

We are going to want to understand more about

- ring extensions  $R \underset{\text{ring}}{\overset{\text{subring}}{\subset}} S$
- field extensions  $F \underset{\text{field}}{\overset{\text{subfield}}{\subset}} K$

such as  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset \mathbb{Q}(\sqrt{2}, \sqrt{3}) \subset \dots \subset \mathbb{R} \subset \mathbb{C}$   
 $\parallel \mathbb{Q}(\sqrt{2+\sqrt{3}})$

$\mathbb{Q}[\sqrt{2}]$   
 $= \{a+b\sqrt{2} : a, b \in \mathbb{Q}\}$

versus  $\mathbb{Q} \subset \mathbb{Q}[\pi] \subsetneq \mathbb{Q}(\pi) \subset \mathbb{R}$   
 $\parallel \{a_0 + a_1\pi + a_2\pi^2 + \dots + a_n\pi^n : a_i \in \mathbb{Q}\}$   $\parallel \left\{ \frac{f(\pi)}{g(\pi)} : f(x), g(x) \in \mathbb{Q}[x], g(\pi) \neq 0 \right\}$   
 (Note:  $\pi \approx 3.14159\dots$ )

§ 15.2 Algebraic versus transcendental elements

Let's first clarify the two ways we might add elements...

DEF'N-PROP: For  $R \underset{\text{ring}}{\overset{\text{subring}}{\subset}} S$  and  $\alpha \in S$ , define

$R[\alpha] :=$  smallest subring of  $S$  containing  $R, \alpha$   
 $:=$  subring of  $S$  generated by  $R, \alpha$   
 $:= \{r_0 + r_1\alpha + r_2\alpha^2 + \dots + r_n\alpha^n : r_i \in R\}$

$= \text{im} \left( \begin{array}{ccc} R[x] & \longrightarrow & S \\ x & \longmapsto & \alpha \\ f(x) & \longmapsto & f(\alpha) \end{array} \right)$

EXERCISE: Check this!

$= \bigcap R'$   
 subrings  $R' \subset S$   
 with  $R \subset R'$

$\mathbb{Q}[\sqrt{2}] = \{a+b\sqrt{2} : a, b \in \mathbb{Q}\}$   
 $\subset \mathbb{C}$   
 e.g.  $\mathbb{Z}[\sqrt{2}] \subset \mathbb{R}$   
 $\parallel \{a+b\sqrt{2} : a, b \in \mathbb{Z}\}$



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DEF'N-PROP: Given  $F \overset{\text{subfield}}{\subset} K$  and  $\alpha \in K$ , define

$F(\alpha) :=$  smallest subfield of  $K$  containing  $F, \alpha$

$=$  subfield of  $K$  generated by  $F, \alpha$

$$:= \left\{ \frac{c_0 + c_1\alpha + \dots + c_n\alpha^n}{d_0 + d_1\alpha + \dots + d_m\alpha^m} : c_i, d_j \in F, \text{denominator} \neq 0 \right\}$$

EXERCISE:  
check this!  
 $\equiv \bigcap F'$   
subfields  $F' \subset K$   
with  $F \subset F'$

$\cong \text{Frac}(F[\alpha])$  via the isomorphism sending  
 $(f(\alpha)/g(\alpha)) \in \text{Frac}(F[\alpha])$   
to  $\frac{f(\alpha)}{g(\alpha)} = g(\alpha)^{-1}f(\alpha)$   
EXERCISE:  
check this!

EXAMPLES: ①  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset \mathbb{R}$

$$\left\{ \frac{a+b\sqrt{2}}{c+d\sqrt{2}} : a, b, c, d \in \mathbb{Q}, \text{c, d not both 0} \right\}$$

$$\frac{a+b\sqrt{2}}{c+d\sqrt{2}} \cdot \frac{c-d\sqrt{2}}{c-d\sqrt{2}} = \frac{ac-2bd+(bc-ad)\sqrt{2}}{c^2-d^2}$$

$$\begin{aligned} &\rightarrow \left\{ a+b\sqrt{2} : a, b \in \mathbb{Q} \right\} \\ &\equiv \mathbb{Q}[\sqrt{2}] \end{aligned}$$

②  $\mathbb{Q} \subset \mathbb{Q}[\pi] \subsetneq \mathbb{Q}(\pi) \subset \mathbb{R}$

$$\left\{ \sum_{i=0}^n a_i \pi^i : a_i \in \mathbb{Q} \right\} \quad \left\{ \frac{f(\pi)}{g(\pi)} : f(x), g(x) \in \mathbb{Q}[x], g(\pi) \neq 0 \right\}$$

One also has similar notions of  $R[\alpha_1, \dots, \alpha_n] \subset S$  given  $R \subset S$  and  $\alpha_1, \dots, \alpha_n \in S$

$F(\alpha_1, \dots, \alpha_n) \subset K$  given  $F \overset{\text{subfield}}{\subset} K$  and  $\alpha_1, \dots, \alpha_n \in K$

e.g.  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) \subset \mathbb{R}$

$$\mathbb{Q}[\sqrt{2}, \sqrt{3}] = \{ a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} : a, b, c, d \in \mathbb{Q} \}$$

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There are two very different alternatives for  $F(\alpha) \subset K$

PROP-DEF'N:

(15.2.3)  
(15.2.6)  
(15.2.7)

For a field extension  $F \subset K$  and  $\alpha \in K$ , either

(a)  $\alpha$  is algebraic over  $F$ , meaning  $\exists f(x) \in F[x]$  with  $f(\alpha) = 0$ ,  
in which case there is a unique choice of a <sup>such</sup> monic polynomial  $f(x)$   
of minimal degree, called the minimal polynomial for  $\alpha$  over  $F$ ,  
(or monic and irreducible) (or  $m_{F,\alpha}(x)$ )

namely the one with  $\ker(F[x] \rightarrow K) = (f(x))$ .  
 $x \mapsto \alpha$

Furthermore, in this case  $F[\alpha] = F(\alpha)$  is a field,

with  $F$ -basis  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$  where  $n = \deg(f)$ ,

and  $f(x)$  is irreducible in  $F[x]$ , with  $F[x]/(f(x)) \cong F[\alpha] = F(\alpha)$

OR (b)  $\alpha$  is transcendental over  $F$ , meaning  $\nexists f(x) \in F[x]$  with  $f(\alpha) = 0$ .

Furthermore, in this case  $F[\alpha] \subsetneq F(\alpha)$

$\parallel$   $\parallel$

$F[x] \subsetneq F(\alpha)$   
polynomials      rational functions

~~EX~~ EXAMPLES ①  $\sqrt{2} \in \mathbb{R}$  is algebraic over  $\mathbb{Q}$ , with minimal polynomial  $f(x) = x^2 - 2 \in \mathbb{Q}[x]$

②  $\pi \in \mathbb{R}$  is transcendental over  $\mathbb{Q}$  (but it is not obvious - proven by Hermite for  $e$  1873  
by Lindemann for  $\pi$  1882)  
 $e \in \mathbb{R}$

③ Consider  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset \mathbb{Q}(\sqrt[4]{2}) \subset \mathbb{R}$  and  $\alpha = \sqrt[4]{2} \in \mathbb{R}$

Then  $\alpha$  is algebraic over  $\mathbb{Q}$ , with min poly. ~~over  $\mathbb{Q}$~~   $m_{\mathbb{Q},\alpha}(x) = x^4 - 2 \in \mathbb{Q}[x]$   
(over  $\mathbb{Q}$ ) (irred. by Eisenstein at  $p=2$ )

But  $\alpha$  is also algebraic over  $\mathbb{Q}(\sqrt{2})$ ,

with min. poly (over  $\mathbb{Q}(\sqrt{2})$ )  $m_{\mathbb{Q}(\sqrt{2}),\alpha}(x) = x^2 - \sqrt{2} \in \mathbb{Q}(\sqrt{2})[x]$ .