

PROP: (a) For any simplicial complex  $\Delta$ ,

$$\text{Hilb}(K[\Delta]; t_1, \dots, t_n) = \sum_{F \in \Delta} \prod_{i \in F} \frac{t_i}{1-t_i}$$

$$\left( \begin{array}{l} \rightsquigarrow \\ \text{specialize} \\ t_i = t \forall i \end{array} \right) \text{Hilb}(K[\Delta], t) = \sum_{F \in \Delta} \left( \frac{t}{1-t} \right)^{\#F}$$

(b) For  $\Delta$  partitionable as  $\Delta = \bigsqcup_{i=1}^s [G_i, F_i]$ ,

$$\text{Hilb}(K[\Delta]; t_1, \dots, t_n) = \sum_{i=1}^s \frac{\prod_{j \in G_i} t_j}{\prod_{j \in F_i} (1-t_j)}$$

specialize  $t_i = t$

$$\text{Hilb}(K[\Delta], t) = \frac{\sum_{i=1}^s t^{\#G_i}}{(1-t)^d}$$

proof:

$$(a) \text{Hilb}(K[\Delta]; t_1, \dots, t_n) = \sum_{\underline{a} \in \mathbb{N}^n} \dim_K K[\Delta]_{\underline{a}} \cdot \underline{t}^{\underline{a}}$$

$$= \sum_{\substack{\underline{a} \in \mathbb{N}^n \\ \text{supp}(\underline{a}) \in \Delta}} \underline{t}^{\underline{a}} = \sum_{F \in \Delta} \sum_{\substack{\underline{a} \in \mathbb{N}^n \\ \text{supp}(\underline{a}) = F}} \underline{t}^{\underline{a}}$$

$$= \sum_{F \in \Delta} \prod_{i \in F} (t_i + t_i^2 + t_i^3 + \dots)$$

$$= \sum_{F \in \Delta} \prod_{i \in F} \frac{t_i}{1-t_i}$$

Feb 8, 2021

EXAMPLE:  $\text{Hilb}(K[\begin{smallmatrix} 1 & & \\ & 2 & \\ & & 3 \end{smallmatrix}], t_1, t_2, t_3)$

$$= 1 + \frac{t_1}{1-t_1} + \frac{t_2}{1-t_2} + \frac{t_3}{1-t_3} + \frac{t_1 t_2}{(1-t_1)(1-t_2)} + \frac{t_2 t_3}{(1-t_2)(1-t_3)}$$

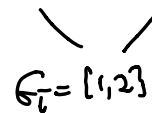
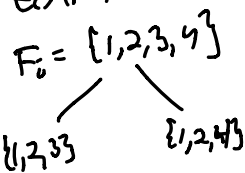
$\left. \begin{matrix} \phantom{=} \\ \phantom{=} \end{matrix} \right\} t_1 = t_2 = t_3 = t$

$$\text{Hilb}(K[\begin{smallmatrix} 1 & & \\ & 2 & \\ & & 3 \end{smallmatrix}], t) = 1 + \frac{3t}{1-t} + 2\left(\frac{t}{1-t}\right)^2$$

(b) If  $\Delta$  is partitioned as  $\Delta = \bigsqcup_{i=1}^s [G_i, F_i]$

$$\text{Hilb}(K[\Delta]; \underline{t}) = \sum_{i=1}^s \sum_{\substack{\underline{a} \in \mathbb{N}^n \\ \text{supp}(\underline{a}) \in [G_i, F_i]}} t^{\underline{a}}$$

EXAMPLE



$[G_i, F_i]$

$$\sum_{\substack{\underline{a} \\ \text{supp}(\underline{a}) \in [2, \{2,3,4\}]}} t^{\underline{a}} = (t_1 + t_1^2 + \dots)(t_2 + t_2^2 + \dots)(t_3 + t_3^2 + \dots)(t_4 + t_4^2 + \dots)$$

$\text{supp}(\underline{a}) \in [G_i, F_i]$

$$= \sum_{i=1}^s \prod_{j \in G_i} (t_j + t_j^2 + \dots) \cdot \prod_{j \in F_i \setminus G_i} (1 + t_j + t_j^2 + \dots)$$

$$= \sum_{i=1}^s \prod_{j \in G_i} \left( \frac{t_j}{1-t_j} \right) \prod_{j \in F_i \setminus G_i} \left( \frac{1}{1-t_j} \right)$$

$$= \sum_{i=1}^s \frac{\prod_{j \in G_i} t_j}{\prod_{j \in F_i} (1-t_j)}$$

$t_i = t^{\#G_i}$

$$\text{Hilb}(K[\Delta], t) = \frac{\sum_{i=1}^s t^{\#G_i}}{(1-t)^d}$$

since  $\#F_i = d$   
 $\forall i=1, \dots, s$   
 as  $\Delta$  is pure of dim  $d-1$ .



A shelling of  $\Delta$  is a stronger condition than partitioning, one with algebraic/topological consequences ...

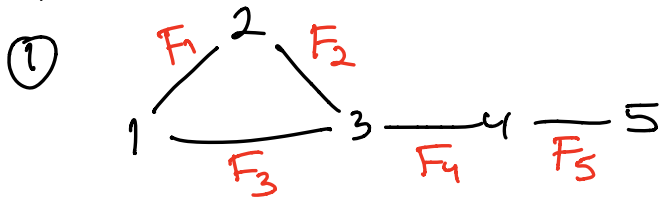
DEFIN: For a pure  $(d-1)$ -dim'l simplicial complex  $\Delta$ , a shelling order on its facets  $F_1, F_2, \dots, F_s$  is one such that  $\forall i \geq 2$

$\overline{F_i} \cap \left( \overline{F_1 \cup F_2 \cup \dots \cup F_{i-1}} \right)$   
 is a pure  $(d-2)$ -dim'l subcomplex of  $\overline{F_i}$ .

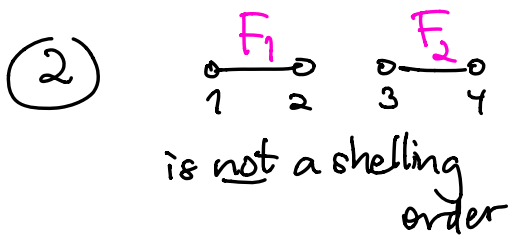
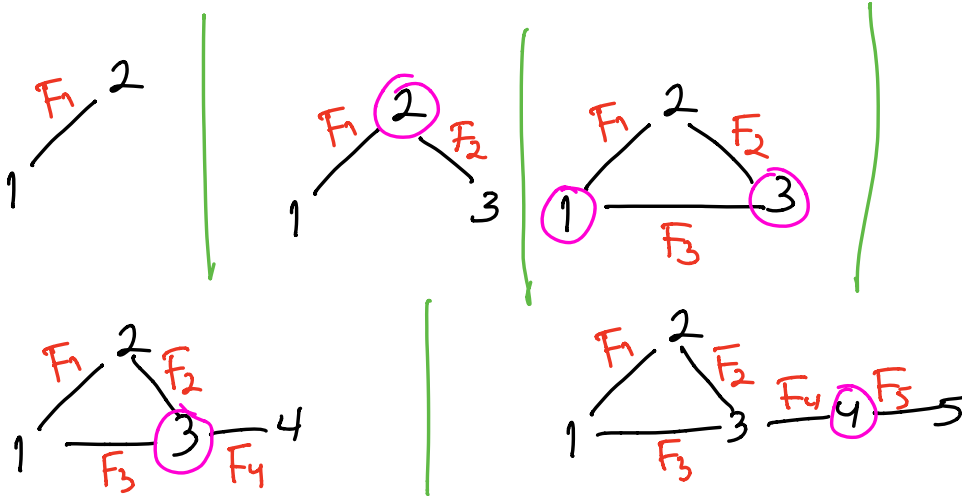
subcomplex gen'd by  $F_i$ ,  
 i.e.  $2F_i$

If such an order exists, say  $\Delta$  is shellable.

EXAMPLES:



is a shelling order:

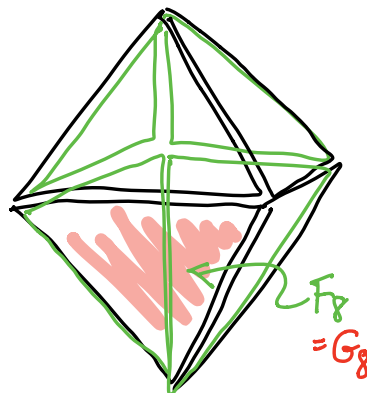
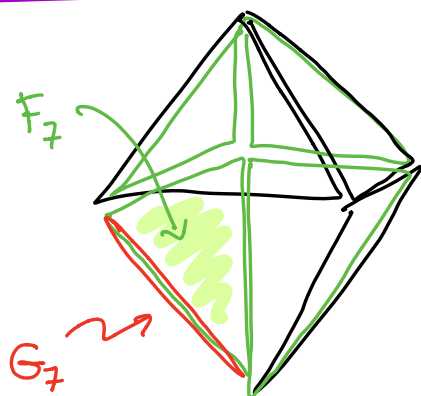
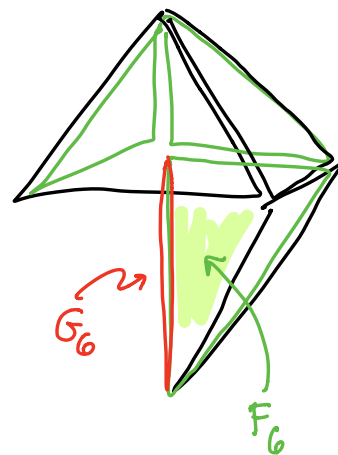
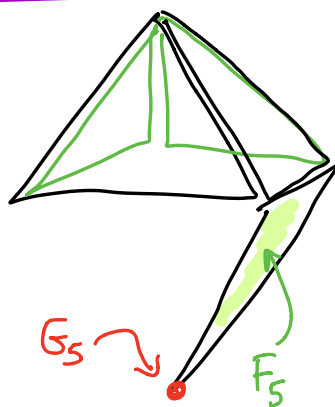
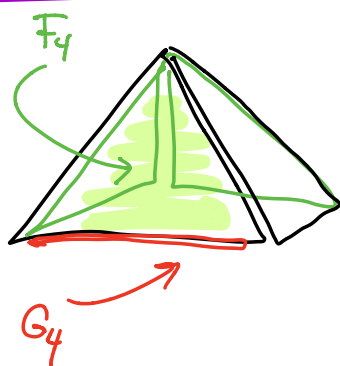
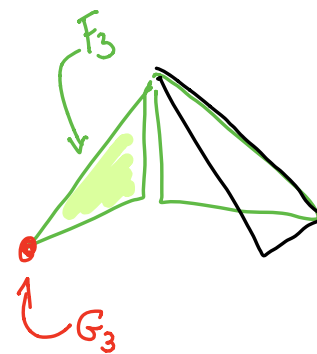
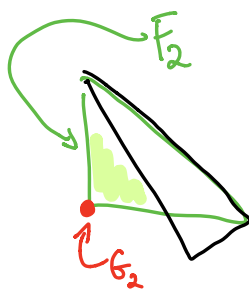
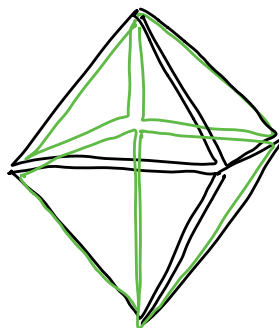
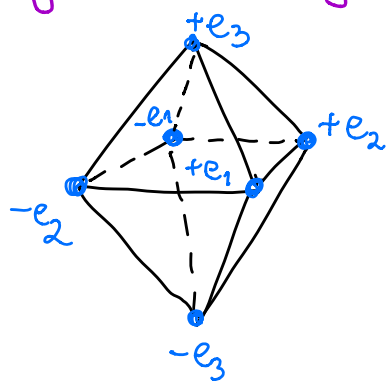


$$\overline{F_2} \cap (F_1) = \{\emptyset\}$$

pure of dim -1,  
not 0



# A shelling of the boundary of the octahedron



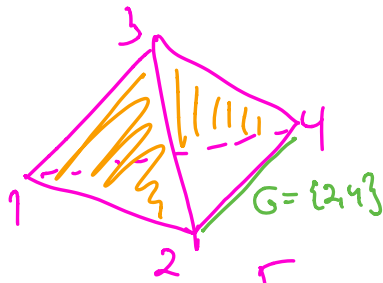
How does shelling relate to partitioning?

LEMMA: Pure  $(d-2)$ -dim'l subcomplexes of  $(d-1)$ -simplices  $2^F = \bar{F}$  are the same as complements  $2^F - [G, F]$  for some face  $G \subseteq F$ .

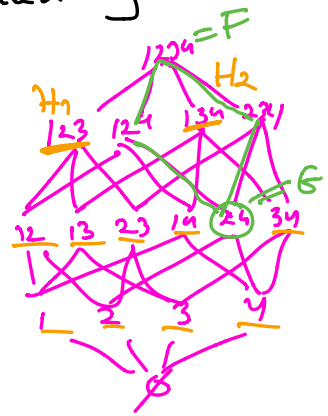
Specifically, if the subcomplex is generated by  $(d-2)$ -faces  $H_1, H_2, \dots$

" "  $F - \{i_1\}$   $F - \{i_2\}$

then  $G = \{i_1, i_2, \dots\}$



$F = \{1, 2, 3, 4\}$   
 $H_1 = \{1, 2, 3\}$   
 $H_2 = \{1, 3, 4\}$   
 $G = \{2, 4\}$



proof: Proves itself!  $\square$

PROPOSITION: A shelling order on  $\Delta$  is a partitioning (Garsia 1980)

$$\Delta = \bigcup_{i=1}^s [G_i, F_i] \text{ with an extra property: } G_i \not\subseteq F_1, F_2, \dots, F_{i-1}.$$

proof: Given a shelling  $F_1, F_2, \dots, F_s$  of  $\Delta$

get a disjoint decomposition

$$\Delta = \bigcup_{i=1}^s \bar{F}_i \setminus \left( \bar{F}_i \cap \left( \underbrace{F_1 \cup F_2 \cup \dots \cup F_{i-1}}_{\text{better notation } \{F_1, F_2, \dots, F_{i-1}\}} \right) \right)$$

pure  $(d-2)$ -dim'l, so of the form  $2^{F_i} - [G_i, F_i]$

$$= \bigcup_{i=1}^s [G_i, F_i]$$

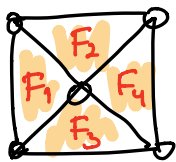
and the extra property  $G_i \not\subseteq F_1, F_2, \dots, F_{i-1}$  holds by construction.

Given a partitioning  $\Delta \stackrel{(*)}{=} \bigcup_{i=1}^s [G_i, F_i]$  with the extra property, we claim  $\forall i \geq 2, \overline{F_i} \cap (\overline{F_1} \cup \dots \cup \overline{F_{i-1}}) = 2^{F_i} \setminus [G_i, F_i]$ , which would show it's a shelling, by the LEMMA.

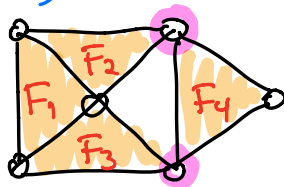
check  $\subseteq$  comes from disjointness in  $(*)$   
 For  $\supseteq$ , note that any  $H \in 2^{F_i} \setminus [G_i, F_i]$  has  $H \subseteq F_i$  and  $H \not\subseteq [G_j, F_j]$  for  $j > i$ , else  $G_j \subseteq H \subseteq F_i$  violates the extra property.  
 Hence  $H \subseteq [G_j, F_j]$  for some  $j = 1, 2, \dots, i-1$ , showing  $H \in \overline{F_i} \cap (\overline{F_1} \cup \dots \cup \overline{F_{i-1}})$ , as desired.



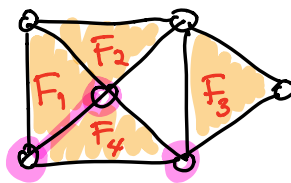
More (and better!) EXAMPLES of shellability, partitionability



a shelling

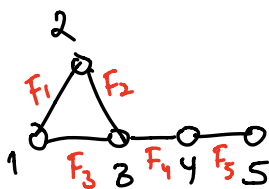


not a shelling

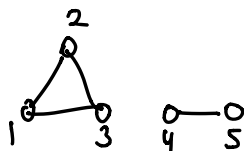


not a shelling

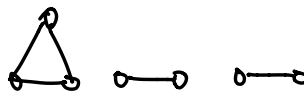
(and this complex  $\Delta$  is not shellable)



a shelling,  
so partitionable



partitionable,  
not shellable!



not even partitionable,  
so not shellable

see new HW  
problem 9  
from  
1st half.



What does shellability give us?

EXAMPLE:  $\Delta = \begin{array}{c} F_1 \quad F_2 \\ \diagdown \quad \diagup \\ 1 \quad 2 \quad 3 \\ \diagup \quad \diagdown \\ F_3 \quad F_4 \quad F_5 \end{array}$

has  $\text{Hilb}(K[\Delta], t) = \frac{1+3t+t^2}{(1-t)^2}$

$G_1 = \emptyset$   
 $G_2 = \{3\}$   
 $G_3 = F_3 = \{1, 3\}$   
 $G_4 = \{4\}$   
 $F_5 = \{5\}$

We'll prove results implying, e.g. ...

-  $K[\Delta]$  is finitely gen'd as a  $K[\Theta_1, \Theta_2]$ -module

where  $\Theta_1 = x_1 + x_3 + x_4$

$\Theta_2 = x_2 + x_3 + x_5$

(OR  $\begin{matrix} \Theta_1 \\ \Theta_2 \end{matrix} \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 \\ 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \end{bmatrix}$ )

regardless of field  $K$

-  $K[\Delta]$  is in fact a free  $K[\Theta]$ -module  
 $K[\Theta_1, \Theta_2]$

with basis elements  $\{1, x_3, x_1x_3, x_4, x_5\}$

$x^{G_1} \quad x^{G_2} \quad x^{G_3} \quad x^{G_4} \quad x^{G_5}$

Hence  $\text{Hilb}(K[\Delta], t) = \text{Hilb}(K[\Theta], t) (1 + t + t^2 + t + t)$

$= \frac{1}{(1-t)^2} (1 + 3t + t^2)$

DEF'N:  $R$  a ring,  $M$  a module means  
 a map  $R \times M \rightarrow M$  with axioms ..  
 $(r, m) \mapsto rm$

For us, usually  $R \subset S$  and  $M = S$   
subring  
 or  $M$  is an ideal in  $S$

(e.g.  $R \subset S$ )  
 $k[x_1, x_2] \subset k[x]$   
 $\parallel$   
 $M$

If  $R = \bigoplus_{d=0}^{\infty} R_d$  is an  $\mathbb{N}$ -graded ring, then  $M$  is  
 an  $\mathbb{N}$ -graded  $R$ -module if  $M = \bigoplus_{d=0}^{\infty} M_d$  with  
 $R_d \cdot M_e \subset M_{d+e}$

Basic facts on when  $M = \text{span}_R \{m_i\}_{i \in I}$   
 $= \sum_i R m_i$

$M$  is spanned by  $\{m_i\}_{i \in I}$  as  $R$ -mod  
 i.e. every  $m \in M$  has a  
finite expression  $m = \sum_{i=1}^s r_i m_i$   
 $r_i \in R$ .

PROP: If  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{\pi} C \rightarrow 0$  is  
a short exact sequence of  $R$ -mods,

(so  $C \cong B/i(A)$ )

then any  $R$ -mod generators  $\{a_i\}_{i \in I}$  for  $A$   
 $\{c_j\}_{j \in J}$  for  $C$

give generators for  $B$  as  $\{a_i\}_{i \in I} \cup \{b_j\}_{j \in J}$

where  $\pi(b_j) = c_j \forall j \in J$   
are any lifts of the  $c_j$ 's

proof: Given  $b \in B$ , write

$$C \ni \pi(b) = \sum_j r_j c_j = \sum_j r_j \pi(b_j)$$

$$\text{so } \pi\left(b - \sum_j r_j b_j\right) = 0$$

$$b - \sum_j r_j b_j \in \ker(\pi)$$

$$b - \sum_j r_j b_j \in A$$

$$\underbrace{\sum_j r_j b_j}_{\in A} = \sum_{i \in I} r'_i a_i$$

$$b = \sum_j r_j b_j + \sum_{i \in I} r'_i a_i \quad \square$$

PROP: For an  $\mathbb{N}$ -graded ring  $R = \bigoplus_{d=0}^{\infty} R_d$   
and  $\mathbb{N}$ -graded  $R$ -module  $M = \bigoplus_{d=0}^{\infty} M_d$

any homogeneous elements  $\{m_i\}_{i \in I}$   
have the property  $\text{span}_R \{m_i\}_{i \in I} = M$   
an  $R/R_+$ -module

$$\iff \text{span}_{R_0} \{\bar{m}_i\}_{i \in I} = M/R_+M$$

where  $R_+ = R_1 \oplus R_2 \oplus \dots$

EXAMPLE:

$$\text{span}_{K[\theta_1, \theta_2]} \{1, x_3, x_1 x_3, x_4, x_5\} = K \left[ \begin{array}{c} \Delta \\ \underbrace{\quad \quad \quad}_M \\ \underbrace{\quad \quad \quad}_M \end{array} \right]$$

$R = \underbrace{R_0}_{=K} \oplus \underbrace{R_1 \oplus R_2 \oplus \dots}_{R_+ = (\theta_1, \theta_2)R}$

$$\iff \text{span}_K \{\bar{1}, \bar{x}_3, \bar{x}_1 \bar{x}_3, \bar{x}_4, \bar{x}_5\} = \underbrace{K[\Delta]}_M / \underbrace{(\theta_1, \theta_2)}_{R_+M}$$

proof of PROP:  $\text{span}_R \{m_i\}_{i \in I} = M$

$\Downarrow$

$$\text{span}_{\underbrace{R_0}_{R/R_+}} \{\bar{m}_i\}_{i \in I} = M/R_+M$$

For the reverse  $\Leftarrow$ , assume  $\text{span}_{R_0} \{\bar{m}_i\}_{i \in I} = M/R_+M$ ,

and show any homog. element  $m \in M_d$  has  
 $m \in \text{span}_R \{m_i\}_{i \in I}$ .

Use induction on  $d$ :

$$\text{Write } \bar{m} = \sum_i r_i \bar{m}_i \quad \text{in } M/R+M$$

$$\text{so } m = \sum_i r_i m_i + \underbrace{n}_{\text{in } R+M} \quad \text{in } M$$

Write

$$n = \sum_j r'_j n_j$$

with  $r'_j$  homog. in  $R+$

$n_j$  homog. in  $M$

$$\text{so } \underbrace{\deg(r'_j) + \deg(n_j)}_{> 0} = d \quad \forall j$$

$$\Rightarrow \deg(n_j) < d$$

$$\text{so } n_j \in \text{span}_R \{m_i\}_{i \in I}$$

$$\text{Hence } m = \sum_i r_i m_i + \sum_j r'_j n_j \in \text{span}_R \{m_i\}_{i \in I} \quad \square$$



# Math 8680 Feb 12, 2021

Recall  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  s.e.s. of  $R$ -mods

$\begin{matrix} R\text{-gens} \\ \{a_i\}_{i \in I} \end{matrix}$ 
 $\begin{matrix} R\text{-gens} \\ \{c_j\}_{j \in J} \end{matrix}$

$\{b_k\}_{k \in K}$   $\xleftarrow{\text{iff}}$

$R\text{-gens for } B$

$M = \bigoplus_{d \in \mathbb{Z}} M_d$  a graded  $R$ -mod

homog.  $\{m_i\}_{i \in I}$  have  $\text{span}_R \{m_i\} = M$

$$\begin{aligned} &\Updownarrow \\ \text{span}_{R_0} \{\overline{m_i}\} &= M/R_+M \\ &\parallel \\ &R/R_+ \end{aligned}$$

Let's prove ...

LEMMA:  $\underline{\Theta} = (\Theta_1, \Theta_2, \dots, \Theta_r)$  of degree one in

$K[x_1, x_2, \dots, x_n]$  for  $K$  a field

with  $\Theta_i = \sum_{j=1}^n a_{ij} x_j$   $i=1, \dots, r$  (so  $A = (a_{ij})_{\substack{i=1, \dots, r \\ j=1, \dots, n}}$ )

has  $K[x]$  is a fin. gen'd  $K[\underline{\Theta}]$ -module

$$\Leftrightarrow K[x] = K[\underline{\Theta}] \quad (\text{so } K[x] = \text{span}_{K[\underline{\Theta}]} \{1\})$$

$$\Leftrightarrow \text{span}_K \{\Theta_1, \dots, \Theta_r\} = \text{span}_K \{x_1, \dots, x_n\}$$

$$\Leftrightarrow \text{rank}_K(A) = n \text{ as a matrix.}$$

proof: Think of

$$A = \begin{matrix} \Theta_1 \\ \vdots \\ \Theta_r \end{matrix} \begin{matrix} x_1 & x_2 & \dots & x_n \\ & & & a_{ij} \end{matrix}$$

use linear algebra to change this to

$$PAQ = s \left\{ \begin{array}{c|c} \begin{matrix} 1 & & 0 \\ & \ddots & \\ 0 & & 1 \end{matrix} & 0 \\ \hline 0 & 0 \end{array} \right\}$$

with  $P \in GL_r(K)$  (row operations)  
 $Q \in GL_n(K)$  (column operations)

This doesn't affect our question, remaining  $\Theta_i$ 's  $\text{v.a. } P$ ,

and making a change-of-variables  $\underline{y} = Q\underline{x}$  in our ring  $K[x_1, \dots, x_n]$  to  $K[y_1, \dots, y_n]$ .

Now  $\Theta_1, \dots, \Theta_r$  is  $y_1, \dots, y_s$  ( $\Theta_i = y_i$ )

and  $K[\Theta] = K[y_1, \dots, y_s]$  has  $K[y_1, \dots, y_s, \dots, y_n]$  a fin. gen'd  $K[\Theta]$ -mod  $\Leftrightarrow s=n$

$$\begin{aligned} \text{since } K[y_1, \dots, y_n]/(\Theta) &= K[y_1, \dots, y_n]/(y_1, \dots, y_s) \\ &\cong K[y_{s+1}, \dots, y_n] \text{ is fin. gen'd}/K \Leftrightarrow s=n. \end{aligned}$$

THEOREM: Given  $(\underline{Q}) = (Q_1, \dots, Q_r) \in K[\Delta]_1$   
 (Stanley's green book  
 Com. & Comm. Alg.  
 Lem. III. 2.4) with  $Q_i = \sum_{j=1}^n a_{ij} x_j$   $K[x_1, \dots, x_n]/I_\Delta$   
 and for any face  $F \in \Delta$ , let  $Q_i|_F = \sum_{j \in F} a_{ij} x_j$

For  $K$  a field, T.F.A.E.

- (a)  $K[\Delta]$  is fin. gen'd as a  $K[\underline{Q}]$
- (b)  $K[\Delta]/(\underline{Q})$  fin. gen'd over  $K$  (fin. dim'l  $K$ -vector space)
- (c)  $\forall$  faces  $F \in \Delta$ ,  $K[x_j]_{j \in F}/(\underline{Q}|_F)$  is fin'd gen'd  $K$   
 $(\Leftrightarrow (c') K[x_j]_{j \in F} = K[\underline{Q}|_F])$   
 $(\Leftrightarrow (c'') \Leftrightarrow (c'''))$

(d)  $\forall$  faces  $F \in \Delta$ , same thing as (c).

In any of these situations,  $\{x^F\}_{F \in \Delta}$  spans  $K[\Delta]$  over  $K[\underline{Q}]$

e.g.  $K[\triangle_{3-4-5}]$  has  $\theta_1 \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 \\ 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \end{bmatrix}$   
 $\text{span}_{K[\underline{\theta}]} \{x^F\}_{F \in \Delta} = K[\Delta]$ , regardless of  $K$

proof: (a)  $\Leftrightarrow$  (b) was our general lemma about spanning graded  $R$ -modules  $M$ .

For the remainder, note if  $\Delta' \subset \Delta$  is a subcomplex, we get a ring surjection  $K[\Delta] \xrightarrow{\pi} K[\Delta']$

Let's start thinking of  $K[\Delta]$  as a  $K[z_1, \dots, z_r]$ -module

where  $z_i$  acts as mult. by  $\partial_i$ , and then  $R$

$z_i$  acts on  $K[\Delta]$  by  $\pi(\partial_i)$ , etc.

This shows  $(a) \Rightarrow (c) (\Rightarrow (d))$  since

$$K[\Delta] \xrightarrow{\pi} K[2^F] = K[x_j]_{j \in F} \text{ for any face } F$$

$R$  has  $z_i$  acting as mult. by  $\partial_i$

$R$  has  $z_i$  acting as mult. by  $\sum_{j \in F} a_{ij} x_j$

This also shows  $(d) \Rightarrow (c)$ , since every face is a subcomplex of a facet.

We only need to show  $(d) \Rightarrow (a)$ , and  $K[\Delta] = \text{span}_{K[\Delta]} \{x^F\}_{F \in \Delta}$ .

Let's prove this by induction on  $\#\Delta$ , using this s.e.s. for any facet  $F$

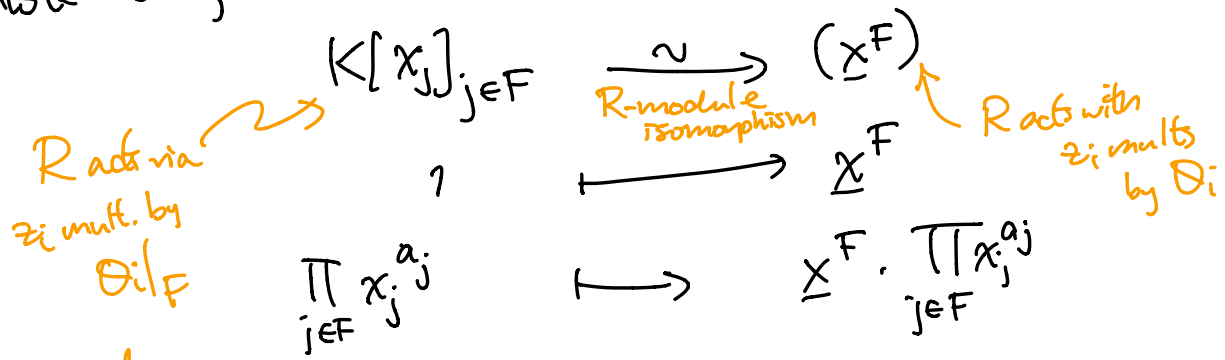
$$0 \rightarrow \underbrace{(x^F)}_{\substack{\text{principal} \\ \text{ideal in } K[\Delta] \\ z_i \text{ acts as } \partial_i}} \rightarrow K[\Delta] \xrightarrow{\pi} K[\Delta \setminus \{F\}] \rightarrow 0$$

$R = K[z_1, \dots, z_r]$

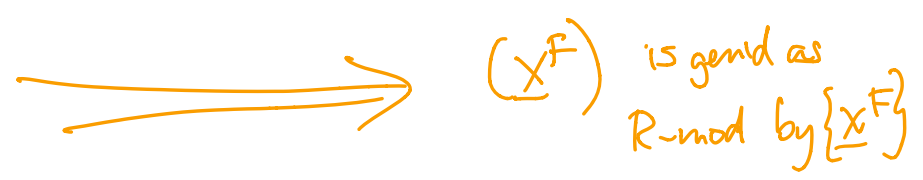
e.g.  $0 \rightarrow (x_1 x_3) \rightarrow K[3 \begin{array}{c} \triangle \\ \text{---} \\ \text{---} \end{array} 1-4] \rightarrow K[3 \begin{array}{c} \triangle \\ \text{---} \\ \text{---} \end{array} 1-4] \rightarrow 0$

$$0 \rightarrow (x_1 x_4) \rightarrow K[3 \begin{array}{c} \triangle \\ \text{---} \\ \text{---} \end{array} 1-4] \rightarrow K[3 \begin{array}{c} \triangle \\ \text{---} \\ \text{---} \end{array} 1-4] \rightarrow 0$$

Note that, as  $R$ -modules, since  $x_k \cdot x^F = 0$  in  $K[\Delta]$  for  $k \notin F$ ,



and  
gen'd  
over  
 $K(\theta_i / F)$   
by  $\{1\}$



The s.e.s. now shows, via induction, that

$$K[\Delta] = \text{span}_R \left( \{x^F\} \cup \{x^F\}_{G \in \Delta - \{F\}} \right)$$

$$= \text{span}_R \{x^F\}_{G \in \Delta} \quad \square$$

Math 8680 Feb. 15, 2021

THEOREM (Kind & Kleinschmidt 1979)  
(Stanley's green book Chap III Thm. 2.5)

If  $\Delta$  is a pure  $(d-1)$ -dim'l shellable complex

$$\Delta = \bigcup_{i=1}^s [G_i, F_i] \text{ and } \underline{\Theta} = (\Theta_1, \dots, \Theta_d) \begin{matrix} \text{same} \\ \uparrow \\ d! \end{matrix}$$

in  $K[\Delta]$ , with  $K[\Delta]$  a fin. gen'd

$K[\underline{\Theta}]$ -module,

then  $\left\{ \begin{array}{l} K[\underline{\Theta}] = K[\Theta_1, \dots, \Theta_d] \text{ is a polynomial ring,} \\ \text{i.e. } \Theta_i \text{ are algeb. indep., and} \end{array} \right.$

$K[\Delta]$  is a free  $K[\underline{\Theta}]$ -module,  
with basis  $\{x^{G_i}\}_{i=1,2,\dots,s}$

e.g.  $(\Theta_1, \Theta_2) = \begin{pmatrix} x_1 + x_3 + x_4 \\ x_2 + x_3 + x_5 \end{pmatrix}$  inside  $K\left[ \begin{array}{c} \triangle^2 \\ 1-3-4-5 \end{array} \right]$

proof: Note that the partitioning already implies

$$\text{Hilb}(K[\Delta], t) = \frac{1}{(1-t)^d} \sum_{i=1}^s t^{\#G_i}$$

$$= \text{Hilb}(M, t)$$

where  $M$  is a free  $R$ -module  $R^s$  of rank  $s$

where  $R = K[z_1, \dots, z_d]$  and  $M = R^s$

$$\deg(z_i) = 1$$

$$= Re_1 \oplus \dots \oplus Re_s$$

with  $\deg(e_i) = \#G_i$

Hence it suffices for us to show  $\text{spec}_{K[\Delta]}(\{x^{G_i}\}_{i=1, \dots, s}) = K[\Delta]$

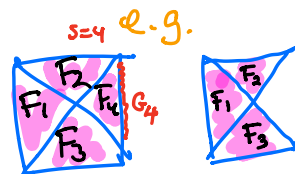
since then we have a graded  $R$ -module surjection

$$\begin{array}{ccc}
 M & \longrightarrow & K[\Delta] \\
 \parallel & & \\
 R e_1 & \xrightarrow{e_1} & x^{G_1} (=1 \text{ since } G_1 = \emptyset) \\
 \oplus & & \\
 \vdots & & \\
 \oplus & & \\
 R e_s & \xrightarrow{e_s} & x^{G_s} \\
 \text{with } z_i & \xrightarrow{\quad} & \mathcal{O}_i \text{ for } i=1, \dots, d
 \end{array}$$

and  $\text{Hilb}(M, t) = \text{Hilb}(K[\Delta], t)$

Let's show the boxed assertion by induction on  $s = \#$  of shelling steps with this s.e.s. of  $R$ -modules  $K[z_1, \dots, z_d]$

$$0 \rightarrow (x^{G_s}) \rightarrow K[\Delta] \rightarrow K[\Delta - [G_s, F_s]] \rightarrow 0$$



Since  $F_s$  is the only facet containing  $G_s$ , one has  $x_j \cdot x^{G_s} = 0$  unless  $j \in F_s$ .

Hence one has an  $R$ -module iso.

$$\begin{array}{ccc}
 K[x_j]_{j \in F_s} & \xrightarrow{\sim} & (x^{G_s}) \\
 \uparrow & \longmapsto & \uparrow \\
 \prod_{j \in F_s} x_j^{a_j} & \longmapsto & \prod_{j \in F_s} x_j^{a_j} \cdot x^{G_s}
 \end{array}$$

where  $z_i$  acts as  $\partial_i|_{F_S}$  on the left  
and acts as  $\partial_i$  on the right.

Hence  $(x^{G_S})$  is  $R$ -spanned by  $\{x^{G_S}\}$

and by induction using s.e.s,

$K[\Delta]$  is  $R$ -spanned by  $\{x^{G_i}\}_{i=1, \dots, s}$ .

□

DEF'N: Say  $K[\Delta]$  is Cohen-Macaulay if  
 $\dim \Delta = d-1$  and *one can extend  $K$  possibly*  
to find  $(\underline{\theta}) = (\theta_1, \dots, \theta_d) \in K[\Delta]_1$  with  
 $K[\Delta]$  is a free  $K[\underline{\theta}]$ -module.

see HW  
EXERCISE 4(a) for why  
 $K[\Delta]_1$  has no such  
 $(\theta_1, \theta_2)$  unless  
 $\#K \geq 3$

EXAMPLE:

$\Delta$  shellable  
 $\Rightarrow K[\Delta]$  C-M  
 $\forall$  fields  $K$ .

COROLLARY:  $K[\Delta]$  is C-M with  
 $\dim \Delta = d-1$  and  $f_0(\Delta) = n$

$$\Rightarrow 0 \leq h_k[\Delta] \leq \binom{(n-d)+k-1}{k} \quad \forall k$$

$$\parallel$$

$$\dim_K \left( K[\Delta]/(\underline{\theta}) \right)_k$$

# monomials of  
deg  $k$  in  
 $n-d$  variables



proof: Since  $K[\Delta]$  is C-M,

$K[\Delta] \cong K[\mathcal{O}]^s = \text{free } K[\mathcal{O}]\text{-module}$   
 on some homog. basis  $\{b_1, \dots, b_s\}$ ,

EXERCISE

$M$  a fin. gen'd  
 free  $R$ -mod  
 graded  $\Rightarrow$   
 $\exists$  a homog.  $R$ -basis

so  
 one has

$$\frac{\sum_{k=0}^d h_k t^k}{(1-t)^d} = \text{Hilb}(K[\Delta], t) = \text{Hilb}(K[\mathcal{O}], t) \left( \sum_{i=1}^s t^{\deg(b_i)} \right)$$

$$\Rightarrow \begin{cases} \text{Hilb}(K[\mathcal{O}], t) = \frac{1}{(1-t)^d} \\ \text{and } \sum_{k=0}^d h_k t^k = \sum_{i=1}^s t^{\deg(b_i)} \end{cases}$$

But then  $K[\Delta]/(\mathcal{O}) \cong K^s$  with  $\{\bar{b}_1, \bar{b}_2, \dots, \bar{b}_s\}$   
 as  $K$ -basis  
 as graded  
 $K$ -vector spaces

$$\text{so } \text{Hilb}(K[\Delta]/(\mathcal{O}), t) = \sum_{i=1}^s t^{\deg(b_i)} = \sum_{k=0}^d h_k t^k$$

$$\text{i.o. } \dim_K (K[\Delta]/(\mathcal{O}))_k = h_k(\Delta).$$

$0 \leq$

Now note that if we pick any  $(d-1)$ -face  $F$  of  $\Delta$ ,  
then  $\text{span}_K \{ \mathcal{O}_i|_F \}_{i=1, \dots, d} = \text{span}_K \{ x_j \}_{j \in F}$

$$\text{so } K[y_1, \dots, y_{n-d}] \longrightarrow K[\Delta]/(\underline{\mathcal{O}})$$

$$\deg(y_i)=1 \quad y_i \text{'s} \longmapsto \{x_j\}_{j \in F}$$

surjects

$$\text{and hence } \dim(K[\Delta]/(\underline{\mathcal{O}}))_k \leq \dim_K(K[y]_k)$$

$$\qquad \qquad \qquad \parallel$$

$$\qquad \qquad \qquad \binom{(n-d)+k-1}{k}$$

