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NON-EXAMPLE:  $f(x) = 1 + 3x + 2x^2 \in \mathbb{Z}[x]$  has reduction to  $\mathbb{F}_2[x]$   
 given by  $\bar{f}(x) = 1 + x$ , which is irreducible, but of lower degree  
 And in fact,  $f(x) = (1+x)(1+2x)$  is not irreducible in  $\mathbb{Z}[x]$ .

proof of PROP: Given  $f(x) \in \mathbb{Z}[x]$  reducible,

say  $f(x) = g(x)h(x)$  with  $\deg(g), \deg(h) > 0$  and  $\deg(f) = \deg(g) + \deg(h)$   
 then applying  $\mathbb{Z}[x] \rightarrow \mathbb{F}_p[x]$  gives

$$\bar{f}(x) = \bar{g}(x)\bar{h}(x) \text{ with } \deg(\bar{g}) + \deg(\bar{h}) = \deg(\bar{f}) = \deg(f) \text{ by hypothesis}$$

$$\begin{matrix} \deg(g) & \deg(h) \\ \downarrow & \downarrow \\ \deg(\bar{g}), \deg(\bar{h}) > 0 \end{matrix}$$

i.e. it is a proper factorization in  $\mathbb{F}_p[x]$ .  $\square$

REMARK: Unlike factoring in  $\mathbb{Z}$ , there is a fast algorithm for factoring in  $\mathbb{F}_p[x]$ , called Bertekamp's algorithm, from 1967.

2/27/2019 > Another useful reduction trick:

Eisenstein's Criterion: If  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 \in \mathbb{Z}[x]$

(PROP 12.4.6)

has some prime  $p \in \mathbb{Z}$  with  $p \nmid a_n$ ,

$$p \mid a_0, a_1, \dots, a_{n-1},$$

$$\text{but } p^2 \nmid a_0,$$

then  $f$  is irreducible in  $\mathbb{Q}[x]$ .

~~PRO~~ EXAMPLE:  $x^5 - 24x^3 + 70x^2 - 10x + 6$  is irreducible in  $\mathbb{Q}[x]$

using Eisenstein's criterion at  $p=2$ :

$$p \nmid 1 = a_5$$

$$p \mid 6, -10, 70, -24, 0$$

$$\begin{matrix} a_0 & a_1 & a_2 & a_3 & a_4 \end{matrix}$$

$$p^2 \mid 6 = a_0$$

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Proof of Eisenstein: If  $f(x) = g(x)h(x)$  in  $\mathbb{Q}[x]$ , then this is also true in  $\mathbb{Z}[x]$ , so reduce  $\mathbb{Z}[x] \rightarrow \mathbb{F}_p[x]$

$$\begin{aligned} \text{to give } \bar{f}(x) = \bar{g}(x)\bar{h}(x) &\Rightarrow \bar{g}(x) = \bar{g}_r x^r \text{ for some } r, s \\ &\parallel \bar{a}_n x^n \qquad \text{unique factorization in } \mathbb{F}_p[x], \text{ for example} \qquad \bar{h}(x) = \bar{h}_s x^s \text{ with } r+s=n \end{aligned}$$

$$\Rightarrow g(x) = g_r x^r + \dots + g_1 x + g_0$$

$$h(x) = h_s x^s + \dots + h_1 x + h_0$$

$$\text{where } \left. \begin{array}{l} g_0, g_1, \dots, g_{r-1} \\ h_0, h_1, \dots, h_{s-1} \end{array} \right\} \in p\mathbb{Z}$$

$$\Rightarrow a_0 = g_0 h_0 \in p^2 \mathbb{Z}. \text{ Contradiction } \blacksquare$$

### §12.5 Primes in $\mathbb{Z}[i]$ and solving $x^2 + y^2 = n$

It turns out that understanding the primes in  $\mathbb{Z}[i]$  (=irreducibles)

comes down to knowing primes  $p$  in  $\mathbb{Z}$

and which ones stay prime in  $\mathbb{Z}[i]$ , versus factoring further  $p = \pi \cdot \bar{\pi}$  in  $\mathbb{Z}[i]$

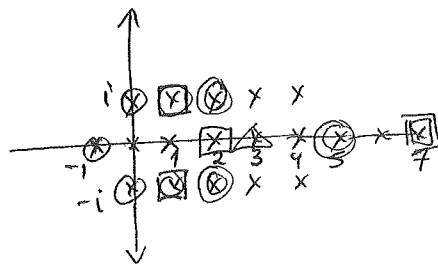
e.g.  $2 = (1+i)(1-i) \quad (= (i-1)(-i-1))$   
not prime in  $\mathbb{Z}[i]$

3 is prime in  $\mathbb{Z}[i]$

$5 = (2+i)(2-i)$   
not prime in  $\mathbb{Z}[i]$

7 is prime in  $\mathbb{Z}[i]$

⋮



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PROPOSITION:

(a)  $\pi = a+bi$  is prime in  $\mathbb{Z}[i]$

$\Leftrightarrow \bar{\pi} = a-bi$  is prime

(b) For  $\pi$  prime in  $\mathbb{Z}[i]$ ,  
 $\|\pi\|^2 = \pi\bar{\pi}$  is either a prime  $p$  in  $\mathbb{Z}$  (for a prime  $p$  in  $\mathbb{Z}$  that is not prime in  $\mathbb{Z}[i]$ )  
or a prime squared  $p^2$  in  $\mathbb{Z}$  (for a prime  $p$  that is associate to  $\pi$ , hence stays prime in  $\mathbb{Z}[i]$ )

(c) Conversely, every prime  $p$  in  $\mathbb{Z}$

either stays prime in  $\mathbb{Z}[i]$ ,

or factors  $p = \pi\bar{\pi}$  for some prime  $\pi$  in  $\mathbb{Z}[i]$ ,

with the latter occurring  $\Leftrightarrow p = a^2 + b^2$  for some  $a, b \in \mathbb{Z}$   
 $(= (a+bi)(a-bi))$

proof: (a): If  $\pi = \alpha\beta$  is a proper factorization in  $\mathbb{Z}[i]$

then  $\bar{\pi} = \bar{\alpha}\bar{\beta}$  is a proper factorization (since units  $\mathbb{Z}[i]^\times = \{\pm 1, \pm i\}$  are closed under conjugation)

(b): Given  $\pi = a+bi$  prime,

$$\|\pi\|^2 = \pi\bar{\pi} = (a+bi)(a-bi) = a^2 + b^2 \in \mathbb{Z}$$

so factor it in  $\mathbb{Z}$ , giving  $\pi\bar{\pi} = p_1 p_2 \dots p_r$  for some primes  $p_i \in \mathbb{Z}$

Then factor the  $p_i$  in  $\mathbb{Z}[i]$ , giving

$$\pi\bar{\pi} = \alpha_1 \alpha_2 \dots \alpha_s \text{ for some primes } \alpha_i \in \mathbb{Z}[i]$$

Uniqueness of factorization forces  $s=2$ , so either

•  $r=1$  and  $\pi\bar{\pi} = p_1 = p$  for some prime  $p \in \mathbb{Z}$  that is not prime in  $\mathbb{Z}[i]$

or

•  $r=2$  and  $\pi\bar{\pi} = p_1 p_2$ , where  $\pi$  is associate to  $p_1$  (by reindexing) ( $\pi = \pm p_1$  or  $\pm i p_1$ )

and then  $\pi\bar{\pi} = p_1^2 = p^2$ , where  $p$  is prime in  $\mathbb{Z}[i]$  since it is associate to  $\pi$ .

(c): Given a prime  $p$  in  $\mathbb{Z}$ ,  
factor it in  $\mathbb{Z}[i]$  as  $p = \pi_1 \pi_2 \dots \pi_r$  for ~~some~~ primes  $\pi_i$  in  $\mathbb{Z}[i]$

But then  $p = \bar{p} = \bar{\pi}_1 \bar{\pi}_2 \dots \bar{\pi}_r$  forces (via uniqueness of factorization) that one can relabel this

$$p = \underbrace{(\pi_1 \cdot \bar{\pi}_1)}_{\in \{2,3,\dots\}} \underbrace{(\pi_2 \cdot \bar{\pi}_2)}_{\in \{2,3,\dots\}} \dots \underbrace{(\pi_k \cdot \bar{\pi}_k)}_{\in \{2,3,\dots\}} \cdot \underbrace{\pi_{k+1}}_{\substack{\parallel \\ \in \{2,3,\dots\}}} \dots \cdot \underbrace{\pi_l}_{\substack{\parallel \\ \in \{2,3,\dots\}}}$$

$p$  prime in  $\mathbb{Z} \implies$  either  $p = \pi_1 \bar{\pi}_1$ , not ~~irreducible~~ prime in  $\mathbb{Z}[i]$   
or  $p = \pi_1 = \bar{\pi}_1$ , ~~irreducible~~ prime in  $\mathbb{Z}[i]$ . ■

So why did 2, 5 factor further, but 3, 7 did not?

THEOREM: (12.5.3) T.F.A.E. for a prime  $p$  in  $\mathbb{Z}$ :

- (a)  $p$  factors further as  $p = \pi \bar{\pi}$  in  $\mathbb{Z}[i]$  (so  $p = a^2 + b^2$  with  $a, b \in \mathbb{Z}$  where  $\pi = a + bi$ )
- (b)  $\mathbb{Z}[i]/(p)$  is not a field
- (c)  $\mathbb{F}_p[x]/(x^2 + 1)$  is not a field
- (d)  $x^2 = -1$  has a solution in  $\mathbb{F}_p$
- (e)  $p = 2$  or  $p \equiv 1 \pmod{4}$

EXAMPLES:  $2 = (1+i)(1-i)$

proof: (a)  $\iff$  (b):  $\mathbb{Z}[i]$  is a P.I.D., so  $\mathbb{Z}[i]/(p)$  is a field  
 $\iff (p)$  is maximal  
 $\iff p$  is irreducible/prime  
 $\iff p$  does not factor further.

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(b)  $\Leftrightarrow$  (c): Check that the rings  $\mathbb{Z}[i]/(p)$ ,  $\mathbb{F}_p[x]/(x^2+1)$  are isomorphic, as both are isomorphic to  $\mathbb{Z}[x]/(p, x^2+1)$ :

$$\text{one can check } \mathbb{Z}[x] \xrightarrow{\varphi_1} \mathbb{Z}[i]/(p)$$
$$x \longmapsto i + (p) =: \bar{i}$$

$$\text{and } \mathbb{Z}[x] \xrightarrow{\varphi_2} \mathbb{F}_p[x]/(x^2+1)$$
$$x \longmapsto \bar{x}$$

both ~~are~~ are surjective and have  $\ker(\varphi_1) = \ker(\varphi_2) = (p, x^2+1) \subset \mathbb{Z}[x]$ .

[This is an instance of using Noether's 3<sup>rd</sup> isomorphism theorem: For ideals  $I, J \subset R$

$$R/I+J \cong (R/I)/\bar{J}$$
$$\text{and } R/I+J \cong (R/J)/\bar{I}$$

so these two rings must be isomorphic

$$\text{Above } R = \mathbb{Z}[x], I = (p), J = (x^2+1), I+J = (p, x^2+1)$$

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(c)  $\Leftrightarrow$  (d):  $\mathbb{F}_p[x]/(x^2+1)$  is a field

$$\Leftrightarrow (x^2+1) \text{ is maximal in } \mathbb{F}_p[x]$$

$$\Leftrightarrow x^2+1 \text{ is irreducible in } \mathbb{F}_p[x]$$

$$\Leftrightarrow x^2+1=0 \text{ has no roots } x \in \mathbb{F}_p$$

$$\Leftrightarrow x^2 = -1 \text{ has no solution } x \in \mathbb{F}_p$$

(d)  $\Leftrightarrow$  (e):  $x^2 = -1$  has a solution in  $\mathbb{F}_2$ , namely  $x = \bar{1}$   
" " "  
 $\{0, i\}$

For odd prime  $p$ , where  $-\bar{1} \neq +\bar{1}$  in  $\mathbb{F}_p$ , then  $\bar{x}^2 = -\bar{1} \Rightarrow \bar{x}^4 = +\bar{1}$

so  $\bar{x}$  is an element of  $\mathbb{F}_p^\times$  of order exactly 4. Since  $\mathbb{F}_p^\times$  is cyclic, such an  $\bar{x}$  exists  $\Leftrightarrow 4$  divides  $|\mathbb{F}_p^\times| = p-1 \Leftrightarrow p \equiv 1 \pmod{4}$   $\square$