

## Lecture 29: Quick review from previous lecture

- In  $\mathbb{R}^n$ , for vector  $\vec{x}$ , change coordinates from  $\mathbf{v}_1, \dots, \mathbf{v}_n$  to  $\mathbf{w}_1, \dots, \mathbf{w}_n$ :  
 $\vec{x} = x_1 \mathbf{v}_1 + \dots + x_n \mathbf{v}_n$

$$\underbrace{\begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}}_{\mathbf{v}_1, \dots, \mathbf{v}_n} \longrightarrow \underbrace{\begin{bmatrix} x'_1 \\ \vdots \\ x'_n \end{bmatrix}}_{\mathbf{w}_1, \dots, \mathbf{w}_n}, \quad \text{is} \quad \underbrace{\begin{bmatrix} x'_1 \\ \vdots \\ x'_n \end{bmatrix}}_{\text{New}} = \underbrace{[\mathbf{w}_1 \dots \mathbf{w}_n]^{-1}}_{\text{New}} \underbrace{[\mathbf{v}_1 \dots \mathbf{v}_n]}_{\text{old}} \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$$

- Consider a linear operator  $L : \mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $L[\mathbf{x}] = A\mathbf{x}$ .

$\mathbb{R}^n$  with basis  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$   $\longrightarrow$   $\mathbb{R}^n$  with basis  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$

Then  $\underbrace{\vec{x}, (x_1, \dots, x_n)^T}_{\text{input}} \longrightarrow \underbrace{L[\vec{x}], (y_1, \dots, y_n)^T}_{\text{output}}$

$$\begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix} = \underbrace{S^{-1}AS}_B \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix},$$

where  $S = [\mathbf{v}_1, \dots, \mathbf{v}_n]$ . The **matrix representation** of  $L$  in these bases is  $B = S^{-1}AS$ .

Today we will discuss

- Section 7.2 linear transformations.

- Lecture will be recorded -

- Exam 2 (**This Wednesday**) will cover 2.5, 3.1-3.5, and 4.1-4.4. Instruction and practice exam have been announced on Canvas.
- Exam time is 50 mins with additional 10 mins for scanning and submission to Canvas. This is a **closed book exam** and everyone needs to **Open Camera**.

### 3. A linear operator $L : \mathbb{R}^n \rightarrow \mathbb{R}^m$ , $L[\mathbf{v}] = A\mathbf{v}$ .

Consider the vector  $\vec{\mathbf{x}}$  in  $\mathbb{R}^n$  with the coordinate  $(x_1, \dots, x_n)^T$  in a basis  $\mathbf{v}_1, \dots, \mathbf{v}_n$ :

$$\vec{\mathbf{x}} = x_1\mathbf{v}_1 + \dots + x_n\mathbf{v}_n \quad \text{in a basis } \mathbf{v}_1, \dots, \mathbf{v}_n$$

$$\mathbb{R}^n \text{ with basis } \{\mathbf{v}_1, \dots, \mathbf{v}_n\} \xrightarrow{L} \mathbb{R}^m \text{ with basis } \{\mathbf{w}_1, \dots, \mathbf{w}_m\}$$

$\vec{\mathbf{x}} \quad (x_1, \dots, x_n)^T$ 
output
 $L[\vec{\mathbf{x}}]$ 
?
 $\begin{pmatrix} y_1 \\ \vdots \\ y_m \end{pmatrix}$

**Q:** How do we find the coordinate  $(y_1, \dots, y_m)^T$  of the vector  $L[\vec{\mathbf{x}}]$  to the basis  $\mathbf{w}_1, \dots, \mathbf{w}_m$ ?

$$\vec{\mathbf{x}} = x_1\mathbf{v}_1 + \dots + x_n\mathbf{v}_n \longrightarrow \vec{\mathbf{y}} = L[\vec{\mathbf{x}}] = y_1\mathbf{w}_1 + \dots + y_m\mathbf{w}_m$$

$$\begin{aligned} \textcircled{1} \quad L[\vec{\mathbf{x}}] &= L[x_1\mathbf{v}_1 + \dots + x_n\mathbf{v}_n] = x_1L[\mathbf{v}_1] + \dots + x_nL[\mathbf{v}_n] \\ &= x_1A\mathbf{v}_1 + \dots + x_nA\mathbf{v}_n = A[\mathbf{v}_1 \dots \mathbf{v}_n] \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \end{aligned}$$

$$\begin{aligned} \textcircled{2} \quad \vec{\mathbf{y}} = L[\vec{\mathbf{x}}] &= y_1\mathbf{w}_1 + \dots + y_m\mathbf{w}_m \\ &= [\mathbf{w}_1 \dots \mathbf{w}_m] \begin{bmatrix} y_1 \\ \vdots \\ y_m \end{bmatrix} \end{aligned}$$

$$\textcircled{1} = \textcircled{2} \quad \text{yields} \quad T \begin{bmatrix} y_1 \\ \vdots \\ y_m \end{bmatrix} = AS \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$$

$$\text{Then} \quad \begin{bmatrix} y_1 \\ \vdots \\ y_m \end{bmatrix} = T^{-1}AS \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$$

$B = T^{-1}AS$  is the matrix representation of  $L$  in bases  $\mathbf{v}_1, \dots, \mathbf{v}_n$  and  $\mathbf{w}_1, \dots, \mathbf{w}_m$ , where  $T = [\mathbf{w}_1, \dots, \mathbf{w}_m]$  and  $S = [\mathbf{v}_1, \dots, \mathbf{v}_n]$ .

✓ One reason for changing basis is that some coordinate systems are better-adapted for a particular operator  $L$  than others.

$$L: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

**Example 5.** Consider the operator

$$L \left[ \begin{pmatrix} x \\ y \end{pmatrix} \right] = \begin{pmatrix} 5 & -1 \\ -1 & 5 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 5x - y \\ 5y - x \end{pmatrix}$$

Consider a new basis  $\mathbf{v}_1 = (1, -1)^T$ ,  $\mathbf{v}_2 = (1, 1)^T$  for both domain and codomain.  
The matrix representation of  $L$  in the basis  $\mathbf{v}_1, \mathbf{v}_2$  is

$$\begin{aligned} B = S^{-1}AS &= [\mathbf{v}_1, \mathbf{v}_2]^{-1} \begin{pmatrix} 5 & -1 \\ -1 & 5 \end{pmatrix} [\mathbf{v}_1, \mathbf{v}_2] \\ &= \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 5 & -1 \\ -1 & 5 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} = \boxed{\begin{pmatrix} 6 & 0 \\ 0 & 4 \end{pmatrix}} = B \end{aligned}$$

$$L[\mathbf{v}_1] = A\mathbf{v}_1 = \begin{bmatrix} 5 & -1 \\ -1 & 5 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \end{bmatrix} = \begin{bmatrix} 6 \\ -6 \end{bmatrix} = 6\mathbf{v}_1$$

$$L[\mathbf{v}_2] = A\mathbf{v}_2 = \begin{bmatrix} 5 & -1 \\ -1 & 5 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 4 \\ 4 \end{bmatrix} = 4\mathbf{v}_2$$

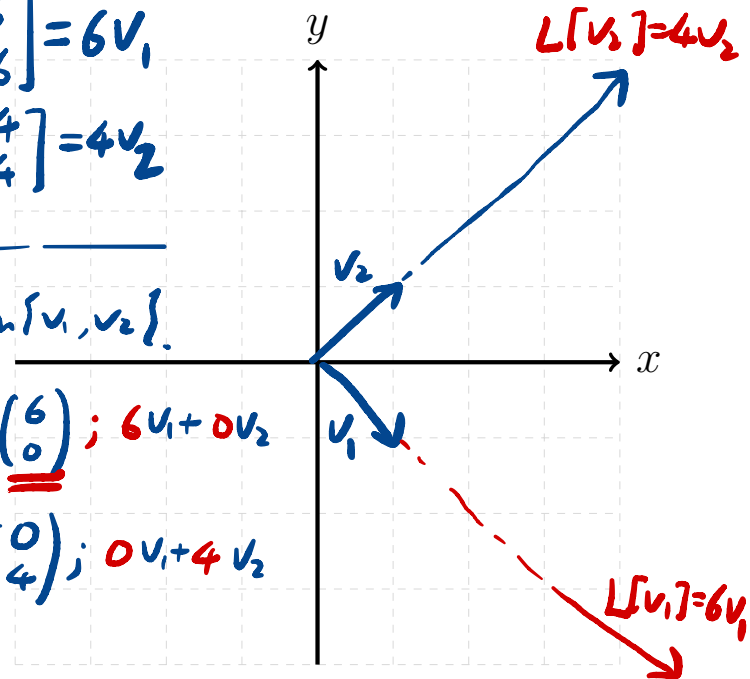
coord. in  $\{\mathbf{v}_1, \mathbf{v}_2\}$   $\xrightarrow{L}$  coord. in  $\{\mathbf{v}_1, \mathbf{v}_2\}$ .

$$1\mathbf{v}_1 + 0\mathbf{v}_2; \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\xrightarrow{B} B \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 6 \\ 0 \end{pmatrix}; 6\mathbf{v}_1 + 0\mathbf{v}_2$$

$$0\mathbf{v}_1 + 1\mathbf{v}_2; \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\xrightarrow{B} B \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 4 \end{pmatrix}; 0\mathbf{v}_1 + 4\mathbf{v}_2$$



- In other words, if  $\mathbf{x} = a\mathbf{v}_1 + b\mathbf{v}_2$ , then  $L[\mathbf{x}] = 6a\mathbf{v}_1 + 4b\mathbf{v}_2$ . So  $L$  scales along the direction of  $\mathbf{v}_1$  by 6, and scales along the direction of  $\mathbf{v}_2$  by 4.
- The **simple geometry** of  $L$  is only revealed by the **new basis**; it is not apparent from the matrix in the standard basis,  $\begin{pmatrix} 5 & -1 \\ -1 & 5 \end{pmatrix}$ .

**Example 6.** Suppose we have the operator  $L : \mathbb{R}^3 \rightarrow \mathbb{R}^2$  given by

$$L \left[ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \right] = \begin{pmatrix} x + y \\ y + z \end{pmatrix} = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$

The matrix  $A = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}$  represents  $L$  in the standard basis.  $\{e_1, e_2, e_3\}$  for  $\mathbb{R}^3$

**Q:** Consider the basis

$\{e_1, e_1\}$  for  $\mathbb{R}^2$

$$\mathbf{v}_1 = (1, 0, 1)^T, \quad \mathbf{v}_2 = (1, -1, 0)^T, \quad \mathbf{v}_3 = (0, 1, -1)^T \quad \text{of } \mathbb{R}^3$$

and

$$\mathbf{w}_1 = (1, 1)^T, \quad \mathbf{w}_2 = (-1, 1)^T \quad \text{of } \mathbb{R}^2.$$

What would be the matrix representation of  $L$  in these bases?

$$\mathbb{R}^3, \{v_i\} \xrightarrow{L} \mathbb{R}^2, \{w_i\}.$$

matrix representation of  $L$

$$\begin{aligned} B &= T^{-1} A S, \quad \text{where } T = [\mathbf{w}_1, \mathbf{w}_2] \\ &= \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}^{-1} A \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & -1 \end{bmatrix} \quad S = [\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3] \\ &= \frac{1}{2} \begin{bmatrix} 2 & -1 & 1 \\ 0 & -1 & -1 \end{bmatrix} \quad \neq \end{aligned}$$

Observation: coord. in  $\{v_i\} \xrightarrow{L}$  coord. in  $\{w_i\}$ .

$$1 v_1 + 0 v_2 + 0 v_3; \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \xrightarrow{B} B \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \quad 1 w_1 + 0 w_2$$

$$0 v_1 + 1 v_2 + 0 v_3; \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \xrightarrow{B} B \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} -1/2 \\ -1/2 \end{pmatrix}; \quad -1/2 w_1 + (-1/2) w_2$$

So applying  $B$  to the coefficients of a vector  $\mathbf{v}$  in the basis  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  returns the coefficients of  $L[\mathbf{v}]$  in the basis  $\mathbf{w}_1, \mathbf{w}_2$ .

**Example 6.** Recall that  $\mathcal{P}^{(2)}$  is the vector space of polynomials of degree  $\leq 2$ ;  $\mathcal{P}^{(1)}$  is the vector space of polynomials of degree  $\leq 1$ .

Let the linear operator  $L : \mathcal{P}^{(2)} \rightarrow \mathcal{P}^{(1)}$  satisfy

$$L[p](x) = p'(x).$$

(1) Consider the basis  $\{x^2, x, 1\}$  for  $\mathcal{P}^{(2)}$  and the basis  $\{x, 1\}$  for  $\mathcal{P}^{(1)}$ . Find the matrix representation of  $L$  in these bases.<sup>1</sup>

Taking any  $p(x) = ax^2 + bx + c$  in  $\mathcal{P}^{(2)}$ .

$$L[ax^2 + bx + c] = 2ax + b.$$

$$\begin{array}{l} \downarrow \text{view} \\ x^2 \rightarrow \begin{pmatrix} a \\ 0 \\ 0 \end{pmatrix} \\ x \rightarrow \begin{pmatrix} 0 \\ b \\ 0 \end{pmatrix} \\ 1 \rightarrow \begin{pmatrix} 0 \\ 0 \\ c \end{pmatrix} \end{array} \qquad \begin{array}{l} \downarrow \text{view} \\ \begin{pmatrix} 2a \\ b \end{pmatrix} \end{array}.$$

$$L\left[\begin{pmatrix} a \\ b \\ c \end{pmatrix}\right] = \begin{pmatrix} 2a \\ b \end{pmatrix} = \underbrace{\begin{bmatrix} 2 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}}_A \begin{bmatrix} a \\ b \\ c \end{bmatrix}.$$

Sol: A.

(2) We instead use the basis  $\{x^2 - x, x - 1, 1\}$  for  $\mathcal{P}^{(2)}$  and the basis  $\{2x, 1\}$  for  $\mathcal{P}^{(1)}$ . Find the matrix representation of  $L$  in these bases.

$$\begin{array}{l} \mathcal{P}^{(2)} \qquad \longrightarrow \qquad \mathcal{P}^{(1)} \\ x^2 - x, \quad v_1 = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} \qquad \qquad 2x, \quad w_1 = \begin{pmatrix} 2 \\ 0 \end{pmatrix} \\ x - 1, \quad v_2 = \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} \qquad \qquad 1, \quad w_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ 1, \quad v_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \end{array}$$

$$\begin{aligned} B &= T^{-1} A S = [w_1 \ w_2]^{-1} A [v_1 \ v_2 \ v_3] \\ &= \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \end{bmatrix}. \end{aligned}$$

<sup>1</sup>Note that the matrix  $A$  that represents  $L$  depends on the choice of basis for  $\mathcal{P}^{(2)}$  and  $\mathcal{P}^{(1)}$ .

## § Canonical form of the operator $L$ .

We take any matrix  $A = A_{m \times n}$  with  $\text{rank} A = r$ . Let  $L[\mathbf{x}] = A\mathbf{x}$ . Consider "a suitable choice of bases" for domain and codomain:

$$\begin{array}{ccc} \mathbb{R}^n \text{ with basis} & \xrightarrow{L[\mathbf{x}] = A\mathbf{x}} & \mathbb{R}^m \text{ with basis} \\ \underbrace{\{\mathbf{v}_1, \dots, \mathbf{v}_r\}}_{\text{coimg} A} \cup \underbrace{\{\mathbf{v}_{r+1}, \dots, \mathbf{v}_n\}}_{\text{ker} A} & \longrightarrow & \underbrace{\{\mathbf{w}_1, \dots, \mathbf{w}_r\}}_{\text{img} A} \cup \underbrace{\{\mathbf{w}_{r+1}, \dots, \mathbf{w}_m\}}_{\text{coker} A} \end{array}$$

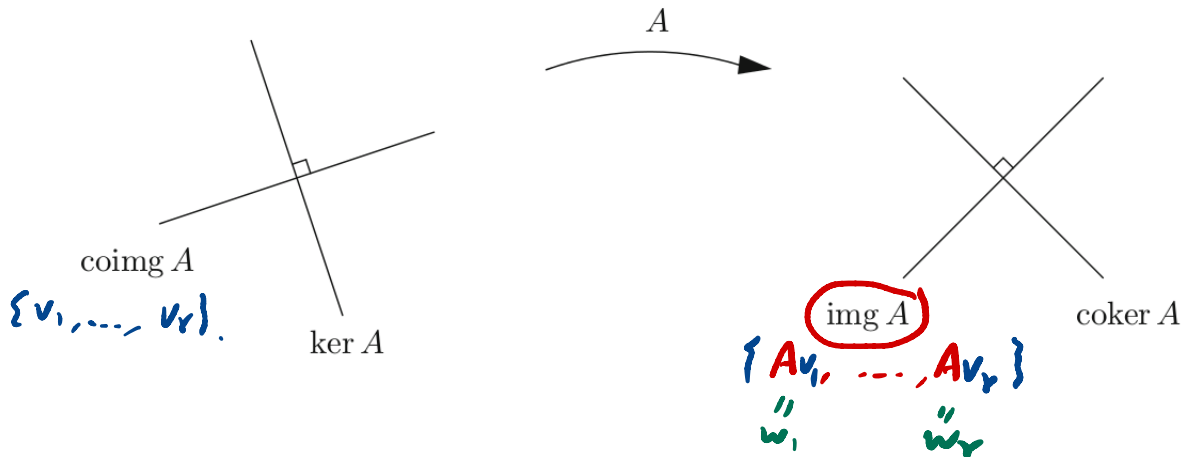
Then the matrix representation of  $L$  in bases  $\mathbf{v}_1, \dots, \mathbf{v}_n$  and  $\mathbf{w}_1, \dots, \mathbf{w}_m$  is

$$B = T^{-1}AS = \begin{bmatrix} I_r & O_{r \times (n-r)} \\ O_{(m-r) \times r} & O_{(m-r) \times (n-r)} \end{bmatrix}_{m \times n} \quad (\text{Canonical Form}),$$

where  $T = [\mathbf{w}_1, \dots, \mathbf{w}_m]$  and  $S = [\mathbf{v}_1, \dots, \mathbf{v}_n]$  with  $A\mathbf{v}_j = \mathbf{w}_j$  for  $j = 1, \dots, r$ .

[To see this:] Take  $\mathbf{v}_1, \dots, \mathbf{v}_r$  a basis for coimg  $A$ , and  $\mathbf{v}_{r+1}, \dots, \mathbf{v}_n$  a basis for  $\text{ker} A$ . So  $\mathbf{v}_1, \dots, \mathbf{v}_n$  are a basis for  $\mathbb{R}^n$ .

As we've known,  $\{\mathbf{w}_1 = A\mathbf{v}_1, \dots, \mathbf{w}_r = A\mathbf{v}_r\}$  will be a basis for  $\text{img} A$ .



Take  $\mathbf{w}_{r+1}, \dots, \mathbf{w}_m$  to be any basis for coker  $A$ . Then

$$A\mathbf{v}_i = \mathbf{w}_i, \quad 1 \leq i \leq r. \quad \text{is a basis for } \mathbb{R}^m.$$

$$A\mathbf{v}_j = \mathbf{0}, \quad r+1 \leq j \leq n \quad \text{since } \mathbf{v}_j \in \text{ker} A.$$

$$A[\overbrace{\mathbf{v}_1 \dots \mathbf{v}_r}^S \quad \overbrace{\mathbf{v}_{r+1} \dots \mathbf{v}_n}^0] = [\mathbf{w}_1 \dots \mathbf{w}_r \quad \mathbf{0} \dots \mathbf{0}]$$

$$\Rightarrow B = T^{-1}AS = \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} \mathbf{w}_1 & \dots & \mathbf{w}_r & \mathbf{w}_{r+1} & \dots & \mathbf{w}_m \\ \hline \mathbf{0} & \dots & \mathbf{0} & \dots & \mathbf{0} & \dots \end{bmatrix} \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix}.$$

**Remark:** In other words, the top  $r$ -by- $r$  block is the identity, and everywhere else it has 0. This is the **canonical form** of the operator  $L$ , that depends “only” on its rank.

**Example 7.** Let the operator  $L[\mathbf{x}] = A\mathbf{x}$ , where

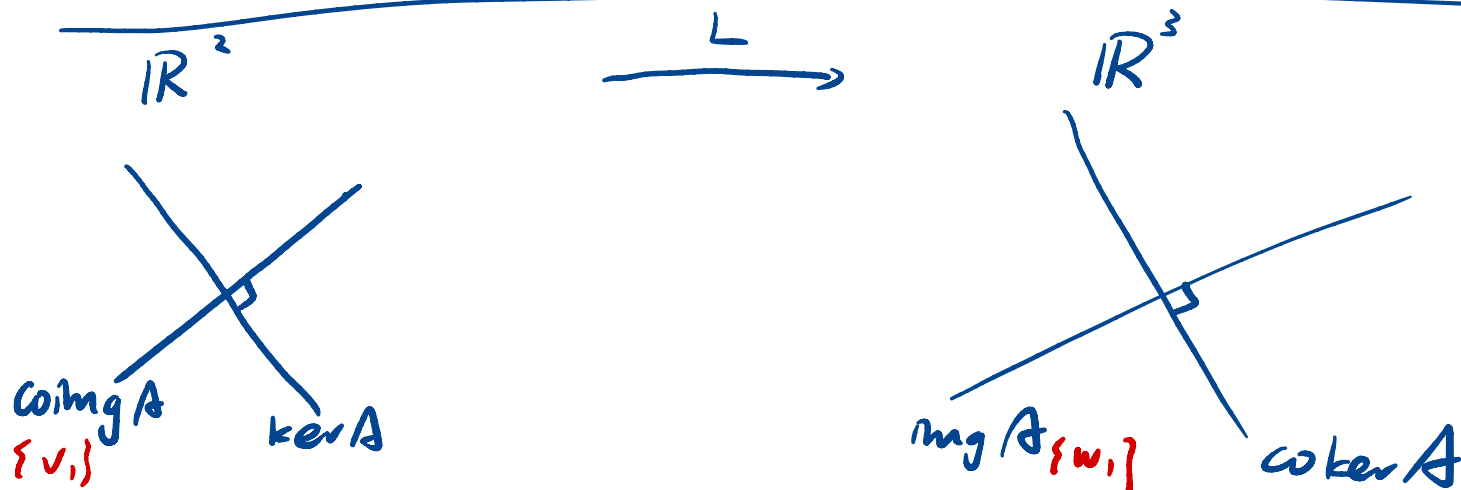
$$L: \mathbb{R}^2 \rightarrow \mathbb{R}^3$$

$$A = \begin{pmatrix} 1 & 2 \\ 2 & 4 \\ -1 & -2 \end{pmatrix}.$$

Find the **canonical form** of the operator  $L[\mathbf{x}] = A\mathbf{x}$ .

$$A \rightarrow \begin{pmatrix} 1 & 2 \\ 0 & 0 \\ 0 & 0 \end{pmatrix}, \text{ rank } A = 1.$$

Canonical form of  $L$  is  $\begin{bmatrix} 1 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}$ .



Find a basis for  $\text{col } A: \left\{ v_1 = \begin{pmatrix} 1 \\ 2 \end{pmatrix} \right\}$ .

$$\Rightarrow \text{im } A = \left\{ w_1 = A v_1 = \begin{pmatrix} 5 \\ 10 \\ -5 \end{pmatrix} \right\}.$$

$$\Rightarrow \text{ker } A = \left\{ v_2 = \begin{pmatrix} -2 \\ 1 \end{pmatrix} \right\}.$$

$$\Rightarrow \text{coker } A: \left\{ w_2 = \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}, w_3 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right\}$$