set-maps $\{x\} \rightarrow R$ become *\mathbb{C} -algebra* maps $\mathbb{C}[x] \rightarrow R$.

(The functor $\{x\} \rightarrow \mathbb{C}[x]$ is *adjoint to* the forgetful functor taking R to its underlying set.)

Quotient groups:

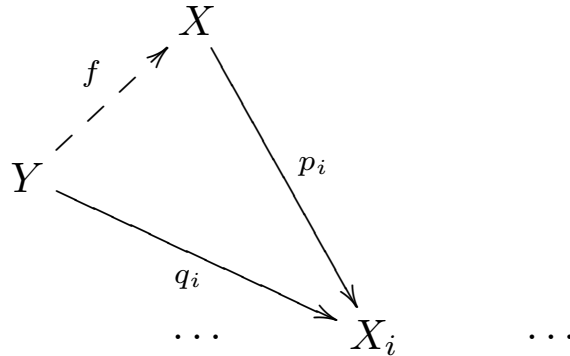
The *quotient* G/N of a group G by a normal subgroup N is usually *defined* to be the set of cosets gN . This is easy to say, but conceals the *purpose*. With hindsight, the real purpose is to make a group Q with a group hom $q : G \rightarrow Q$ such that every group hom $f : G \rightarrow H$ with $\ker f \supset N$ *factors through* $q : G \rightarrow Q$, in the sense of giving a commutative diagram

$$\begin{array}{ccc} & Q & \\ & \uparrow q & \searrow \\ G & \xrightarrow{f} & H \end{array}$$

Existence of Q is proven by the usual *construction* by cosets.

A form of simplest *isomorphism theorem* is really the *characterization* of the quotient.

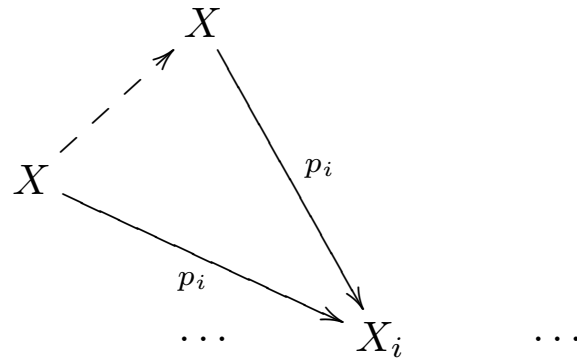
Simple example: products: A *product* $X = \prod_i X_i$ of objects X_i has maps $p_i : X \rightarrow X_i$ such that, for every object Y with maps $q_i : Y \rightarrow X_i$, there is a *unique* $f : Y \rightarrow X$ such that $q_i = p_i \circ f$. A picture:



This characterization explains why the *product topology* of an infinite collection of topological spaces is coarser than we might expect: the following general fact (proven just below) shows that there is *no choice* of how to make a sensible product object!

This diagrammatic characterization determines the product $\prod_i X_i$ *uniquely up to unique isomorphism*.

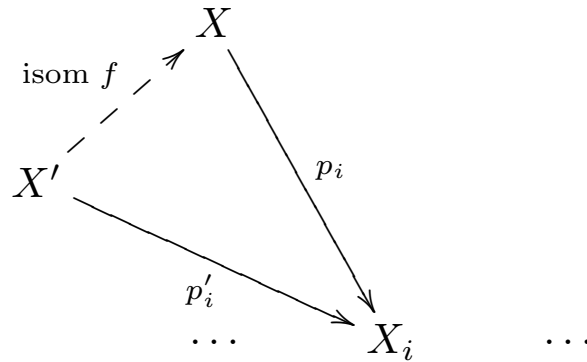
Proof: First, show that the only map $X \rightarrow X$ compatible with the diagram



is the *identity* map. Indeed, the identity map fits, and the assertion that there is *only one* map fitting into the diagram finishes it.

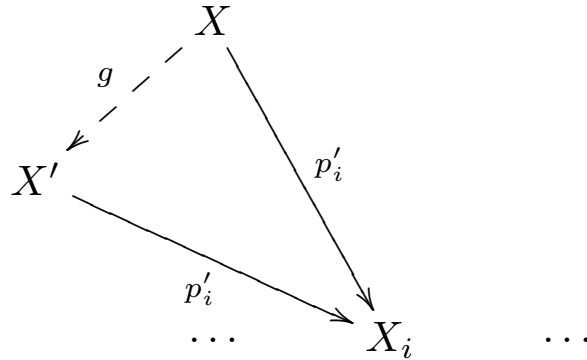
Next, ...

... show that, given two products X, X' with projections p_i, p'_i to X_i , there is a unique isomorphism $X' \rightarrow X$ fitting into the diagram



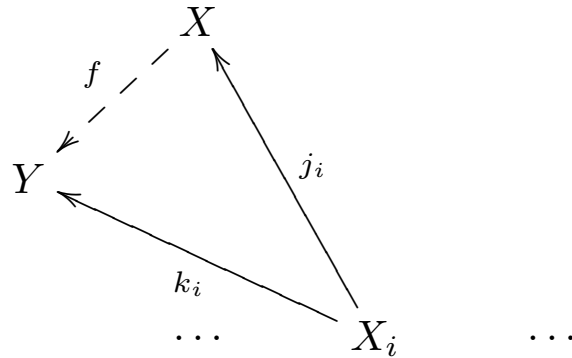
First, since X is a product, in any case there is a *unique* map f fitting into the diagram. We must prove it is an isomorphism.

On the other hand, reversing the roles of X, X' , using the fact that X' is a product, there is *some* map g fitting into the diagram



Then $g \circ f : X' \rightarrow X'$ and $f \circ g : X \rightarrow X$ respect the projections, so must be the respective identity maps, and are isomorphisms. ///

Coproducts are characterized by reversing the arrows: A *coproduct* $X = \coprod_i X_i$ of objects X_i has maps $j_i : X_i \rightarrow X$ such that, for every object Y with maps $k_i : X_i \rightarrow Y$, there is a *unique* $f : X \rightarrow Y$ such that $k_i = f \circ j_i$. A picture:



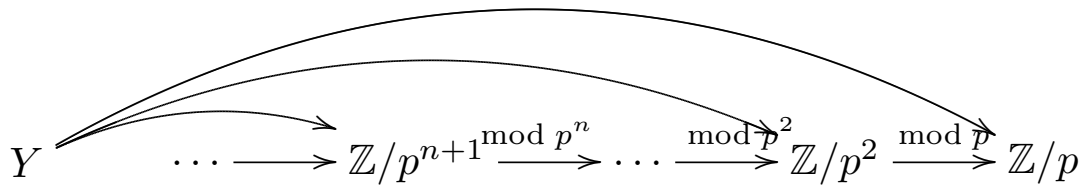
The same argument shows this diagrammatic characterization determines the coproduct *uniquely up to unique isomorphism*.

Note: In *concrete* categories, where objects more-or-less are constructible as *sets* with additional structure, *products* are typically constructible as *set-products* with the corresponding additional structure.

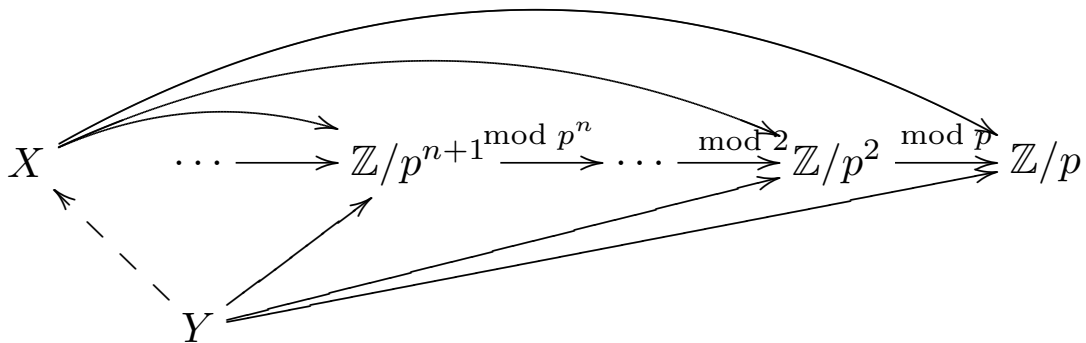
Product groups' underlying sets are product sets, as are topological spaces, vector spaces, etc .

In contrast, *set-coproducts* are *disjoint unions*, which is *not* the underlying set for coproducts of groups or vector spaces.

Back to projective limits: *map* means *continuous ring hom.*
 Require that, for every topological ring Y with compatible maps



there is a *unique* map $Y \rightarrow X$ giving a commutative diagram



A topological ring $X = \lim \mathbb{Z}/p^n$ meeting these conditions is the *(projective) limit* of the \mathbb{Z}/p^n 's, and is provably the same \mathbb{Z}_p !!!

Note: each finite ring \mathbb{Z}/p^n has a unique Hausdorff topology!!!

Prove *existence* of projective limits by a *construction*. Here, as is typical, $\lim_n X_n$ is a *subset* of the (topological) product $\prod_n X_n$. Specifically, with

$$\cdots \longrightarrow X_{n+1} \xrightarrow{\varphi_{n+1}} \cdots \xrightarrow{\varphi_3} X_2 \xrightarrow{\varphi_2} X_1$$

a projective limit $X = \lim_n X_n$ can be constructed as

$$X = \{ \{x_n\} : x_n \in X_n \text{ such that } \varphi_n(x_n) = x_{n-1} \text{ for all } n \}$$

That is, X consists exactly of *compatible sequences*

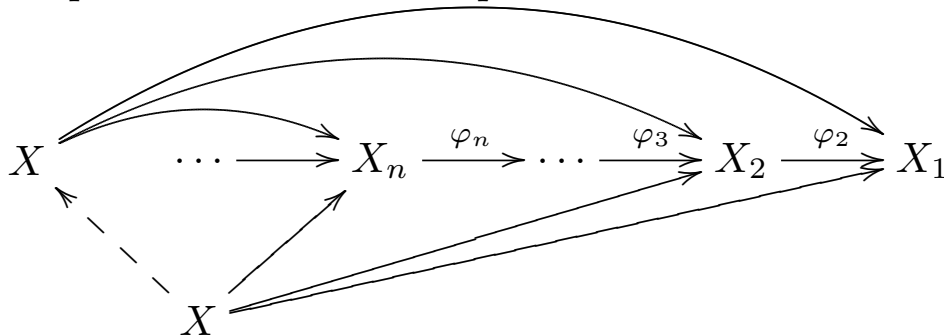
$$\cdots \longrightarrow x_{n+1} \xrightarrow{\varphi_{n+1}} \cdots \xrightarrow{\varphi_3} x_2 \xrightarrow{\varphi_2} x_1$$

as produced by Hensel. For continuous φ_n and *compact* X_n 's, *Tychonoff's theorem* says the product is *compact*. The limit is a *closed* subset of a compact Hausdorff space, so is *compact*. This proves compactness of \mathbb{Z}_p !!!

Uniqueness (up to unique isomorphism) of projective limits

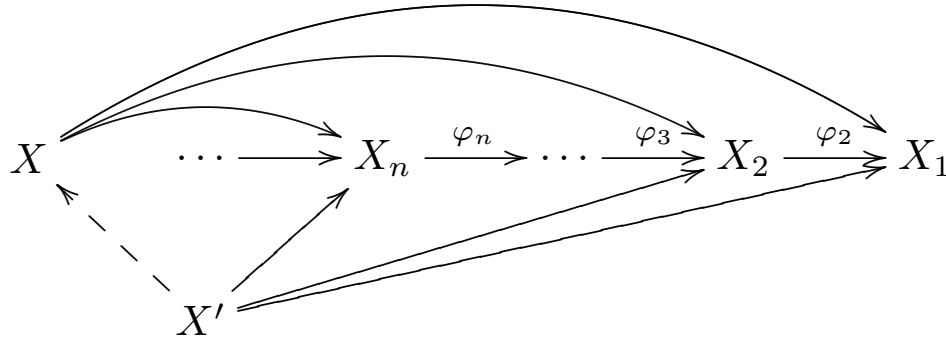
The diagrammatic characterization can be used to assure that there's *no ambiguity* in what \mathbb{Z}_p is, as long as it functions as a projective limit:

First, claim the only map of $X = \lim_n X_n$ to *itself*, compatible with the maps of it to the X_n , is the *identity*. Certainly the identity map is ok. Then the *uniqueness* of the dotted arrow

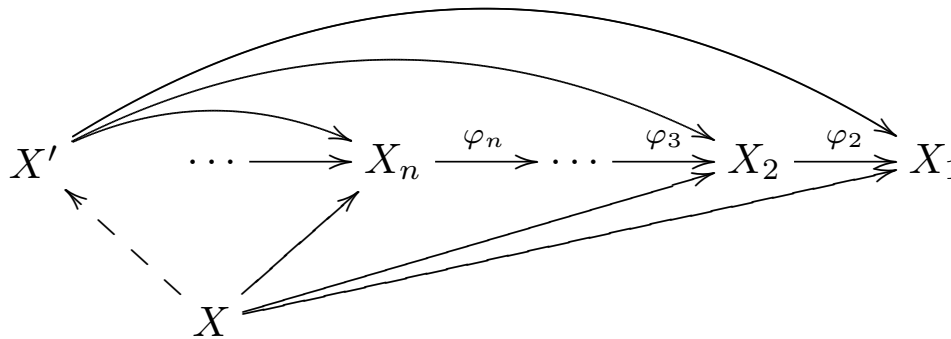


proves that the identity is the *only* compatible map. Next, ...

Suppose X and X' were *two* projective limits. On one hand, there is a unique $f : X' \rightarrow X$ giving commutative diagram



On the other hand, reversing the roles of X and X' , there is a unique compatible map $g : X \rightarrow X'$ fitting into



The composites $f \circ g : X \rightarrow X$ and $g \circ f : X' \rightarrow X'$ are also compatible, so must be the identities on X and X' , by the first part. Thus, f, g are mutual inverses. ///