

# Math 5615 Honors: Further Topics on Continuity

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# Continuous Image of a Compact Set

## Theorem

If a function  $f : K \rightarrow Y$  is continuous on a compact set  $K \subset X$ , then  $f(K)$  is compact.

**Proof.** Suppose  $\{U_\alpha \mid \alpha \in I\}$  is an open <sup>cover</sup> of  $f(K)$ .  
Let  $V_\alpha \subset X$  be open such that  $V_\alpha \cap K = f^{-1}(U_\alpha)$ .  
( $V_\alpha$  exists for each  $\alpha$ , b/c  $f^{-1}(U_\alpha)$  is open rel. to  $K$ .)  
Note that  $\{V_\alpha \mid \alpha \in I\}$  form an open of  $K$ :  
 $K \subset \bigcup_{\alpha \in I} V_\alpha$ : indeed  $\forall x \in K$   $f(x) \in f(K)$  and  
 $f(x) \in U_\alpha$  for some  $\alpha \Rightarrow x \in f^{-1}(U_\alpha) \subset V_\alpha$ .  
 $K$  compact  $\Rightarrow \exists \{V_{\alpha_1}, \dots, V_{\alpha_n}\}$ , a finite subcover of  $K$ .  
Claim:  $\{U_{\alpha_i} \mid i=1, \dots, n\}$  is a finite subcover  
of  $\{U_\alpha \mid \alpha \in I\}$ . Indeed  $f(K) \subset \bigcup_{i=1}^n U_{\alpha_i}$ , b/c  $\forall y \in f(K)$   
 $\exists x \in K$   $y = f(x)$ . Then  $x \in V_{\alpha_j}$  for some  $j$ , therefore,  $f(x) = y \in U_{\alpha_j}$ .  $\square$

# Uniform Continuity

## Definition

We say that  $f$  is *uniformly continuous on  $D$*  if for every  $\varepsilon > 0$  there is a  $\delta = \delta(\varepsilon) > 0$  such that if  $x, y \in D$  and  $d(x, y) < \delta$ , then  $d(f(x), f(y)) < \varepsilon$ .

Difference with continuity at each point  $a \in D$  (roughly):

**Continuity on  $D$ :**  $\delta = \delta(a, \varepsilon)$ ,

**Uniform continuity on  $D$ :**  $\delta = \delta(\varepsilon)$ .

*Note: Unif. continuity <sup>on  $D$</sup>  implies continuity on  $D$ .*

## Theorem

If a function  $f : K \rightarrow Y$  is continuous on a compact set  $K \subset X$ , then  $f$  is uniformly continuous.

**Proof.** Suppose  $f$  is not uniformly continuous on  $K$ . This means there is an  $\varepsilon > 0$  such that for each  $\delta > 0$ , say,  $\delta_n = 1/n$ , there exist  $x_n, z_n \in K$  such that  $d(x_n, z_n) < 1/n$  but  $d(f(x_n), f(z_n)) \geq \varepsilon$ . This gives two sequences  $\{x_n\}$  and  $\{z_n\}$  such that  $\lim_{n \rightarrow \infty} d(x_n, z_n) = 0$  but  $d(f(x_n), f(z_n)) \geq \varepsilon$ .

# Proof, Continued

$K$  is compact  $\Rightarrow$  sequentially compact  $\Rightarrow$   
 $\exists$  subsequence  $\{x_{n_k}\} \rightarrow a \in K$ . Since

$d(x_{n_k}, z_{n_k}) \rightarrow 0$  as  $k \rightarrow \infty$ . Note  $\{z_{n_k}\} \rightarrow a$  as  $k \rightarrow \infty$ .

$d(a, z_{n_k}) \leq d(a, x_{n_k}) + d(x_{n_k}, z_{n_k})$  implies  
 $d(a, z_{n_k}) \rightarrow 0$ , i.e.,  $\{z_{n_k}\} \rightarrow a$ .

Sequential character of continuity of  $f(x)$  at  $a$ :

get  $f(x_{n_k}) \rightarrow f(a)$  and  $f(z_{n_k}) \rightarrow f(a)$ .

$\Rightarrow d(f(x_{n_k}), f(a)) \rightarrow 0$  and  $d(f(z_{n_k}), f(a)) \rightarrow 0$

$\Rightarrow d(f(x_{n_k}), f(z_{n_k})) \leq d(f(x_{n_k}), f(a)) + d(f(a), f(z_{n_k}))$   
 $\rightarrow 0$ , which contradicts  $d(f(x_{n_k}), f(z_{n_k})) \geq \varepsilon$   
 $\forall k$ .  $\square$

## Proof, Continued

Examples, 1.  $f(x) = x^2: \mathbb{R} \rightarrow \mathbb{R}$  conts.  
is not unif. continuous. Take  $\varepsilon = 1$ ; then  $\forall \delta > 0$   
 $\exists x, y \in \mathbb{R} : |x - y| < \delta$  but  $|x^2 - y^2| \geq 1$ .

$|x^2 - y^2| = |x - y| \cdot |x + y|$ . Take  $x \geq \frac{1}{\delta}$   
and  $y = x + \frac{\delta}{2}$ . Then  $|x - y| = \frac{\delta}{2} < \delta$   
and  $|x + y| = 2x + \frac{\delta}{2} > 2x \geq \frac{2}{\delta}$ .  
Then  $|x^2 - y^2| = |x - y| \cdot |x + y| = \frac{\delta}{2} |x + y|$   
 $> \frac{\delta}{2} \cdot \frac{2}{\delta} = 1$ .

2.  $f(x) = x^2$  on  $[0, 1]$  is unif. conts by Thm.  
3.  $f(x) = \sin x$  on  $\mathbb{R}$  is unif. conts. (The argument is  
a little more complicated than for  $f(x) = x^2$ )

# The Extreme Value Theorem

## Theorem

*A real-valued continuous function  $f : K \rightarrow \mathbb{R}$  is continuous on a compact set  $K \subset X$  achieves its absolute maximum and absolute minimum value on  $K$ ; that is, there exist points  $x_M$  and  $x_m$  in  $K$  such that  $f(x_m) \leq f(x)$  for all  $x \in K$ , and  $f(x_M) \geq f(x)$  for all  $x \in K$ .*

## Proof.

The image set  $f(K)$  is a compact subset of  $\mathbb{R}$ , so  $f(K)$  is bounded and closed, and hence  $f(K)$  contains  $\inf f(K)$  and  $\sup f(K)$ , because they have to be elements of  $f(K)$  or its limit points. □

## Example

$f(x) = 1/x$  is continuous on  $(0, \infty)$  but does not achieve maximum and minimum values. It does on  $[1, 2]$  or any closed interval within  $(0, \infty)$ . It does not on  $[-1, 1]$ .

