

### Budyko's Energy Balance Model

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Mathematics of Climate Seminar  
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### Budyko's Model

Conservation of Energy

temperature change  $\sim$  energy in – energy out

short wave energy from the Sun      long wave energy from the Earth

*Everything else is detail.*

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### Budyko's Model

#### Dynamical Models

	Model	Equilibrium
Perfectly Thermally Conducting Black Body	$R \frac{dT}{dt} = Q - \sigma T^4$	$T = (Q/\sigma)^{1/4}$
Plus Albedo	$R \frac{dT}{dt} = Q(1-\alpha) - \sigma T^4$	$T = ((1-\alpha)Q/\sigma)^{1/4}$
Switch to Surface Temperature	$R \frac{dT}{dt} = Q(1-\alpha) - (A + BT)$	$T = ((1-\alpha)Q - A)/B$
Dependence on Latitude	$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1-\alpha) - (A + BT(y,t))$	$T(y) = ((1-\alpha)Qs(y) - A)/B$

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### Budyko's Model

#### Dynamical Models

Add Heat Transport       $R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha) - (A + BT) + C(\bar{T} - T)$

global mean temperature       $\bar{T}(t) = \int_0^1 T(y,t) dy$

Second Law of Thermodynamics:  
Energy travels from hot places to cold places.

*Equilibrium temperature profile?*

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### Budyko's Model

#### Budyko's Equation

$$R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y)) - (A + BT) + C(\bar{T} - T)$$

surface temperature       $\bar{T} = \int_0^1 T(y) dy$   
heat capacity       $s(y) dy$   
insolation       $Qs(y) dy$   
albedo       $\alpha(y) dy$   
OLR       $(A + BT) dy$   
heat transport       $C(\bar{T} - T) dy$

Symmetry assumption:  $0 \leq y = \sin(\text{latitude}) \leq 1$

Chylek and Coakley's quadratic approximation:

$$s(y) \approx 1 - 0.241(3y^2 - 1)$$

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### Budyko's Model

#### Budyko's Equilibrium

$$R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y)) - (A + BT) + C(\bar{T} - T)$$

albedo depends on latitude

equilibrium solution:  $T = T^*(y)$

$$Qs(y)(1-\alpha(y)) - (A + BT^*(y)) + C(\bar{T} - T^*(y)) = 0$$

Integrate:

$$\int_0^1 (Qs(y)(1-\alpha(y)) - (A + BT^*(y)) + C(\bar{T} - T^*(y))) dy = 0$$

$$Q \int_0^1 s(y) dy - Q \int_0^1 s(y)\alpha(y) dy - A \int_0^1 dy - B \int_0^1 T^*(y) dy + C \left( \int_0^1 \bar{T} dy - \int_0^1 T^*(y) dy \right) = 0$$

$$Q(1 - \bar{\alpha}) - (A + B\bar{T}^*) = 0$$

equilibrium global mean temperature       $\bar{T}^* = \frac{1}{B} (Q(1 - \bar{\alpha}) - A)$

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### Budyko's Model

#### Budyko's Equilibrium

Global mean temperature at equilibrium:

$$Qs(y)(1 - \alpha(y)) - (A + BT^*(y)) + C(\bar{T}^* - T^*(y)) = 0$$

Solve for  $T^*(y)$ :

$$\bar{T}^* = \frac{1}{B}(Q(1 - \bar{\alpha}) - A) \quad (\bar{\alpha} = \int_0^1 \alpha(y)s(y)dy)$$

$$Qs(y)(1 - \alpha(y)) - A + C\bar{T}^* = BT^*(y) + CT^*(y) = (B + C)T^*(y)$$

$$T^*(y) = \frac{1}{B + C}(Qs(y)(1 - \alpha(y)) - A + C\bar{T}^*)$$

Equilibrium temperature profile:

$$T^*(y) = \frac{1}{B + C}(Qs(y)(1 - \alpha(y)) - A + C\bar{T}^*)$$

where  $\bar{T}^* = \frac{1}{B}(Q(1 - \bar{\alpha}) - A)$  and  $\bar{\alpha} = \int_0^1 \alpha(y)s(y)dy$

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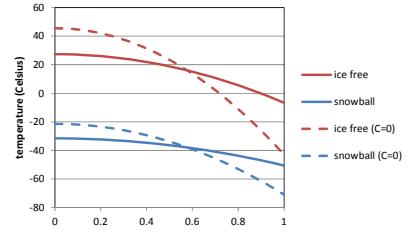
### Budyko's Model

#### Budyko's Equilibrium

Equilibrium temperature profile:

$$T^*(y) = \frac{1}{B + C}(Qs(y)(1 - \alpha(y)) - A + C\bar{T}^*)$$

$C = 3.04$   
 $\alpha(y) = 0.32: \text{ice free}$   
 $\alpha(y) = 0.62: \text{snowball}$   
 (constant albedo)



temperature (Celsius)

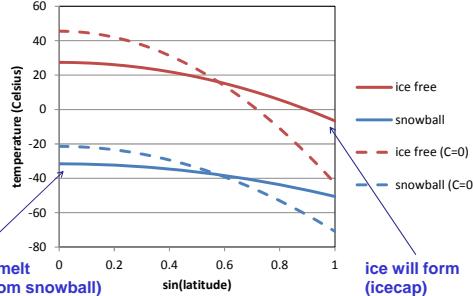
sin(latitude)

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### Budyko's Model

#### Budyko's Equilibrium



temperature (Celsius)

sin(latitude)

ice won't melt (no exit from snowball)

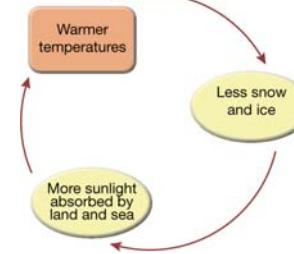
ice will form (icecap)

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### Budyko's Model

#### Ice Albedo Feedback



temperature warms  
ice melts  
albedo decreases  
more sunlight absorbed  
temperature warms  
REPEAT

Why would it stop?

<http://www.i-fink.com/melting-polar-ice/>

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### Budyko's Model

#### Ice Albedo Feedback

M. I. Budyko, "The effect of solar radiation variations on the climate of the Earth," *Tellus XXI*, 611-619, 1969.

temperature warms  
ice melts  
albedo decreases  
more sunlight absorbed  
temperature warms  
REPEAT

Why would it stop?

[http://www.inenco.org/index\\_principals.html](http://www.inenco.org/index_principals.html)

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### Budyko's Model

#### Ice Albedo Feedback

Why would it stop?

#### Budyko's Equation

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y)) - (A + BT) + C(\bar{T} - T)$$

surface temperature  
heat capacity  
insolation  
albedo  
OLR  
heat transport  
 $\bar{T} = \int_0^1 T(y)dy$   
 $\sin(\text{latitude})$

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## Budyko's Model

### Ice Albedo Feedback

What if the albedo is not constant?

**Ice Line Assumption:** There is a single ice line at  $y=\eta$  between the equator and the pole. The albedo is  $\alpha_1$  below the ice line and  $\alpha_2$  above it.

$$\alpha(y, \eta) = \begin{cases} \alpha_1 & y < \eta \\ \alpha_2 & y > \eta \end{cases}$$

Equilibrium condition:

$$Qs(y)(1 - \alpha(y, \eta)) - (A + BT_\eta^*(y)) + C(\bar{T}_\eta^* - T_\eta^*(y)) = 0$$

Equilibrium solution:

$$T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + C\bar{T}_\eta^*)$$

where  $\bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$


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## Budyko's Model

### Ice Albedo Feedback

equilibrium temperature profile:

$$T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + CT_\eta^*), \text{ where } \bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$$

albedo:

$$\alpha(y, \eta) = \begin{cases} \alpha_1 = 0.32, & y < \eta \\ \alpha_2 = 0.62, & y > \eta \end{cases}$$

global albedo:

$$\bar{\alpha}(\eta) = \int_0^\eta \alpha(y, \eta) s(y) dy = \int_0^\eta \alpha_1 s(y) dy + \int_\eta^1 \alpha_2 s(y) dy$$

let:

$$S(\eta) = \int_0^\eta s(y) dy, \quad 1 - S(\eta) = \int_\eta^1 s(y) dy, \quad \text{since } 1 = \int_0^1 s(y) dy$$

then:

$$\bar{\alpha}(\eta) = \alpha_1 S(\eta) + \alpha_2 (1 - S(\eta)) = \alpha_2 - (\alpha_2 - \alpha_1) S(\eta) = 0.62 - 0.3 S(\eta)$$

$$S(\eta) = \int_0^\eta s(y) dy = \int_0^\eta \underbrace{(1 - 0.241(3y^2 - 1))}_{\text{Chylek \& Coakley}} dy = \eta - 0.241(\eta^3 - \eta)$$


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## Budyko's Model

### Ice Albedo Feedback

equilibrium temperature profile:

$$T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + CT_\eta^*), \text{ where } \bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$$

albedo:

$$\alpha(y, \eta) = \begin{cases} \alpha_1 = 0.32, & y < \eta \\ \alpha_2 = 0.62, & y > \eta \end{cases}$$

global albedo:

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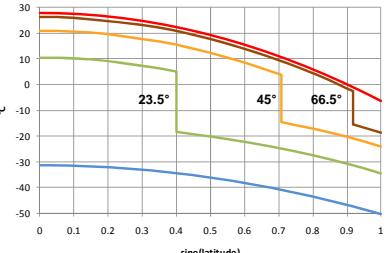

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## Budyko's Model

### Ice Albedo Feedback

For each fixed  $\eta$ , there is an equilibrium solution for Budyko's equation




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## Budyko's Model

### Dynamics

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y)) - (A + BT) + C(\bar{T} - T)$$

Let  $X$  be the space of functions where  $T$  lives. (e.g.  $L^1([0,1])$ )

Let

$$L: X \rightarrow X : LT = C\bar{T} - (B+C)T,$$

$$f(y) = Qs(y)(1 - \alpha(y)) - A$$

Budyko's equation can be written as a linear vector field on  $X$ :

$$R \frac{dT}{dt} = f + LT$$

The operator  $L$  has only point spectrum, with all eigenvalues negative.

Therefore, all solutions are stable.

True for any albedo function.

experts only


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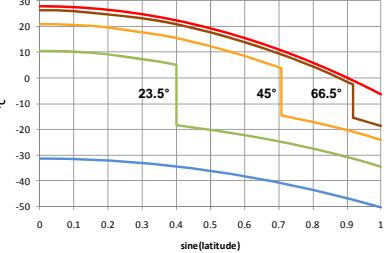


## Budyko's Model

### Ice Albedo Feedback

For each fixed  $\eta$ , there is a **globally stable** equilibrium solution for Budyko's equation.

**How to pick one?**




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### Budyko's Model

#### Ice Albedo Feedback

**Summary**

If we artificially hold the ice line at a fixed latitude, then the surface temperature will come to an equilibrium.

However, if the temperature is high, we would expect ice to melt and the ice line to retreat to higher latitudes. If the temperature is low, we would expect ice to form and the ice line to advance to lower latitudes.

**How to model this expectation?**



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### Budyko's Model

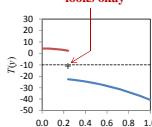
#### Ice Albedo Feedback

For each fixed  $\eta$ , there is a stable equilibrium solution for Budyko's equation.

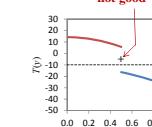
**Standard assumption:** Permanent ice forms if the annual average temperature is below  $T_c = -10^\circ\text{C}$  and melts if the annual average temperature is above  $T_c$ .

**Additional condition:** The average temperature across the ice boundary is the critical temperature  $T_c$ .

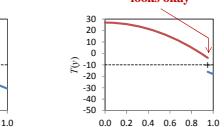
looks okay



not good



looks okay





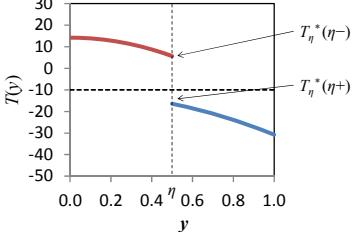
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### Budyko's Model

#### Ice Albedo Feedback

ice line condition:  $\frac{1}{2}(T_\eta^*(\eta+) + T_\eta^*(\eta-)) = T_c = -10$





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### Budyko's Model

#### Ice Albedo Feedback

$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$

Equilibrium:  $T_\eta^*(y) = \frac{1}{B+C}(Qs(y)(1 - \alpha(y, \eta)) - A + CT_\eta^*)$

ice line condition:  $\frac{1}{2}(T_\eta^*(\eta+) + T_\eta^*(\eta-)) = T_c = -10$

Albedo:  $\alpha(y, \eta) = \begin{cases} \alpha_1 = 0.32, & y < \eta \\ \alpha_2 = 0.62, & y > \eta \end{cases} \quad \alpha(\eta-, \eta) = \alpha_1, \quad \alpha(\eta+, \eta) = \alpha_2$

$T_\eta^*(\eta+) = \frac{1}{B+C}(Qs(\eta)(1 - \alpha_2) - A + CT_\eta^*) \quad T_\eta^*(\eta-) = \frac{1}{B+C}(Qs(\eta)(1 - \alpha_1) - A + CT_\eta^*)$

ice line condition:  $\frac{1}{2}(T_\eta^*(\eta+) + T_\eta^*(\eta-)) = \frac{1}{B+C}(Qs(\eta)(1 - \alpha_0) - A + CT_\eta^*) = T_c = -10$

where:  $\alpha_0 = \frac{\alpha_1 + \alpha_2}{2} = 0.47$



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### Budyko's Model

#### Ice Albedo Feedback

$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$

ice line condition:  $\frac{1}{B+C}(Qs(\eta)(1 - \alpha_0) - A + CT_\eta^*) = T_c = -10$

Rewrite:  $h(\eta) = \frac{1}{B+C}(Qs(\eta)(1 - \alpha_0) - A + CT_\eta^*) - T_c = 0$

Recall equilibrium GMT:  $\bar{T}_\eta^* = \frac{1}{B}(Q(1 - \bar{\alpha}(\eta)) - A)$

Recall average albedo:  $\bar{\alpha}(\eta) = \int_0^1 \alpha(y, \eta) s(y) dy = \alpha_2 - (\alpha_2 - \alpha_1) S(\eta) = 0.62 - 0.3S(\eta)$

where:  $S(\eta) = \int_0^\eta s(y) dy = \eta - 0.241(\eta^3 - \eta)$

$$h(\eta) = \frac{Q}{B+C} \left( s(\eta)(1 - \alpha_0) + \frac{C}{B} (1 - \alpha_2 + (\alpha_2 - \alpha_1) S(\eta)) \right) - \frac{A}{B} - T_c = 0$$



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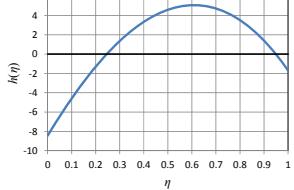
### Budyko's Model

#### Ice Albedo Feedback

$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha(y, \eta)) - (A + BT) + C(\bar{T} - T)$

The additional condition:  $\frac{1}{2}(T_\eta^*(\eta+) + T_\eta^*(\eta-)) = T_c = -10$

can be written:  $h(\eta) = \frac{Q}{B+C} \left( s(\eta)(1 - \alpha_0) + \frac{C}{B} (1 - \alpha_2 + (\alpha_2 - \alpha_1) S(\eta)) \right) - \frac{A}{B} - T_c = 0$



Two equilibria (zeros of  $h$ ) satisfy the additional condition.



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### Budyko's Model

#### Ice Albedo Feedback

Equilibrium temperature profiles

$$T_\eta^*(y) = \frac{1}{B+C} (Qs(y)(1-\alpha(y,\eta)) - A + C\bar{T}_\eta^*)$$

Interesting Solutions:  
small cap  
large cap  
ice free  
snowball

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### Budyko's Model

#### Dynamics of the Ice Line

$$R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y,\eta)) - (A+BT) + C(\bar{T}-T)$$

Idea:

If the average temperature across the ice line is above the critical temperature, some ice will melt, moving the ice line toward the pole. If it is below the critical temperature, the ice will advance toward the equator.

stationary      ice melts      stationary

Widiasih's equation:

$$\frac{d\eta}{dt} = \varepsilon(T(\eta) - T_c)$$

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### Budyko's Model

#### Dynamics of the Ice Line

State space:  $[0,1] \times X$

$$\frac{d\eta}{dt} = \varepsilon(T(\eta) - T_c)$$

$$R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y,\eta)) - (A+BT) + C(\bar{T}-T)$$

**Widiasih's Theorem.** For sufficiently small  $\varepsilon$ , the system has an attracting invariant curve given by the graph of a function  $\Phi_\varepsilon: [0,1] \rightarrow X$ . On this curve, the dynamics are approximated by the equation

$$\frac{d\eta}{dt} = \varepsilon h(\eta)$$

experts only

Esther R. Widiasih, Dynamics of the Budyko Energy Balance Model, SIAM J. Appl. Dyn. Syst., 12(4), 2068–2092.

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### Budyko's Model

#### Budyko-Widiasih Model

Temperature profiles

$\frac{d\eta}{dt} = \varepsilon h(\eta)$

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### Budyko's Model

#### Summary

surface temperature  
heat capacity  
insolation  
albedo  
OLR  
heat transport  
 $\bar{T} = \int_0^1 T(y) dy$   
 $R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y)) - (A+BT) + C(\bar{T}-T)$

reduces to

$$\frac{d\eta}{dt} = \varepsilon h(\eta)$$

$$\frac{d\eta}{dt} = \varepsilon \left( \frac{Q}{B+C} \left( s(\eta)(1-\alpha_1) + \frac{C}{B} (1-\alpha_2 + (\alpha_2 - \alpha_1)S(\eta)) \right) - \frac{A}{B} - T_c \right)$$

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### Budyko's Model

#### Budyko-Widiasih Model

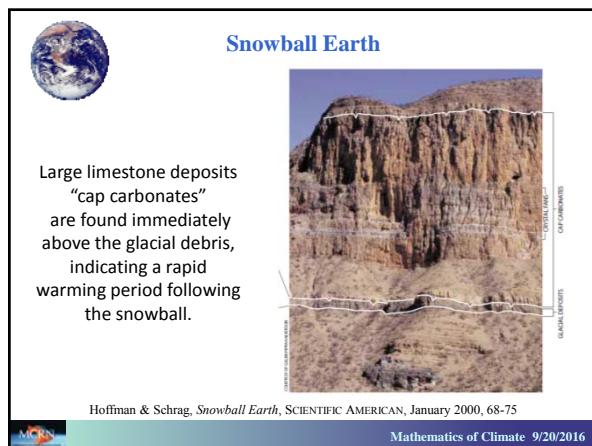
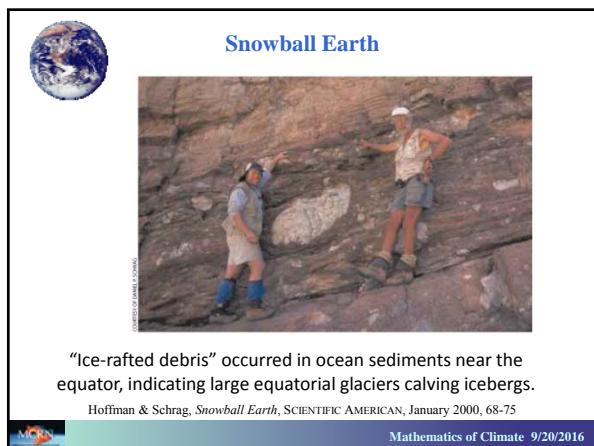
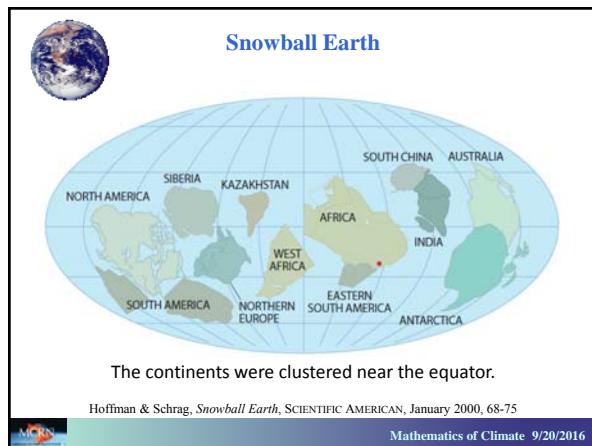
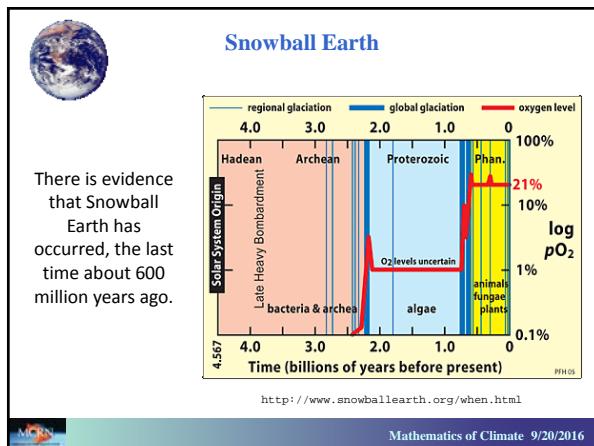
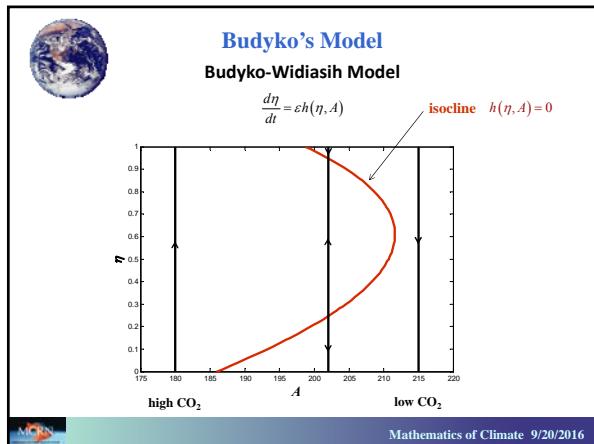
surface temperature  
heat capacity  
insolation  
albedo  
OLR  
heat transport  
 $\bar{T} = \int_0^1 T(y) dy$   
 $R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y)) - (A+BT) + C(\bar{T}-T)$

What about the greenhouse effect?

$A+BT$  is the outgoing long wave radiation. This term decreases if the greenhouse gases increase.  
We view  $A$  as a parameter.

$$\frac{d\eta}{dt} = \varepsilon h(\eta, A)$$

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### Snowball Earth



**Idea:**

When the Earth is mostly ice-covered, silicate weathering slows down, but volcanic activity stays the same, allowing for a build-up of CO<sub>2</sub> in the atmosphere.

When the Earth is mostly ice-free, silicate weathering speeds up, drawing down the CO<sub>2</sub> in the atmosphere.

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### Budyko's Model

#### Budyko-Widiasih Model

$$\frac{d\eta}{dt} = \varepsilon h(\eta, A)$$

What if  $A$  is a dynamical variable?

**Simple equation:**

$$\frac{dA}{dt} = \tilde{\varepsilon}(\eta - \eta_c) \quad 0 < \tilde{\varepsilon} \ll \varepsilon \ll 1$$

**MCRN Paleocarbon equation (silicate weathering)**

**New system:**

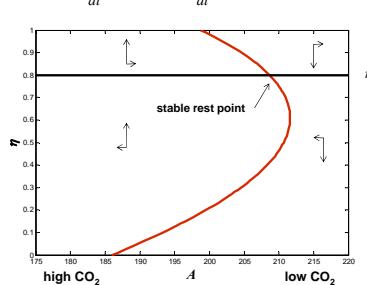
$$\begin{cases} \frac{dA}{dt} = \tilde{\varepsilon}(\eta - \eta_c) \\ \frac{d\eta}{dt} = \varepsilon h(\eta, A) \end{cases}$$

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### Budyko's Model

#### Budyko-Widiasih-Paleocarbon Model

$$\frac{d\eta}{dt} = \varepsilon h(\eta, A), \quad \frac{dA}{dt} = \tilde{\varepsilon}(\eta - \eta_c)$$


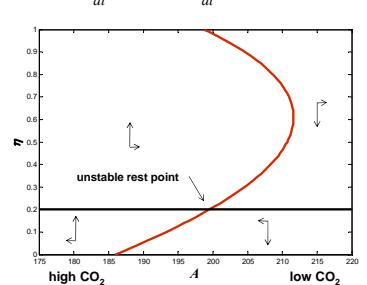
What if  $\eta_c$  were here?

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### Budyko's Model

#### Budyko-Widiasih-Paleocarbon Model

$$\frac{d\eta}{dt} = \varepsilon h(\eta, A), \quad \frac{dA}{dt} = \tilde{\varepsilon}(\eta - \eta_c)$$


unstable rest point

What if  $\eta_c$  were here?

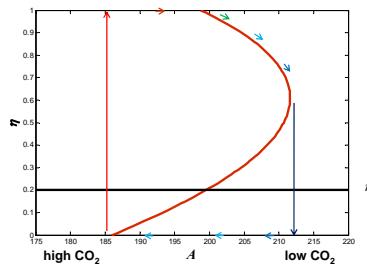
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### Budyko's Model

#### Budyko-Widiasih-Paleocarbon Model

##### Snowball – Hothouse Oscillations



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### Budyko's Model

#### Suggested Reading



Hoffman & Schrag, *Snowball Earth*, SCIENTIFIC AMERICAN, January 2000, 68-75

K.K. Tung, *Topics in Mathematical Modeling*, PRINCETON UNIVERSITY PRESS, 2007, Chapter 8

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