

# Beyond Aztec Dragons and Castles: Toric Cluster Variables for the dP3 Quiver

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MIT Combinatorics Seminar

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<http://math.umn.edu/~musiker/MIT16.pdf>

# Outline

- 1 Introduction to Cluster Algebras
- 2 Aztec Diamonds and Dragons
- 3 Gale-Robinson Sequences and Pinecones
- 4 Toric Mutations in an Infinite Mutation Type Cluster Algebra ( $dP_3$ )
- 5 Combinatorial Interpretation
- 6 Sketch of the Proof

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and the Institute for Mathematics and its Applications.

Special Thanks to Jim Propp and the 2001 REACH Program.

<http://math.umn.edu/~musiker/MIT16.pdf>

# What is a Cluster Algebra?

**Definition** (Sergey Fomin and Andrei Zelevinsky 2001) A **cluster algebra**  $\mathcal{A}$  is a **subalgebra** of  $k(x_1, \dots, x_n)$  constructed cluster by cluster by certain exchange relations.

Generators:

Specify an initial finite set of them, a **Cluster**,  $\{x_1, x_2, \dots, x_n\}$ .

Construct the rest via **Binomial Exchange Relations**:

$$x_\alpha x'_\alpha = \prod x_{\gamma_i}^{d_i^+} + \prod x_{\gamma_i}^{d_i^-}.$$

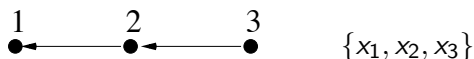
The set of all such generators are known as **Cluster Variables**, and the initial pattern of exchange relations determines the **Seed**.

Relations:

Induced by the **Binomial Exchange Relations**.

# Quiver Mutation

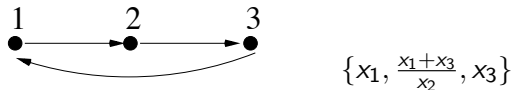
We focus on cluster algebras whose initial pattern of exchange relations is determined by a **quiver**, i.e. a directed graph



$$x_j x'_j = \prod_{i \rightarrow j \in Q} x_i + \prod_{j \rightarrow i \in Q} x_i, \quad \left( \text{i.e. } x_j x'_j = \prod x_i^{d_i^+} + \prod x_i^{d_i^-} \right)$$

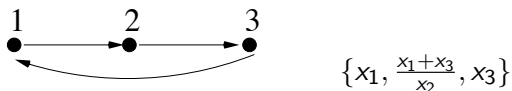
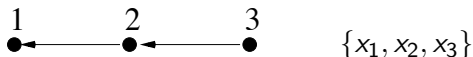
where  $d_i^+$  is the number of arrows from vertex  $i$  to  $j$  and  $d_j^-$  is the number of arrow from vertex  $j$  to  $i$ .

**Example:** Mutating at vertex 2 yields  $x'_2 x_2 = x_1 + x_3$



Observe: we also mutate the quiver  $Q$  and obtain a new exchange pattern.

# Quiver Mutation (at vertex $j$ )



1st) Add an edge  $i \rightarrow k$  for every 2-path  $i \rightarrow j \rightarrow k$  in  $Q$ , the original quiver.

2nd) Reverse all arrows, i.e. directed edges, incident to vertex  $j$ .

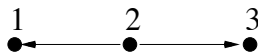
3rd) Lastly, we erase all 2-cycles (that have been created by steps 1 and 2), and denote the resulting quiver as  $\mu_j(Q)$ .

# Basic Example of a Cluster Algebra

Let  $\mathcal{A}$  be the **cluster algebra** defined by the initial cluster  $\{x_1, x_2, x_3\}$  and the initial exchange pattern

$$x_1 x'_1 = 1 + x_2, \quad x_2 x'_2 = x_1 x_3 + 1, \quad x_3 x'_3 = 1 + x_2.$$

corresponding to the quiver



$\mathcal{A}$  is of **finite type**, type  $A_3$ , generated by the **cluster variables**

$$\left\{ x_1, x_2, x_3, \frac{1+x_2}{x_1}, \frac{x_1 x_3 + 1}{x_2}, \frac{1+x_2}{x_3}, \frac{x_1 x_3 + 1 + x_2}{x_1 x_2}, \frac{x_1 x_3 + 1 + x_2}{x_2 x_3}, \frac{x_1 x_3 + 1 + x_2 + x_2 + x_2^2}{x_1 x_2 x_3} \right\}.$$

## Second Example of a Cluster Algebra

**Kronecker Quiver**, otherwise known as (Affine Type, of Type  $\tilde{A}_1$ ) or corresponding to an annulus with two marked points.

$$\bullet_1 \implies \bullet_2 \quad \text{yields} \quad x_n x_{n-2} = x_{n-1}^2 + 1.$$

$$x_3 = \frac{x_2^2 + 1}{x_1}.$$

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$$x_5 = \frac{x_4^2 + 1}{x_3} = \frac{x_2^6 + 3x_2^4 + 3x_2^2 + 1 + x_1^4 + 2x_1^2 + 2x_1^2 x_2^2}{x_1^3 x_2^2}, \dots$$

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If we let  $x_1 = x_2 = 1$ , we obtain  $\{x_3, x_4, x_5, x_6\} = \{2, 5, 13, 34\}$ .

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The next number in the sequence is  $x_7 = \frac{34^2+1}{13} = \frac{1157}{13} = 89$ , an **integer!**

This is an example of a cluster algebra of **finite mutation type**.

# Finite, Finite Mutation, and Infinite Mutation Types

A cluster algebra is of **finite type** if the number of **cluster variables** and the number of quivers reachable via mutations is **finite**.

A cluster algebra is of **finite mutation type** if the number of **quivers** reachable via mutations is finite (but the number of **cluster variables** could be infinite).

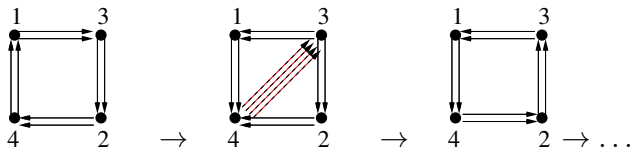
A cluster algebra is of **infinite mutation type** if both the number of **cluster variables** and the number of **quivers** reachable via mutations is **infinite**.

Most cluster algebras of finite mutation type come from a surface (e.g. Kronecker quiver comes from an annulus).

We now shift our focus to cluster algebras of **infinite mutation type**.

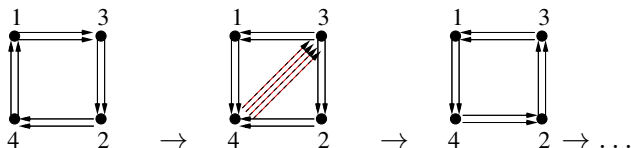
# Aztec Diamonds

Consider the quiver  $Q$  (on the left below). Instead of **all** cluster variables, we focus on those obtained by mutating  $1, 2, 3, 4, 1, 2, \dots$  periodically:



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Yields a sequence of cluster variables, with initial cluster variables  $x_1, x_2, x_3, x_4$ , with  $x_{n+4}$  denoting the  $n$ th new cluster variable obtained by this mutation sequence  $\{x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9, x_{10}, x_{11}, x_{12}, \dots\}$ .

Because of the periodicity, it follows that the  $x_n$ 's satisfy the recurrences


$$x_n x_{n-4} = \begin{cases} x_{n-1}^2 + x_{n-2}^2 & \text{when } n \text{ is odd, and} \\ x_{n-2}^2 + x_{n-3}^2 & \text{when } n \text{ is even.} \end{cases}$$

For example,  $x_5 = \frac{x_3^2 + x_4^2}{x_1}$ ,  $x_6 = \frac{x_3^2 + x_4^2}{x_2}$ ,  $x_7 = \frac{x_5^2 + x_6^2}{x_3}$ , and  $x_8 = \frac{x_5^2 + x_6^2}{x_4}$ .



# Aztec Diamonds



Let  $Q =$  , and mutate periodically at  $1, 2, 3, 4, 1, 2, 3, 4, \dots$

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By letting  $x_1 = x_2$  and  $x_3 = x_4$ , we get  $x_{2n+1} = x_{2n}$  for all  $n$ .

Letting  $\{T_n\}$  be the sequence  $\{x_{2n}\}_{n \in \mathbb{Z}}$ , we obtain a single recurrence.


$$T_n T_{n-2} = 2T_{n-1}^2.$$

$$\text{If } T_1 = T_2 = 1, \{T_n\} = \{1, 1, 2, 8, 64, 1024, 32768, \dots\} = \left\{ 2^{\frac{(n-1)(n-2)}{2}} \right\}.$$

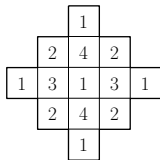
For  $n \geq 3$ ,  $T_n = \#$  (perfect matchings of the  $(n-2)$ nd Aztec Diamond).

# Aztec Diamonds



Let  $Q =$  , and mutate periodically at 1, 2, 3, 4, 1, 2, 3, 4, ...

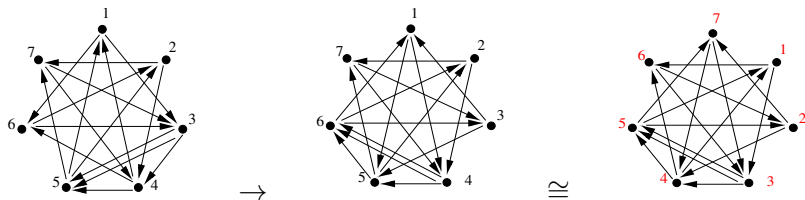
2	4	2	4	2	4	2
3	1	3	1	3	1	3
2	4	2	4	2	4	2
3	1	3	1	3	1	3
2	4	2	4	2	4	2
3	1	3	1	3	1	3
2	4	2	4	2	4	2



$$x_5 = \frac{x_3^2 + x_4^2}{x_1}, \quad x_6 = \frac{x_3^2 + x_4^2}{x_2}, \quad x_7 = \frac{(x_3^2 + x_4^2)^2 (x_1^2 + x_2^2)}{x_1^2 x_2^2 x_3}, \quad \text{and} \quad x_8 = \frac{(x_3^2 + x_4^2)^2 (x_1^2 + x_2^2)}{x_1^2 x_2^2 x_4}.$$

# The Gale-Robinson Sequence

**Example** ( $Q_N^{(r,s)}$ ): (e.g.  $r = 2, s = 3, N = 7$ )

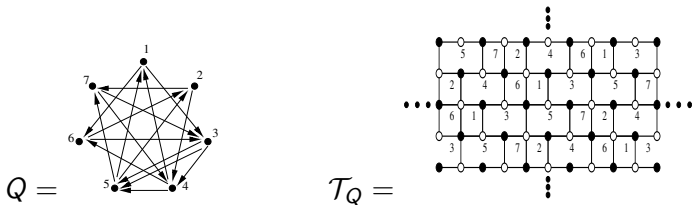


Mutating at  $1, 2, 3, \dots, N, 1, 2, \dots$  yields the same quiver, **up to cyclic permutation**, at each step, hence we obtain the infinite sequence of  $x_{N+1}, x_{N+2}, \dots$  satisfying

$$x_n = (x_{n-r}x_{n-N+r} + x_{n-s}x_{n-N+s}) / x_{n-N} \text{ for } n > N.$$

Known as the **Gale-Robinson Sequence** of Laurent polynomials.

# FPSAC Proceedings 2013 (Jeong-M-Zhang)



$x_8 \leftrightarrow$ 

1
---

,  $x_9 \leftrightarrow$ 

2
---

,  $x_{10} \leftrightarrow$ 

1	3
---	---

,  $x_{11} \leftrightarrow$ 

2	4
	1

,

$x_{12} \leftrightarrow$ 

	1	
1	3	5
	2	

,  $x_{13} \leftrightarrow$ 

	2		
2	4	6	1
1		3	

,  $x_{14} \leftrightarrow$ 

	1	3		
1	3	5	7	2
	2		4	
			1	

,

$x_{15} \leftrightarrow$ 

		2	4	
2	4	6	1	3
	1		3	5
				2

,  $x_{16} \leftrightarrow$ 

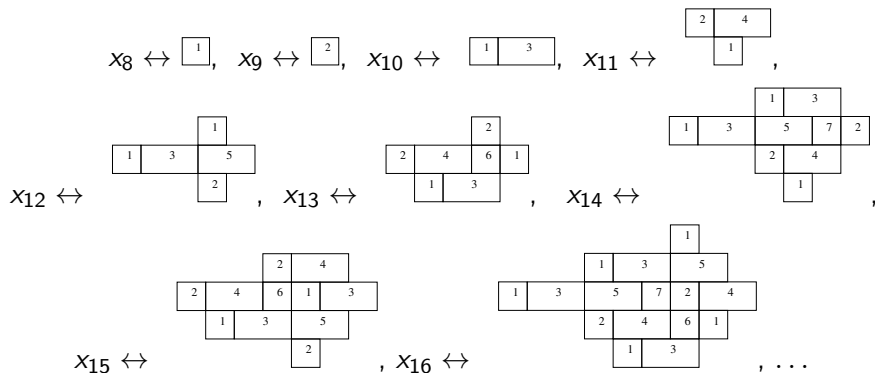
		1			
	1	3	5		
1	3	5	7	2	4
	2		4	6	1
		1		3	

, ...

# FPSAC Proceedings 2013 (Jeong-M-Zhang)

Obtain **pinecone graphs** from Bousquet-Mélou, Propp, and West in terms of **Brane Tilings** Terminology.

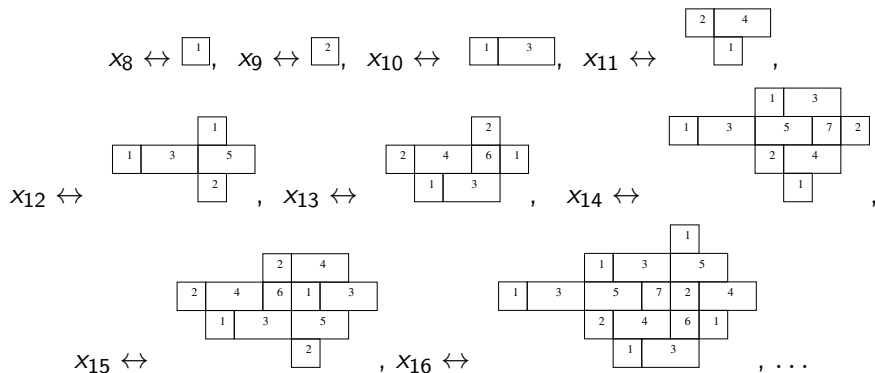
Furthermore, to get **cluster variable formulas with coefficients**, need only use **weights** (Goncharov-Kenyon, Speyer) and **heights** (Kenyon-Propp-...)



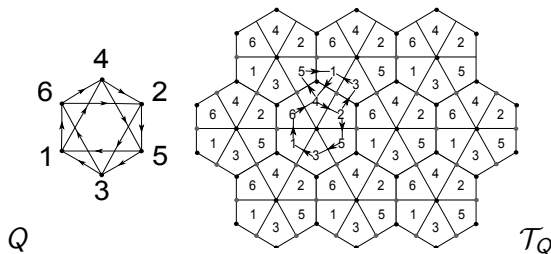
# FPSAC Proceedings 2013 (Jeong-M-Zhang)

Similar **connections** (without **principal coefficients**) also observed in “Brane tilings and non-commutative geometry” by Richard Eager.

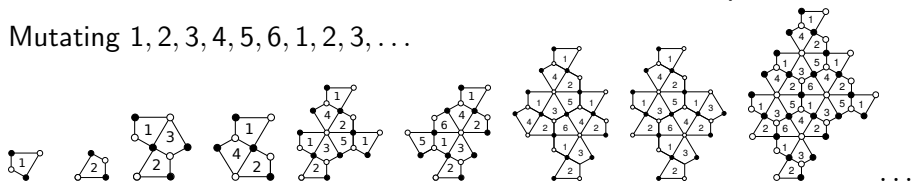
Eager uses **physics terminology** where he looks at  $Y^{p,q}$  and  $L^{a,b,c}$  quiver gauge theories, and their **periodic Seiberg duality** (i.e. quiver mutations).



# The Del Pezzo 3 Quiver and Aztec Dragons



Mutating 1, 2, 3, 4, 5, 6, 1, 2, 3, ...



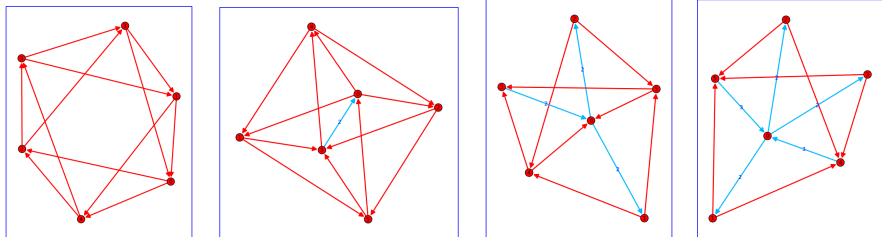
Introduced by Jim Propp, Ben Wieland, and Mihai Ciucu. Studied further by Cottrell-Young.

$$x_{2n+7}x_{2n+1} = x_{2n+3}x_{2n+5} + x_{2n+4}x_{2n+6} \text{ and}$$

$$x_{2n+8}x_{2n+2} = x_{2n+3}x_{2n+5} + x_{2n+4}x_{2n+6}.$$

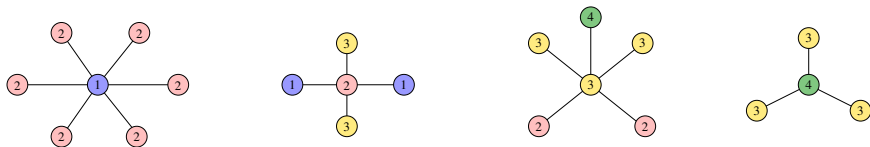
# Toric Mutations and Toric Phases of $dP_3$

**Toric mutations** take place at vertices with in-degree and out-degree 2.



Starting with any of these four models of the  $dP_3$  quiver, **any sequence of toric mutations** yields a quiver that is **graph isomorphic** to one of these.

Figure 20 of Eager-Franco (Incidences between these Models):







# Goal: Combinatorial Formula for Toric Cluster Variables

**Example from S. Zhang (2012 REU):** Periodic mutation


1, 2, 3, 4, 5, 6, 1, 2, ... yields **partition functions** for Aztec Dragons (as studied by Ciucu, Cottrell-Young, and Propp) under appropriate **weighted enumeration** of **perfect matchings**.




$$\frac{x_3 x_5 + x_4 x_6}{x_1}$$



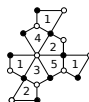
$$\frac{x_4 x_6 + x_3 x_5}{x_2}$$



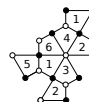
$$\frac{x_2 x_3 x_5^2 + x_1 x_3 x_5 x_6 + x_2 x_4 x_5 x_6 + x_1 x_4 x_6^2}{x_1 x_2 x_3}$$



$$\frac{x_2 x_3 x_5^2 + x_1 x_3 x_5 x_6 + x_2 x_4 x_5 x_6 + x_1 x_4 x_6^2}{x_1 x_2 x_4}$$



$$\frac{(x_2 x_5 + x_1 x_6)(x_1 x_3 + x_2 x_4)(x_3 x_5 + x_4 x_6)^2}{x_1^2 x_2^2 x_3 x_4 x_5}$$



$$\frac{(x_2 x_5 + x_1 x_6)(x_1 x_3 + x_2 x_4)(x_3 x_5 + x_4 x_6)^2}{x_1^2 x_2^2 x_3 x_4 x_6}$$

# Goal: Combinatorial Formula for Toric Cluster Variables

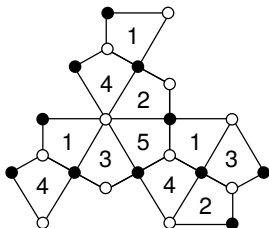
**Example from M. Leoni, S. Neel, and P. Turner (2013 REU):**

Mutations at antipodal vertices of  $dP_3$  quiver yield  $\tau$ -mutation sequences.

Resulting **Laurent polynomials** correspond to Aztec Castles under appropriate **weighted enumeration** of **perfect matchings**.

e.g. 1, 2, 3, 4, 1, 2, 5, 6 yields cluster variable

$$\begin{aligned} & (x_1 x_2^2 x_3^3 x_5^4 + x_2^3 x_3^2 x_4 x_5^4 + 2x_1^2 x_2 x_3^3 x_5^3 x_6 + 4x_1 x_2^2 x_3^2 x_4 x_5^3 x_6 + 2x_2^3 x_3 x_4^2 x_5^3 x_6 + x_1^3 x_3^3 x_5^2 x_6^2 \\ & + 5x_1^2 x_2 x_3^2 x_4 x_5^2 x_6^2 + 5x_1 x_2^2 x_3 x_4^2 x_5^2 x_6^2 + x_2^3 x_4^3 x_5^2 x_6^2 + 2x_1^3 x_3^2 x_4 x_5 x_6^3 + 4x_1^2 x_2 x_3 x_4^2 x_5 x_6^3 \\ & + 2x_1 x_2^2 x_4^3 x_5 x_6^3 + x_1^3 x_3 x_4^2 x_6^4 + x_1^2 x_2 x_4^3 x_6^4) / x_1^2 x_2^2 x_3^2 x_4^2 x_6 = \frac{(x_1 x_3 + x_2 x_4)(x_4 x_6 + x_3 x_5)^2 (x_1 x_6 + x_2 x_5)^2}{x_1^2 x_2^2 x_3^2 x_4^2 x_6} \end{aligned}$$



# Segway: $\mathbb{Z}^3$ Parameterization for Toric Cluster Variables

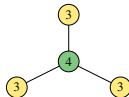
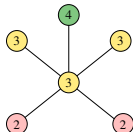
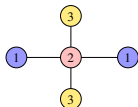
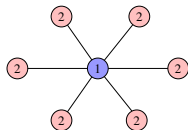
**Theorem 1 [Lai-M 2015]** Starting from the initial cluster  $\{x_1, x_2, \dots, x_6\}$ , the set of cluster variables reachable via toric mutations can be parameterized by  $\mathbb{Z}^3$ .

Under this correspondence, the initial cluster bijects to

$$[(0, -1, 1), (0, -1, 0), (-1, 0, 0), (-1, 0, 0), (-1, 0, 1), (0, 0, 1), (0, 0, 0)]$$

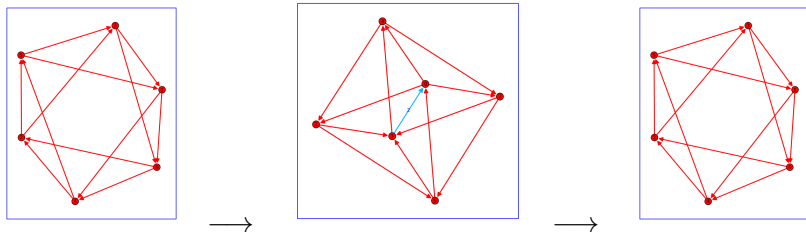
and toric mutations transform the six-tuple in  $\mathbb{Z}^3$  as we will illustrate.

Up to symmetry, enough to consider  $\mu_1\mu_2$ ,  $\mu_1\mu_4\mu_1\mu_5\mu_1$ , and  $\mu_1\mu_4\mu_3$ .

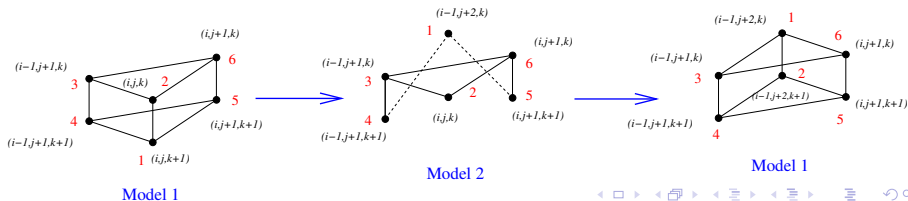


# Mutating Model I to Model II and back to Model I

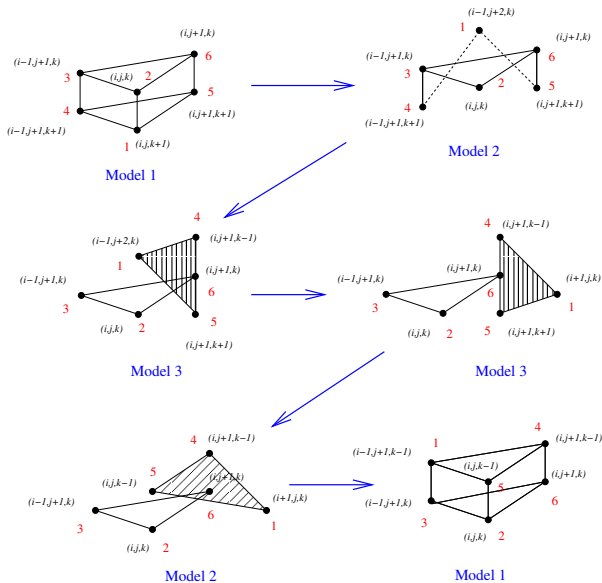
By applying  $\mu_1 \circ \mu_2$ ,  $\mu_3 \circ \mu_4$ , or  $\mu_5 \circ \mu_6$ , we **mutate the quiver** (up to graph isomorphism):



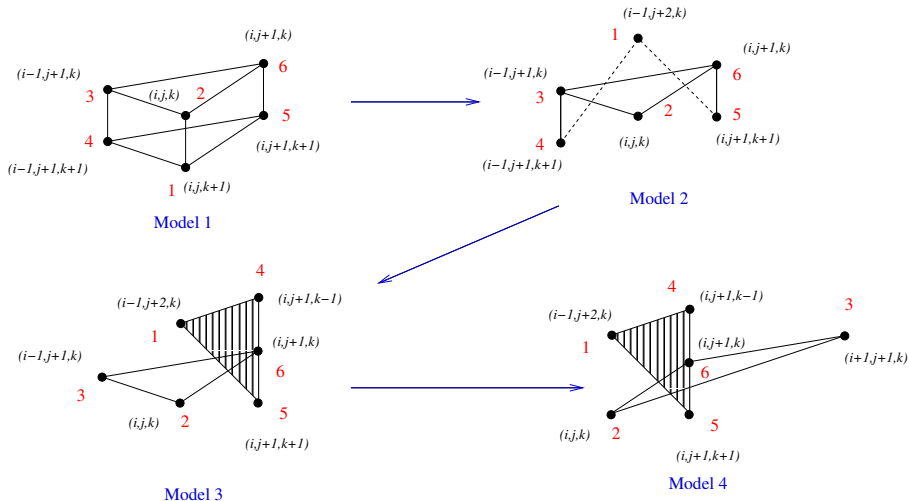
Corresponding action in  $\mathbb{Z}^3$  (on **triangular prisms**):



# Illustrating the mutation sequence $\mu_1\mu_4\mu_1\mu_5\mu_1$



# Illustrating the mutation sequence $\mu_1\mu_4\mu_3$



# Segway: Algebraic Formula for Toric Cluster Variables

$$\text{Let } A = \frac{x_3 x_5 + x_4 x_6}{x_1 x_2}, \quad B = \frac{x_1 x_6 + x_2 x_5}{x_3 x_4}, \quad C = \frac{x_1 x_3 + x_2 x_4}{x_5 x_6},$$
$$D = \frac{x_1 x_3 x_6 + x_2 x_3 x_5 + x_2 x_4 x_6}{x_1 x_4 x_5}, \quad \text{and } E = \frac{x_2 x_4 x_5 + x_1 x_3 x_5 + x_1 x_4 x_6}{x_2 x_3 x_6}.$$

Let  $z_i^{j,k}$  be the **cluster variable** corresponding to  $(i, j, k) \in \mathbb{Z}^3$

**Theorem 2 [Lai-M 2015]** (Extension of [LMNT 2013] and [Lai 2014]):

$$z_i^{j,k} = x_r A^{\lfloor \frac{(i^2+ij+j^2+1)+i+2j}{3} \rfloor} B^{\lfloor \frac{(i^2+ij+j^2+1)+2i+j}{3} \rfloor} C^{\lfloor \frac{i^2+ij+j^2+1}{3} \rfloor} D^{\lfloor \frac{(k-1)^2}{4} \rfloor} E^{\lfloor \frac{k^2}{4} \rfloor}$$

where, working **modulo 6**, we have (**cyclically around the  $dP_3$  Quiver**)

$$\begin{aligned} r = 6 & \text{ if } 2(i-j) + 3k \equiv 0, & r = 4 & \text{ if } 2(i-j) + 3k \equiv 1, \\ r = 2 & \text{ if } 2(i-j) + 3k \equiv 2, & r = 5 & \text{ if } 2(i-j) + 3k \equiv 3, \\ r = 3 & \text{ if } 2(i-j) + 3k \equiv 4, & r = 1 & \text{ if } 2(i-j) + 3k \equiv 5. \end{aligned}$$

i.e. we **determine**  $x_r$  by looking at  $(i-j)$  modulo 3 and  $k$  modulo 2.

# Segway: Algebraic Formula for Toric Cluster Variables

## Consequences:

(1) Every cluster variable reachable by toric mutations resides in a cluster

$$\left\{ x_1 \mathcal{A}_i^j \mathcal{D}^{k+1}, x_2 \mathcal{A}_i^j \mathcal{D}^k, x_3 \mathcal{A}_{i-1}^{j+1} \mathcal{D}^k, x_4 \mathcal{A}_{i-1}^{j+1} \mathcal{D}^{k+1}, x_5 \mathcal{A}_i^{j+1} \mathcal{D}^{k+1}, x_6 \mathcal{A}_i^{j+1} \mathcal{D}^k \right\}$$

where  $\mathcal{A}_i^j = A^{\lfloor \frac{i^2+jj^2+1}{3} + i + 2j \rfloor} B^{\lfloor \frac{i^2+jj^2+1}{3} + 2i+j \rfloor} C^{\lfloor \frac{i^2+jj^2+1}{3} \rfloor}$  and

$$\mathcal{D}^k = D^{\lfloor \frac{(k-1)^2}{4} \rfloor} E^{\lfloor \frac{k^2}{4} \rfloor}.$$

(2) We have conserved quantities

$$A = \frac{x_3 x_5 + x_4 x_6}{x_1 x_2} = \frac{\mathcal{A}_{i-1}^{j+2} \mathcal{A}_i^j}{\mathcal{A}_{i-1}^{j+1} \mathcal{A}_i^{j+1}}, D = \frac{x_2^2 A + x_3 x_6}{x_4 x_5} = \frac{x_3^2 B + x_2 x_6}{x_1 x_5} = \frac{x_6^2 C + x_2 x_3}{x_1 x_4} = \frac{\mathcal{D}^{k+1} \mathcal{D}^{k-1}}{\mathcal{D}^k \mathcal{D}^k}$$

$$B = \frac{x_2 x_5 + x_1 x_6}{x_3 x_4} = \frac{\mathcal{A}_{i+1}^j \mathcal{A}_{i-1}^{j+1}}{\mathcal{A}_i^j \mathcal{A}_i^{j+1}}, E = \frac{x_1^2 A + x_4 x_5}{x_3 x_6} = \frac{x_4^2 B + x_1 x_5}{x_2 x_6} = \frac{x_5^2 C + x_1 x_4}{x_2 x_3} \text{ and}$$

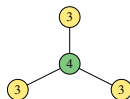
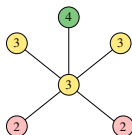
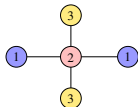
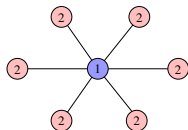
$$C = \frac{x_1 x_3 + x_2 x_4}{x_1 x_2} = \frac{\mathcal{A}_{i-1}^j \mathcal{A}_i^{j+1}}{\mathcal{A}_i^j \mathcal{A}_{i-1}^{j+1}} \quad \text{coming from the cluster mutation relations}$$



# Segway: Algebraic Formula for Toric Cluster Variables

$$\begin{aligned}
 z_{i-1}^{j+2,k} z_i^{j,k+1} &= (R4) \quad z_{i-1}^{j+1,k} z_i^{j+1,k+1} + z_{i-1}^{j+1,k+1} z_i^{j+1,k} \\
 z_{i-1}^{j+1,k+1} z_i^{j+1,k-1} &= (R1) \quad z_{i-1}^{j+2,k} z_i^{j,k} + z_{i-1}^{j+1,k} z_i^{j+1,k} \\
 z_{i-1}^{j+2,k} z_{i+1}^{j,k} &= (R2) \quad z_i^{j+1,k-1} z_i^{j+1,k+1} + (z_i^{j+1,k})^2 \\
 z_i^{j+1,k+1} z_i^{j,k-1} &= (R1) \quad z_{i+1}^{j,k} z_{i-1}^{j+1,k} + z_i^{j,k} z_i^{j+1,k} \\
 z_{i-1}^{j+1,k-1} z_{i+1}^{j,k} &= (R4) \quad z_i^{j,k} z_i^{j+1,k-1} + z_i^{j,k-1} z_i^{j+1,k}
 \end{aligned}$$

(I)  $\longleftrightarrow$  (II)  $\longleftrightarrow$  (III)  $\longleftrightarrow$  (III)  $\longleftrightarrow$  (II)  $\longleftrightarrow$  (I)

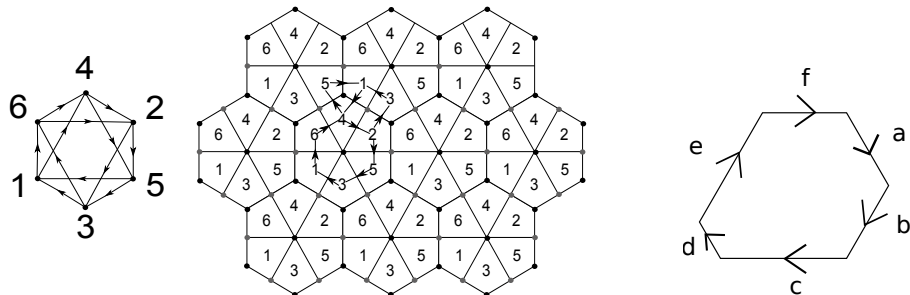


**From work of Lai** “A generalization of Aztec Dragons”: Unweighted versions of these recurrences called type (R1), (R2), or (R4) recurrences.

# Introducing Contours on the del Pezzo 3 Lattice

We wish to understand **combinatorial interpretations** for more general **toric** mutation sequences, not necessarily periodic or coming from mutating at antipodes.

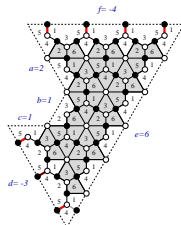
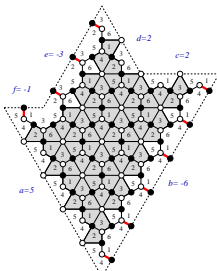
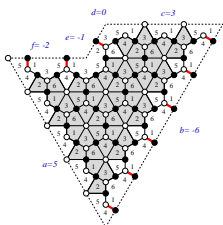
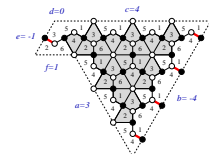
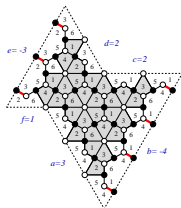
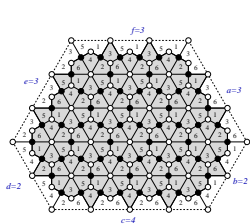
To this end, we **cut out subgraphs** of the  $dP_3$  lattice by using **six-sided contours**



indexed as  $(a, b, c, d, e, f)$  with  $a, b, c, d, e, f \in \mathbb{Z}$ .

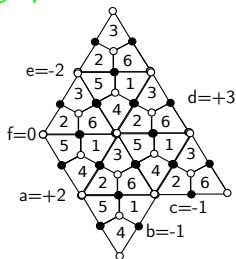
# Sign determines direction & Magnitude determines length

- (1)  $\mathcal{G}(3, 2, 4, 2, 3, 3)$ , (2)  $\mathcal{G}(3, -4, 2, 2, -3, 1)$ , (3)  $\mathcal{G}(3, -4, 4, 0, -1, 1)$ ,  
 (4)  $\mathcal{G}(5, -6, 3, 0, -1, -2)$ , (5)  $\mathcal{G}(5, -6, 2, 2, -3, -1)$ , (6)  $\mathcal{G}(2, 1, 1, -3, 6, -4)$



# Turning a Contour $\mathcal{C}$ into the Subgraph $\mathcal{G}(\mathcal{C})$

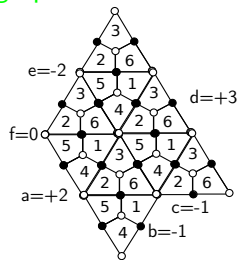
- 1) Draw the contour  $\mathcal{C}$  on top of the  $dP_3$  lattice starting from a degree 6 white vertex.
  - 2) For all sides of “positive” length, we erase all the **black** vertices.
  - 3) For all sides of “negative” length, we erase all the **white** vertices.
- For sides of “zero length” (between two sides of positive length), we erase the **white corner** or keep it depending on convexity.
- 4) After removing “dangling” edges and their incident faces, remaining subgraph inside contour is  $\mathcal{G}(\mathcal{C})$ .



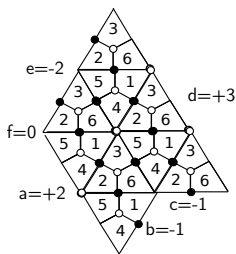
e.g.

# Turning a Contour $\mathcal{C}$ into the Subgraph $\mathcal{G}(\mathcal{C})$

- 1) Draw the contour  $\mathcal{C}$  on top of the  $dP_3$  lattice starting from a degree 6 white vertex.
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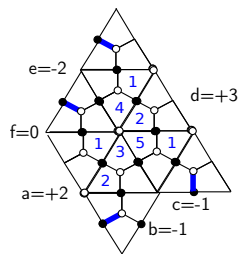
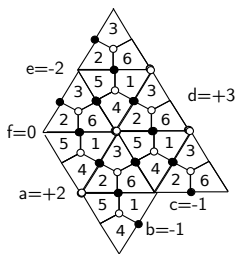
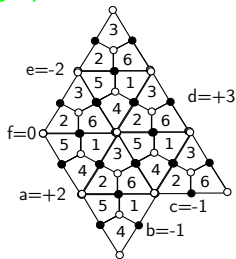


e.g.



# Turning a Contour $\mathcal{C}$ into the Subgraph $\mathcal{G}(\mathcal{C})$

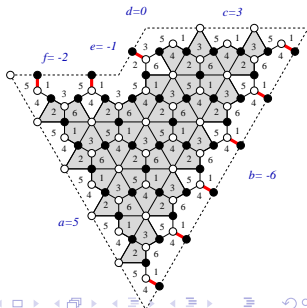
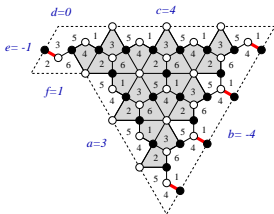
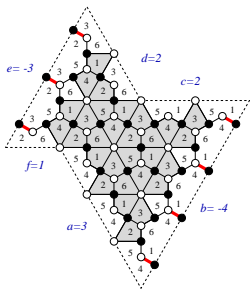
- 1) Draw the contour  $\mathcal{C}$  on top of the  $dP_3$  lattice starting from a degree 6 white vertex.
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- 4) After removing “dangling” edges and their incident faces, remaining subgraph inside contour is  $\mathcal{G}(\mathcal{C})$ .



e.g.

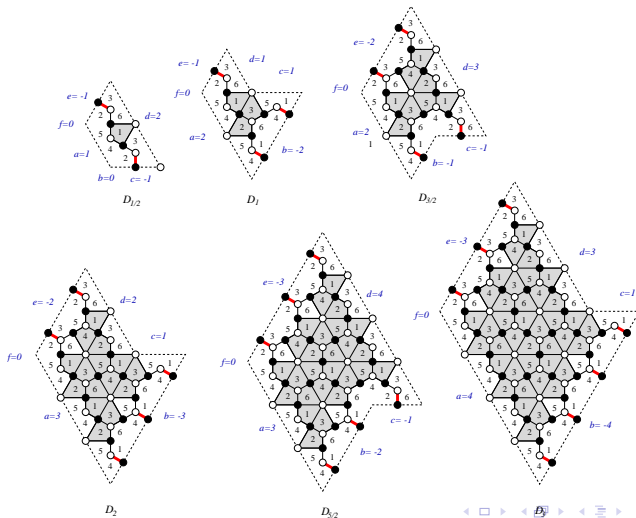
# Further Examples of Subgraphs $\mathcal{G}(\mathcal{C})$ from Contours $\mathcal{C}$

- 1) Draw the contour  $\mathcal{C}$  on top of the  $dP_3$  lattice starting from a degree 6 white vertex.
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- 4) After removing “dangling” edges and their incident faces, remaining subgraph inside contour is  $\mathcal{G}(\mathcal{C})$ .



# Aztec Dragons (Ciucu, Cottrell-Young, Propp) Revisited

$$D_{n+1/2} = \mathcal{G}(n+1, -n, -1, n+2, -n-1, 0), \quad D_n = \mathcal{G}(n+1, -n-1, 1, n, -n, 0).$$





# Turning Subgraphs into Laurent Polynomials

$$G \longrightarrow cm(G) = \sum_{M = \text{a perfect matching of } G} x(M), \text{ where}$$

$$x(M) = \prod_{\text{edge } e \in M} \frac{1}{x_i x_j} \text{ (for edge } e \text{ straddling faces } i \text{ and } j),$$

$cm(G)$  = the **covering monomial** of the graph  $G_n$  (which records what **face labels** are contained in  $G$  and along its **boundary**).

**Remark:** This is a reformulation of weighting schemes appearing in works such as Speyer (“Perfect Matchings and the Octahedron Recurrence”), Goncharov-Kenyon (“Dimers and cluster integrable systems”), and Di Francesco (“T-systems, networks and dimers”).

**Alternative definition of  $cm(G)$ :** We record **all face labels inside contour** and then divide by face labels straddling **dangling edges**.

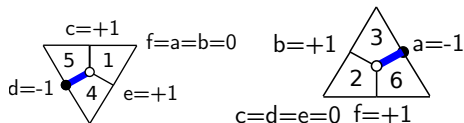
# Initial cluster $\{x_1, x_2, \dots, x_6\}$ in terms of contours

Consider the following **six special contours**

$$C_1 = (0, 0, 1, -1, 1, 0), \quad C_2 = (-1, 1, 0, 0, 0, 1),$$

$$C_3 = (0, 1, -1, 1, 0, 0), \quad C_4 = (1, 0, 0, 0, 1, -1),$$

$$C_5 = (1, -1, 1, 0, 0, 0), \quad C_6 = (0, 0, 0, 1, -1, 1).$$



Applying our general algorithm,  $\mathcal{G}(C_i)$ 's correspond to graphs consisting of a **single edge** and a **triangle of faces**.

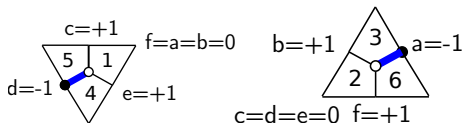
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Applying our general algorithm,  $\mathcal{G}(C_i)$ 's correspond to graphs consisting of a **single edge** and a **triangle of faces**.

Using  $G \rightarrow cm(G) \sum_M =$  a perfect matching of  $G \times(M)$ , we see

$$cm(\mathcal{G}(C_1)) = x_1 x_4 x_5 \text{ and } x(M) = \frac{1}{x_4 x_5}, \text{ hence } G \rightarrow \frac{x_1 x_4 x_5}{x_4 x_5} = x_1$$

Similar calculations show  $\mathcal{G}(C_i) \leftrightarrow x_i$  for  $i \in \{1, 2, \dots, 6\}$ .

## Theorem 3 [Lai-M 2015]

**Theorem (Reformulation of [Leoni-M-Neel-Turner 2014]):** Let  $Z^S = [z_1, z_2, \dots, z_6]$  be the cluster obtained after applying a toric mutation sequence  $S$  to the initial cluster  $\{x_1, x_2, \dots, x_6\}$ .

Let  $w(G) = cm(G) \sum_M \text{a perfect matching of } G \ x(M)$ .

Let  $\mathcal{G}(C_i)$  be the subgraph cut out by the contour  $C_i$ .

Then  $\mathbf{Z}^S = [w(\mathcal{G}(C_1^S)), w(\mathcal{G}(C_2^S)), \dots, w(\mathcal{G}(C_6^S))]$  where  $C^{S_1}, C^{S_2}, \dots, C^{S_6}$  are defined as follows:

1) Start with the six-tuple

$[(0, -1, 1), (0, -1, 0), (-1, 0, 0), (-1, 0, 0), (-1, 0, 1), (0, 0, 1), (0, 0, 0)]$  in  $\mathbb{Z}^3$ .

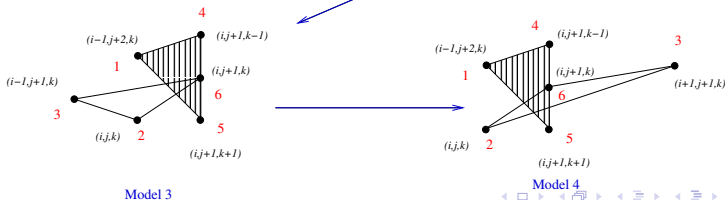
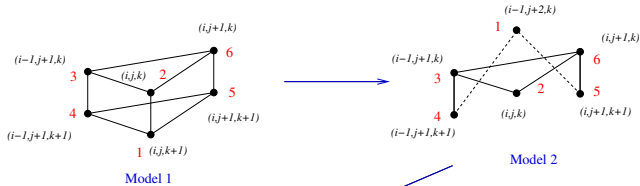
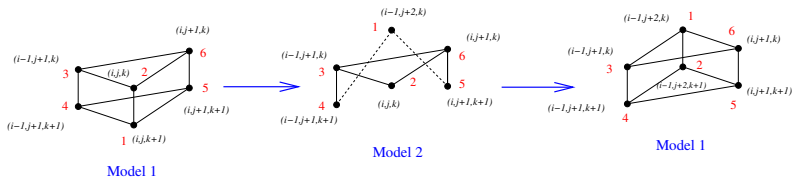
2) Toric Mutations transform this six-tuple as illustrated earlier.

3) Map from  $\mathbb{Z}^3$  to  $\mathbb{Z}^6$ :

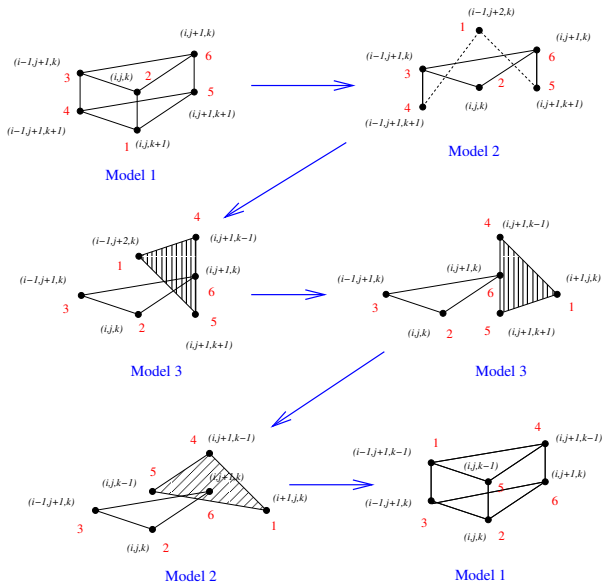
$$(i, j, k) \rightarrow (a, b, c, d, e, f) = (j + k, -i - j - k, i + k, j - k + 1, -i - j + k - 1, i - k + 1)$$

and use these six six-tuples to define the contours  $C^{S_1}, C^{S_2}, \dots, C^{S_6}$ .

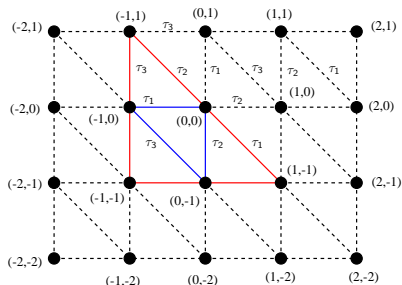
# Reminder of $\mathbb{Z}^3$ transformations



# Reminder of $\mathbb{Z}^3$ transformations



# Example 1: mutation sequence $\mu_1\mu_2\mu_3\mu_4\mu_5\mu_6$



We start at the **initial prism**  $[(0, -1, 1), (0, -1, 0), (-1, 0, 0), (-1, 0, 0), (-1, 0, 1), (0, 0, 1), (0, 0, 0)]$ .

Applying the mutation sequence  $\mu_1, \mu_2, \mu_3\mu_4\mu_5\mu_6$  corresponds to the **walk**

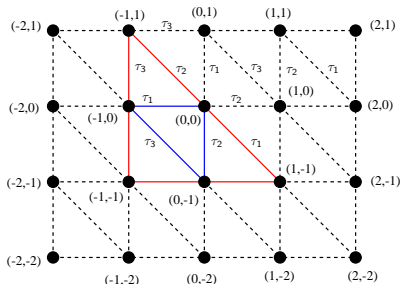
$\{(0, -1), (-1, 0), (0, 0)\} \rightarrow \{(-1, 1), (-1, 0), (0, 0)\} \rightarrow \{(-1, 1), (0, 1), (0, 0)\} \rightarrow \{(-1, 1), (0, 1), (-1, 2)\}$

**Projecting to  $\mathbb{Z}^2$  using  $(i, j) \leftrightarrow (j, -i - j, i, j + 1, -i - j - 1, i + 1)$  and  $(j + 1, -i - j - 1, i + 1, j, -i - j, i)$ .**

$C_1 = (0, 0, 1, -1, 1, 0)$ ,  $C_2 = (-1, 1, 0, 0, 0, 1)$ ,  $C_3 = (0, 1, -1, 1, 0, 0)$ ,

$C_4 = (1, 0, 0, 0, 1, -1)$ ,  $C_5 = (1, -1, 1, 0, 0, 0)$ ,  $C_6 = (0, 0, 0, 1, -1, 1)$ .

# Example 1: mutation sequence $\mu_1\mu_2\mu_3\mu_4\mu_5\mu_6$



We start at the **initial prism**  $[(0, -1, 1), (0, -1, 0), (-1, 0, 0), (-1, 0, 0), (-1, 0, 1), (0, 0, 1), (0, 0, 0)]$ .

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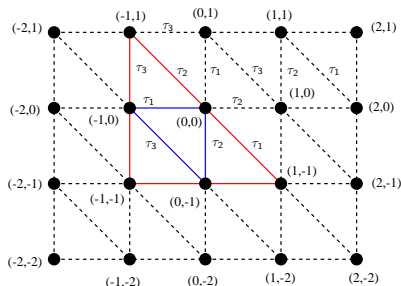
$$\{(0, -1), (-1, 0), (0, 0)\} \rightarrow \{(-1, 1), (-1, 0), (0, 0)\} \rightarrow \{(-1, 1), (0, 1), (0, 0)\} \rightarrow \{(-1, 1), (0, 1), (-1, 2)\}$$

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$$C'_1 = (2, -1, 0, 1, 0, -1), C'_2 = (1, 0, -1, 2, -1, 0), C_3 = (0, 1, -1, 1, 0, 0), \\ C_4 = (1, 0, 0, 0, 1, -1), C_5 = (1, -1, 1, 0, 0, 0), C_6 = (0, 0, 0, 1, -1, 1).$$



# Example 1: mutation sequence $\mu_1\mu_2\mu_3\mu_4\mu_5\mu_6$



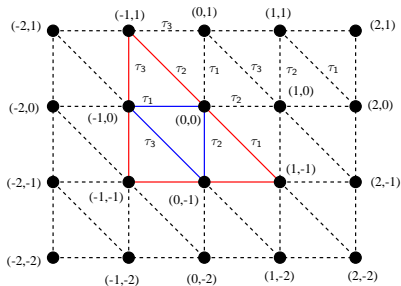
We start at the **initial prism**  $[(0, -1, 1), (0, -1, 0), (-1, 0, 0), (-1, 0, 0), (-1, 0, 1), (0, 0, 1), (0, 0, 0)]$ .  
 Applying the mutation sequence  $\mu_1, \mu_2, \mu_3\mu_4\mu_5\mu_6$  corresponds to the **walk**  
 $\{(0, -1), (-1, 0), (0, 0)\} \rightarrow \{(-1, 1), (-1, 0), (0, 0)\} \rightarrow \{(-1, 1), (0, 1), (0, 0)\} \rightarrow \{(-1, 1), (0, 1), (-1, 2)\}$

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$$C'_1 = (2, -1, 0, 1, 0, -1), C'_2 = (1, 0, -1, 2, -1, 0), C'_3 = (1, -1, 0, 2, -2, 1),$$

$$C'_4 = (2, -2, 1, 1, -1, 0), C_5 = (1, -1, 1, 0, 0, 0), C_6 = (0, 0, 0, 1, -1, 1).$$

# Example 1: mutation sequence $\mu_1\mu_2\mu_3\mu_4\mu_5\mu_6$



We start at the **initial prism**  $[(0, -1, 1), (0, -1, 0), (-1, 0, 0), (-1, 0, 0), (-1, 0, 1), (0, 0, 1), (0, 0, 0)]$ .  
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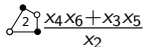
$$C'_1 = (2, -1, 0, 1, 0, -1), C'_2 = (1, 0, -1, 2, -1, 0), C'_3 = (1, -1, 0, 2, -2, 1),$$

$$C'_4 = (2, -2, 1, 1, -1, 0), C'_5 = (3, -2, 0, 2, -1, -1), C'_6 = (2, -1, -1, 3, -2, 0).$$

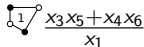
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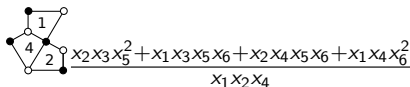
$$C'_4 = (2, -2, 1, 1, -1, 0), C'_5 = (3, -2, 0, 2, -1, -1), C'_6 = (2, -1, -1, 3, -2, 0).$$



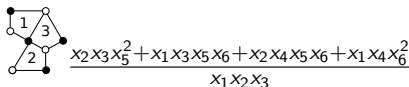
$$\frac{x_4x_6 + x_3x_5}{x_2}$$



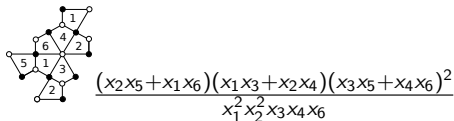
$$\frac{x_3x_5 + x_4x_6}{x_1}$$



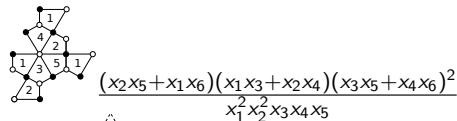
$$\frac{x_2x_3x_5^2 + x_1x_3x_5x_6 + x_2x_4x_5x_6 + x_1x_4x_6^2}{x_1x_2x_4}$$



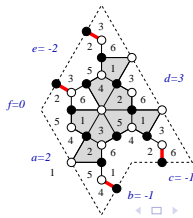
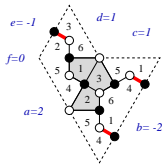
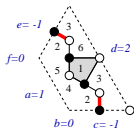
$$\frac{x_2x_3x_5^2 + x_1x_3x_5x_6 + x_2x_4x_5x_6 + x_1x_4x_6^2}{x_1x_2x_3}$$



$$\frac{(x_2x_5 + x_1x_6)(x_1x_3 + x_2x_4)(x_3x_5 + x_4x_6)^2}{x_1^2x_2^2x_3x_4x_6}$$



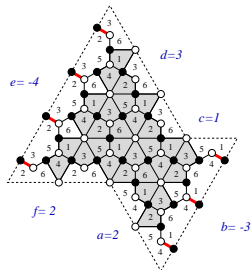
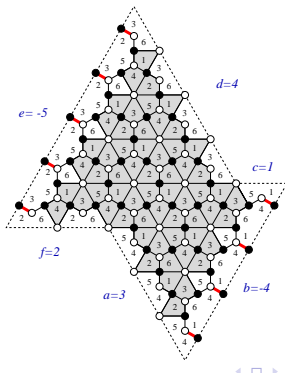
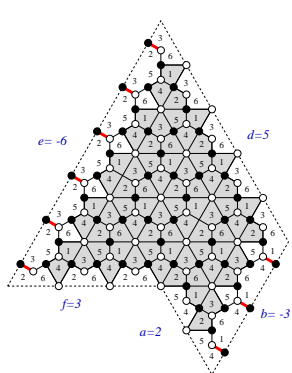
$$\frac{(x_2x_5 + x_1x_6)(x_1x_3 + x_2x_4)(x_3x_5 + x_4x_6)^2}{x_1^2x_2^2x_3x_4x_5}$$



## Example 2: $S = \tau_1\tau_2\tau_3\tau_1\tau_2\tau_3\tau_2\tau_1\tau_4$

We reach  $\{(1, 3), (1, 2), (0, 3)\}$  from applying  $\tau_1\tau_2\tau_3\tau_1\tau_2\tau_3\tau_2\tau_1$  ( $\tau_1 = \mu_1\mu_2$ ,  $\tau_2 = \mu_3\mu_4$ , and  $\tau_3 = \mu_5\mu_6$ ) and then  $\tau_4 = \mu_1\mu_4\mu_1\mu_5\mu_1$  yields  $C^S = [\sigma^{-1}C_1^3, C_1^3, C_1^2, \sigma^{-1}C_1^2, \sigma^{-1}C_0^3, C_0^3] =$

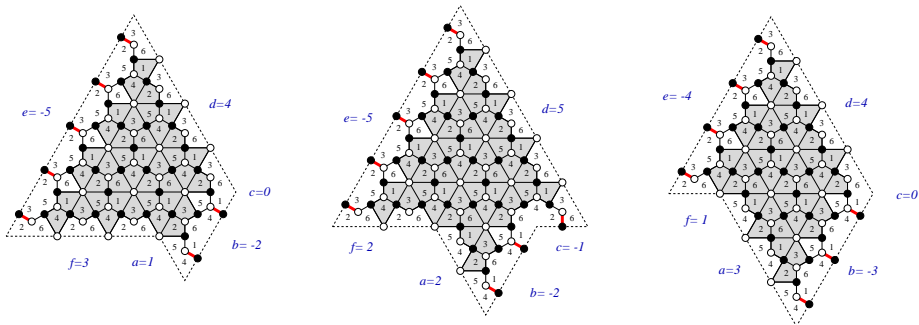
$$\begin{aligned} & [(2, -3, 0, 5, -6, 3), (3, -4, 1, 4, -5, 2), (2, -3, 1, 3, -4, 2), \\ & (1, -2, 0, 4, -5, 3), (2, -2, -1, 5, -5, 2), (3, -3, 0, 4, -4, 1)]. \end{aligned}$$



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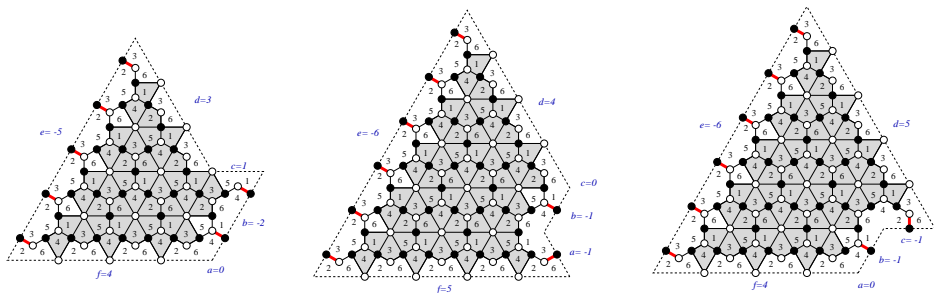
We reach  $\{(1, 3), (1, 2), (0, 3)\}$  from applying  $\tau_1\tau_2\tau_3\tau_1\tau_2\tau_3\tau_2\tau_1$  ( $\tau_1 = \mu_1\mu_2$ ,  $\tau_2 = \mu_3\mu_4$ , and  $\tau_3 = \mu_5\mu_6$ ) and then  $\tau_4 = \mu_1\mu_4\mu_1\mu_5\mu_1$  yields  $C^S = [\sigma^{-1}C_1^3, C_1^3, C_1^2, \sigma^{-1}C_1^2, \sigma^{-1}C_0^3, C_0^3] =$

$$\begin{aligned} & [(2, -3, 0, 5, -6, 3), (3, -4, 1, 4, -5, 2), (2, -3, 1, 3, -4, 2), \\ & (1, -2, 0, 4, -5, 3), (2, -2, -1, 5, -5, 2), (3, -3, 0, 4, -4, 1)]. \end{aligned}$$



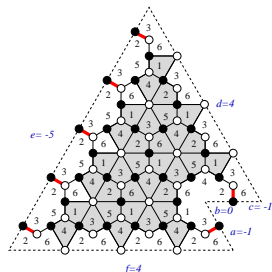
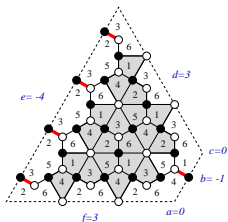
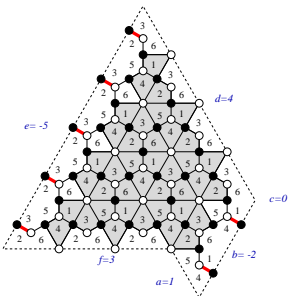
# Example 3: $S = \tau_1\tau_2\tau_3\tau_1\tau_3\tau_2\tau_1\tau_4\tau_5$

$$[(0, -2, 1, 3, -5, 4), (-1, -1, 0, 4, -6, 5), (0, -1, -1, 5, -6, 4), \\ (1, -2, 0, 4, -5, 3), (0, -1, 0, 3, -4, 3), (-1, 0, -1, 4, -5, 4)].$$



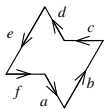
# Example 3: $S = \tau_1\tau_2\tau_3\tau_1\tau_3\tau_2\tau_1\tau_4\tau_5$

$$[(0, -2, 1, 3, -5, 4), (-1, -1, 0, 4, -6, 5), (0, -1, -1, 5, -6, 4), \\ (1, -2, 0, 4, -5, 3), (0, -1, 0, 3, -4, 3), (-1, 0, -1, 4, -5, 4)].$$

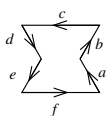


# Possible Shapes of Aztec Castles

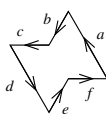
(+,-,+,+,-,+)



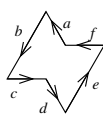
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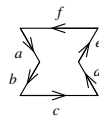
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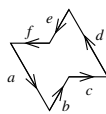
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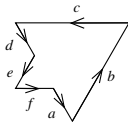
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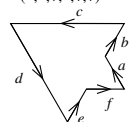
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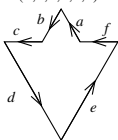
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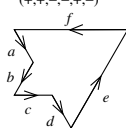
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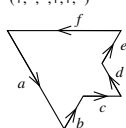
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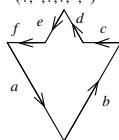
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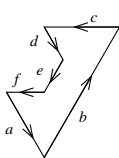
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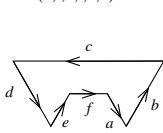
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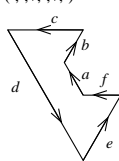
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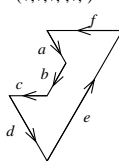
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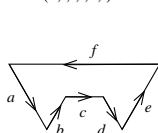
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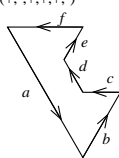
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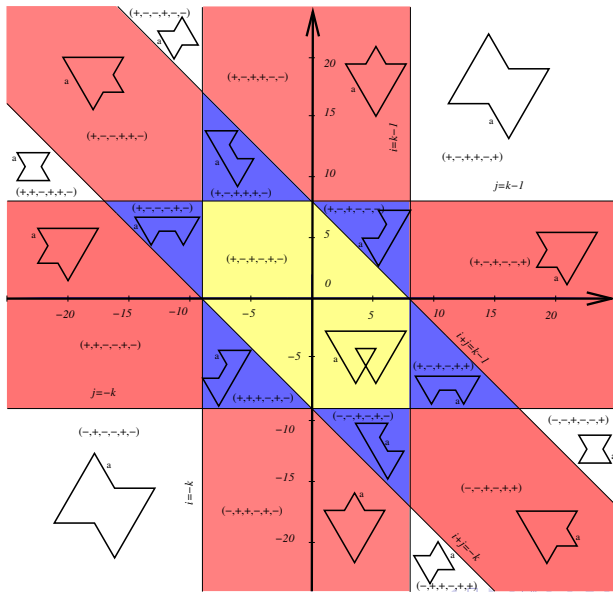


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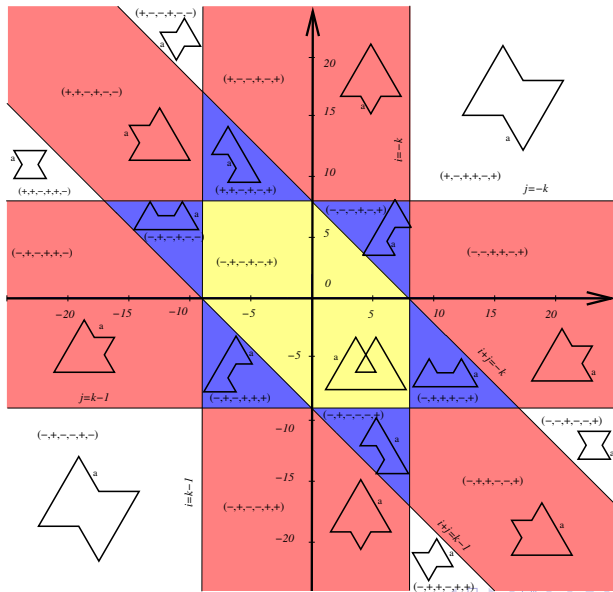




# Cross-section when $k$ positive



# Cross-section when $k$ negative



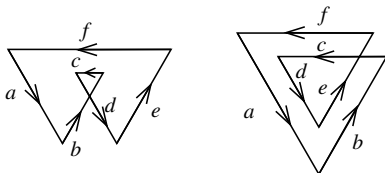
# Self-intersecting Contours

Algebraic formula

$$z_i^{j,k} = x_r A^{\lfloor \frac{(i^2+ij+j^2+1)+i+2j}{3} \rfloor} B^{\lfloor \frac{(i^2+ij+j^2+1)+2i+j}{3} \rfloor} C^{\lfloor \frac{i^2+ij+j^2+1}{3} \rfloor} D^{\lfloor \frac{(k-1)^2}{4} \rfloor} E^{\lfloor \frac{k^2}{4} \rfloor}$$

still works for  $(a, b, c, d, e, f)$  when alternating in signs but combinatorial formula for such cases open.

$(+, -, +, -, +, -)$



**Work in progress (with David Speyer):** Conjectural Double-Dimer combinatorial interpretation for self-intersecting contours.

# Sketching the Proof of Theorem 3 [Lai-M 2015]

We use **Kuo's Method of Graphical Condensation** for counting Perfect Matchings. We isolate four vertices  $\{a, b, c, d\}$  in our graph on the boundary of the contour.

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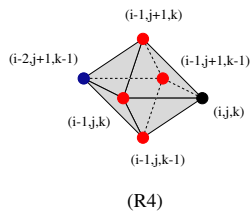
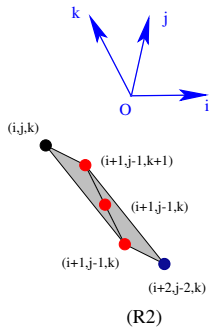
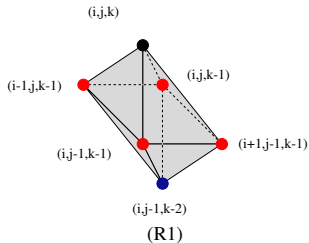
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**Remark:** Further, we will define 15 different types of condensations by choosing 4 out of 6 points. These 15 condensations correspond to the 15 possible toric mutations, up to symmetry.

# Degenerate Octahedra projected from $\mathbb{Z}^6 \rightarrow \mathbb{Z}^3$



# Crash Course on Kuo Condensation

Let  $G = (V_1, V_2, E)$  be a (weighted) planar bipartite graph and  $p_1, p_2, p_3, p_4$  are four vertices appearing in cyclic order on a face of  $G$ .

## Theorem (Balanced Kuo Condensation) [Theorem 5.1 in [Kuo]]

Let  $|V_1| = |V_2|$  with  $p_1, p_3 \in V_1$  and  $p_2, p_4 \in V_2$ . Then

$$\begin{aligned}w(G)w(G - \{p_1, p_2, p_3, p_4\}) &= w(G - \{p_1, p_2\})w(G - \{p_3, p_4\}) \\ &+ w(G - \{p_1, p_4\})w(G - \{p_2, p_3\}).\end{aligned}$$

## Theorem (Unbalanced Kuo Condensation) [Theorem 5.3 in [Kuo]]

Let  $|V_1| = |V_2| + 1$  with  $p_1, p_2, p_3 \in V_1$  and  $p_4 \in V_2$ . Then

$$\begin{aligned}w(G - \{p_2\})w(G - \{p_1, p_3, p_4\}) &= w(G - \{p_1\})w(G - \{p_2, p_3, p_4\}) \\ &+ w(G - \{p_3\})w(G - \{p_1, p_2, p_4\}).\end{aligned}$$



# Crash Course on Kuo Condensation

Let  $G = (V_1, V_2, E)$  be a (weighted) planar bipartite graph and  $p_1, p_2, p_3, p_4$  are four vertices appearing in cyclic order on a face of  $G$ .

## Theorem (Non-alternating Balanced) [Theorem 5.2 in [Kuo]]

Let  $|V_1| = |V_2|$  with  $p_1, p_2 \in V_1$  and  $p_3, p_4 \in V_2$ . Then

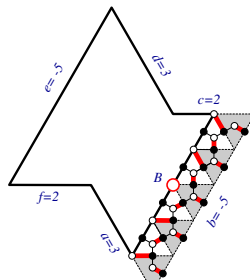
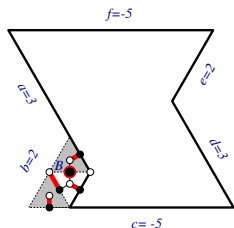
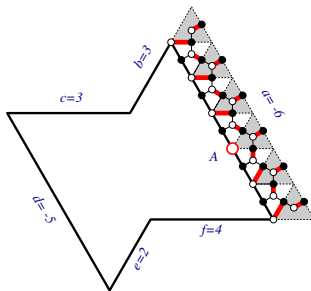
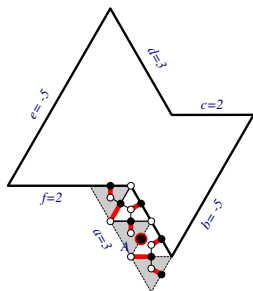
$$\begin{aligned}w(G - \{p_1, p_4\})w(G - \{p_2, p_3\}) &= w(G)w(G - \{p_1, p_2, p_3, p_4\}) \\ &+ w(G - \{p_1, p_3\})w(G - \{p_2, p_4\}).\end{aligned}$$

## Theorem (Monochromatic Condensation) [Theorem 5.4 in [Kuo]]

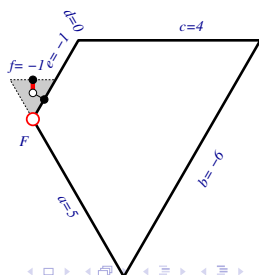
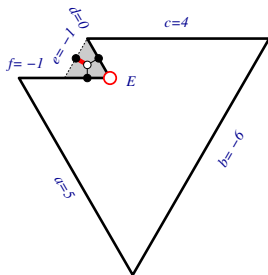
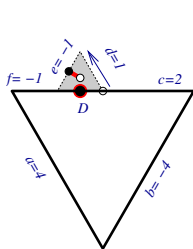
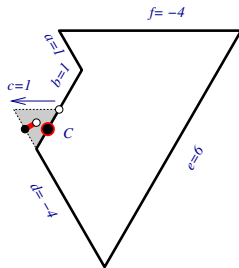
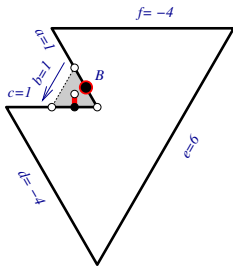
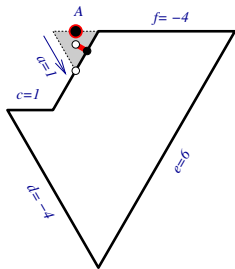
Let  $|V_1| = |V_2| + 2$  with  $p_1, p_2, p_3, p_4 \in V_1$ . Then

$$\begin{aligned}w(G - \{p_1, p_3\})w(G - \{p_2, p_4\}) &= w(G - \{p_1, p_2\})w(G - \{p_3, p_4\}) \\ &+ w(G - \{p_1, p_4\})w(G - \{p_2, p_3\}).\end{aligned}$$

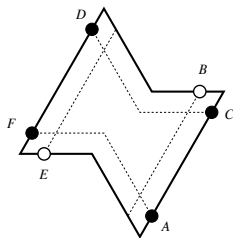
# How we pick vertices $A, B, \dots, F$



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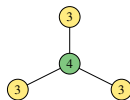
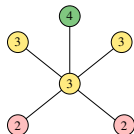
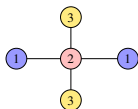
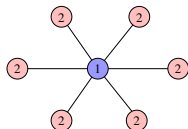
# Removing points distance 2 apart, recurrence (R4) in [Lai]



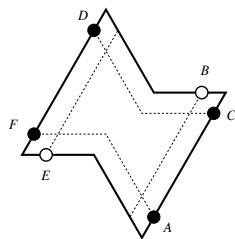
Consider the graph whose shape corresponds to the  $i \geq 1, j \geq -1$ , small  $|k|$  case. Removing

$\{A\bullet, C\bullet\}$ ,  $\{B\circ, D\bullet\}$ ,  $\{C\bullet, E\circ\}$ ,  $\{D\bullet, F\bullet\}$ ,  $\{A\bullet, E\circ\}$ , or  $\{B\circ, F\bullet\}$

correspond to mutations between Model I and Model II.



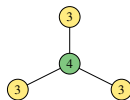
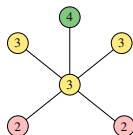
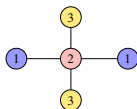
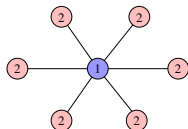
# Removing points distance 1 apart, recurrence (R1) in [Lai]



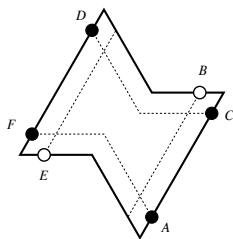
Consider the graph whose shape corresponds to the  $i \geq 1, j \geq -1$ , small  $|k|$  case. Removing

$\{A\bullet, B\circ\}$ ,  $\{B\circ, C\bullet\}$ ,  $\{C\bullet, D\bullet\}$ ,  $\{D\bullet, E\circ\}$ ,  $\{E\circ, F\bullet\}$ , or  $\{A\bullet, F\bullet\}$

correspond to mutations between Model II and Model III.



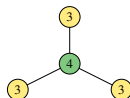
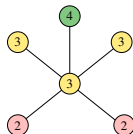
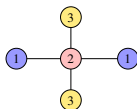
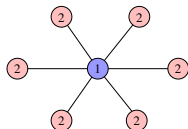
# Removing points distance 3 apart, recurrence (R2) in [Lai]



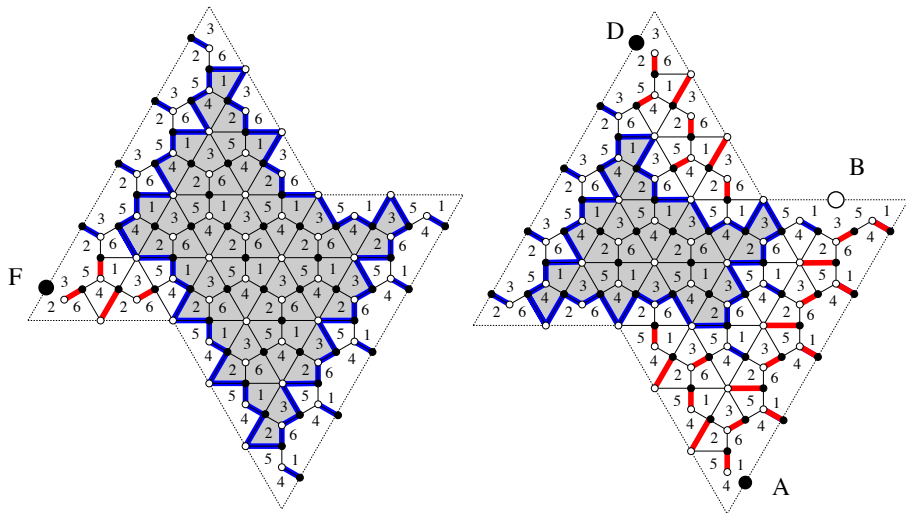
Consider the graph whose shape corresponds to the  $i \geq 1, j \geq -1$ , small  $|k|$  case. Removing

$$\{A\bullet, C\bullet\}, \{B\circ, E\circ\}, \text{ or } \{C\bullet, F\bullet\}$$

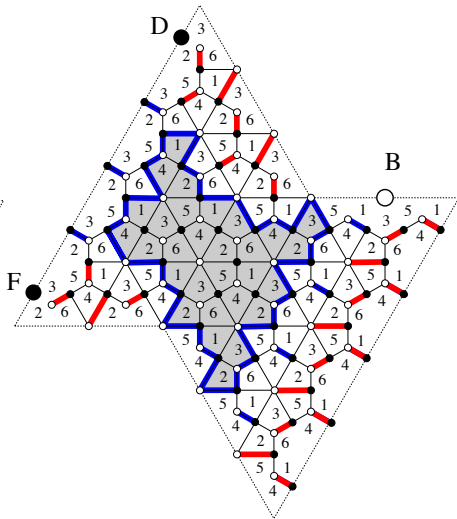
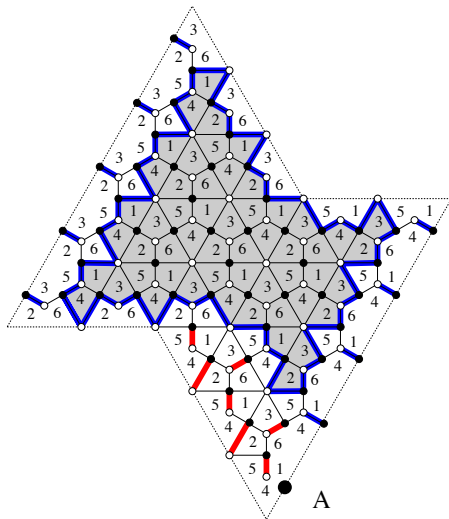
correspond to mutations between Model III and itself or Model IV.



Unbalanced Case:  $w(\sigma C_i^j)w(C_{i-1}^{j+2}) = \dots + \dots$

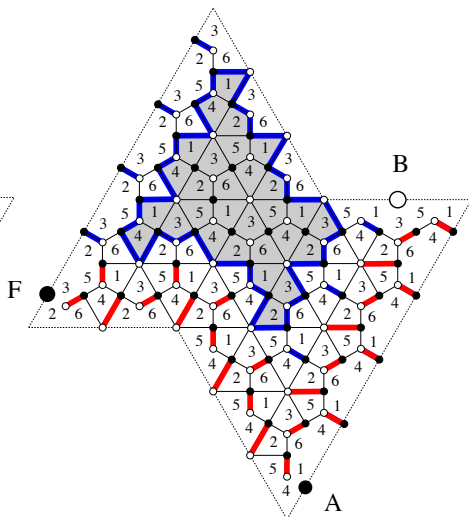
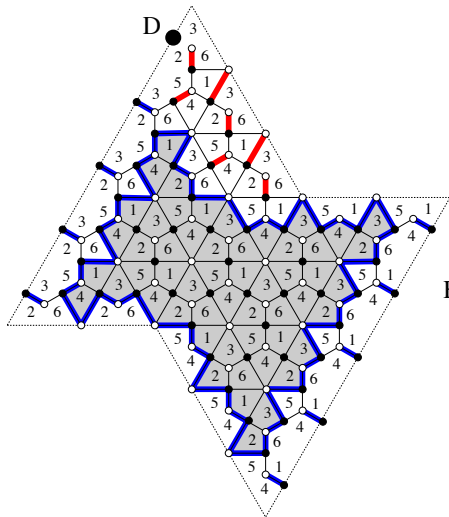


Unbalanced Case:  $\dots = w(\mathcal{C}_{i-1}^{j+1})w(\sigma\mathcal{C}_i^{j+1}) + \dots$

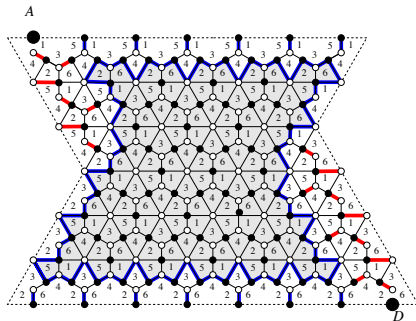
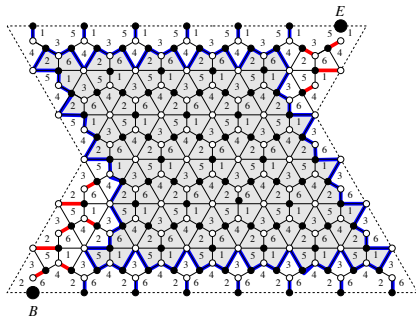




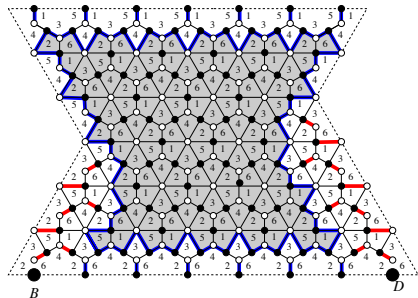
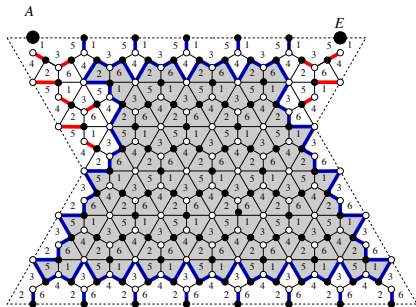
Unbalanced Case:  $\dots = \dots + w(\sigma C_{i-1}^{j+1})w(C_i^{j+1})$



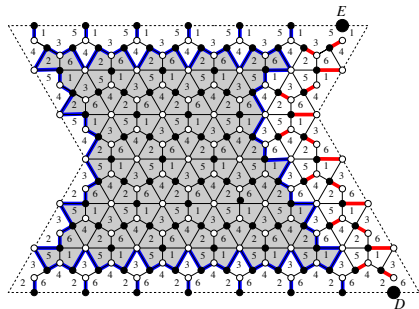
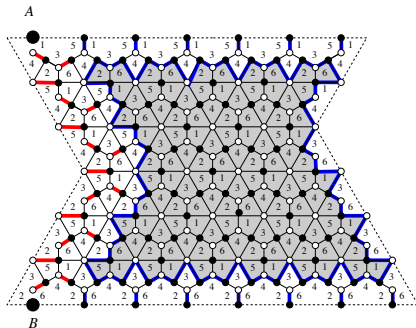
# Monochromatic Case $z_{i-1}^{j+2,k} z_{i+1}^{j,k} = (R^2) \dots + \dots$



# Monochromatic Case ... $=^{(R2)} z_i^{j+1, k-1} z_i^{j+1, k+1} + \dots$



# Monochromatic Case ... =<sup>(R2)</sup> ... + (z<sub>i</sub><sup>j+1,k</sup>)<sup>2</sup>



## Additional Open Questions

**Question:** Work of Di Francesco and Soto-Garrido studied arctic curves from **T-systems**. Can we adapt these methods to obtain **Limit Shapes** for the graphs arising from toric mutations sequences for the  $dP_3$  quiver?

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**Question:** Finally, we focused on cluster expansions assuming the initial cluster was **Model I**. What if we start from a different model. It appears that if the initial cluster is of **Model IV** that one gets **Hexagonal dungeons**. T. Lai and I plan to do further work on **Dungeons and Dragons**.

- Richard Eager and Sebastian Franco, *Colored BPS Pyramid Partition Functions, Quivers and Cluster Transformations*, arXiv:1112.1132.
- Eric Kuo, *Applications of Graphical Condensation for Enumerating Matchings and Tilings*, *Theoretical Computer Science*, 319:29–57.
- Sicong Zhang, *Cluster Variables and Perfect Matchings of Subgraphs of the  $dP_3$  Lattice*, 2012 REU Report, arXiv:1511.06055.
- Tri Lai, *A Generalization of Aztec Dragons*, arXiv:1504.00303, to appear in *Graphs and Combinatorics*.
- *Gale-Robinson Sequences and Brane Tilings* (with In-Jee Jeong and and Sicong Zhang), *Discrete Mathematics and Theoretical Computer Science Proc.* **AS** (2013), 737-748.
- *Aztec Castles and the  $dP_3$  Quiver* (with Megan Leoni, Seth Neel, and Paxton Turner), *Journal of Physics A: Math. Theor.* 47 474011, arXiv:1308.3926.
- *Beyond Aztec Castles: Toric Cascades in the  $dP_3$  Quiver* (with Tri Lai), arXiv:1512.00507.