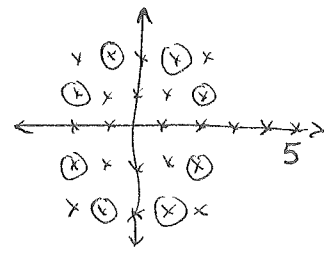


② In  $\mathbb{Z}[i] = R$  which is a Euclidean domain hence PID hence UFD,

the units  $R^\times = \{\pm 1, \pm i\}$  e.g.  $5 = (2+i)(2-i)$   
 $= (-2-i)(-2+i)$   
 $= (-1+2i)(1-2i)$   
 $= (1+2i)(1-2i)$



§12.3 Gauss's Lemma

Is  $\mathbb{Z}[x]$  a UFD? It's not a P.I.D., e.g.  $(2, x)$  is not principal.

There is no problem with the existence of irred. factorizations,

e.g.  $4x^2 - 12x + 8 = 4(x^2 - 3x + 2)$  factor out the GCD of the coefficients  
 $= 4(x-1)(x-2)$   
 use induction on degree to reach irreducibles

We'll show  $\mathbb{Z}[x]$  is a UFD by using its inclusion  $\mathbb{Z}[x] \subset \mathbb{Q}[x] \dots$

~~DEFIN~~ DEFIN: Call  $f(x) \in \mathbb{Z}[x]$  primitive if  $\text{GCD}(a_0, \dots, a_n) = 1$  and  $a_n > 0$ .  
 $a_0 + a_1x + \dots + a_nx^n$

EXAMPLES: ①  $f(x) = 8x^2 + 12x - 16$  is not primitive  
 $= 4(2x^2 + 3x - 4)$   
 this is primitive

②  $f(x) = 2 - x$  is not primitive  
 $= -(2 - x)$   
 this is primitive

PROPOSITION (Gauss's Lemma)  
(12.3.4)

- (a) Primes  $p \in \mathbb{Z}$  are also prime elements of  $\mathbb{Z}[x]$ ,  
 i.e. if  $p \mid f(x)g(x)$  for  $f, g \in \mathbb{Z}[x]$   
 then  $p \mid f(x)$  or  $p \mid g(x)$
- (b)  $f(x), g(x)$  primitive  $\Rightarrow f(x)g(x)$  primitive.

(41)

proof: (a) If a prime  $p \in \mathbb{Z}$  has  $p \mid f(x)g(x)$

then reduce coefficients  $\mathbb{Z}[x] \rightarrow \mathbb{Z}/p\mathbb{Z}[x] = \mathbb{F}_p[x]$

to get  $\bar{0} = \bar{f}(x)\bar{g}(x)$  in  $\mathbb{F}_p[x]$

$\Rightarrow$  either  $\bar{0} = \bar{f}(x)$  or  $\bar{0} = \bar{g}(x)$  in  $\mathbb{F}_p[x]$  since  $\mathbb{F}_p[x]$  is a domain

$\Rightarrow p \mid f(x)$  or  $p \mid g(x)$  in  $\mathbb{Z}[x]$ .

(b) If  $f(x), g(x)$  are both primitive then  $\forall$  primes  $p \in \mathbb{Z}$ ,

$\left. \begin{array}{l} p \nmid f(x) \\ p \nmid g(x) \end{array} \right\} \begin{array}{l} \text{part (a)} \\ \Rightarrow p \nmid f(x)g(x) \end{array} \Rightarrow f(x)g(x) \text{ has no primes } p \text{ dividing GCD of its coefficients, so it is primitive (also its leading coefficient is } > 0)$

DEFIN-LEMMA  
(12.3.5)

Nonconstant polynomials  $f(x) \in \mathbb{Q}[x]$

have a unique expression  $f(x) = c \cdot f_0(x)$  with  $f_0(x) \in \mathbb{Z}[x]$  primitive

and  $c \in \mathbb{Q}$  (called the content  $\text{cont}(f)$  of  $f$ )

e.g.  $f(x) = \frac{-x^2}{5} - \frac{3}{10}x + \frac{2}{5}$   
 $= \frac{-1}{10}(2x^2 - 3x + 4)$

furthermore  $\text{cont}(f) \in \mathbb{Z} \Leftrightarrow f \in \mathbb{Z}[x]$ ,

in which case  $\text{cont}(f) = \text{GCD}(a_0, \dots, a_n)$

where  $f = a_0 + a_1x + \dots + a_nx^n$

proof: existence is easy: if  $f(x) \in \mathbb{Z}[x]$

then let  $c = \pm \text{GCD}(a_0, \dots, a_n)$  and write  $f(x) = c \cdot f_0(x)$  primitive  
 $\uparrow$  choose this so  $f_0$  has top coeff  $> 0$

If  $f(x) \in \mathbb{Q}[x]$ , first clear denominators to get  $df(x) \in \mathbb{Z}[x]$   
then write  $df(x) = c \cdot f_0(x)$  as above  
and  $f(x) = \frac{c}{d} \cdot f_0(x)$

(42)

But why is it unique? Given  $cf_0 = c'f'_0$  with  $f_0, f'_0 \in \mathbb{Z}[x]$  primitive,  $c, c' \in \mathbb{Q}$   
 we'll show why  $f_0 = f'_0$  (which then forces  $c = c'$ ).

By clearing denominators in  $c, c'$ , can assume  $c, c' \in \mathbb{Z}$ .

If  $c \neq \pm 1$ , pick a prime  $p$  dividing  $c$ , so  $cf_0 = c'f'_0$  shows  $p$  divides  $c'f'_0$ , and hence  $p$  divides  $c'$  by primeness of  $p$  in  $\mathbb{Z}[x]$   
 or  $p$  divides  $f'_0$ ,  
 false, since  $f'_0$  primitive.

Hence  $p$  divides  $c'$ . Now cancel  $p$  from both  $c, c'$  and induct on number of prime factors in  $c, c'$   $\square$

This shows there are no surprises about relating factorization in  $\mathbb{Z}[x]$  vs.  $\mathbb{Q}[x]$ ...

THEOREM (12.3.6): (a) For  $f_0, g \in \mathbb{Z}[x]$  with  $f_0$  primitive

$$f_0 | g \text{ in } \mathbb{Z}[x] \iff f_0 | g \text{ in } \mathbb{Q}[x]$$

(b)  $f, g \in \mathbb{Z}[x]$  have a nonconstant common factor in  $\mathbb{Z}[x]$   
 $\iff$  they have one in  $\mathbb{Q}[x]$

proof: (a) Given  $g(x) = f_0(x)g(x)$  with  $g(x) \in \mathbb{Q}[x]$   
 we want to show  $g(x) \in \mathbb{Z}[x]$

$$\begin{aligned} \text{Write } g(x) &= c g_0(x) && \text{with } g_0, g_0 \in \mathbb{Z}[x] \\ g(x) &= d g_0(x) && \text{primitive} \\ &&& c, d \in \mathbb{Q} \end{aligned}$$

$$\text{Then } c g_0(x) = d \underbrace{f_0(x)g_0(x)}_{\text{primitive by Gauss's lemma}} \implies c = d$$

But  $g(x) \in \mathbb{Z}[x]$  forces  $c \in \mathbb{Z}$ , so  $g(x) = d g_0(x) = c g_0(x) \in \mathbb{Z}[x]$  also.

(b) If  $f, g \in \mathbb{Z}[x]$  have ~~h~~  $h \in \mathbb{Q}[x]$  with  $h | f, g$   
 then writing  $h = c \cdot h_0$  with  $h_0 \in \mathbb{Z}[x]$  primitive,  $c \in \mathbb{Q}$

$h_0 | f, g$  ~~in~~ in  $\mathbb{Q}[x]$ , so  $h_0 | f, g$  in  $\mathbb{Z}[x]$  by part (a)  $\square$

COROLLARY  
(PROP 12.3.7.  
THM 12.3.8)

- (a) The irreducibles in  $\mathbb{Z}[x]$  are the primes  $p$  in  $\mathbb{Z}$  and the primitive polynomials in  $\mathbb{Z}[x]$  that are irreducible in  $\mathbb{Q}[x]$
- (b) Both kinds are also prime elements of  $\mathbb{Z}[x]$
- (c) Consequently,  $\mathbb{Z}[x]$  is a U.F.D.

proof: Note (c) follows from (a) & (b), since factorizations exist in  $\mathbb{Z}[x]$ .

For (a) & (b), note that for constant polynomials  $f(x) = a_0 \in \mathbb{Z}$  part (a) is pretty clear and (b) we already proved earlier.

For a nonconstant polynomial  $f(x) \in \mathbb{Z}[x]$ , to see (a), note that if  $f$  is ~~not primitive~~ irreducible in  $\mathbb{Z}[x]$  it better have  $\text{cont}(f) = \pm 1$ , so WLOG  $f$  is primitive.

If it had a proper factorization  $f = gh$  in  $\mathbb{Q}[x]$

write  $g = cg_0$  with  $c, d \in \mathbb{Q}$   
 $h = dh_0$   $g_0, h_0$  primitive in  $\mathbb{Z}[x]$

and then  $f = cd \underbrace{g_0 h_0}_{\substack{\text{primitive,} \\ \text{by Gauss's lemma}}}$  forces  $cd = 1$  and  $f = g_0 h_0$ ,  
a factorization in  $\mathbb{Z}[x]$ .

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To see (b), i.e.  $f(x) \in \mathbb{Z}[x]$  primitive, irreducible  $\Rightarrow f(x)$  prime in  $\mathbb{Z}[x]$ ,  
in  $\mathbb{Z}[x]$  or  $\mathbb{Q}[x]$

note ~~that~~ that if  $f(x)$  divides  $g(x)h(x)$  in  $\mathbb{Z}[x]$  then since  $f$  is irred. in  $\mathbb{Q}[x]$ , it is prime in  $\mathbb{Q}[x]$  (a PID & UFD)

hence  $f$  divides  $g \cdot h$  in  $\mathbb{Q}[x]$ , but then  $f$  divides  $g \cdot h$  in  $\mathbb{Z}[x]$  by previous THM part (a).

REMARK: Some proofs show THM:  $R$  a UFD  $\Rightarrow R[x]$  a UFD (so  $R[x, y] = R[x][y]$  a UFD,  $R[x_1, \dots, x_n]$  a UFD)  
replacing  $\mathbb{Z} \rightsquigarrow R$   
 $\mathbb{Q} \rightsquigarrow F = \text{Frac}(R)$   
 $\mathbb{Z}[x] \rightsquigarrow R[x]$   
 $\mathbb{Q}[x] \rightsquigarrow F[x] = \text{Frac}(R)[x]$